

# Acoustic cues to individuality in free-ranging male adult African savannah elephants (*Loxodonta africana*)

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## Abstract

The ability to recognise conspecifics plays a pivotal role in animal communication systems. It is especially important for establishing and maintaining associations among individuals of social, long-lived species, such as elephants. While research on female elephant sociality and communication is prevalent, until recently male elephants have been considered far less social than females. This resulted in a dearth of information about their communication and recognition abilities. With new knowledge about the intricacies of the male elephant social structure come questions regarding the communication basis that allows for social bonds to be established and maintained. By analysing the acoustic parameters of social rumbles recorded over several years from wild, mature, male African savanna elephants (*Loxodonta africana*) we expand current knowledge about the information encoded within these vocalisations and their potential to facilitate individual recognition. We showed that social rumbles are individually distinct and stable over time and therefore provide an acoustic basis for individual recognition. Our results revealed that a wide range of frequency parameters all contribute to individual differences creating a unique vocal signature.

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## Introduction

Communication plays an important role in social interactions among animals (Enquist et al. 2010). It is an essential component of a wide variety of behaviours related to mating, parental care, predator-prey interactions, group cohesion, and foraging (Bradbury and Vehrencamp 2011). However, for many of these ~~interactions to be successful~~, animals must possess the ability to recognize others. Recognition can vary in specificity, from ~~animals distinguishing discrimination~~ of a species, to ~~recognizing recognition~~ of sex, kin, mates, rivals or even specific individuals (Tibbetts and Dale 2007). It is particularly important when repeated interactions occur ~~among~~ within a group of conspecifics ~~given animals~~ as it allows individuals to adjust their behavioural response based on ~~previous encounters with conspecifics~~ (Yorzinski 2017).

Individual recognition is one the most complex forms of recognition and takes place when individually distinctive characteristics encoded within signals or cues are used by animals for the identification of others (Tibbetts and Dale 2007, Carlson et al. 2020). In order to be useful, information encoded in an individually distinctive cue has to not only be unique to a specific individual and different from that of others, but must also be ~~either~~ stable over time, or the rate of change in a cue must be less than the frequency of interactions between individuals (Thom and Hurst 2004). The presence of individual discrimination has been shown for a wide variety of taxa, and while ~~it is used discrimination is vital in~~ ~~different multiple~~ contexts, prior research has focused primarily on competition, territoriality, ~~reproduction~~ and parental care, ~~with many gaps in knowledge existing about individual recognition in contexts other than reproduction.~~

Recognition can be achieved through many sensory modalities, ~~yet different~~ Communication signals ~~cues~~ are subject to ~~various~~ limitations resulting from the physical properties of ~~cues~~ signal and the anatomical ~~restrictions~~ of the animals, ~~and these limitations determinedetermining~~ which sensory ~~channel~~ modality is most effective ~~is used~~ in a given context (Bradbury and Vehrencamp 2011, Higham and Hebets 2013). Acoustic cues tend to be exploited by animals as sound usually ~~overcomes~~ obstacles better than visual cues, propagates ~~better~~ than olfactory cues and ~~is relatively fast and communicates and immediate state~~ (Yorzinski 2017). Consequently, utilizing acoustic cues for individual discrimination is beneficial when there is a need to broadcast or perceive identity information at a distance. For example, when approaching other individuals is costly (e.g. Falls 1982; Wierucka et al. 2018a, b); or when the environment limits the use of other cues, such as in water (Caldwell and Caldwell 1965).

African savanna elephants (*Loxodonta africana*) produce a range of vocalisations, including low frequency calls, called rumbles, that are used in various social contexts (Moss et al. 2011). Vocal communication and recognition have been extensively studied for this species ~~in the past~~, with rumbles shown to encode ~~sex~~ (Baotic and Stoeger 2017), age (Stoeger et al. 2014), ~~reproductive~~ (Soltis et al. 2005), as well as emotional (Soltis et al. 2005), ~~reproductive~~ (Soltis et al. 2005) and affective state (Soltis et al. 2009). ~~Animals~~ Male African elephants have been shown to

**Commented [SG2]:** What is meant by interactions being successful? What defines success?

**Commented [SG3]:** What about inter-specific interactions? Recognition of another species is important in predator-prey and symbiotic interactions.

