# New data on tail lengths and variation along the caudal series in the non-avialan dinosaurs (#48148)

Second revision

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## Structure and Criteria



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- Impact and novelty not assessed.
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Smith et al (J of Methodology, 2005, V3, pp 123) have shown that the analysis you use in Lines 241-250 is not the most appropriate for this situation. Please explain why you used this method.

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I thank you for providing the raw data, however your supplemental files need more descriptive metadata identifiers to be useful to future readers. Although your results are compelling, the data analysis should be improved in the following ways: AA, BB, CC

I commend the authors for their extensive data set, compiled over many years of detailed fieldwork. In addition, the manuscript is clearly written in professional, unambiguous language. If there is a weakness, it is in the statistical analysis (as I have noted above) which should be improved upon before Acceptance.



# New data on tail lengths and variation along the caudal series in the non-avialan dinosaurs

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The tails of non-avialan dinosaurs varied considerably in terms of overall length, total number of vertebrae, and gross form and function. A new dataset confirms that there is little or no consistent relationship between tail length and snout-sacrum length.

Consequently, attempts to estimate one from the other are likely to be very error-prone. Patterns of changes in centra lengths across the caudal series vary among non-avian dinosaurs. However, some overarching patterns do emerge. A number of taxa show (anterior to posterior) a series of short centra, followed by a series of longer centra, with the remainder of the tail consisting of a long series of centra tapering in length. This pattern is consistent with functional constraints, and the anterior series of longer centra are coincident with the major attachments of femoral musculature. This pattern is not present in many basal taxa and may have evolved independently in different dinosaurian groups, further suggesting functional importance.

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## New data on tail lengths and variation along the caudal series in

## 2 the non-avialan dinosaurs

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## Introduction

The caudal vertebral series of the non-avialan dinosaurs (hereafter simply 'dinosaurs') were variable in form (Fig. 1) and served many roles. Dinosaur tails had a biomechanical function in locomotion (e.g. Hutchinson, Ng-Thow-Hing & Anderson, 2007; Persons & Currie, 2011a) and balance (e.g. Hutchinson & Gatesy, 2001; Libby et al. 2012)), and some were specialized for behavioural roles including inter- and intraspecific combat (e.g. Mallison, 2011; Arbour 2009) and signaling (e.g. Persons, Currie & Norell, 2014). Despite this importance, the osteological caudal anatomy of dinosaurs has received far less attention than most other major anatomical regions.

To date, few dinosaur tails have been identified that are truly complete (i.e. represented by every single vertebra in the series). Those that are complete show considerable variation both inter- and intraspecifically (Hone, 2012). This limits potential comparisons between taxa and the confidence of attempts to reconstruct tail forms taxa that lack substantial caudal material, especially estimations of aspects like total length (Hone, 2012).

Studies of dinosaur tails have often focused on tails as flexible structures (Pittman et al., 2013) to support large muscle groups, in particular the caudofemoralis, which serves as a major



driver in locomotion (Allen, Paxton & Hutchinson, 2009; Persons & Currie, 2011a). Previous
work has argued that the caudofemoralis has substantially influenced the form of anterior caudal
osteology, and adaptations suggested to be linked to the caudofemoralis include haemal spine
depth, prominent chevron and vertebral sulci (e.g., Persons and Currie 2011a, b; Cau and
Serventi 2017), and, most frequently, the 'transition point' of the lateral processes (Russell,
1972; Gauthier, 1986; Gatesy, 1990; Gatesy and Thomason, 1995; Persons and Currie 2011b).
The transition point is the region of the tail where the lateral processes end, and, by extension,
where the caudofemoralis is inferred to have terminated. The association of a functionally-
distinct major muscle set with one region of the tail suggests that dinosaur tails were modular,
with different tail regions functioning in different ways. Modularity is obviously true of
dinosaurs that bear highly-derived caudal features, such as pygostyles or tail-clubs. Such derived
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73	Assuming otherwise equivalent form, a series of long vertebrae would, as a unit, provide
74	relative stiffness and stability to a tail (or at least parts of it), while series of shorter vertebrae
75	would provide a zone of greater relative flexibility (e.g. see Persons, Currie & Norrell, 2014).
76	Having short vertebrae means having more flexing points per unit of length. All other factors
77	remaining equal, a three-meter-long section of tail with thirty vertebrae is more flexible than a
78	three-meter-long section of tail with only twenty vertebrae.
79	It has been argued that the proportions of dorsal vertebrae are good correlates of absolute
80	body size in tetrapod (see Currie 1978 for a review), but no similar argument has been made for
81	caudal vertebrae. The total number of vertebrae is correlated with body size in many basal
82	vertebrates (Head & Polly, 2007), but less so in taxa where there is vertebral regionalization and
83	functional constraint (as in birds and mammals – Wake, 1979). The tails however may vary
84	considerably, even in mammals – both in terms of caudal count and total length (e.g. Garland,
85	1985; Cavallini, 1995; Alroy, 2019) – suggesting it is relatively free of such constraints.
86	In dinosaurs, changes in vertebral length down the caudal series have typically been
87	considered and described as simple sequential reductions (e.g. see Gilmore, 1936 on
88	Apatosaurus and Sereno, 1997 on Psittacosaurus). However, the proximal caudal vertebrae
89	Apatosaurus actually shows sections of increase (in terms of both proportional and absolute
90	centrum lengths - see below), and in the tail of <i>Psittacosaurus</i> , although the vertebrae never
91	increase in absolute length, there are sections of length stability and decreases are not always
92	regular (Sereno, 1997).
93	In this context, we hypothesise that there is high variation in tail length across the
94	Dinosauria, which make total body-length difficult to predict (following Hone, 2012). We would
95	also expect the tail structure of bipedal taxa to differs from that of quadrupeds, as the tail is more



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important for balance in bipeds. We predict that centrum length does not follow a simple pattern of decrease in length in successive vertebrae. Specifically, the presence of the lateral processes is known to be associated with a major change in tail function (the presence of the caudofemoralis musculature) and we, therefore, predict that the posterior loss of the lateral processes correlates with a change in centrum lengths. It is expected that testing these hypotheses will reveal variation that is important to the function and evolution of tails in different subclades and locomotor regimes within Dinosauria.

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## **Institutional Abbreviations**

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AMNH American Museum of Natural History, New York; BMNS Belgium Museum of Natural 106 107 Sciences, Brussels; CAGS Chinese Academy of Geological Sciences, Beijing; CM Carnegie Museum of Natural History, Pittsburgh; CMN Canadian Museum of Nature, Aylmer; CYGYB / 108 109 CYNG Chaoyang Paleontological Museum, Chaoyang, Liaoning; FMNH Field Museum of 110 Natural History, Chicago; GIN / Gi-SPS Institute of Geology, Mongolian Academy of Sciences, 111 Ulan Baator; GMZ Grant Museum of Zoology, London; IGM Mongolian Academy of Sciences, Ulan Baator; IVPP Institute of Vertebrate Paleontology and Paleoanthropology, Beijing; JME 112 Jura Museum, Eichstätt; JMP Henan Geological Museum, Henan Province; LPM Liaoning 113 114 Provincial Museum of Paleontology, Liaoning; MB.R. fossil reptiles collection of MfN (Museum 115 für Naturkunde) Berlin; MNA Museum of Northern Arizona, Flagstaff; MPC Mongolian 116 Paleontological Centre, Mongolian Academy of Sciences, Ulan Baator; NHMUK British 117 Museum of Natural History, London; OMNH Oklahoma Museum of Natural History, Norman; 118 PIN Paleontological Institute, Russian Academy of Sciences, Moscow; PMOL Paleontological 119 Museum of Liaoning, Shenyang Normal University, Shenyang; QM Qijiang Dinosaur National Geological Park Museum, Liaoning; ROM Royal Ontario Museum, Toronto; RTMP Royal 120



Tyrrell Museum of Palaeontology, Drumheller; SC Italian State Collections; SMA
 Sauriermuseum Aathal, Aathal; UCMP University of California Museum of Paleontology,
 Berkeley; USNM Smithsonian Museum of Natural History, Washington, DC; YPM Yale
 Peabody Museum, New Haven; ZDM Zigong Dinosaur Museum, Zigong.

## **Materials & Methods**

We expanded on the dataset of Hone (2012), with additional measurement data collected directly from specimens, from photographs, and from the literature. Previously overlooked and new material was identified by ourselves and also suggested by various sources (see acknowledgements).

A complete tail was defined as one with every vertebra present down to the last caudal. The last caudal can typically be identified by a rounded posterior face (this trait remains useful even in procoelous vertebrae, because the extent of the rounding exceeds that observed elsewhere in the series) and a lack of postzygopophyses and/or neural spine (Hone, 2012). Additional tails were regarded as complete where, although one or more elements were not preserved, the absent material could still be accurately recorded because there was either an impression of the missing material in the matrix or the missing material was bounded by other elements. Nearly complete tails were also included where it was felt that the missing material could be accurately reconstructed from other specimens of the same genus or species. For example, a total tail length was calculated and included where two or more individual specimens were complete enough to suggest the animals had very similar body sizes, and where the tails of both included a series of overlapping elements (e.g. an anterior tail portion and a posterior tail portion, with both possessing the last chevron or last lateral process pair). Total tail length was





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taken as the sum total of all individual caudal centra length measurements or as the single measurement of the caudal series when centra were preserved closely appressed together. In many cases, the live animals may have possessed intervertebral discs that would have increased tail length, but these cannot be easily estimated and so were simply excluded (though see also Rothschild et al., 2020 who argue for their absence).

