

The longitudinal associations between bone mineral density and appendicular skeletal muscle mass in Chinese community-dwelling middle aged and elderly men

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Background. The present study aimed to investigate longitudinal associations between bone mineral densities (BMDs) and appendicular skeletal muscle (ASM) mass in different regions of the body using three different indicators, in Chinese community-dwelling middle-aged and elderly men.

Methods. A total of 1,343 men aged ≥ 40 years from a Chinese community were assessed at baseline (2014–2016), one-year follow-up (2016–2017; $n = 648$), two-year follow-up (2017–2018; $n = 407$), and three-year follow up (2018–2019; $n = 208$). At all the four time-points, measurements included ASM mass and BMDs for all regions of the body using dual-energy X-ray absorptiometry. A questionnaire was completed by patients and biochemical markers were assessed. We applied three different indicators to define ASM mass or lean mass respectively, including the appendicular skeletal muscle index (ASM adjusted by height, ASMI, according to the Asian Working Group for Sarcopenia), skeletal muscle index (ASM adjusted by weight, SMI, according to the International Working Group on Sarcopenia), and the appendicular skeletal muscle/body mass index (ratio of ASM and Body mass index (BMI), ASM/BMI, according to the Foundation for the National Institutes of Health). After adjusting for potential confounders, the generalized additive mixed model (GAMM) was used to analyze the trend in ASM mass over time, and to test the association between ASM mass and regional and whole-body BMDs.

Results. The incidence of low lean mass was 8.2% defined by ASMI, 16.3% defined by SMI, and 8.3% defined by ASM/BMI. There was a linear relationship between BMDs and ASM mass, and ASMI, ASM/BMI, and SMI gradually decreased with time. After adjusting for covariances, GAMM analysis determined longitudinal associations between BMDs and ASM mass by three indicators respectively: the skull BMD was negatively associated with ASM mass. For each unit increase in skull BMD, ASMI decreased by 0.28 kg/m² [95% confidence interval (CI): -0.39, -0.16], ASM/BMI decreased by 0.02 m² (95% CI: -0.03, -0.00), and SMI decreased by 0.01 % (95% CI: -0.01, -0.00). The remaining parameters (including whole-body mean BMD, thoracic spinal BMD, lumbar spinal BMD, hip BMD, femoral neck BMD, pelvic BMD, left arm

BMD, right arm BMD, left leg BMD, right leg BMD) were positively correlated with ASM mass. The ASMI increased by 3.07 kg/m² for each unit increase in the femoral neck BMD (95% CI: 2.31, 3.84). The ASM/BMI increased by 0.22 m² for each unit increase in the left arm BMD (95% CI: 0.12, 0.33), and the SMI increased by 0.05 % per unit increase in the left arm BMD (95% CI: 0.02, 0.08).

Conclusions. Compared to ASMI and ASM/BMI, SMI was more sensitive to screen for the low lean mass. Skull BMD was negatively associated with ASM mass, while BMDs throughout the rest of the body were positively correlated with ASM mass among the middle-aged and elderly Chinese men.

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33

34 **Abstract**

35 **Background.** The present study aimed to investigate longitudinal associations between bone
36 mineral densities (BMDs) and appendicular skeletal muscle (ASM) mass in different regions of
37 the body using three different indicators, in Chinese community-dwelling middle-aged and
38 elderly men.

39 **Methods.** A total of 1,343 men aged ≥ 40 years from a Chinese community were assessed at
40 baseline (2014–2016), one-year follow-up (2016–2017; $n = 648$), two-year follow-up (2017–
41 2018; $n = 407$), and three-year follow up (2018–2019; $n = 208$). At all the four time-points,
42 measurements included ASM mass and BMDs for all regions of the body using dual-energy X-
43 ray absorptiometry. A questionnaire was completed by patients and biochemical markers were
44 assessed. We applied three different indicators to define ASM mass or lean mass respectively,
45 including the appendicular skeletal muscle index (ASM adjusted by height, ASMI, according to
46 the Asian Working Group for Sarcopenia), skeletal muscle index (ASM adjusted by weight,
47 SMI, according to the International Working Group on Sarcopenia), and the appendicular
48 skeletal muscle/body mass index (ratio of ASM and Body mass index (BMI), ASM/BMI,
49 according to the Foundation for the National Institutes of Health). After adjusting for potential
50 confounders, the generalized additive mixed model (GAMM) was used to analyze the trend in
51 ASM mass over time, and to test the association between ASM mass and regional and whole-
52 body BMDs.

53 **Results.** The incidence of low lean mass was 8.2% defined by ASMI, 16.3% defined by SMI,
54 and 8.3% defined by ASM/BMI. There was a linear relationship between BMDs and ASM mass,
55 and ASMI, ASM/BMI, and SMI gradually decreased with time. After adjusting for covariances,
56 GAMM analysis determined longitudinal associations between BMDs and ASM mass by three
57 indicators respectively: the skull BMD was negatively associated with ASM mass. For each unit
58 increase in skull BMD, ASMI decreased by 0.28 kg/m^2 [95% confidence interval (CI): -0.39, -
59 0.16], ASM/BMI decreased by 0.02 m^2 (95% CI: -0.03, -0.00), and SMI decreased by 0.01 %
60 (95% CI: -0.01, -0.00). The remaining parameters (including whole-body mean BMD, thoracic
61 spinal BMD, lumbar spinal BMD, hip BMD, femoral neck BMD, pelvic BMD, left arm BMD,
62 right arm BMD, left leg BMD, right leg BMD) were positively correlated with ASM mass. The
63 ASMI increased by 3.07 kg/m^2 for each unit increase in the femoral neck BMD (95% CI: 2.31,

64 3.84). The ASM/BMI increased by 0.22 m² for each unit increase in the left arm BMD (95% CI:
65 0.12, 0.33), and the SMI increased by 0.05 % per unit increase in the left arm BMD (95% CI:
66 0.02, 0.08).

67 **Conclusions.** Compared to ASMI and ASM/BMI, SMI was more sensitive to screen for the low
68 lean mass. Skull BMD was negatively associated with ASM mass, while BMDs throughout the
69 rest of the body were positively correlated with ASM mass among the middle-aged and elderly
70 Chinese men.

71 Introduction

72 The aging of a population leads to an upsurge of age-related diseases, in which sarcopenia and
73 osteoporosis are attracting increasing attention (Binkley, Krueger & Buehring, 2013; Kim et al.,
74 2017a). It is thought that sarcopenia is associated with osteoporosis as an activity disorder
75 syndrome (dysmotility syndrome) (Marty et al., 2017), which consumes considerable health and
76 social costs (Pinedo-Villanueva et al., 2019; Robinson et al., 2018). The muscles of individuals
77 younger than 25 years of age are in the ascending stage and appendicular skeletal muscle (ASM)
78 remains stable in 25th–40th years of age. After 40 year old, ASM mass generally declines
79 (Morley, Anker & von Haehling, 2014).

