

Geographic potential of the world's largest hornet, *Vespa mandarinia* Smith (Hymenoptera: Vespidae), worldwide and particularly in North America

Claudia Nuñez-Penichet^{1,2}, Luis Osorio-Olvera^{2,3}, Victor H. Gonzalez^{1,4}, Marlon E. Cobos^{1,2}, Laura Jiménez^{1,2}, Devon A. DeRaad^{1,2}, Abdelghafar Alkische^{1,2}, Rusby G. Contreras-Díaz^{3,5}, Angela Nava-Bolaños², Kaera Utsumi¹, Uzma Ashraf⁶, Adeola Adeboje¹, A. Townsend Peterson^{1,2}, Jorge Soberon^{Corresp. 1,2}

¹ Department of Ecology & Evolutionary Biology, University of Kansas, Lawrence, KS, United States

² Biodiversity Institute, University of Kansas, Lawrence, Kansas, United States

³ Departamento de Matemáticas, Facultad de Ciencias, Universidad Nacional Autónoma de México, Ciudad de México, Ciudad de México, Mexico

⁴ Undergraduate Biology Program, University of Kansas, Lawrence, KS, United States

⁵ Posgrado en Ciencias Biológicas. Unidad de Posgrado, Universidad Nacional Autónoma de México, Ciudad de México, Ciudad de México, México

⁶ Department of Environmental Sciences and Policy, Lahore School of Economics, Lahore, Pakistan

Corresponding Author: Jorge Soberon

Email address: jsoberon@ku.edu

The Asian giant hornet (AGH, *Vespa mandarinia*) is the world's largest hornet, occurring naturally in the Indomalayan region, where it is a voracious predator of pollinating insects including honey bees. In September 2019, a nest of Asian giant hornets was detected outside of Vancouver, British Columbia, multiple individuals were detected in British Columbia and Washington state in 2020, and another nest was found and eradicated in Washington state in November 2020, indicating that the AGH could have successfully wintered in North America. Because hornets tend to spread rapidly and become pests, reliable estimates of the potential invasive range of *V. mandarinia* in North America are needed to assess likely human and economic impacts, and to guide future eradication attempts. Here, we assess climatic suitability for AGH in North America, and suggest that, without control, this species could establish populations across the Pacific Northwest and much of eastern North America. Predicted suitable areas for AGH in North America overlap broadly with areas where honey production is highest, as well as with species-rich areas for native bumble bees and stingless bees of the genus *Melipona* in Mexico, highlighting the economic and environmental necessity of controlling this nascent invasion.

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6 Peterson^{1,2}, Jorge Soberon^{1,2*}

7 ¹Department of Ecology & Evolutionary Biology, University of Kansas, Lawrence, KS, USA.

8 ²Biodiversity Institute, University of Kansas, Lawrence, KS, USA.

9 ³Departamento de Matemáticas, Facultad de Ciencias, Universidad Nacional Autónoma de
10 México, Ciudad de México, Ciudad de México, México.

11 ⁴Undergraduate Biology Program, University of Kansas, Lawrence, KS, USA.

12 ⁵Posgrado en Ciencias Biológicas. Unidad de Posgrado, Universidad Nacional Autónoma de
13 México, Ciudad de México, Ciudad de México, México.

14 ⁶Department of Environmental Sciences and Policy, Lahore School of Economics, Lahore,
15 Pakistan.

16

17 *Corresponding Author: Jorge Soberon

18 Department of Ecology & Evolutionary Biology and Biodiversity Institute, University of Kansas,
19 1345 Jayhawk Blvd., Lawrence, Kansas 66045, USA. jsoberon@ku.edu