**Commented [SG4]:** This section needs more detail on sensory modalities. See comments in the submission form.

**Commented [SG5]:** restrictions or features? This first statement needs clarification.

**Commented [SG6]:** This claim is way too broad. What is true is that acoustic signals of low frequency are ideal for animals communicating an immediate state in a forested environment or over long distances (with a low risk of attracting a predator). So, this applies to elephants, but the way it is written is way too broad. See comments in submission form.

**Commented [SG7]:** "Overcome" is not accurate. Describe how sound propagates, and compare low and high-frequency sound propagation. Low frequency sound propagates further and is not subject to scattering to the extent of high frequency sound.

**Commented [SG8]:** What is meant by better? Further? Olfactory lasts longer so it has value in some contexts; e.g., to communicate reproductive state. Refer to articles by Bets Rasmussen.

**Commented [SG9]:** I believe there are additional citations.

**Commented [SG10]:** This study found that the males were also able to discriminate between familiar and unfamiliar females, which suggests encoding of individuality in female African elephants.

78 recognize rumbles of familiar females ~~use rumbles for the recognition of familiar~~ (Stoeger and  
79 Baotic 2017) and family/bond group members (~~McComb et al. 2000~~), retain long-term memory  
80 of conspecifics' ~~calls~~ (McComb et al. 2000) and ~~have been shown~~ to produce individually  
81 distinct calls (McComb et al. 2003, Soltis et al. 2005). While ~~there seems to be an abundance of~~  
82 ~~information about~~ African elephant rumbles and the information they convey ~~has been~~  
83 ~~extensively studied~~, a vast majority of this research focused on females and there is ~~a dearth~~  
84 ~~relatively little of~~ information about ~~acoustic cues produced by males~~. This is a result of the  
85 characteristics of the elephant social structure. Females ~~African elephants~~ live in stable,  
86 matrilineal groups and repeated interactions among individuals are easily observed, with their  
87 social structure and association patterns well explored (~~Moss et al. 2011~~). As a result, the  
88 communication basis that allows for complex social bonds to be developed has also been studied  
89 in detail.

90 Males disperse from their natal groups (~~Moss et al. 2011~~) and have been previously thought to  
91 live mostly solitary lives. ~~There was not much information available about~~ Studies on male-male  
92 interactions ~~have focused primarily on males, other than those~~ in musth, ~~(a state of heightened~~  
93 sexual activity, during which animals are highly aggressive ~~(Poole 1987)~~. However, recent  
94 studies have shown that mature males outside of the sexually active period are a lot more social  
95 than previously thought (Chiyo et al. 2011, Goldenberg et al. 2014), with stable, long-term  
96 relationships occurring over time (Murphy et al. 2019). The centrality of animals within a  
97 network ~~does not seem to be affected by the age (and thus size) of the animals~~ (Murphy et al.  
98 2019), meaning that they are likely established on an individual basis. ~~If males interact with each~~  
99 ~~other regularly, the ability to identify conspecifics based on individually distinct acoustic cues,~~  
100 ~~would be beneficial for the maintenance of long-term associations and hierarchy.~~ Male-male  
101 interactions are often competitive as they are frequently related to resource acquisition (van  
102 Hooff and van Schaik 1994). This is the case for elephants, where males compete for females  
103 and resources, with high aggression rates occurring among adults (Lee et al. 2011) ~~in some~~  
104 ~~contexts~~. Acoustic cues allow for the transmission of information over large distances (Bradbury  
105 and Vehrencamp 2011). Combined with knowledge about the outcomes of previous encounters,  
106 the identification of individuals through acoustic cues would allow for the evaluation of risk at a  
107 distance, and an adjustment of behaviour, potentially limiting direct aggressive encounters and  
108 decreasing the risk of injury. For this process to be successful, acoustic cues would have to allow  
109 for ~~individual recognition~~ across a variety of contexts, physiological states and social scenarios.