80 The interrelationships of sarcopenia and osteoporosis are complex and multifactorial
81 (Karasik & Kiel, 2010). Many studies had detailed the effects of skeletal muscle on bone tissue
82 (Colaïanni et al., 2016; Pedersen & Febbraio, 2012). Recently, bone cells have been considered
83 as endocrine cells and can transmit signals to distant organs including muscles (Brotto &
84 Johnson, 2014; Brun et al., 2017). Additionally, osteoblasts of the craniofacial bones, which are
85 different from other bone tissues of the body, are derived from neural crest cells differentiated
86 from the neuroectoderm and are regulated by different mechanism (Scott, 2000; Vatsa et al.,
87 2008; Wu et al., 2018). Therefore, the interrelationship of skull and ASM may be different from
88 that of the bone tissues throughout the rest of the body and ASM through the circulatory system.
89 However, these hypothesis require further experimental confirmation. Understanding the
90 apparent endocrine crosstalk and biochemical coupling between these two intimately associated
91 tissues (bone and muscle) is important for identifying potential new therapies for the relevant
92 diseases, especially for when they co-exist.

93 Some studies reported that bone mineral densities (BMDs) and muscle mass are positively
94 associated (Bering et al., 2018; Rodriguez-Reyes et al., 2019). In 2016, He et al. had recruited
95 17,891 African Americans, Chinese and Caucasians, and found that ASM mass was positively
96 correlated with the whole-body mean BMD, femoral neck BMD, tibia BMD, and lumbar spine
97 BMD (He et al., 2016). However, several studies reported that sarcopenia is not associated with
98 BMD. Coin et al. reported that in 136 elderly men ASM mass was positively associated with hip
99 and femoral neck BMDs, but after adjustment by body mass index (BMI), the association was
100 not significant (Coin et al., 2008). Marianne et al. had investigated 130 premenopausal and 82

101 postmenopausal African American women living in the United States and found that a decreased
102 in appendicular skeletal muscle index (ASM adjusted by height, ASMI), was not associated with
103 low hip BMD (Walsh, Hunter & Livingstone, 2006). However, a small population and only
104 measuring BMD of hip or femur can lead to a failure to reflect the BMDs throughout the rest of
105 the body in studying their relationship with muscle (Coin et al., 2008; Genaro et al., 2010;
106 Walsh, Hunter & Livingstone, 2006), because bone cells of different parts of the body have
107 different roles and functions, such as fibula and skull (Vatsa et al., 2008). Additionally, the
108 association of BMD of hip or femur and lean mass is largely due to mechanical coupling
109 (Cianferotti & Brandi, 2014). These contradictory findings might be related to the differences of
110 study population, body part, measuring method and indicator.

111 Most clinical research were cross-sectional studies, and few prospective research has
112 revealed the longitudinal associations of ASM mass and regional BMDs. Cross-sectional studies
113 may have had limited statistical power to find evidence of longitudinal associations given the
114 sample size (Martinez et al., 2017). Genaro had investigated 65 postmenopausal osteoporotic
115 women to find that lean mass is positively correlated with BMDs of femoral neck and total femur
116 (Genaro et al., 2010). One prospective 10-year study investigated 104 normal white
117 postmenopausal women and demonstrated that only fat mass is associated with total body, femur
118 and spine BMDs, but not lean mass (Wu et al., 2002). No prospective study had enrolled big
119 sample size to study the associations between the BMDs of different regions of the body and
120 changes in ASM mass.

121 According to the European Working Group on Sarcopenia in Older People (EWGSOP) and
122 the Asian Working Group for Sarcopenia (AWGS) (Chen et al., 2014; Cruz-Jentoft et al., 2010),
123 there are three stages of sarcopenia that reflect the severity of the condition, including
124 'presarcopenia', 'sarcopenia' and 'severe sarcopenia'. The 'presarcopenia' stage is characterized
125 by low lean mass without poor muscle strength or physical performance. Currently, there are
126 three indicators for the measurement of ASM mass to diagnose sarcopenia, including the ASMI,
127 skeletal muscle index (ASM adjusted by weight, SMI), and the appendicular skeletal
128 muscle/body mass index (ratio of ASM and Body mass index (BMI), ASM/BMI). Different
129 Consensuses recommend different indicators for the measurement of ASM mass and cut-off
130 value for low lean mass (Chen et al., 2016; Cruz-Jentoft et al., 2010; Muscaritoli et al., 2010;
131 Studenski et al., 2014). Due to differences in ethnicity, genetic background, and body size, the
132 EWGSOP and International Working Group on Sarcopenia (IWGS) criteria might not be suitable
133 to Asians. The EWGSOP recommends using the ASMI. The AWGS proposed a cut-off value for
134 the definition of low lean mass using the ASMI in Asian populations. Using dual-energy X-ray
135 absorptiometry (DXA), the threshold was 7.0 kg/m² for men and 5.4 kg/m² for women (Chen et
136 al., 2014). The Foundation for the National Institutes of Health (FNIH) applied ASM/BMI, and
137 the IWGS recommends using the SMI. The prevalence and clinical implications of sarcopenia
138 varies greatly depending on the indicators for the measurement of ASM mass (Kim, Jang & Lim,
139 2016; Scott et al., 2017). Studies in South Korea and Taiwan had found that applying the SMI

140 can more accurately detect sarcopenia than using the ASMI (Hairi et al., 2010; Kim, Jang & Lim,
141 2016). Most previous studies used one indicator to define ASM mass, which makes it impossible
142 to compare the data across studies (Chung et al., 2016; Kim et al., 2014). Comparisons of the
143 prevalence of low lean mass using the three indicators (ASMI, SMI or ASM/BMI) respectively
144 have not been reported in China.

145 To date, the longitudinal associations between the BMDs of different regions of the body and
146 changes in ASM mass defined by the three different indicators respectively has not been
147 thoroughly studied. In the current study, we assessed such associations using the three indicators
148 respectively and a 3 years of follow-up for regional and whole-body BMDs in Chinese
149 community-dwelling middle aged and elderly men.

150

151 **Materials & Methods**

152 **Study design and population**

153 A prospective study was conducted on 3,179 males with detailed data on body composition
154 and BMDs for all regions of the body. The study was performed in the Health Care Department
155 in the Foshan First People's Hospital from January 2014 to September 2016, and all patients
156 were from Chinese community. According to patient histories and examination results, the
157 following subjects were excluded: patients younger than 40 years of age, those with complete
158 walking incapacity, viral or autoimmune hepatitis, other chronic liver diseases, alcohol-addicted
159 (>210 g alcohol per week), severe heart or kidney dysfunction, severe dementia (mini-mental
160 state examination <18 points), use of steroid hormones or immunosuppressive agents,
161 autoimmune diseases, use of weight-loss drugs, pathological obesity, uncontrollable diabetes,
162 hypothyroidism or other endocrine and metabolic diseases, or a diagnosis with malignant tumors
163 in the past five years and acute disease stages. The study design was registered and approved by
164 the Ethics Committee of the Foshan First People's Hospital. All the patients and their families
165 understood and agreed with the purpose of the study, and signed the informed consent for the
166 study. Body composition and BMD were repeated over the following 3 years. Data were
167 collected from January 2014 to September 2018.