Total femoral length was taken as a simple proxy for mass / body size (following Hone, 2012) for each specimen. Although other proxies (e.g. femur circumference – Campione & Evans, 2012) are stronger correlates of mass, femur length is appropriate for such datasets, and length was the only consistently reliable measurement for samples from the literature and from specimens that are taphonomically distorted. To examine the relationship between tail length and body size, we compared snout to sacrum length and tail length using a simple linear least-squares regression. Snout-sacrum length was taken as the combined length of the skull and every cervical, dorsal and sacral centrum (see Hone, 2012 for further details). Since in some cases measurements came from different individuals, we scaled both against the femur of the specimen from which it was measured. This was also carried out for various subsets of the data to test the hypothesis that locomotion patterns of different groups affect tail length. We looked at four broad divisions of dinosaurs based on a general understanding of their locomotion: obligate bipeds (theropods, non-sauropodan sauropodomorphs), obligate quadrupeds (sauropods, thyreophorans), bipeds and facultative bipeds (iguanodontids, hadrosaurs, psittacosaurids) together, and quadrupeds and facultative bipeds together.

Incomplete tails were not included in the analyses (with the exception of those reconstructed as described above), as it was considered impossible to ascertain the missing material based on the variations in caudal counts (see below). Even tails that appear to be tapering consistently to a



tip may have some considerable length still missing as seen with *Diplodocus*, for example. However, in an attempt to maximise the limited available data, we also sourced tails that were incomplete, but considered likely to be close to complete. Such tails can at least be used to demonstrate minimum tail lengths, as an incomplete tail that is as long as or longer than a complete tail still demonstrates a genuine difference (data are provided in the Appendix).

The definition of what constitutes a 'nearly' complete tail is necessarily subjective given the limitations of the available information. The intention was to include only those judged to have very few caudals missing and only a very short amount of the tail missing in terms of length. In order to estimate this, we took into account the degree of tapering of the tail, the length of material preserved and the tail lengths of close relatives. For example, not included is the diplodocid sauropod *Barosaurus* AMNH 6341 (McIntosh, 2005), which has 29 preserved caudals that total over 6 m in length (against a femur of just 1.4 m in total length). However, the last preserved caudal in AMNH 6341 is 171 mm long and, while some sauropods have as few as 35 caudals (Borsuk-Bialynicka 1977), a large amount of tail is considered likely missing, given the size of the caudals present and the considerably higher number of caudals in other diplodocids (e.g. Gilmore, 1936). Note that the holotype of the small hadrosaur *Tethyshadros* (Dalla Vecchia, 2009) was incorrectly considered complete in Hone (2012) and so is not in these datasets (though it is included in the section on individual centra lengths).

The patterns of individual caudal centrum lengths that make up dinosaurian tails were also analyzed. Here data from the above specimens was supplemented with additional, but incomplete, tails as the analysis looked at changes in individual centra as part of a series, rather than the tail as a whole unit. Note that even tails that can be diagnosed as complete are not always included in the analysis, since either information on individual vertebrae lengths was not



available in the literature or the divisions between the vertebrae could not be reliably measured (e.g. the holotype of *Jinfengopteryx* – CAGS IG 040801).

The data is unevenly distributed with a bias towards small theropods (likely a taphonomic bias from Lagerstaetten deposits) and likely further biased due to high interest in the maniraptoran-avian transition, and with a bias against sauropodomorphs (large animals with numerous tail vertebrae that were seldom buried and preserved as complete specimens). Thus, for the data on snout-sacrum vs complete tail length, there were 16 ornithischians (of which seven were ceratopsians), 12 theropods (of which seven were deinonychosaurs), but only three sauropodomorphs.

To test the hypothesis that caudal centrum lengths do not follow a simple decrease in size along the series, we used segmented regression (also known as piecewise or broken stick regression) to identify transitions in centrum length (break points). These length transitions were then compared with the boundary between the muscular and less-muscular parts of the tail (the transition point). Essentially, this approach, where appropriate, fits a series of linear regressions to specific subsets of the data. In some cases, however, a simple linear regression will provide a better fit to the data. Because of some debate over the best way to assess goodness of fit for segmented regressions (e.g., Hall et al., 2013) the analysis was started with a Davies test (Davies, 2002) for a non-constant regression parameter in the linear predictor (vertebra number) on centrum size using the R package *segmented* version 0.4-0.0 (Muggeo, 2003, 2008) implemented in R version 3.0.3 (R core team, 2014). This allows for an independent test of whether a segmented regression is justified, meaning that we were able to use a segmented regression where the data support it and a linear regression where there is no evidence to support a more complex fit.



It is possible that the inevitable variations and slight inconsistencies of collecting data from
specimens vs the literature or photographs may affect marginal results. Therefore, we also took
one specimen (a hadrosaur - TMP 1998.058.001) as a test case for variation in measurements
between first hand observations and photographs. Each caudal centrum length was measured
physically 10 times, and the same specimen was then photographed and another 10 replicate
measurements obtained from these photographs. Segmented regressions were then fitted in the
same way as the rest of the study, to both independently estimate the break points and in
particular their congruence to each other, and to estimate the transition point (vertebra 12).
Where the Davies test suggests that a segmented regression is appropriate, the next question
is how many segments to fit. Candidate models with 1-4 breaks were fitted using segmented and
the best model was selected on the basis of the Akaike Information Criterion (Akaike, 1974). In
essence, this approach selects the model which best balances explanatory power with simplicity.
An upper limit of four breaks was chosen, since some specimens had 20 or fewer vertebrae,
limiting the number of breaks that could be plausibly fitted.
Models used the default parameters in segmented, with quantiles as the starting points for the
iterative breakpoint analysis, but with 50 bootstrap samples and a maximum of 10 iterations.
Candidate models that could not be fitted in segmented (usually because of gaps in the data) were
discarded.
In one case (Dyoplosaurus) the Davies test indicated that a simple linear model was
appropriate but visual inspection of the fit indicated that there are in fact two separate groups of
vertebrae. The breakpoint models fitted by segmented assume a continuous relationship but in
this case it was clear that a discontinuous model would be best. Therefore, one was fitted by



classifying the vertebrae into two groups and then including this as a factor in a linear model plus the interaction term with vertebra number.

## Results

## Tail length vs snout-sacrum length

Our hypothesis that there is high variation in tail lengths across the dinosaurs, and that tail lengths do not correlate well with body size (i.e. snout-sacrum length) was supported with very wide confidence limits for predictions of one based on the other (Fig. 2). However, the prediction that there would be similarities between bipedal or quadrupedal taxa was not met. Even when considering facultatively bipedal or quadrupedal taxa with obligate biped and quadrupeds, no clear relationship between body size and tail length was recovered.

The model suggests that tail length is an extremely poor predictor of snout to sacrum length across dinosaurs (Fig. 2) (linear regression of snout/sacrum to femur ratio on tail to femur ratio:  $F_{1,21} = 0.11$ , p = 0.74). For example, *Scutellosaurus* has a tail to femur ratio of 8.8, and with a femur length of 82 mm, this corresponds to an estimated snout-sacrum size between 263.0 and 485.1 mm, though the real value is much closer to the upper bound of 405 mm. At the opposite end of the scale, the tail to femur ratio of 1.2 in *Epidexipteryx* would correspond to an estimated snout-sacrum range of 173.4 to 259.1 mm although the actual value is outside of even this broad range at 158 mm.

The same poor prediction of snout-sacrum length by tail length is retained (linear regression: F1,16 = 0.01, p = 0.91) if we attempt to minimise problems due to intraspecific (ontogenetic) scaling or phylogenetic bias (where there are multiple specimens from some species that are therefore over-represented) and restrict the analysis to the largest individual of each species. This pattern is also true of the various subdivisions based on locomotor style:



bipeds alone (linear regression of snout-sacrum to femur vs tail to femur) - F(1,8)=0.01, p=0.92; quadrupeds alone - F(1,5)=0.2938, p=0.61; bipeds plus facultative bipeds - F(1,14)=0.331, p=0.57; quadrupeds plus facultative bipeds - F(1,11)=0.009, p=0.92. In short, tail size is not clearly related to body size in non-avian dinosaurs, even allowing for broad distinctions in locomotor style.

## Patterns of caudal vertebrae length

Across the Dinosauria, most tail sequences passed the Davies test and so could be reconstructed with one or more breaks to the series of individual centrum lengths. Dinosaur tails do not show simple patterns of change in centrum lengths but typically exhibit multiple distinct regions. There is at least some consistency in the results within clades, with several specimens of single genera showing similar patterns to one another, though others show high levels of variation within one species. The details of these results for various species and clades are considered in more detail below.