20

21 **ORCID:**

22 CNP: <https://orcid.org/0000-0001-7442-8593>

23 LOO: <https://orcid.org/0000-0003-0701-5398>

24 VHG: <https://orcid.org/0000-0002-4146-1634>

25 MEC: <https://orcid.org/0000-0002-2611-1767>

26 LJ: <https://orcid.org/0000-0002-6683-9576>

27 DAD: <https://orcid.org/0000-0003-3105-985X>

28 AbA: <https://orcid.org/0000-0003-2927-514X>

29 RGCD: <https://orcid.org/0000-0002-0569-8984>

30 ANB: <https://orcid.org/0000-0002-4371-5415>

31 KU: <https://orcid.org/0000-0001-5935-7299>

32 UA: <https://orcid.org/0000-0003-4319-9315>

33 AdA: <https://orcid.org/0000-0001-8513-7804>

34 ATP: <http://orcid.org/0000-0003-0243-2379>

35 JS: <https://orcid.org/0000-0003-2160-4148>

36 **ABSTRACT**

37 The Asian giant hornet (AGH, *Vespa mandarinia*) is the world's largest hornet, occurring
38 naturally in the Indomalayan region, where it is a voracious predator of pollinating insects
39 including honey bees. In September 2019, a nest of Asian giant hornets was detected outside of
40 Vancouver, British Columbia, multiple individuals were detected in British Columbia and
41 Washington state in 2020, and another nest was found and eradicated in Washington state in
42 November 2020, indicating that the AGH could have successfully wintered in North America.
43 Because hornets tend to spread rapidly and become pests, reliable estimates of the potential
44 invasive range of *V. mandarinia* in North America are needed to assess likely human and
45 economic impacts, and to guide future eradication attempts. Here, we assess climatic suitability
46 for AGH in North America, and suggest that, without control, this species could establish
47 populations across the Pacific Northwest and much of eastern North America. Predicted suitable
48 areas for AGH in North America overlap broadly with areas where honey production is highest,
49 as well as with species-rich areas for native bumble bees and stingless bees of the genus
50 *Melipona* in Mexico, highlighting the economic and environmental necessity of controlling this
51 nascent invasion.

52

53 **Keywords:** Asian giant hornet, dispersal simulation, ecological niche modeling, invasive
54 species, pollinator threats

55 Introduction

56 Invasive species represent major threats to biodiversity, as they can alter ecosystem
57 processes and functions (Pyšek & Richardson, 2010; Vilà et al., 2011), and often contribute to
58 the decline of imperiled species (e.g., Wilcove et al., 1998; Dueñas et al., 2018). The economic
59 damage to agriculture, forestry, and public health, resulting from invasive species totals nearly
60 US \$120 billion annually in the United States alone (Pimentel, Zuniga & Morrison, 2005), and
61 more than US \$172 million for Canada (Colautti et al., 2006).

62 Even in the midst of the global uncertainty and socio-economic distress resulting from
63 the COVID-19 pandemic, the recent detection of the Asian Giant Hornet (AGH, *Vespa*
64 *mandarinia* Smith, Hymenoptera: Vespidae), in North America (Bérubé, 2020; Wilson et al.,
65 2020), received significant public attention. This social insect is the world's largest hornet (2.5–
66 4.5 cm body length), and occurs naturally across Asia, including in India, Nepal, Sri Lanka,
67 Vietnam, Taiwan, and Japan, at elevations ranging between 850 and 1900 (Matsuura &
68 Sakagami, 1973; Archer, 2008; Smith-Pardo, Carpenter & Kimsey, 2020). As in other temperate-
69 zone social species, annual colonies of the AGH, which may contain up to 500 workers, die at
70 the onset of winter and mated queens overwinter in underground cavities. After emerging in the
71 spring, each queen starts a new colony in a pre-existing cavity, typically in tree roots or an
72 abandoned rodent nest (Archer, 2008). Like other species of *Vespa*, AGH is a voracious predator
73 of insects, and is notable for preying on bees and other social Hymenoptera. Attacks on honey
74 bee hives occur late in the development of the hornet colony and prior to the emergence of
75 reproductive individuals (males and new queens), the timing of which depends on location (e.g.,
76 Matsuura & Sakagami, 1973; Matsuura, 1988; Archer, 2008).