168 This study was approved by the hospital ethics committee with the informed consent of the
169 children's family, which was conducted ethically in accordance with the World Medical
170 Association Declaration of Helsinki.

171

172 **Measurement of anthropometric indicators**

173 Subjects fasted for 8 h and emptied their bowels. BMI was calculated as: $BMI (kg/m^2) =$
174 $body\ mass (kg) / [height (m)^2]$. Blood pressure was measured after 20 minutes of sit-down.

175

176 Blood sample measurements

177 Blood samples were obtained from the forearm veins of all subjects after fasting for longer than
178 8 h. The content of plasma homocysteine was determined by high performance liquid
179 chromatography. Serum albumin was analyzed by turbidimetry. We used an automatic
180 biochemical analyzer (OLYMPUS AU5400, Shinjuku, Tokyo, Japan) to measure biochemical
181 indices: (1) blood lipid profile which included total cholesterol (Cholesterol), triglycerides, high
182 density lipoprotein-cholesterol (HDL-C), low density lipoprotein-cholesterol, lipoprotein a,
183 Apolipoprotein A1, Apolipoprotein B; (2) full blood count which mainly included platelet width
184 distribution, platelet count, variation of red blood cell distribution, standard deviation of red
185 blood cell distribution ; (3) thyroid function which included thyroid stimulating hormone, free
186 triiodothyronine, free thyroxine (FT4); (4) renal function which included urea nitrogen,
187 creatinine (Cr), uric acid (UA); (5) liver function which included aspartate aminotransferase
188 (AST), alanine aminotransferase (ALT), gamma-glutamyl transpeptidase (GGT), total bilirubin,
189 direct bilirubinm, indirect bilirubin, albumin, globulin, total protein ; (6) blood glucose which
190 included fasting blood glucose (FBG), postprandial blood glucose (PBG), glycosylated
191 hemoglobin (HbA1c); (7) trace minerals which included calcium, phosphorus; (8) Homocysteine.

192 Body composition and BMD

193 Body composition and BMD (g/cm^2) were measured using a DXA scanner (HOLOGIC,
194 model: discovery A, USA). Such measurements were obtained for the whole-body mean BMD,
195 hip, femoral neck, lumbar spine, left upper arm, right upper arm, left leg, right leg, left rib, right
196 rib, thoracic vertebra, pelvis, and skull. Densitometers were calibrated daily using the method
197 provided by the manufacturer to ensure the accuracy of DXA measurements. The coefficient of
198 variation (percentage) measured repeatedly in 30 adults was in accordance with the accurate
199 criteria of the lumbar spine ($<1.9\%$), femoral neck (2.5%), and total femur ($<1.8\%$).

200 Body composition included muscle mass, weight after fat removal (FFM), and fat mass
201 (FM). The coefficients of variation for whole body fat (FM) and FFM were 0.89% and 0.48% ,
202 respectively. ASM mass was defined as the skeletal muscle mass of the extremities.

203

204 Definitions of low lean mass

205 We used three indicators to diagnose low lean mass in all male participants respectively: (1)
206 an ASMI $< 7.0 \text{ kg}/\text{m}^2$. This approach for defining low lean mass was based on the new Asian
207 consensus definition of the AWGS (Chen et al., 2016); (2) a SMI $< 29.9\%$ (Kim, Cho & Park,
208 2015; Ryu et al., 2013). This approach for defining low lean mass was based on some Asian
209 studies carried out in Korea; (3) an ASM/BMI $< 0.789 \text{ m}^2$. There are no recommended cut-off

210 value of ASM/BMI for Asian patients. Thus, we use the cut-off value recommended by FNIH
211 (Studenski et al., 2014). The control groups are defined as: (1) ASMI ≥ 7.0 kg/m²; (2) SMI
212 $\geq 29.9\%$; or (3) ASM/BMI ≥ 0.789 m².

213

214 **Sociodemographic and lifestyle status**

215 Comprehensive interviewer-assisted questionnaires were conducted regarding demographic,
216 occupational, and lifestyle information, alcohol consumption, smoking histories, diet, exercise,
217 and mental health. According to the results of the questionnaire, the self-reported smoking,
218 drinking, exercise and mental status were assessed. Subjects who never smoked or quit smoking
219 at least 5 years prior to study were defined as nonsmokers. Subjects were considered to consume
220 alcohol if they drank any volume of alcohol at least once per week. Regular exercise was defined
221 by any type of exercise at least once per week.

222

223 **Statistical analyses**

224 All analyses were performed using Empower (R) (www.empowerstats.com, X&Y solutions,
225 Inc., Boston, MA) and R (<http://www.R-project.org>). All statistical analyses were two-tailed, and
226 a $P < 0.05$ was considered statistically significant. The means and standard deviations were
227 calculated for anthropometric measures. Differences in basic characteristics were compared
228 using analysis of variance for continuous variables. The Pearson's chi-squared test (χ^2) was used
229 to compare differences for categorical variables. All P values were corrected for multiple
230 hypothesis testing using the false-discovery rate (Benjamini–Hochberg method), with a
231 significance threshold of 5%. And the corrected P value meant Q value which could better avoid
232 false positive error.

233 We applied a two-piecewise linear regression model to examine the threshold effect of BMD
234 on ASM mass (defined by ASMI, SMI, and ASM/BMI) using a smoothing function (Yu, Cao &
235 Yu, 2013). The threshold level was determined by trial and error, including the selection of
236 turning points along a pre-defined interval and choosing the turning point that gave the
237 maximum model likelihood, after adjusting for age, body weight, ALT, GGT, FT4, triglyceride,
238 HDL-C, Cr, and UA.

239 The generalized additive mixed model (GAMM) was used to analyze trends in ASM mass
240 over time. Models were initially unadjusted and then adjusted for age, weight, and HbA1c.

241 To study the longitudinal association between changes in BMD of different regions of the
242 body and changes in the ASM mass, we used the GAMM to analyze (Canfield et al., 2003).
243 GAMM takes into account the time-varying nature of both the outcome and the exposure over
244 multiple time-points and provides an estimated population average model using all longitudinal

245 data. With GMM analysis, the association between two longitudinally measured variables can
246 be studied using all longitudinal data simultaneously, and adjusting for within-person
247 correlations caused by repeated measurements of each participant using robust estimations of the
248 variances of the regression coefficients. GMM is also robust with regard to data missing at
249 random. Models were initially unadjusted and then adjusted for age, weight, HbA1c, HDL-C, Cr,
250 ALT, FT4, diastolic blood pressure, smoking, drinking, and exercise.