110 Recent research has shown that information about ~~maturity~~ age and individuality can be  
111 conveyed in male African elephant rumbles (Stoeger and Baotic 2016). The study provided much  
112 needed insight into male vocalisations, yet it was conducted in captivity, in a controlled and  
113 consistent setting and over a short period of time. While this was necessary for obtaining initial  
114 information, it is now important to investigate information encoded in vocalisations and the  
115 stability of acoustic cues produced by ~~wild-free-ranging~~ male elephants ~~in a natural setting~~. This  
116 will allow us to better evaluate their potential for conveying identity information and usefulness

**Commented [SG11]:** Are these rumbles? If not, then describe the call types.

**Commented [SG12]:** Who has been shown to produce distinct calls?  
Rewrite this paragraph.

**Commented [SG13]:** What is known versus not known?

**Commented [SG14]:** Cite additional contributors to this body of knowledge, or cite as "summarized in"

**Commented [SG15]:** Cite additional contributors to this body of knowledge, or cite as "summarized in"

**Commented [SG16]:** Should cite additional contributors

**Commented [SG17]:** Kate Evans has contributed significantly to our knowledge of male sociality.

**Commented [SG18]:** Additional studies need to be included in this review as others have found different age-related associations.

**Commented [SG19]:** Excellent. Very clearly presented.

**Commented [SG20]:** Which contexts?

**Commented [SG21]:** Size recognition is important for this species as well. Please briefly state why this is the case.

To evaluate risk, the receiver may assess from the signal:  
That is Joe and I know Joe is big; or that is a big male.

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in a biological context. Factors such as social context, behavioural state or physiological changes (influenced by hormone fluctuations) can affect the vocalisations produced by animals. Therefore, it is important to ~~incorporate such variation in data,~~ account for these factors when investigating the basis for recognition. For individual recognition to be successful in a natural setting, the sound produced by the caller should be robust enough to be individually distinctive despite ~~such potential~~ variability influenced by intrinsic and extrinsic factors, and the receiver must have the capability to distinguish differentiating acoustic parameters. In this study, ~~using a long-term dataset and not limiting the data to a specific social context,~~ we investigated rumbles produced by wild male African elephants, to determine whether they are individually distinct and stable over time, and evaluated their potential to facilitate individual recognition in a natural setting.

**Commented [SG23]:** Captivity is still a biological context; e.g., animals experience the same biotic demands and experience the same physiological changes as wild animals – they eat, have reproductive cycles, etc.

**Commented [SG24]:** Need citations. Are these reproductive hormones or other hormones? If repro, the state the factor as “reproductive state”.

**Commented [SG25]:** Somewhere you need to describe what makes a sound a signal, and the role of receiver perception in addition to encoding by sender. This is covered in Bradbury and Vehrencamp.

## Materials & Methods

### Data collection

The data were collected between June 2016 and October 2017 in the Associated Private Nature Reserves (APNR) in South Africa (24°18'S, 31°18'E). The APNR is an area of approximately 20,800 km<sup>2</sup>, adjacent to Kruger National Park, encompassing multiple privately-owned nature reserves. Although the western border is fenced, the individual reserves to the east are unfenced, as is the boundary to Kruger National Park, allowing for unrestricted movement of animals in most directions.

Rumbles of adult male elephants were recorded at a sampling frequency of 44.1 kHz on a Marantz PMD661 MKI recorder connected to an Earthworks QTC50 omnidirectional microphone (with a 3Hz – 50kHz flat frequency response). Rumbles are very distinct, low frequency calls that cannot be confused with other types of vocalisations produced by elephants.