77 In its native range, AGH attacks several species of bees, some of which have developed
78 sophisticated defense mechanisms against attacks (Ono et al., 1995; Kastberger, Schmelzer &
79 Kranner, 2008; Fujiwara, Sasaki & Washitani, 2016). The best documented, colony-level defense
80 mechanism is in the Asiatic honey bee, *Apis cerana* Fabricius, which can detect site-marking
81 pheromones released by AGH scouts, and responds by engulfing a single hornet in a ball
82 consisting of up to 500 bees. The heat generated by the vibration of the bees' flight muscles, and
83 the resulting high levels of CO₂ from respiration effectively kill the hornet (Ono et al., 1995;
84 Sugahara, Nishimura & Sakamoto, 2012). In contrast, European honey bees (*A. mellifera* L.)
85 does not detect and respond to AGH marking pheromones, and colonies are mostly defenseless
86 against AGH attacks (McClenaghan et al., 2019). In Japan, as few as a dozen AGH can destroy a
87 European honey bee colony of up to 30,000 individuals, and extirpate thousands of beehives
88 annually (Matsuura & Sakagami, 1973).

89 In addition to the potential threat to the beekeeping industry (Alanis et al. 2020), the
90 introduction of AGH in North America is also concerning for public health. Their powerful
91 stings can induce severe allergic reactions or even death in hypersensitive individuals and
92 sometimes have long-term health effects in people who receive multiple stings (Schmidt et al.,
93 1986; Yanagawa et al., 2007). Annually, as many as 30-40 people may have die from AGH
94 stings in Japan, most as a result of anaphylaxis or sudden cardiac arrest (Matsuura & Sakagami,
95 1973); similar deadly cases have been reported from China during outbreak events (Li et al.,
96 2015). Although invasive species are typically limited by dispersal ability and suitability of novel
97 environments, vespidae hornets are well known for their invasive success and excellent dispersal
98 capacity (Beggs et al., 2011; Monceau, Bonnard & Thiéry, 2014). As such, the introduction of
99 AGH in the Pacific Northwest is already presenting a potentially serious ecological and socio-

100 economic risk in North America. Here, we use ecological niche modeling (ENM) to detect areas
101 of suitable environments for this species worldwide, with particular emphasis on North America.
102 We also use a dispersal simulation approach to detect potential invasion paths of this species
103 within North America. A similar methodology for projecting AGH invasion potential has been
104 implemented by Zhu et al. (2020); we build upon this framework by introducing several
105 modifications to the modelling approach, and investigating further the potential ecological
106 impact on the species richness of the genus *Bombus* and *Melipona* as well as the possible
107 economic effects of an AGH invasion in North America.

108 **Methods**

109 *Occurrence and environmental data*

110 We downloaded occurrence data for *V. mandarinia* from the Global Biodiversity
111 Information Facility database (GBIF; <https://www.gbif.org/>). We kept records from the species'
112 native range (Fig. 1) separate from non-native occurrences facilitated by human introduction. We
113 cleaned occurrences from the native distribution following Cobos et al. (2018) by removing
114 duplicates and records with inconsistent georeferencing (coordinates outside country limits, on
115 the sea, or missing, as recommended in the literature of data cleaning; Chapman, 2005). To avoid
116 model fitting derived from spatial autocorrelation and overdominance of specific regions due to
117 sampling bias, we thinned these records spatially in two ways: by geographic distance and by
118 density of records per country (Fig. 2). In the first case (distance-based thinning; Anderson,
119 2012), we excluded occurrences that were <50 km away from another occurrence record. In the
120 second thinning approach (country-density thinning), we accounted for potential differences in
121 survey and reporting activities among countries and randomly reduced numbers of occurrences
122 in countries with the densest sampling, namely Japan, Taiwan, and South Korea (from 30, 6, and

123 5, to 6, 2, and 2 occurrences, respectively), to match the approximate reference density of India,
124 Nepal, and China. We used the package `ellipsenm` (Cobos et al., 2020; available at
125 <https://github.com/marlonecobos/ellipsenm>) in R 3.6.2 (R Core Team, 2019) to clean and thin
126 the data. We retained 172 occurrence records for *V. mandarinia* after initial data cleaning, 49
127 records after the distance-based thinning approach, and 18 records after the country-density
128 thinning approach (Fig. 1). We then treated both data sets independently in all subsequent
129 analysis steps.