251

252 **Results**

253 **Descriptive statistics**

254 After excluding participants who did not attend baseline, a total of 1,343 participants were
255 included in the analysis. Figure 1 is a participation flowchart of the 1,343 Chinese males
256 included in this study. Of the 1,343 participants with complete baseline data, 648 (48.25%)
257 completed the one-year follow-up, 407 (30.3%) completed the two-year follow-up, and 208
258 (15.48%) completed the three-year follow-up. BMD and muscle mass were examined in all
259 regions of the body each year. Reasons for the study's loss of follow-up included unreachable,
260 refusal of follow-up or death.

261 Table 1 presents the basic characteristics, anthropometric measurements, and regional and
262 total body muscles (including head, left arm, right arm, trunk, left leg, right leg, whole body),
263 and BMDs of the study population, which was stratified into two groups based on the ASMI.
264 $ASMI < 7.0 \text{ kg/m}^2$ was used to define low-lean- mass group, and the control group was defined
265 $ASMI \geq 7.0 \text{ kg/m}^2$. The incidence of low lean mass was 8.2% in individuals older than 40 years
266 of age. Compared to control group, the low-lean- mass group was shorter ($167.95 \pm 6.46 \text{ cm}$ and
267 169.92 ± 5.72 , Q -value = 0.002) and lighter ($60.83 \pm 7.56 \text{ kg}$ and $73.63 \pm 8.79 \text{ kg}$, Q -value =
268 0.002). Compared with the control group, the muscle mass of regional and total body of the low-
269 lean- mass group were lower. The BMDs of regional and total body in the low-lean- mass group
270 was lower, with the exception of that of the skull. Of the biochemical metabolic markers, ALT,
271 AST, GGT, triglyceride, HDL-C, UA, FT4, and Cr were different between control and low-lean-
272 mass groups (Q -value < 0.05). There was no significant difference in serum albumin levels
273 between the two groups, which represent nutritional status. The two groups had similar blood
274 glucose levels, including FBG, PBG, and glycosylated hemoglobin. The inflammatory indicators
275 were also similar, such as the total number of leukocytes, platelet distribution width, coefficient
276 of variation of erythrocyte distribution, standard deviation of erythrocyte distribution, and
277 homocysteine levels.

278 Table S1 shows the data for the study population, which was stratified into two groups
279 according to SMI. $SMI < 29.9\%$ was used to defined low-lean- mass group, and the control group
280 was defined as $SMI \geq 29.9\%$. The incidence of low lean mass was 16.3%. Compared to the

281 control group, the muscle mass of the head and trunk of individuals in the low-lean- mass group
282 were larger, while the other parts were lower (including left arm, right arm, left leg, right leg and
283 whole body), and the bone density of each part (including whole body, head, left arm, right arm,
284 trunk, left leg, and right leg) was lower.

285 Table S2 shows the data for the study population which was stratified into two groups based
286 on ASM/BMI. $ASM/BMI < 0.789 \text{ m}^2$ was used to defined low-lean- mass group, and the control
287 group was defined as $ASM/BMI \geq 0.789 \text{ m}^2$. The incidence of low lean mass was 8.3%. Regional
288 and total body muscles in the low-lean- mass group was lower than that of the control group,
289 while the bone densities of regional and total body were lower; thus, indicating that the three
290 indicators (ASMI, SMI, ASM/BMI) cannot be used interchangeably (Kim et al., 2017b).

291

292 **Threshold effect analysis of relationship between BMDs and ASM mass**

293 After adjusting for diastolic blood pressure, age, body weight, ALT, glycosylated
294 hemoglobin, triglyceride, HDL-C, UA, FT4, and Cr, smooth curve fitting analysis evaluated
295 relationships between BMDs and the three indicators (ASMI, SMI, ASM/BMI) to determine if
296 there was a threshold effect. Adjusted smoothed plots suggest a linear relationship between
297 BMDs and ASM mass (Fig. 2A–M).

298

299 **Changes of ASM mass over time**

300 GAMM was used to analyze trends in muscle mass over time. The ASMI, ASM/BMI, and
301 SMI were plotted on the ordinate, and the number of days was plotted on the abscissa (total 1200
302 days). The number of days was calculated based on the date of examination. It was found that the
303 ASMI, ASM/BMI, and SMI gradually decreased with time, regardless of whether adjustments
304 for age, weight, and HbA1c were performed (Fig. 3A–C).

305

306 **Repeated measurements to analyze the associations between BMDs and ASM mass**

307 GAMM analysis determined average longitudinal population associations between BMDs
308 and ASM mass, after adjusting for age, weight, HbA1c, HDL-C, Cr, ALT, FT4, diastolic blood
309 pressure, smoking, drinking and exercise over four time points.

310 The ASMI, ASM/BMI, and SMI were treated as the dependent variables (result variable),
311 and after adjustment, the BMD of skulls were negatively correlated with the ASMI, ASM/BMI
312 and SMI. For each decreasing unit of skull BMD, the ASMI increased by 0.28 kg/m^2 [95%
313 confidence interval (CI): -0.39, -0.16; $P < 0.001$] (Table 2), while the SMI increased by 0.01 %

314 (95% CI: -0.01, -0.00; $P = 0.009$) (Table S3), and the ASM/BMI increased by 0.02 m² (95% CI: -
315 0.03, -0.00; $P = 0.008$) (Table S4). Conversely, the BMDs of the pelvis, hip and femur neck had
316 positive correlations with the ASMI, but were not correlated with SMI and ASM/BMI. The
317 BMDs of left and right ribs were not correlated with three indicators according to the sensitivity
318 analysis (Table S5–S7). The remaining parts (including whole body, thoracic spinal, lumbar
319 spinal, left leg, right leg, left arm and right arm) were positively correlated with the three
320 indicators (ASMI, SMI, ASM/BMI). The highest beta value for BMD at each site was that for
321 every unit of increase in femoral neck BMD, the ASMI increased by 3.07 kg/m² (95% CI: 2.31,
322 3.84; $P < 0.001$). For each unit (g/cm²) increase in the left arm BMD, the ASM/BMI increased
323 by 0.22 m² (95% CI: 0.12, 0.33; $P < 0.001$), while the SMI increased by 0.05 % (95% CI: 0.02,
324 0.08; $P < 0.001$).

325

326 Discussion

327 This prospective population-based study demonstrated that compared to ASMI and ASM/BMI,
328 SMI was more sensitive to screen for the low lean mass, and skull BMD was negatively
329 correlated with ASM mass defined by the ASMI, ASM/BMI or SMI. Conversely, the BMDs of
330 the other regions of the body were positively correlated with the ASM mass, which have not
331 been reported in prior studies.