**Commented [SG26]:** Cite and use published acoustic parameters of the rumble.

Individual identity of males was established visually during recording sessions in the field by assessing the pattern of ear tears and holes and ~~as well as~~ markers of age and sex, then confirmed and further confirmed after returning to the field base, based on photo-identification methods (following Black et al. 2019) after returning to field base. The elephants used in this study were collared (as a part of a different, ongoing long-term project), allowing us to maximize the number of sightings and rumble recordings. ~~As we were interested in the individual distinctiveness of rumbles,~~ To minimize other factors the influence of age and sex on acoustic parameters that could influence our results (e.g. sex, age; (Stoeger and Baotic 2016) we only recorded vocalisations only of mature males (over 35 years of age; age was determined following Black et al. 2019). Furthermore, to test for individual differences in a general social context ~~(that occurs throughout a majority of the year),~~ we focused our efforts only on non-musth males, as they are more social during inter-musth periods animals ~~(when males are a lot more social;~~ Chiyo et al. 2011, Goldenberg et al. 2014). During musth, males produce distinct musth-rumbles encoding their sexual state (Poole 1987) that are quantitatively different from rumbles produced

155 throughout the rest of the year during inter-musth periods (Poole 1999). Therefore, animals that  
156 were acoustically sampled did not present with typical signs of musth (urine-dribbling, urine  
157 staining on back legs, temporal gland secretions or temporal gland swelling; Poole 1987) at the  
158 time of recording. All sampled animals inhabit the same area, therefore regional differences were  
159 not a relevant factor. As our aim was we wanted to test to evaluate the distinctiveness of rumbles  
160 across naturally occurring conditions despite natural variability of elephant activities and states,  
161 we did not attempt to limit the recordings to a specific behavioural context or social scenario.  
162 Therefore, elephants were sampled at random, with rumbles recorded from animals exhibiting a  
163 variety of behaviours (foraging, resting, socializing, traveling, combination). However, to avoid  
164 rumbles that may have been produced in a reproductive context, we limited the data to  
165 vocalisations produced by males when no females were recorded within the sight ing.

166 All recordings were collected as part of field surveys by the South African non-profit Elephants  
167 Alive in line with their agreements with the management of the Associated Private Nature  
168 Reserves. The research forms part of a registered and approved SANParks project, in association  
169 with the Kruger National Park and Scientific Services and the Associated Private Nature  
170 Reserves (Project ID: judith1547.22).

#### 171 Data processing and statistical analysis

172 Rumbles were processed in Raven Pro 1.5. The spectrogram settings were set to a Hann window  
173 size of 600 ms, with a hop size of 300 ms and an overlap of 50%. We only selected rumbles that  
174 were of good quality (clearly visible on the spectrogram, with no overlapping vocalisations, and  
175 no excessive background noise). Rumbles were identified manually, by selecting an area closely  
176 encompassing the whole entire rumble on the spectrogram (Supplemental Methods S1). To keep  
177 spectral measurements unbiased and consistent as possible, we only took robust frequency  
178 measurements of each rumble into consideration (Table 1). These measurements consider the  
179 energy that is stored in the selection rather than time and frequency endpoints, making them not  
180 observer/selection biased (Charif et al. 2010). We measured the center frequency, frequency 5%,  
181 frequency 95% and duration 90% (Charif et al. 2010; Table 1; Supplemental Methods S1). We  
182 did not include formant frequencies or fundamental frequency, as these have been shown to  
183 correlate to the maturity of elephants (Stoeger and Baotic 2016). Our aim was to investigate true  
184 individual differences (*sensu* Tibbetts and Dale 2007) and therefore limited our study animals to  
185 one age group (> 35 years of age) and focused on parameters describing the acoustic cue more  
186 broadly.

187 Following the standardization of each variable to a range of 0-1 (to avoid abundance bias in our  
188 results), we used a permutational multivariate analysis of variance (PERMANOVA; Anderson  
189 2001; using the vegan package; Oksanen et al. 2019), incorporating Euclidean distances in the  
190 matrix, to test whether differences in frequency parameters exist among individuals. This non-  
191 parametric method allows for considering multiple variables at low sample sizes to identify

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Commented [SG28]: Behavioral or social contexts?

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What was your S/N criteria?

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Duration was also measured, so that is not a frequency  
measurement.

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parameter is influenced by the fundamental frequency.  
If this is not the case, please clarify in the parameter  
definitions. It would be even more helpful to show the  
parameters on Figure 1.