## Assessment of consistency of centrum measurements

Where multiple measurements were taken from one hadrosaur tail as a test of consistency of measurements, the congruence was good between break points produced from direct measurements of the specimen and a photograph. In both cases, the model fitted four break points (specimen (mean±se): 12.0±1.59, 45.8±3.48, 61.5±3.90, 74.7±0.71; photographs 11.9±1.99, 48.5±1.29, 57.3±1.07, 59.4±1.04). In particular, both methods fitted a break point very close to the actual transition point, and even at the distal end, where there was slight disagreement, all four of the break points fitted from photographs were encompassed with the



standard errors of break points derived from the specimen itself (Fig. 3). This suggests that the measurements taken from photographs for various specimens will yield accurate data.

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## **Break points**

287 For 18 out of 25 specimens, the distance between a break point and the transition point was 288 lower than would be expected by chance (exact binomial test, p=0.043) (Fig. 4). This suggests that there is a relationship between the end of the lateral processes (and by extension the 289 termination of the caudofemoralis muscles) and a change in length of the centra in the tail. 290 291 The results of the break point analyses are shown in figures 5-12. These are the nonsauropodan sauropodomorphs (Fig. 5), sauropods (Fig. 6.), earlier branching theropods (Fig. 7), 292 mairaptorans (Fig. 8), dromaeosaurs (Fig. 9), Coelophysis and Archaeopteryx (Fig. 10), 293 294 thyreophorans (Fig. 11), iguanodontians and hadrosaurs (Fig. 12) and ceratopsians (Fig. 13). Some genera are extremely conservative, such as Archaeopteryx, with others varying 295 296 considerably, such as *Coelophysis* (both Fig. 10). Most taxa show an early short series of tail 297 vertebrae that decrease in length sequentially, then a short series that increase in length (typically 298 including the longest centra in the tail), followed by a long series of progressive decrease. These 299 include at least one specimen each of Lufengosaurus (Fig. 5), Apatosaurus, Camarasaurus (both Fig. 6), Gorgosaurus, Tyrannosaurus (both Fig. 7), Ornithomimus, Nomingia (both Fig. 8), 300 Microraptor, and Velociraptor (both Fig. 9), Kentrosaurus (Fig. 11), and Leptoceratops and 301 302 Centrosaurus (both Fig. 13). Frequently, the first and second caudal vertebrae may vary from this pattern. While not universal, this pattern is widespread in the Dinosauria. Other specimens 303 304 are close to this pattern (e.g., Dilophosaurus (Fig. 7), Ingenia (Fig. 8), Ouranosaurus and 305 Lambeosaurus (both Fig. 12)) with for example, one extra break point in the series. It is possible



that this pattern is even more prevalent but is, in some instances, hidden from the tests used here by some variation or lack of data. For example, the proximal caudals of *Diplodocus* and *Majungasaurus* are not recorded, and although those preserved seem to conform to the pattern, it cannot be confirmed. In the case of *Dyoplosaurus* the discontinuous model was the best supported (AIC simple linear regression. = 121.7, AIC discontinuous model = 96.0) and so this was retained (Fig. 11) with a proximal section of short vertebrae with decreasing centrum lengths and a distal segment of much longer, and near stable, centrum lengths.

## **Discussion**

## Overall lengths of dinosaurian tails

Dinosaur tail lengths vary widely overall and the correlations between tail length and snout-sacrum lengths for complete tails is poor. The data from the 'near complete' tails is of course limited in its use given these issues, although some specimens do suggest that there may be some consistency within groups. For most dinosaur groups, attempting to estimate the total length of a tail from anything other than a near complete series is subject to a wide range of error and uncertainty, as seen even within some clades represented by few specimens (e.g., Scansoripterygidae). Dinosaur tails were also likely evolutionally plastic, given the highly derived forms seen in clades, such as ankylosaurs and diplodocids. Similarly, multiple lineages show adaption of the tail to specific functions (or specific combinations of functions) such as defense and socio-sexual signaling, adding further to interspecific, and perhaps also intraspecific, variation.

## Caudal length patterns in dinosaur tails



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Considerable variation is seen not just in the overall and proportional sizes of dinosaur tails, but also in the lengths of the individual caudal centra that comprise them. Although the distalmost caudals of a series are generally smaller than more proximal ones, over a short section of consecutive elements, there may be patterns of increasing length, stability, or decreasing length (and all three may occur in one individual e.g., Apatosaurus CM 563 – Gilmore, 1936). The datasets here are somewhat limited, but cover a wide range of dinosaurian biology – large and small, herbivores and carnivores, bipeds and quadrupeds, long and short tails, and taxa from multiple environments. Thus, considerable variation may be expected, but there are nonetheless some clear patterns. Most notably, many dinosaurs show repeated series of, on average, increasing and decreasing centra lengths along the caudal series as demonstrated by the positions of break points and the associated regressions. However, other taxa deviate considerably from the short-long-shortening pattern described above (e.g. Plateosaurus (Fig. 5), Juravenator (Fig. 7)); indeed the caudals of Coelophysis tend to increase in length for much of the series (Fig. 10). Various constraints may confound the basic pattern and affect the overall distribution. For example, the majority of the vertebrae in the dromaeosaurs Velociraptor, Deinonychus and Microraptor are bound by a complex series of extended zygopophyses and chevrons that stiffen the tail and perhaps free the vertebrae from normal functional constraints. This may explain some of the variation seen between specimens of a species or genera (Fig. 10). However, the patterns of centrum lengths seen in the tails of all three specimens of Archaeopteryx and the putative glider Microraptor are strikingly similar and, although only a very limited set of data, show a level of consistency often not seen in other groups (Figs. 9, 10). This suggests that the similarity in form is connected to the shared tail function of control in flight. It has been noted by Gatesy and Dial (1996) that Archaeopteryx



would benefit in flight control from a stiff tail that was only flexible at the very base, and such a condition is reflected here by a proximal section of short centra and then a sudden jump to considerably longer centra.

Juravenator is notable as differs greatly from most other taxa in its pattern of centra lengths (Fig. 7). The specimen is a young juvenile, and it is possible that the tail changes during ontogeny, although Chiappe and Göhlich (2010) noted that the caudal length pattern of Juravenator (a stable series, then a series of short centra, then a series of long centra, and then a series of shorter centra again) may be consistent across at least some compsognathids. This suggest that Juravenator is perhaps not just an outlier, but is representative of a pattern that is normal for its clade. Still, it remains unusual compared to most other dinosaurs.

#### Error

Given the limited availability of data and the problems associated with sourcing information from the literature, mounted specimens, or those with poor preservation, there is likely to be some error in the data. Distortion, if systematic within a specimen, would still preserve the pattern overall and if random (within or between specimens) should have limited overall effect. This is similar to any issues of measurements taken from the literature where different authors may have used slightly different metrics to take the length of the caudal centra, but we would expect consistency within specimens and thus preservation of patterns. As noted above, our own assessment of the possible differences between the measurements from a specimen and from a photograph produced similar results, especially for the prediction of the transition point which was identical in both and correctly identified as caudal vertebra 12 (Fig. 3).



The vertebrae of smaller specimens may cause problems, as these will be harder to measure with equivalent accurately. For example, the long sequence of identical values seen in *Juravenator* could be because the animal is still a juvenile or may genuinely reflect the unusual caudal anatomy of this genus or of compsognathids as a whole. However, it could simply be because the vertebrae are so small that variation between them (even when attempting to measuring to the nearest 0.1 mm) was difficult to detect. Similarly, the alternating sequence of long-short-long seen in various specimens of *Apatosaurus* as described above, may simply be size related (it is easier to measure long vertebrae and find clear differences between them). However, these errors in measurements are collectively likely to be unbiased overall and so should not affect the results or general patterns reported here.

There is some consistency within clades, with for example two specimens of *Leptoceratops* (despite differing caudal counts – Fig. 13), three specimens of *Archaeopteryx* (Fig. 10), and two tyrannosaurids (Fig. 7) all showing similar patterns to one another within their respective clades, suggesting consistency in the data and the analysis. Two specimens of *Velociraptor* are very similar, although a third is rather different, but similar to its near relative *Deinonychus* (Fig. 9).

## **Implications**

Assuming equivalency of vertebral articulation, for a given unit of tail length, more joints will increase flexibility and fewer joints will reduce flexibility. Thus, shorter centra imply greater zonal flexibility and longer ones, greater zonal stiffness. As such, we can use the varying lengths of centra within different parts of dinosaur tails to infer differing levels of zonal flexion.