332 This is the first study to measure BMD using three different indicators (ASMI, SMI and
333 ASM/BMI) for four consecutive years and to observe BMD in all regions of the body. The
334 incidence of low lean mass defined by SMI is nearly two times of that by ASMI or ASM/BMI,
335 which revealed SMI was more sensitive to screen for the low lean mass. This is echoed by the
336 previous reports (Clynes et al., 2015, Kim, Jang & Lim, 2016)(Clynes MA, Edwards MH,
337 Buehring B, Dennison EM, Binkley N, Cooper C. 2015. Definitions of Sarcopenia: Associations
338 with Previous Falls and Fracture in a Population Sample. *Calcif Tissue Int.* 97(5):445-52. DOI:
339 10.1007/s00223-015-0044-z.). The IWGS definition of sarcopenia appears to be an effective
340 means of identifying individuals at risk of prevalent adverse musculoskeletal events.

341 Most studies observed the relationship between muscle mass and the BMDs of the femoral
342 neck, or lumbar spine, which, to a large extent, reflects the mechanical action between these
343 closely related tissues. In fact, besides the mechanical action, it is now proposed that potential
344 endocrine and/or paracrine crosstalk exists between bones and muscle (Brotto & Bonewald, 2015;
345 Grygiel-Gorniak & Puszczewicz, 2017). Studies in humans have found that myostatin a factor
346 secreted by skeletal muscles can regulate bone formation (Bialek et al., 2014). Osteocalcin a
347 factor produced by osteocytes was found to have effects on muscle (Levinger et al., 2014).
348 Moreover, during embryonic development, osteoblasts from different skeletal regions are derived
349 from different germ layers. Osteoblasts of the craniofacial bones are derived from neural crest
350 cells differentiated from the neuroectoderm. The appendicular bones are derived from the
351 paravertebral mesoderm and the lateral mesoderm, respectively. Cranial bone cells are different
352 from that of the other parts of the body, maturing under different microenvironments and
353 regulatory factors (Vatsa et al., 2008; Wu et al., 2018). It is somewhat surprising to find that
354 skull BMD was negatively associated with ASM mass, while BMDs throughout the rest of the

355 body were positively correlated with ASM mass among the middle-aged and elderly Chinese
356 men. Xu et al (Xu et al., 2018) reported that every part BMD except the head in sarcopenia group
357 were all reduced, which is consistent with our result. Therefore, we speculate that the
358 interrelationship of skull and ASM may be different from that of the bone tissues throughout the
359 rest of the body and ASM through the circulatory system. However, these hypothesis require
360 further experimental confirmation.

361 The present study showed that the BMDs of the other parts (including pelvis, hip and femur
362 neck, whole body, thoracic spinal, lumbar spinal, left leg, right leg, left arm and right arm),
363 except ribs, were positively associated with lean mass, which was consistent with most previous
364 studies (He et al., 2016; Verschueren et al., 2013). However, studies also report that BMD is not
365 associated with lean mass (Coin et al., 2008; Walsh, Hunter & Livingstone, 2006; Wu et al.,
366 2002). Walsh et al. reported that in women the relationship between ASMI and BMD
367 disappeared after adjusting for physical activity (Walsh, Hunter & Livingstone, 2006). Taaffe et
368 al. have suggested that the positive relationship between lean mass and BMDs might disappear
369 when bone or body size is adjusted for (Taaffe et al., 2001). However, in our analysis, the
370 relationship between BMD and lean mass persisted after adjusting for weight and physical
371 activity. There are several possible explanations for such inconsistent findings. (1) Some studies
372 measured muscle mass as “fat-free mass” which included bone, or as “fat-free soft-tissue mass”
373 which included organ mass. “Fat-free mass” incorrectly strengthens the relationship, while “fat-
374 free-soft-tissue mass” falsely attenuates this relationship (Baumgartner et al., 1996). However, in
375 our study, lean mass measured by DXA did not include bone mineral or organ mass but only lean
376 mass of limbs. (2) Differences in experimental design, sample size, demographic characteristics
377 and menstrual status may lead to inconsistent or contradictory results. (3) Most studies did not
378 adjust for the effects of blood sugar, blood lipids and other biochemical markers, which will lead
379 to β value different in regression analysis (Scott et al., 2017). In this study, almost all clinical
380 biochemical and metabolic markers were included, and different combinations of variables were
381 adjusted to ensure the reliability of the results. At present, many studies have not strictly
382 excluded metabolic diseases, such as diabetes (most previous studies used questionnaires to
383 exclude diabetic patients instead of using biochemical markers, which will lead to missed
384 diagnosis) (Scott et al., 2017). Only by adjusting for such factors can we analyze the independent
385 effect of BMD and muscle mass. (4) The diagnostic indicators and cut-off values of low lean
386 mass differed across studies (Kim, Jang & Lim, 2016). In this study, we applied three indicators
387 respectively to define low lean mass and analyze the data as continuous variables, rather than
388 categorized variables, which can avoid the unreliability of the analysis results due to the use of
389 different cut-off values. We found that using SMI was more easily to find the patients with low
390 lean mass than ASMI and ASM/BMI. But the body compositions and BMDs are different among
391 the three sarcopenic groups defined by the three indicators, indicating that the three indicators
392 cannot be used interchangeably. (5) Different BMD sites were observed in different studies.

393 Several limitations should be noted in our study. First, this study lacks data on grip strength
394 and walking speed. However, since all of our subjects live independently, perform mechanical

395 exercises, they can be considered as presarcopenia. Second, there was substantial loss to follow-
396 up, which may have contributed to a lack of sufficient statistical power. Nevertheless, sensitivity
397 analyses were used to determine that the percentage of missing follow-up data did not affect the
398 results. We analyzed the subjects who performed the examination each year (Table S5–S7).
399 Third, differences may exist between males and females for associations of low lean mass and
400 bone, and thus, we are performing prospective studies of elderly women. Fourth, although DXA
401 is an accepted technique for assessing body composition and BMD, its assumptions may
402 influence the interpretation of results. Thus, future studies using imaging techniques such as
403 magnetic resonance imaging and computed tomography may shed additional light on the
404 associations between lean mass and BMDs. Finally, this study lacks the information on vitamin
405 D status during experimental design. The strengths of this study include its long-term follow-up
406 with DXA, large sample size, definition of lean mass using three indicators, and the use of
407 broad-spectrum biochemical and metabolic markers.