130 For environmental predictors, we used bioclimatic variables at 10' resolution (~18 km at
131 the Equator) from the MERRAclim database (Vega, Pertierra & Olalla-Tárraga, 2018). We
132 excluded four variables because they are known to contain spatial artifacts as a result of
133 combining temperature and humidity information (Escobar et al., 2014): mean temperature of
134 most humid quarter, mean temperature of least humid quarter, specific humidity mean of
135 warmest quarter, and specific humidity mean of coldest quarter. The 15 variables remaining were
136 masked to an area for model calibration (**M**, see Ecological niche modeling).

137 These 15 variables were further processed into two subsets, each used separately in all
138 subsequent analyses. One set consisted of submitting the variables to a principal component
139 analysis (PCA) to reduce dimensionality and multicollinearity. The other set was created with the
140 raw environmental variables that had a Pearson's correlation coefficient ≤ 0.85 measured in the
141 calibration area, choosing the most biologically relevant or interpretable variables based on our
142 knowledge of AGH natural history (Simões et al., 2020). As a result of this selection process, we
143 obtained six raw variables: isothermality (BIO3), maximum temperature of warmest month
144 (BIO5), minimum temperature of coldest month (BIO6), temperature annual range (BIO7),
145 specific humidity of most humid month (BIO13), and specific humidity of least humid month

146 (BIO14). The PCA was performed with the raw variables masked to the M area, and principal
147 components for the entire world were obtained by transforming raw variables (at world extent)
148 using the scaling and rotations from the PCA obtained for M. Projecting the PCA results from
149 the accessible area to the entire world ensures that values are comparable and prevents further
150 problems when models are projected. For further analyses, we kept the first four PC axes, as they
151 explained 97.9% of the cumulative variance (Figure S1). All analyses were done in R;
152 specifically, raster processing was completed using the packages raster (Hijmans et al., 2020),
153 rgeos (Bivand et al., 2020b), and rgdal (Bivand et al., 2020a); PCA was performed using the
154 ntbox package (Osorio-Olvera et al., 2020).

155

156 *Ecological niche modeling*

157 To identify a calibration area (ostensibly equivalent to **M**; Owens et al., 2013) for our
158 models, we considered a region contained within a buffer of 500 km around the known
159 occurrence records after the 50 km thinning process (Fig. 1). This distance was selected
160 considering that little is known about the species' dispersal ability (Matsuura & Sakagami, 1973;
161 APHIS, 2020a), as no information exists about queens' dispersal capacities; however, we
162 consider that given the species body size, long-distance dispersal events should not be discarded.
163 We used all pixels in **M** (15,411) as the background across which to calibrate the models.

164 Given uncertainty deriving from specific treatments of occurrence records and
165 environmental predictors in ecological niche modeling (Alkische et al., 2020), we calibrated
166 models via four distinct schemes: (1) using raw variables and distance-based thinned
167 occurrences, (2) using PCs and distance-based thinned occurrences, (3) using raw environmental

168 variables and country-density thinned occurrences, and (4) using PCs and country-density
169 thinned occurrences (Fig. 2). For each scheme, we calibrated models five times, each time
170 randomly selecting 50% of the occurrences for calibrating models (random k-fold evaluation,
171 where $k = 5$), and using the remaining records for testing (Cobos et al., 2019a).