The repeated pattern of a series of short centra, then a series of long ones, and then a series of tapering caudals suggests that many dinosaurs had a flexible tail base, then a stiffened



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section and finally a more flexible section. Our hypothesis that the transition point (i.e. the termination of the attachment of the caudofemoralis musculature) of dinosaurs is linked to a major change in tail function (as implied by centrum length) is supported by our analyses. We recorded the last centrum to preserve a lateral process from specimens directly or where they were recorded in the literature. Comparing these to the data on serial variation shows that the transition point often coincides closely with a shift in centra length, (Fig. 4) as determined by the changes in regression lines (e.g. Lambeosaurus, Fig. 12, Juravenator, Gorgosaurus, Tyrannosaurus, all Fig. 7, Ornithomimus, Fig. 8). Even in taxa where this pattern does not hold, there is evidence that the transition has an influence on caudal length, as the point recorded may be associated with a slight 'hump' in the data (i.e. a short increase and then decrease in vertebral length over just four or five vertebrae), as seen in e.g., *Plateosaurus* (Fig. 5) and *Nomingia* (Fig. 8). As the transition point is perhaps better thought of as a 'zone' (Persons & Currie 2011b), a little leeway must be allowed and we would not expect a perfect correlation between the change in centrum length and the last vertebra possessing a lateral process. Even so, it is clear that the calculated break points in the series often fall exactly on, or within one or two vertebrae of, the last centrum with a lateral process. Based on this, it may be possible to deduce the transition point in some specimens, even if the lateral processes and chevrons are missing or damaged, by interpreting the pattern of centrum lengths. The series of long vertebra that correspond to most of the length of the anterior tail up to the transition point would make the tail relatively stiff, since, in the absence of other anatomical changes, longer vertebra lead to a reduction in intervertebral flexure points per unit of length. This would improve the efficiency of the caudofemoralis muscles as this stiffness would reduce





energy loss though lateral movements between vertebrae and ensure that most of the effort of contracting the muscles led to pull on the femur and not lateral tail flexing.

Posterior to the transition point, the requirement for great lateral stiffness is presumably relaxed and thus leads to the simple pattern of general reduction in size of successive centra moving posteriorly. Many taxa independently evolved post-transition-point adaptations for increasing caudal stiffening, such as the relatively elongated centra and extensive zygopophyses of tetanurans, the caudal rods of dromaeosaurs, the pygostyles of various maniraptorans and the handle of ankylosaur tail clubs. Simple selection for reduction in mass posteriorly (with obvious exceptions such as taxa bearing tail clubs) would lead to the pattern seen here and may be the typical primitive condition for reptilian tails. That is seen here in the data for *Varanus* and *Crocodylus* (SI Fig. 4), and this pattern was also recorded from some other extant and extinct reptiles, and even synapsids by Nuñez Demarco et al. (2018), suggesting that it is a common feature of early amniotes (though clearly this is a very limited dataset).

We suggest that the series of short centra at the base of the tail would allow the entire tail to flex as a unit, as any anterior motion of the tail would also affect any more posterior portion of the tail. Thus a flexible section at the very base of the tail allows the entire tail to be moved without compromising the stiffness of the successive section. This is therefore likely a trade-off between flexion and stiffness. The most proximal section of the tail may also have been less strongly influenced by contractions of the caudofemoral musculature, because the most proximal caudal vertebrae typically lack chevrons, which contribute to the origin of the caudofemoralis. This would afford far less muscle attachment than in the stiffened, middle section of the tail. Additionally, the bending moment of the caudofemoralis would be proportional to the small sine



of the angle between the origins of the caudofemoralis and its lines of action, making the most anterior vertebrae less strongly influenced by this action.

### **Evolution of dinosaurian caudal centra series**

The short-long-decreasing pattern was likely acquired independently in multiple lineages of dinosaurs. We hypothesise this based on the number of Triassic and / or basal forms (*Plateosaurus*, Fig. 5; *Coelophysis*, Fig. 10), that lack the pattern and present the apparently primitive archosaur (or even diapsid) condition of a simple progressive decrease along the length of the tail. The repeated evolution of various osteological structures able to passively stiffen the tail (e.g. elongate zygopophyses and chevrons in some theropods and ankylosaurs, hyposphene-hypantra articulations in some sauropods and alvarezsaurs, ossified tendons in ornithischians) suggests that tail rigidity was favoured repeatedly in various groups within the Theropoda, Sauropoda and Ornithischia. Thus, the apparent distribution of the short-long-decreasing pattern seen here in later theropods, sauropods and ornithischians may have also arisen independently from similar selective pressures favouring a small zone of high flexibility immediately posterior to the hips and an extended zone of stiffness that helped improve locomotor efficiency.

Despite the wide variations in patterns of elongation and constriction in centrum lengths, it is clear that in at least some cases where there is a reasonable number of caudals preserved and their positions known, it may be possible to reconstruct the size missing vertebrae with some confidence. Repeated patterns within and between taxa, and long strings of caudals with a consistent pattern of elongation or reduction means that the sizes of missing vertebrae may be estimated. Potentially, even the total length of a tail may be estimated if much of the series is preserved. However, in general this is likely to be difficult – the variation seen here in the



patterns of increases and decreases, and the differing numbers of vertebrae in those various sets of increases and decreases are highly variable and difficult to predict. In particular, guessing at what number of vertebrae a tail will end is difficult. While clearly any regression of caudal size that was decreasing successively would eventually suggest a centrum of near zero or negative length, at what point before this the tail would actually terminate cannot be estimated. Gilmore (1936) notes with relation to the 'whiplash' segment of the distal tail of *Apatosaurus* that "the uniformity in size of these terminal rod-like caudals is such that any loss would be difficult to detect". Similarly, pygostyles of some theropods (which can reduce very rapidly in size along their length) could also be misleading, as, if only the proximal part were preserved, it would erroneously suggest a much longer tail.

Recognizing variation in caudal counts across taxa has implications for other areas of research. For example, knowing how many vertebrae and of what size ranges a given taxon has may be important to taphonomic analyses that consider sorting or loss of elements by size. In an analysis of a bone bed dominated by the hadrosaur *Amurosaurus*, Lauters et al. (2008) looked at the different numbers of element types preserved. They suggested that the vertebrae of *Amurosaurus* were underrepresented in the bonebeds based on the estimated number of vertebrae in the axial column. However, with very little articulation known for remains of *Amurosaurus*, it cannot be easily estimated how long the tail was or how many caudals it possessed, with tails for hadrosaurs known to have as few as around 50 caudals (Horner, Weishampel & Forster, 2004) to over 75 (Lull & Wright, 1942). (There is of course also likely variation within the number of the cervical, dorsal and sacral series, though based on Hone [2012], this is likely to be much less of an issue than the caudal series). The results of Lauters et al. (2008) for *Amurosaurus*, were robust and in this case such an issue is not likely to have had a major effect on their results, but the



uncertainty surrounding the number of vertebrae in the axial column means that care should be taken when performing such an analysis. We suggest that, unless the true axial count is known with confidence, either caudals should not be counted, or upper and lower estimates of the number of vertebrae in the column should be employed, or such considerations should be limited to the lateral process bearing caudals.

## **Conclusions**

Total tail length remains difficult to estimate for incomplete tails in the Dinosauria, and there is strong variation both between and within Family rank equivalent clades. However, there is some consistency in patterns of individual centra length within tails. Notably, the proximal part of the tail often consists of relatively short vertebrae, followed by a series of longer vertebrae and then a shift to decreasing centrum lengths beyond the transition point. This pattern suggests an underlying constraint in dinosaurian tail function, where (1) the very base of the tail was flexible and allowed the large remaining posterior portion of the tail to be swung as a collective whole, (2) shortly past the tail base there was a zone of relative stiffness that supported the caudofemoralis musculature, and (3) after the termination of the caudofemoralis and for a highly variable distance, the remaining vertebrae tapered to a reduced size.

While length of centrum is the most relevant measure of an individual vertebrate's contribution to total tail length, numerous other traits have the potential to influence basic aspects of caudal function, including lateral flexibility and the functioning of the caudofemoralis. Future studies of variation patterns in other traits (such as centrum width and zygapophyseal articulation) will refine these general observations. Although developed in order to investigate dinosaur tails, the methods used here to separate out groups of similar units as part of a series may be widely applicable to assessments of any extinct and extant taxa exhibiting repeating



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513	
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619	
620	
621	Figure captions
622	





623	Figure 1. Example tail forms across the Dinosauria: A) anklosaurid (Euoplocephalus tutus), B)
624	ceratopsid (Centrosaurus nasicornis), C) hadrosaurid (Corythosaurus intermedius), D)
625	diplodocid (Diplodocus carnegii), E) tyrannosaurid (Gorgosaurus libratus). Scale bar is 1 meter.
626	
627	Figure 2. Relative size of snout to sacrum against relative tail length. Snout to sacrum vs tail
628	length, with both measurements scaled to femur size (see Methods). Comparisons drawn from
629	the same individual are shown as black circles; those from different individuals as open circles.
630	The solid line shows the fitted (non-significant) regression; dashed lines show 95% confidence
631	intervals for this regression. The grey lines show the range and distribution of tail to femur ratio
632	for all of the species in our analysis and demonstrate that while most cluster in the centre, there
633	are extremes (from 1.3 to 12.4) and here the confidence intervals would be even wider. See also
634	SI Table 1.
635	
636	Figure 3. Segmented regressions for the indeterminate hadrosaur specimen TMP1998.058.001.
637	Segments derived from photographs (red) and from the specimen itself (blue). Both methods
638	fitted a break point very close to the actual transition point, and with the exception of a break
639	point right at the distal end, break points derived from one method overlapped with break points
640	derived from the other. The predicted break points and their error bars are indicated at the bottom
641	of each graph where these have been calculated, and the transition point (where known) is
642	indicated by an arrow.
643	
644	Figure 4. Mean distance between break point and transition point in 1000 randomisations, plotted
645	against the actual distance. The grey line shows the 1:1 line; red lines show standard errors. For