408

409 **Conclusions**

410 In summary, the present study found that compared to ASMI and ASM/BMI, SMI was more
411 sensitive to screen for the low lean mass. Skull BMD was negatively associated with ASM mass,
412 while BMDs throughout the rest of the body (including Whole body mean BMD, thoracic spinal
413 BMD, Lumbar spinal BMD, hip BMD, Femoral neck BMD, pelvic BMD, Left arm BMD, right
414 arm BMD, left leg BMD and right leg BMD, except ribs) were positively correlated with ASM
415 mass among the middle-aged and elderly Chinese men. Further studies are needed to reveal the
416 potential endocrine and/or paracrine crosstalk exists between bone and muscle.

417

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419 Not applicable.

420

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Table 1 (on next page)

Data of low-lean-mass and control group (mean \pm s) and diagnosis according to ASMI <7.0 .

1 **Table 1:**2 **Data of low-lean-mass and control group ($\bar{x} \pm s$) and diagnosis according to ASMI <7.0.**

	Low-lean-mass group (n = 121)	Control group (n = 1222)	P value	Q value
Anthropometric measurement				
Age (years)	59.73 ± 12.81	54.40 ± 7.46	<0.001	0.002
Weight (kg)	60.83 ± 7.56	73.63 ± 8.79	<0.001	0.002
Height (cm)	167.95 ± 6.46	169.92 ± 5.72	<0.001	0.002
BMI (kg/m ²)	21.56 ± 2.41	25.49 ± 2.62	<0.001	0.002
Systolic blood pressure (mmHg)	127.51 ± 17.63	125.87 ± 15.50	0.377	0.537
Diastolic blood pressure (mmHg)	75.32 ± 10.11	79.38 ± 10.72	<0.001	0.002
Heart rate (times/min)	74.83 ± 9.99	72.56 ± 10.42	0.049	0.096
Body composition				
HEAD_LEAN (g)	3771.97 ± 330.24	4048.45 ± 324.55	<0.001	0.002
LARM_LEAN (g)	2667.17 ± 335.92	3439.74 ± 463.65	<0.001	0.002
RARM_LEAN (g)	2925.54 ± 388.82	3775.33 ± 482.83	<0.001	0.002
TRUNK_LEAN (g)	22341.13 ± 2647.00	26979.66 ± 3327.26	<0.001	0.002
L_LEG_LEAN (g)	7002.52 ± 774.36	8828.57 ± 1039.66	<0.001	0.002
R_LEG_LEAN (g)	7011.08 ± 961.50	8934.63 ± 1052.54	<0.001	0.002
WBTOT_LEAN (g)	45719.41 ± 4599.27	56006.38 ± 5956.41	<0.001	0.002
Biochemical metabolic markers				
ALT (IU/L)	21.03 ± 10.29	26.64 ± 16.35	<0.001	0.002
AST (IU/L)	19.99 ± 4.69	22.20 ± 10.06	0.024	0.049
ALP (IU/L)	63.05 ± 13.44	61.28 ± 15.06	0.194	0.329
GGT (IU/L)	33.80 ± 25.03	41.52 ± 33.50	<0.001	0.002
Total protein (g/L)	74.00 ± 3.77	74.48 ± 3.91	0.258	0.402

Albumin (g/L)	43.17 ± 2.49	43.52 ± 2.53	0.219	0.349
Globulin (g/L)	30.83 ± 3.12	30.81 ± 3.53	0.843	0.913
Total bilirubin (µmol/L)	15.13 ± 5.67	15.19 ± 5.67	0.583	0.7
Direct bilirubin (µmol/L)	5.18 ± 2.79	5.05 ± 2.87	0.798	0.877
Indirect bilirubin (µmol/L)	9.94 ± 4.46	10.14 ± 4.30	0.317	0.476
Fasting blood glucose (mmol/L)	5.25 ± 1.30	5.30 ± 1.24	0.356	0.524
Postprandial blood glucose (mmol/L)	6.17 ± 2.65	6.49 ± 2.56	0.152	0.265
HbA1c (%)	5.86 ± 1.47	5.77 ± 0.72	0.307	0.47
Total cholesterol (mmol/L)	5.16 ± 0.95	5.12 ± 0.96	0.448	0.573
Triglycerides (mmol/L)	1.58 ± 0.95	1.95 ± 1.66	0.010	0.022
Apolipoprotein A1 (g/L)	1.56 ± 0.31	1.43 ± 0.30	0.217	0.349
Apolipoprotein B (g/L)	1.06 ± 0.24	1.08 ± 0.26	0.583	0.7
HDL-C (mmol/L)	1.30 ± 0.25	1.21 ± 0.26	<0.001	0.002
LDL-C (mmol/L)	2.94 ± 0.73	2.97 ± 0.79	0.757	0.868
Lpa (mg/dL)	227.06 ± 195.22	212.39 ± 289.86	0.136	0.253
TSH (µTU/mL)	1.84 ± 1.08	1.76 ± 1.62	0.123	0.234
FT3 (pmol/L)	5.01 ± 0.84	4.99 ± 0.60	0.932	0.957
FT4 (pmol/L)	17.67 ± 3.08	16.97 ± 2.38	0.020	0.04
Creatinine (µmol/L)	78.16 ± 12.50	81.63 ± 12.83	0.003	0.007
Urea nitrogen (µmol/L)	5.44 ± 1.47	5.37 ± 1.19	0.897	0.945
Uric acid (µmol/L)	393.79 ± 85.32	438.58 ± 90.55	<0.001	0.002
Phosphorus (mmol/L)	4.65 ± 1.56	4.68 ± 1.56	0.977	0.977
Calcium (mmol/L)	2.30 ± 0.09	2.30 ± 0.10	0.797	0.877
Homocysteine	12.90 ± 3.35	12.71 ± 4.35	0.401	0.537
White blood cell count (10 ⁹)	6.31 ± 1.53	6.35 ± 1.62	0.945	0.957
Platelet distribution width (fl)	14.13 ± 2.42	14.46 ± 2.17	0.145	0.263

Standard deviation of red blood cell distribution	41.69 ± 2.99	41.71 ± 2.69	0.404	0.537
Variation coefficient of red blood cell distribution	0.13 ± 0.01	0.13 ± 0.01	0.787	0.877
Demographic and lifestyle status				
Alcohol drinking (%)	12%	11%	0.931	0.957
Smoking (current smoker) (%)	17%	15.8%	0.567	0.7
High fat diet (%)	35.1%	30.3%	0.423	0.55
Coffee or tea intake (%)	14.2%	15.7%	0.731	0.851
Regular exercise (%)	56.3%	58.9%	0.153	0.265
Family income (ten thousand RMB/per year)	10 ± 1	9 ± 3	0.406	0.537
Mental stress (%)	6.4%	7%	0.216	0.349
Bone density (g/cm²)				
WBTOT_BMD	1.07 ± 0.09	1.12 ± 0.09	<0.001	0.002
HEAD_BMD	2.14 ± 0.34	2.15 ± 0.31	0.894	0.945
LARM_BMD	0.74 ± 0.06	0.78 ± 0.06	<0.001	0.002
RARM_BMD	0.77 ± 0.06	0.81 ± 0.06	<0.001	0.002
LRIB_BMD	0.56 ± 0.06	0.60 ± 0.08	<0.001	0.002
RRIB_BMD	0.58 ± 0.08	0.60 ± 0.07	<0.001	0.002
T_S_BMD	0.81 ± 0.11	0.88 ± 0.11	<0.001	0.002
L_S_BMD	0.94 ± 0.15	1.00 ± 0.14	<0.001	0.002
PELV_BMD	1.11 ± 0.15	1.24 ± 0.17	<0.001	0.002
LLEG_BMD	1.09 ± 0.10	1.17 ± 0.10	<0.001	0.002
RLEG_BMD	1.09 ± 0.09	1.17 ± 0.10	<0.001	0.002
HIP_BMD	0.89 ± 0.13	0.96 ± 0.12	<0.001	0.002
HIPNECK_BMD	0.73 ± 0.12	0.80 ± 0.12	<0.001	0.002