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overall differences ~~among groups~~ (across individuals) and is appropriate for unbalanced data. To confirm that observed differences are in fact a result of differences ~~among~~ across individuals and now an artefact of large differences in within-individual variability, we conducted an analysis of multivariate homogeneity ('betadisper'; Anderson 2001) combined with an ANOVA. We then performed a pairwise comparison (RVAideMemoire package (Hervé 2019); using a Wilk's test, and false discovery rate method for p-value adjustment) along with a SIMPER analysis (Clarke 1993) to determine which individual pairs showed strongest differences and which variables contributed most to the observed differences.

## Results

The final database included 81 good quality rumbles from five identified, mature males, over a long-15 month time period (an average of 402.8 days between the first and last recording; Table 2). Rumbles were long (mean 90% duration ( $\pm$ SD): 4.19s ( $\pm$  1.05)) and low frequency (mean center frequency: 28.37 Hz ( $\pm$  6.87; Fig. 1).

We found significant individual differences in measured spectral features of wild male social rumbles ( $R^2=0.22$ ,  $p=0.0001$ ). Results of the multivariate homogeneity analysis were not significant ( $F=1.6$ ,  $df=4$ ,  $p=0.173$ ), indicating that the assumption of homogeneity of variances was met by our data and differences ~~among~~ across individuals could not be attributed to differences in within-individual variability. Pairwise comparisons showed that even after the adjustment of p values for multiple comparisons, the differences between acoustic characteristics of calls was significant for a majority of pairs of individuals (Table 23). These differences were not centred or clustered around specific individuals (no one individual was significantly different than others; Table 3), but rather reflected a random variation of individual differences.

SIMPER analyses indicated that the overall contribution of measured spectral parameters to the observed differences was relatively even, ranging from 12.3-24.2% (Table 4).

## Discussion

For individual recognition to occur, animals must produce individually unique and stable cues, which their conspecifics will remember and use as a template for recognition during subsequent encounters. In this study, we demonstrate that wild male African savanna elephants produce individually distinct vocalisations that are stable over time and context and thus have the potential to be used for individual identification providing a basis for complex social associations to be established and maintained.

We showed that rumbles produced by male African savanna elephants were characteristic to a given animal and significantly different from that of other individuals. Vocalisations were distinct despite the animals being of the same sex and age category, and inhabiting the same area,

**Commented [SG33]:** See submission form for additional results needed to provide a basis for conclusions.

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**Commented [SG35]:** Long compared to what? Just define them.



228 pointing to true individual differences (differentiating each individual) rather than those resulting  
229 from other factors such as sex, ~~maturity~~age, or geographical region. All measured frequency  
230 parameters contributed relatively evenly to these differences, suggesting that it is the overall  
231 characteristics of the vocalisations rather than just one or several spectral parameters that encode  
232 identity. Pairwise comparisons further confirmed the robustness of individual differences in male  
233 vocalisations. The overall individual distinctiveness of acoustic cues was not driven by one or  
234 two individuals being very different from the rest, but were a result of strong differences between  
235 a majority of elephants, reflecting natural variation of vocalisations between individuals.

236 Previous research explored the distinctiveness of male African elephant rumbles in captivity  
237 (Stoeger and Baotic 2016). The authors focused on ~~maturity~~ differences among males and also  
238 showed that individuality can be encoded in rumbles. While providing important information  
239 about the call structure, the recordings were collected over a short period of time (average of 12  
240 days per location) and thus the within-individual similarity could have potentially resulted from  
241 context- or state- dependent factors and the evaluation of the stability of the cues was not  
242 possible. Furthermore, ~~captive environments can potentially limit the variety of behavioural~~  
243 ~~contexts an animal can experience and influence communication and produced sounds.~~ Finally,  
244 the elephants were housed in four different institutions, and thus the observed differences among  
245 individuals could have been ~~confounded by population or regional differences resulting from~~  
246 ~~different origins or influence of associating conspecifics.~~