646	all but seven of the specimens, the actual distance was lower than the randomised distance (Exact
647	binomial test, p=0.04). Abbreviations for listed specimens are as follows: Ap <i>Apatosaurus</i> , Cam
648	Camarasaurus, Cen Centrosaurus, Coe Coelophysis, Dil Dilophosaurus, Dip Diplodocus, Gor
649	Gorgosaurus, Ig Iguanodon, Jur Juraventor, Ken Kentrosaurus, Lam Lambeosaurus, Lep
650	Leptoceratops, Maj Majungasaurus, Mic Microraptor, Nom Nomingia, Ome Omeisaurus, Opi
651	Opistocoelocaudia, Orn Ornithomimus, Our Ouranosaurus, Pla Plateosaurus, Ps Psittacosaurus
652	(1= AMNH 6253, 2 = AMNH 6254), Ten Tenontosaurus, Ty Tyrannosaurus, Vel Velociraptor
653	
654	Figure 5. Regressions for centrum lengths within the tails for non-sauropodan members of the
655	Sauropodomorpha, A) Lufengosaurus, B) Plateosaurus. While different patterns are recovered,
656	both show long sections of the tail with a tapering pattern of centra lengths. The predicted break
657	points and their error bars are indicated at the bottom of each graph where these have been
658	calculated, and the transition point (where known) is indicated by an arrow.
659	
660	Figure 6. Regressions for centrum lengths within the tails of members of Sauropoda. The
661	predicted break points and their error bars are indicated at the bottom of each graph where these
662	have been calculated, and the transition point (where known) is indicated by an arrow (the same
663	point is inferred in Apatosaurus E based on D). A) Omeisaurus, B) Opsitocoelocaudia, C)
664	Diplodocus, D) Apatosaurus CM3018, E) Apatosaurus CM3378, F) Camarasaurus.
665	
666	Figure 7. Regressions for centrum lengths within the tails for non-maniraptoran members of the
667	Theropoda, A), Dilophosaurus, B) Ceratosaurus, C) Majungasaurus, D) Juravenator, E)
668	Gorgosaurus, F) Tyrannosaurus. Note that patterns of the two tyrannosaurs, Gorgosaurus and





Tyrannosaurus, are most similar to each other and that the pattern of Juravenator is the most
dissimilar from all other theropods. The predicted break points and their error bars are indicated
at the bottom of each graph where these have been calculated, and the transition point (where
known) is indicated by an arrow.
Figure 8. Regressions for centrum lengths within the tails for selected members of non-paravian
Maniraptora A) Ornithomimus B) Ingenia, C) Nomingia. The two oviraptorosaurs (B, C) show
strongly tapering tails. The predicted break points and their error bars are indicated at the bottom
of each graph where these have been calculated, and the transition point (where known) is
indicated by an arrow.
Figure 9. Regressions for centrum lengths within the tails for members of the Dromaeosauridae,
A) Velociraptor IGM 100/25, B) Velociraptor AMNH 100-986, C) Velociraptor MPC 100/985)
D) Deinonychus, E) Microraptor. The predicted break points and their error bars are indicated at
the bottom of each graph where these have been calculated, and the transition point (where
known) is indicated by an arrow.
Figure 10. Regressions for centrum lengths within the tails for specimens of <i>Archaeopteryx</i> (A)
BSPG1999I50, B) WDC CGS100 C) 11th Specimen) and Coelophysis (D) AMNH 7223, E)
AMNH 7224, F) AMNH7229). Archaeopteryx is a rare genus for which numerous specimens
with complete tails are known. Although the general pattern observed across the specimens is
similar, the pattern of each specimen is distinct. Despite all three Coelophysis specimens coming
from the same locality, their tails differ markedly from each other. The predicted break points





692	and their error bars are indicated at the bottom of each graph where these have been calculated,
693	and the transition point (where known) is indicated by an arrow.
694	
695	Figure 11. Regressions for centrum lengths within the tails for members of the Thyreophora, A)
696	Kentrosaurus, B) Dyoplosaurus. More than any other taxa in the study, the club bearing tail
697	Dyoplosaurus would be expected to show a specialized pattern, and it does, although
698	surprisingly the analysis did not recover break points in the series. The predicted break points
699	and their error bars are indicated at the bottom of each graph where these have been calculated,
700	and the transition point (where known) is indicated by an arrow.
701	
702	Figure 12. Regressions for centrum lengths within the tails for members selected non-
703	hadrosauroid iguanodontians, A) Tenontosaurus, B) Ouranosaurus, C) Iguanodon) and
704	hadrosaurs, D) Tethyshadros, E) Lambeosaurus). Despite their overall similarity in form and
705	ecology there are considerable differences between the patterns seen between these taxa. The
706	predicted break points and their error bars are indicated at the bottom of each graph where these
707	have been calculated, and the transition point (where known) is indicated by an arrow.
708	
709	Figure 13. Regressions for centrum lengths within the tails for members of the Ceratopsia. A)
710	Psittacosaurus AMNH 6253, B) Psittacosaurus AMNH 6254, C) Psittacosaurus GiSPS
711	100/606, D) Leptoceratops CMN 8887, E) Leptoceratops CMN 8888), E) Centrosaurus.
712	Relative to that of most other dinosaur groups considered, the ceratopsians pattern is closer to
713	simple progressive tapering. The predicted break points and their error bars are indicated at the





714	bottom of each graph where these have been calculated, and the transition point (where known)
715	is indicated by an arrow.
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721	APPENDICES:
722	Table 1. All data – Complete tail lengths, Snout-Sacrum lengths, Incomplete tail lengths,
723	Centrum lengths, Transverse Processes, References.
724	
725	SI Fig 1. Break points for ornithischian tails. Aligned caudal centra (black squares, spaces
726	indicate missing vertebrae), break points as calculated (red points with error bars) and transition
727	point (where known, blue triangles) for all ornithischians in the study.
728	
729	SI Fig 2. Break points for sauropodomorph tails. Aligned caudal centra, break points as
730	calculated and transition point (where known) for all sauropodomorphs in the study.
731	
732	SI Fig 3. Break points for theropod tails. Aligned caudal centra, break points as calculated and
733	transition point (where known) for all theropods in the study.
734	
735	SI Fig 4. Regressions for centrum lengths within the tails for two non-dinosaurian reptiles.
736	Centrum length patterns for Crocodylus niloticus and Varanus nilitocus. Both display a simpler



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737	pattern than that typical of the considered dinosaurs with a relatively consistent decrease in
738	centrum length along the caudal series. The transition point (where known) is indicated by an
739	arrow.
740	



Figure 1. Example tail forms across the Dinosauria

A) anklosaurid (*Euoplocephalus tutus*), B) ceratopsid (*Centrosaurus nasicornis*), C) hadrosaurid (*Corythosaurus intermedius*), D) diplodocid (*Diplodocus carnegii*), E) tyrannosaurid (*Gorgosaurus libratus*). Scale bar is 1 meter.

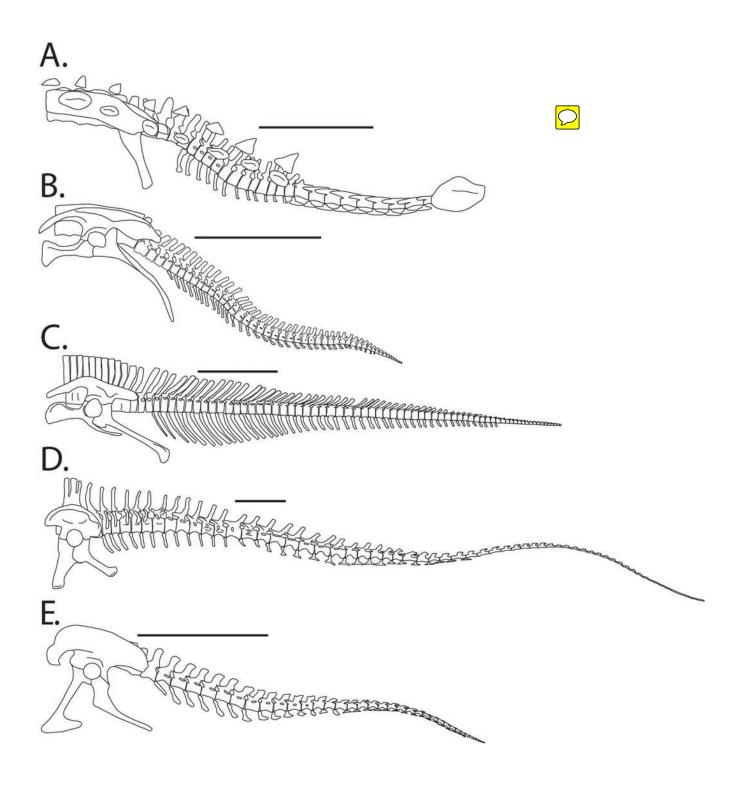




Figure 2. Relative size of snout to sacrum against relative tail length.