3 Notes.

4 Data are presented as mean \pm SE or number.

5 ASMI, appendicular skeletal muscle index; HEAD_LEAN, lean mass of head; LARM_LEAN, lean mass of left arm;

6 RARM_LEAN, lean mass of right arm; TRUNK_LEAN, lean mass of trunk; L_LEG_LEAN, lean mass of left leg;

7 R_LEG_LEAN, lean mass of right leg; WBTOT_LEAN, lean mass of whole body; HbA1c, glycosylated hemoglobin; AST,

8 aspartate aminotransferase; ALT, alanine aminotransferase; HDL-C, high density lipoprotein; LDL-C, low density lipoprotein;

9 TSH, thyroid stimulating hormone; FT3, free triiodothyronine; FT4, free thyroxine; WBTOT_BMD, mean whole-body BMD;

10 HEAD_BMD, skull BMD; LRIB_BMD, left rib BMD; RRIB_BMD, right rib BMD; T_S_BMD, thoracic spinal BMD;

11 L_S_BMD, lumbar spinal BMD; PELV_BMD, pelvic BMD; HTOT_BMD, hip BMD; NECK_BMD, femoral neck BMD;

12 LLEG_BMD, left leg BMD; RLEG_BMD, right leg BMD; LARM_BMD, left arm BMD; RARM_BMD right arm BM.

Table 2 (on next page)

Associations between low lean mass changes according to ASMI and BMDs (n = 1343).

1 **Table 2:**2 **Associations between low lean mass changes according to ASMI and BMDs (n = 1343).**

Outcome:*ASMI	Unadjusted			Adjusted*		
	β coefficient (95% CI)			β coefficient (95% CI)		
	β	(95% CI)	<i>P</i>	β	(95% CI)	<i>P</i>
WBTOT_BMD	0.84	(0.26, 1.42)	0.005	0.74	(0.17, 1.30)	0.011
HEAD_BMD	-0.3	(-0.42, -0.19)	<0.001	-0.28	(-0.39, -0.16)	<0.001
LRIB_BMD	-0.95	(-1.44, -0.47)	<0.001	-0.96	(-1.44, -0.48)	<0.001
RRIB_BMD	-0.41	(-0.75, -0.06)	0.021	-0.36	(-0.70, -0.02)	0.04
T_S_BMD	0.98	(0.63, 1.34)	<0.001	1.06	(0.71, 1.40)	<0.001
L_S_BMD	0.4	(0.07, 0.73)	0.017	0.45	(0.12, 0.77)	0.007
PELV_BMD	1.35	(1.04, 1.66)	<0.001	1.19	(0.89, 1.50)	<0.001
HTOT_BMD	3.11	(2.41, 3.81)	<0.001	3.06	(2.38, 3.75)	<0.001
NECK_BMD	3.2	(2.43, 3.97)	<0.001	3.07	(2.31, 3.84)	<0.001
LLEG_BMD	2.07	(1.58, 2.56)	<0.001	1.85	(1.36, 2.33)	<0.001
RLEG_BMD	2.03	(1.56, 2.51)	<0.001	1.84	(1.37, 2.31)	<0.001
LARM_BMD	2.92	(2.04, 3.80)	<0.001	2.56	(1.69, 3.42)	<0.001
RARM_BMD	2.59	(1.78, 3.40)	<0.001	2.21	(1.40, 3.01)	<0.001

3 **Notes.**

4 *Adjusted for age, weight, HbA1c, HDL-C, creatinine, ALT, FT4, diastolic blood pressure,
5 smoking, drinking and exercise.

6 ASMI, appendicular skeletal muscle index; CI, confidence interval; WBTOT_BMD, whole-
7 body BMD; HEAD_BMD, skull BMD; LRIB_BMD, left rib BMD; RRIB_BMD, right rib
8 BMD; T_S_BMD, thoracic spinal BMD; L_S_BMD, lumbar spinal BMD; PELV_BMD,
9 pelvic BMD; HTOT_BMD, hip BMD; NECK_BMD, femoral neck BMD; LLEG_BMD, left
10 leg BMD; RLEG_BMD, right leg BMD; LARM_BMD, left arm BMD; RARM_BMD, right
11 arm BMD.

Figure 1

Flowchart of participation in this study.