247 Our study allowed for testing wild animals, in a natural environment and over a long time period  
248 (mean of 402.8 days between the first and last recording of the same individual) to confirm the  
249 presence of individually distinct vocalisations while concurrently indicating the robustness of  
250 male vocalisations over time and various behavioural contexts. Rumbles are used by African  
251 elephants in many different contexts (Moss et al. 2011) and the vocalisations used in our analysis  
252 were recorded while elephants were displaying various behaviours. Despite the contextual  
253 differences, the individual differences were still pronounced, suggesting that vocal signatures can  
254 provide reliable identification information across a variety of contexts. Furthermore, while  
255 elephants were not in musth when recorded, there exists a possibility that some individuals could  
256 have been in a state of pre-musth or post-musth, which was not visible, but could have resulted  
257 in fluctuations in hormone levels. Testosterone has been shown to influence male vocalisations  
258 in mammalian species (e.g. Pasch et al. 2011; Dabbs and Mallinger 1999; Fedurek et al. 2016)  
259 and androgen fluctuations could potentially influence elephant rumbles. If this is the case, and  
260 we recorded ~~animals that were influenced by increased circulating testosterone and cortisol~~  
261 ~~concentrationshormone levels~~ [cite], our results become even more robust than initially  
262 anticipated. As samples were collected on multiple occasions and elephants undergo musth once  
263 a year (~~summarized in~~ Schulte and Rasmussen 1999), we would have sampled animals producing  
264 varied androgen amounts. Despite this, the individual distinctiveness in the overall rumble  
265 characteristics are still significant.

**Commented [SG36]:** Age or maturity?

**Commented [SG37]:** Need citations to back this statement. Also, the relevant differences between captivity and free-ranging should be presented and an argument made as to why that is important to these findings.

**Commented [SG38]:** Is there evidence of this that could be cited? Perhaps population differences among free-ranging animals in this species or other species?

**Commented [SG39]:** Either state specific hormones that increase during musth or discuss only in relation to musth.

Our recordings were collected from wild and free ranging male elephants. This context presents logistic challenges in recording vocalisations, particularly given the low frequency of rumbles, which overlaps substantially with disturbance such as wind and engine noise. However, we considered data from wild elephants, which determine their own movement and social patterns, to be required to understand communication in male elephants. Much information that can be communicated by animals using vocalisations may be context specific, and the structure may be influenced by factors such as reproductive state, or emotional state. As musth is associated with specific vocalisations, we chose to avoid recording the males during musth, for safety and consistency reasons. However, allowing the possibility for state and behaviour-associated variation enabled for the residual individual variation to be incorporated into our data.

**Conclusions**

We extend earlier studies of acoustic communication in elephants to investigate the structure of social rumbles recorded from ~~wild~~, free-ranging male elephants and evaluate their potential for conveying individual identity information. For individual recognition to occur, animals must not only produce individually distinct cues, but these cues must also be stable (Thom and Hurst 2004). We demonstrated that both these conditions ~~are~~~~were~~ met and thus, an acoustic basis for individual recognition of male African elephants exists, is stable, robust and seems to be encoded in the overall rumble spectrum. Therefore, acoustic individual recognition is likely to occur in male African elephants. While male savannah elephants were considered to be primarily solitary, this is not the case (Chiyo et al. 2011; Goldenberg et.al. 2014). Instead, they exhibit a fission-fusion social structure, which sits against a backdrop of seasonally fluctuating resource availability and cyclic reproductive state. Adult male elephants in the studied population maintain some stability in social relationships over time (Murphy et al. 2019), however, these relationships are disrupted by musth (Goldenberg et al. 2014). Therefore, the ability to recognize long-term associates over time could be central to the stability of male elephant social strategies. Future research should focus on experimentally confirming through bioassays whether acoustic cues are used by animals for individual recognition. There is also scope for behaviourally evaluating whether all measured parameters are used by the animals or whether elephants only rely on certain spectral features of the rumbles for recognition.

**Acknowledgements**

We thank Amy Morris Drake for beginning the collection of vocal samples under the guidance of HSM. We are grateful to Christin Winter, Jessica Wilmot and Tammy Eggeling from Elephants Alive for many hours of sound recordings. The Private landowners and Wardens of the Associate Private Nature Reserves are thanked for the permission to work on their land.

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**Commented [SG40]:** Need to mention relative size if there were notable differences.

**Commented [SG41]:** Add relevant citations, see previous



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