Snout to sacrum vs tail length, with both measurements scaled to femur size (see Methods). Comparisons drawn from the same individual are shown as black circles; those from different individuals as open circles. The solid line shows the fitted (non-significant) regression; dashed lines show 95% confidence intervals for this regression. The grey lines show the range and distribution of tail to femur ratio for all of the species in our analysis and demonstrate that while most cluster in the centre, there are extremes (from 1.3 to 12.4) and here the confidence intervals would be even wider. See also SI Table 1.



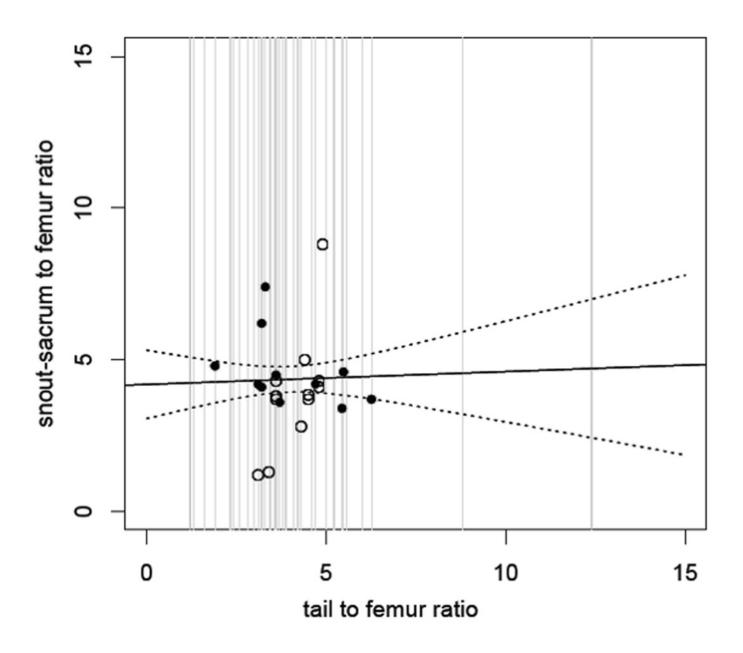




Figure 3. Segmented regressions for the indeterminate hadrosaur specimen TMP1998.058.001.

Segments derived from photographs (red) and from the specimen itself (blue). Both methods fitted a break point very close to the actual transition point, and with the exception of a break point right at the distal end, break points derived from one method overlapped with break points derived from the other. The predicted break points and their error bars are indicated at the bottom of each graph where these have been calculated, and the transition point (where known) is indicated by an arrow.



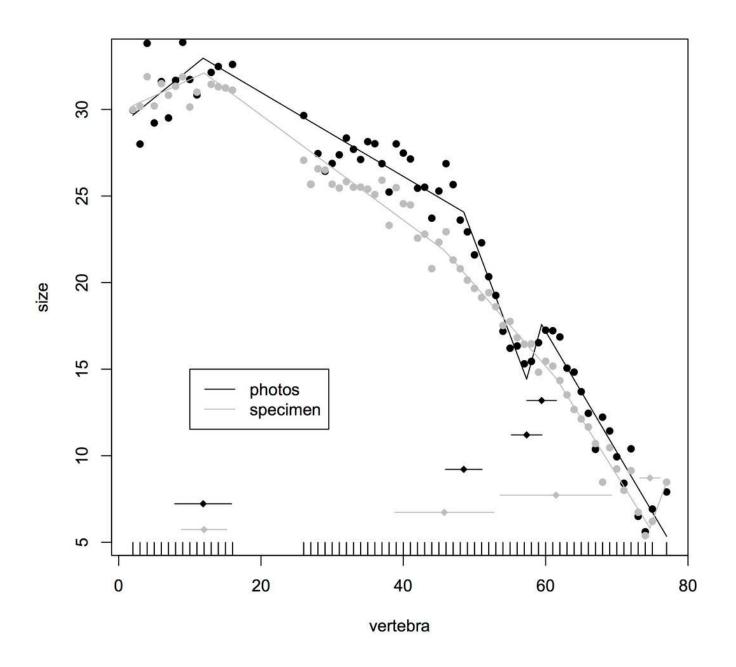




Figure 4. Mean distance between break point and transition point in 1000 randomisations, plotted against the actual distance.

The grey line shows the 1:1 line; red lines show standard errors. For all but seven of the specimens, the actual distance was lower than the randomised distance (Exact binomial test, p=0.04). Abbreviations for listed specimens are as follows: Ap *Apatosaurus*, Cam *Camarasaurus*, Cen *Centrosaurus*, Coe *Coelophysis*, Dil *Dilophosaurus*, Dip *Diplodocus*, Gor *Gorgosaurus*, Ig *Iguanodon*, Jur *Juraventor*, Ken *Kentrosaurus*, Lam *Lambeosaurus*, Lep *Leptoceratops*, Maj *Majungasaurus*, Mic *Microraptor*, Nom *Nomingia*, Ome *Omeisaurus*, Opi *Opistocoelocaudia*, Orn *Ornithomimus*, Our *Ouranosaurus*, Pla *Plateosaurus*, Ps *Psittacosaurus* (1= AMNH 6253, 2 = AMNH 6254), Ten *Tenontosaurus*, Ty *Tyrannosaurus*, Vel *Velociraptor* 



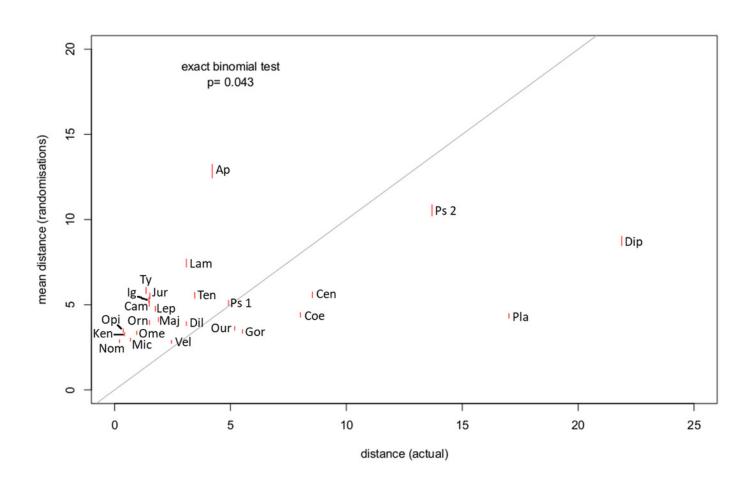
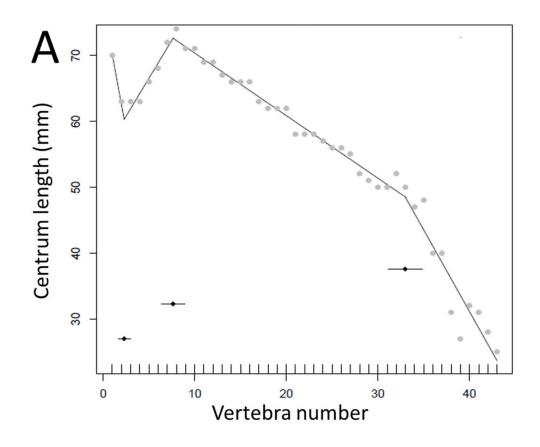




Figure 5. Regressions for centrum lengths within the tails for non-sauropodan members of the Sauropodomorpha, A) *Lufengosaurus*, B) *Plateosaurus*.

While different patterns are recovered, both show long sections of the tail with a tapering pattern of centra lengths. The predicted break points and their error bars are indicated at the bottom of each graph where these have been calculated, and the transition point (where known) is indicated by an arrow.



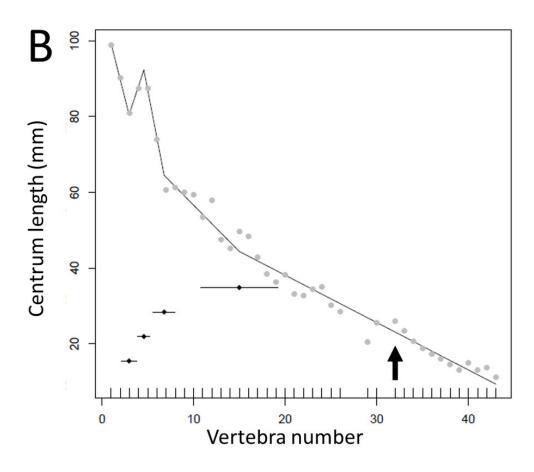




Figure 6. Regressions for centrum lengths within the tails of members of Sauropoda.