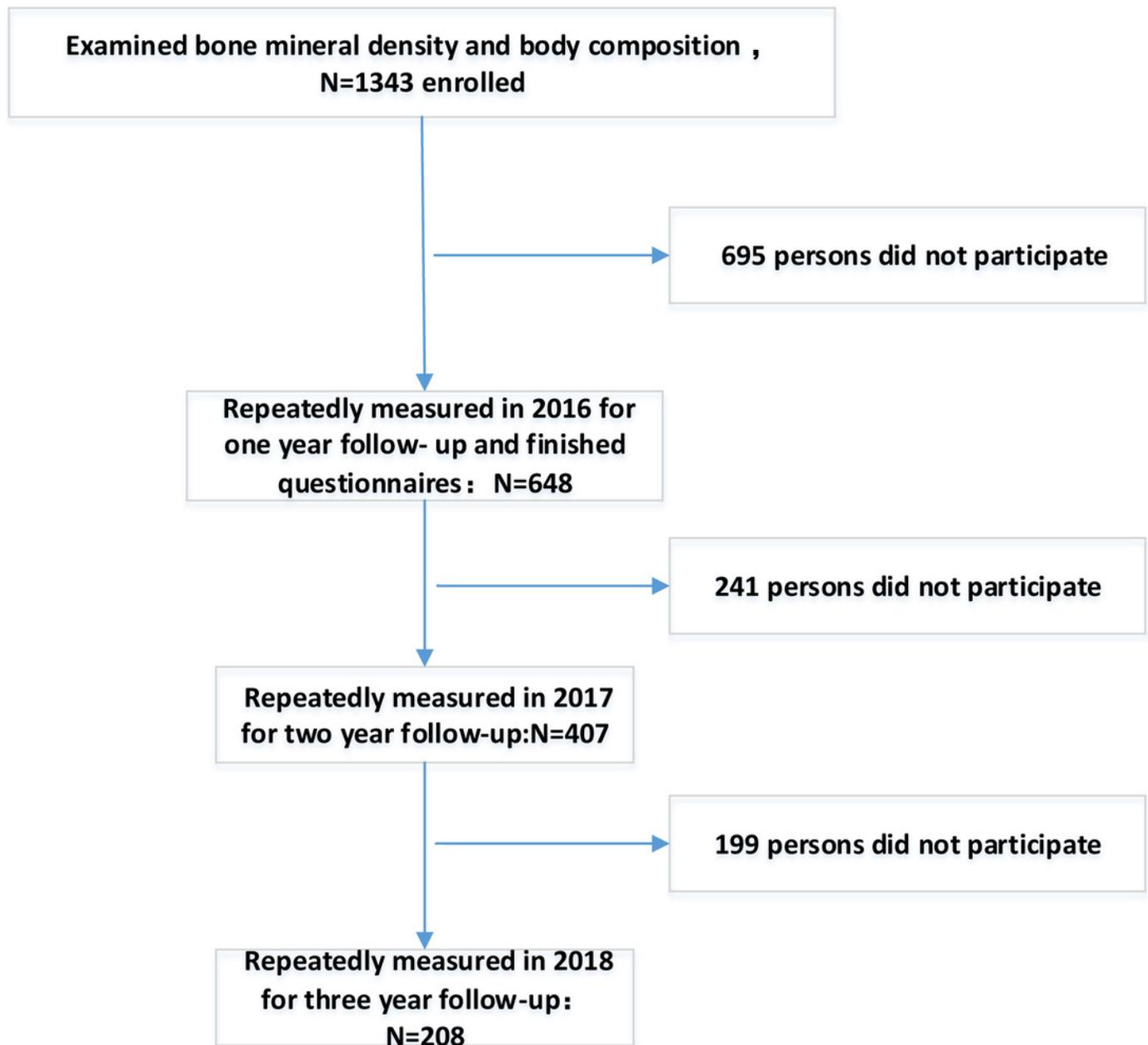


Figure 2

ASMI and BMDs relationships.

(A) ASMI and mean whole-body BMD. (B) ASMI and skull BMD. (C) ASMI and Left rib BMD. (D) ASMI and right rib BMD. (E) ASMI and thoracic spinal BMD. (F) ASMI and Lumbar spinal BMD. (G) ASMI and hip BMD. (H) ASMI and Femoral neck BMD. (I) ASMI and pelvic BMD. (J) ASMI and Left arm BMD. (K) ASMI and right arm BMD. (L) ASMI and Left leg BMD. (M) ASMI and right leg BMD.

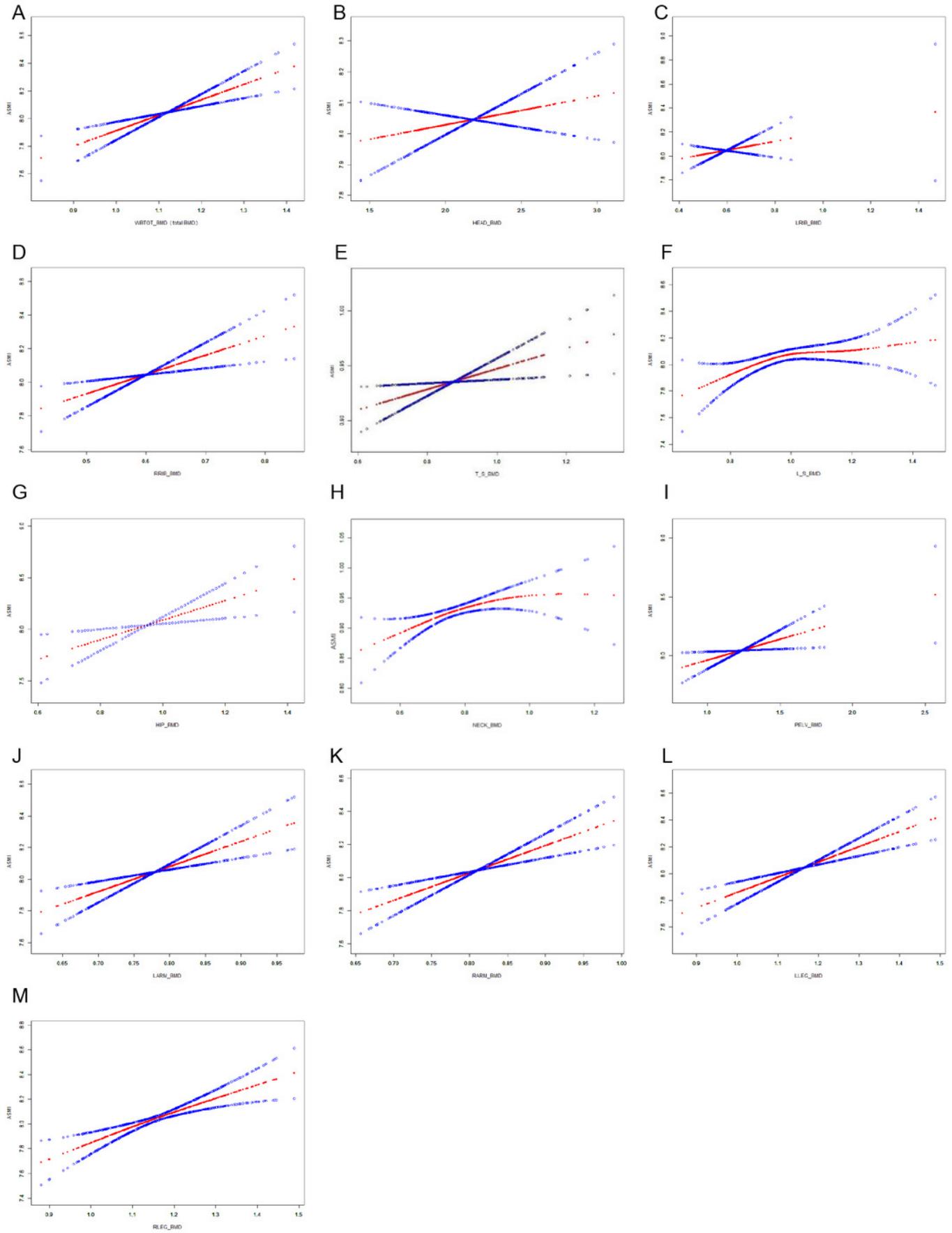


Figure 3

Changes of ASM over time.

(A) Trends of ASMI over time. (B) Trends of SMI over time. (C) Trends of ASM/BMI over time.