The predicted break points and their error bars are indicated at the bottom of each graph where these have been calculated, and the transition point (where known) is indicated by an arrow (the same point is inferred in *Apatosaurus* E based on D). A) *Omeisaurus*, B) *Opsitocoelocaudia*, C) *Diplodocus*, D) *Apatosaurus* CM3018, E) *Apatosaurus* CM3378, F) *Camarasaurus*.



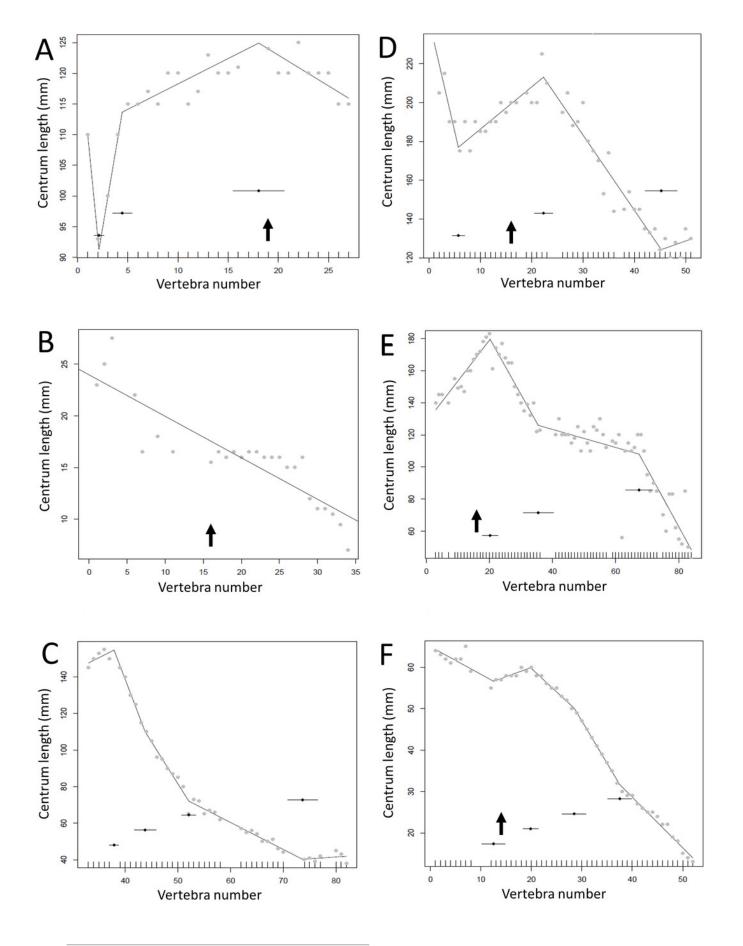




Figure 7. Regressions for centrum lengths within the tails for non-maniraptoran members of the Theropoda, A), *Dilophosaurus*, B) *Ceratosaurus*, C) *Majungasaurus*, D) *Juravenator*, E) *Gorgosaurus*, F) *Tyrannosaurus*.

Note that patterns of the two tyrannosaurs, *Gorgosaurus* and *Tyrannosaurus*, are most similar to each other and that the pattern of *Juravenator* is the most dissimilar from all other theropods. The predicted break points and their error bars are indicated at the bottom of each graph where these have been calculated, and the transition point (where known) is indicated by an arrow.



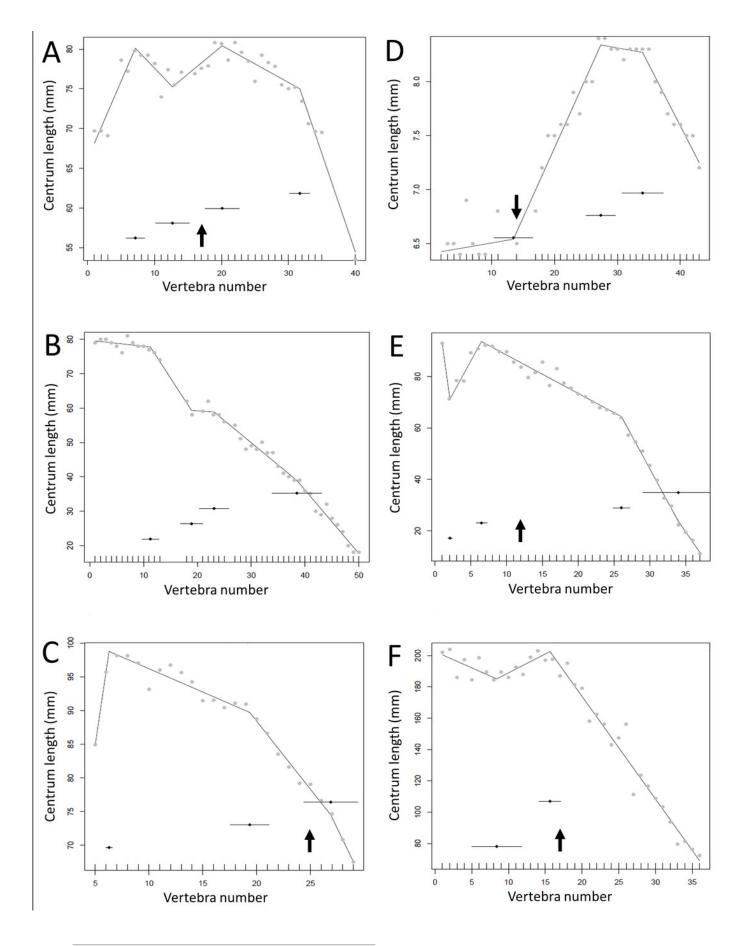
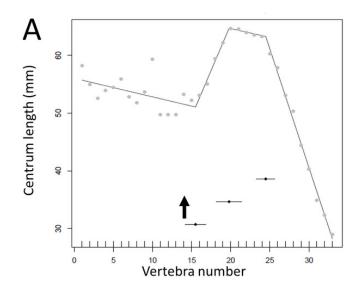


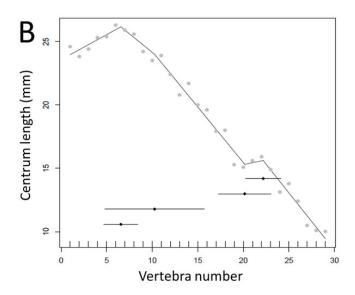


Figure 8. Regressions for centrum lengths within the tails for selected members of non-paravian Maniraptora A) *Ornithomimus* B) *Ingenia*, C) *Nomingia*.

The two oviraptorosaurs (B, C) show strongly tapering tails. The predicted break points and their error bars are indicated at the bottom of each graph where these have been calculated, and the transition point (where known) is indicated by an arrow.







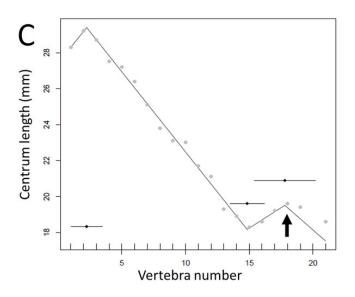




Figure 9. Regressions for centrum lengths within the tails for members of the Dromaeosauridae, A) *Velociraptor* IGM 100/25, B) *Velociraptor* AMNH 100-986, C) *Velociraptor* MPC 100/985), D) *Deinonychus*, E) *Microraptor*.

The predicted break points and their error bars are indicated at the bottom of each graph where these have been calculated, and the transition point (where known) is indicated by an arrow.



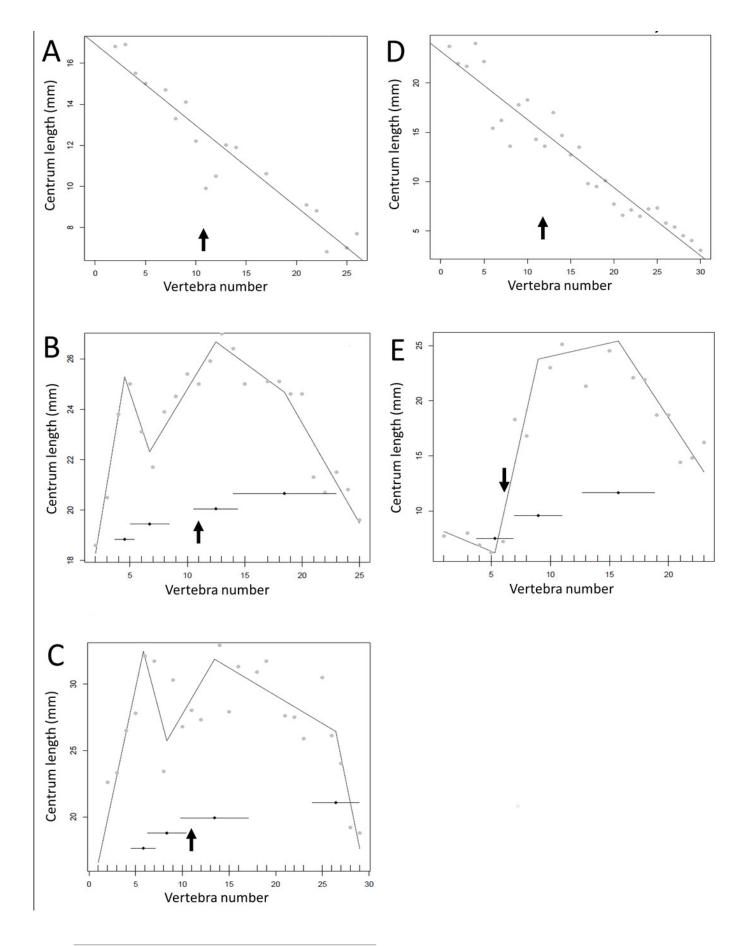




Figure 10. Regressions for centrum lengths within the tails for specimens of *Archaeopteryx* (A) BSPG1999I50, B) WDC CGS100 C) 11<sup>th</sup> Specimen) and *Coelophysis* (D) AMNH 7223, E) AMNH 7224, F) AMNH7229).

Archaeopteryx is a rare genus for which numerous specimens with complete tails are known. Although the general pattern observed across the specimens is similar, the pattern of each specimen is distinct. Despite all three *Coelophysis* specimens coming from the same locality, their tails differ markedly from each other. The predicted break points and their error bars are indicated at the bottom of each graph where these have been calculated, and the transition point (where known) is indicated by an arrow.



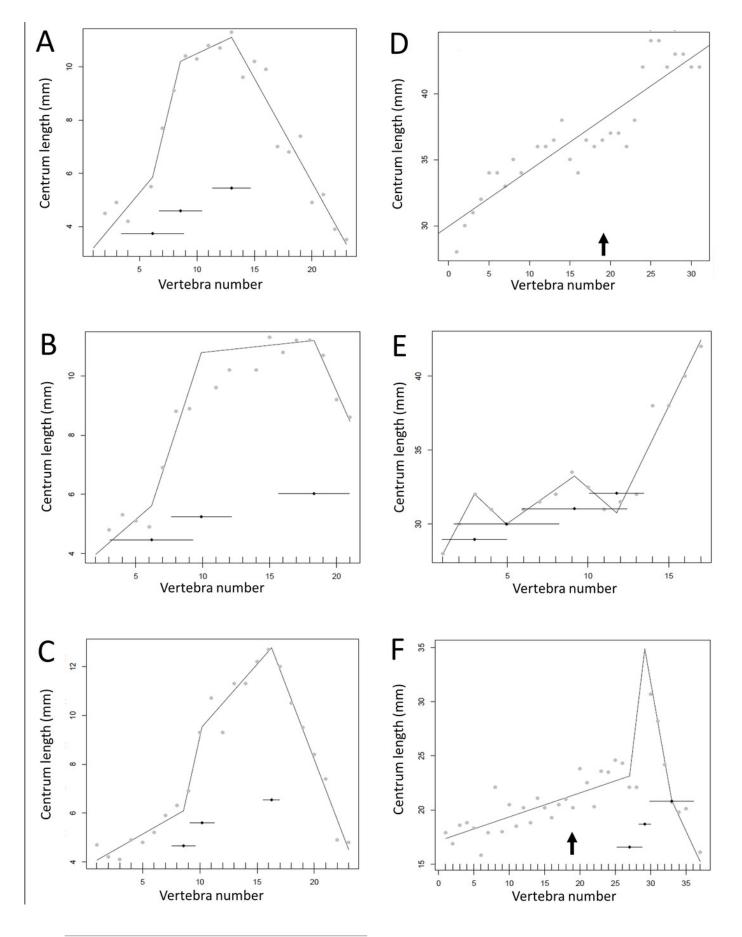
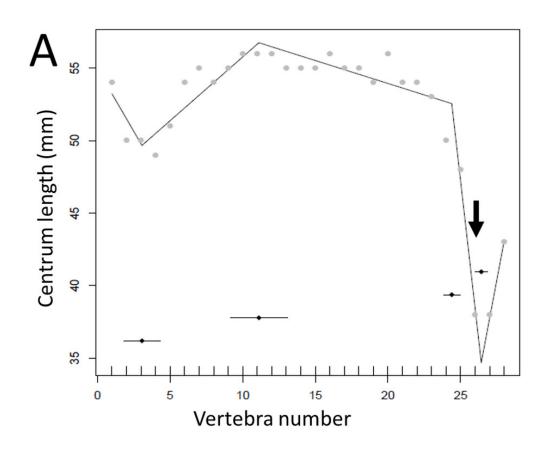




Figure 11. Regressions for centrum lengths within the tails for members of the Thyreophora, A) *Kentrosaurus*, B) *Dyoplosaurus*.

More than any other taxa in the study, the club bearing tail *Dyoplosaurus* would be expected to show a specialized pattern, and it does, although surprisingly the analysis did not recover break points in the series. The predicted break points and their error bars are indicated at the bottom of each graph where these have been calculated, and the transition point (where known) is indicated by an arrow.



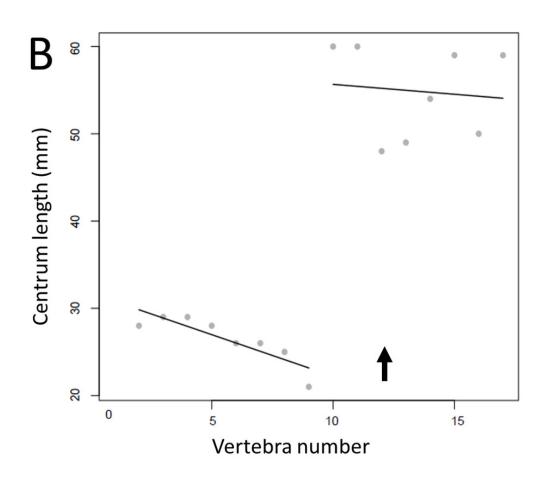
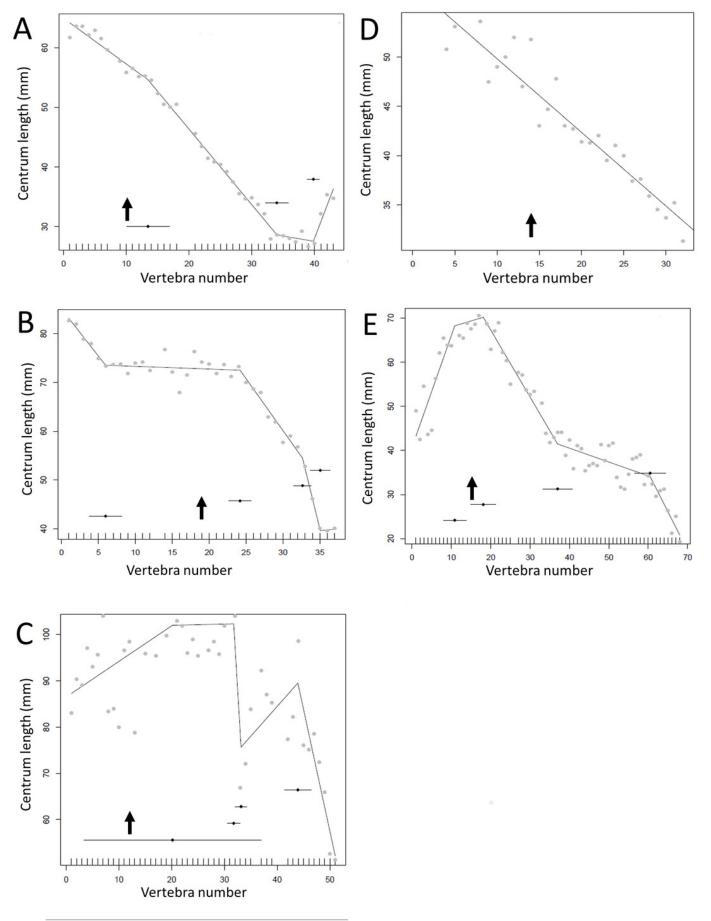




Figure 12. Regressions for centrum lengths within the tails for members selected non-hadrosauroid iguanodontians, A) *Tenontosaurus*, B) *Ouranosaurus*, C) *Iguanodon*) and hadrosaurs, D) *Tethyshadros*, E) *Lambeosaurus*).

Despite their overall similarity in form and ecology there are considerable differences between the patterns seen between these taxa. The predicted break points and their error bars are indicated at the bottom of each graph where these have been calculated, and the transition point (where known) is indicated by an arrow.





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Figure 13. Regressions for centrum lengths within the tails for members of the Ceratopsia. A) *Psittacosaurus* AMNH 6253, B) *Psittacosaurus* AMNH 6254, C) *Psittacosaurus* GiSPS 100/606, D) *Leptoceratops* CMN 8887, E) [i]Leptoceratops [i

Relative to that of most other dinosaur groups considered, the ceratopsians pattern is closer to simple progressive tapering. The predicted break points and their error bars are indicated at the bottom of each graph where these have been calculated, and the transition point (where known) is indicated by an arrow.