172 Each process of model calibration consisted of creating and evaluating candidate models
173 using Maxent (Phillips, Anderson & Schapire, 2006; Phillips et al., 2017). Since many choices
174 are needed to parameterize Maxent (Merow, Smith & Silander, 2013), we chose distinct
175 parameter settings: 10 regularization multiplier values (0.10, 0.25, 0.50, 0.75, 1, 2, 3, 4, 5, 6),
176 eight feature classes (lq, lp, lqp, qp, q, lqpt, lqpth, lqph, where l is linear, q is quadratic, p is
177 product, t is threshold, and h is hinge), and all combinations of more than two predictor variables
178 (Cobos et al., 2019b; Table S1-S2). This resulted in a total of 4560 models using raw variables
179 and 880 using PCs that were tested, in tandem with the two subsets of occurrence data described
180 above. We assessed model performance using partial ROC (for statistical significance; Peterson,
181 Papeş & Soberón, 2008), omission rates ($E = 5\%$, for predictive ability; Anderson, Lew &
182 Peterson, 2003), and Akaike Information Criterion corrected for small sample sizes (AICc, for
183 model complexity; Warren & Seifert, 2011). We selected models with $\Delta AICc \leq 2$ (Cobos et
184 al., 2019a) from those that were statistically significant and had omission rates below 5%.

185 After model calibration, we created models with the selected parameter values, using all
186 occurrences after the corresponding thinning process, with 10 bootstrap replicates, cloglog
187 output (Phillips et al., 2017), and model transfers using three types of extrapolation (free
188 extrapolation, extrapolation with clamping, no extrapolation; Owens et al., 2013). Not all
189 calibration processes identified models that met all three criteria of model selection; we did not
190 consider those models in further analyses (Fig. 2; Table 1). As a final evaluation step, we

191 binarized all model replicates given a modified least presence (5% of omission) and then tested
192 whether each replicate of the selected models was able to anticipate the known invasive records
193 of the species in British Columbia, Canada and Washington, USA. For each scheme, using only
194 those model replicates that met the selection criteria and correctly predicted independent
195 occurrences (known invaded localities in North America; independent testing), we created a
196 consensus per sample and two types of final consensus: (1) a median of the medians obtained for
197 each parameterization (continuous), and (2) the sum of all suitable areas derived from binarizing
198 each replicate using a modified least presence (5% omission) threshold (this represents the
199 number of coincidences; Pearson et al. 2007; Fig. 2). Our exploration of different modeling
200 pipelines allowed us to highlight how different methodologies produce different results.

201 As we transferred models to the entire world, we used the mobility-oriented parity metric
202 (MOP; Owens et al., 2013) to detect areas where strict or combinational extrapolation risks could
203 be expected, given the presence of non-analogous conditions with respect to the environments
204 manifested across the calibration area. The areas where extrapolation risks were detected using
205 MOP were deleted from our binary results (suitable areas) to avoid potentially problematic
206 interpretations based on extrapolative situations. Model calibration, production of selected
207 models with replicates, and MOP analyses were done in R using the package kuenm (Cobos et
208 al., 2019a); raster processing and independent testing of models were done using the package
209 raster in R.

210

211 *Dispersal simulations*

212 We used the binary outputs from the final consensus models (suitable and unsuitable
213 areas, without areas of strict extrapolation) to simulate invasion dynamics of the AGH. All
214 simulations were started from the Pacific Northwest, from sites already known to be occupied by
215 the AGH. The simulations were performed using the cellular automaton dynamic model included
216 in the bam R package (Osorio-Olvera & Soberón, 2020; available at
217 <https://github.com/luismurao/bam>). Under this discrete model, given an occupied area at time t ,
218 two layers of information are needed to obtain the occupied area at time $t + 1$: (i) the binary layer
219 of suitability for the species, and (ii) a connectivity matrix determined by the species' ability to
220 reach neighboring cells in one time unit (known as “Moore’s neighborhood”; Gray et al., 2003,
221 that defines patches that are connected by dispersal). At each step, each of the suitable cells can
222 be either occupied or not by the species. If a cell is occupied, adjacent cells can be visited by the
223 species, and if suitable, they become occupied. This method is similar to the one implemented in
224 the MigClim R package (Engler, Hordijk & Guisan, 2012), but uses a simpler dispersal kernel
225 and parameterization.

226 With each of the final consensus models for *V. mandarinia*, we performed a set of
227 simulations in which we explored different degrees of connectivity (1, 2, 4, 8, 10, and 12
228 neighbor cells pixels per unit time) and different suitability thresholds (10 equidistant levels from
229 3–10% of the presence points to explore variability in sensitivity to the amount of area classified
230 as suitable) to create the binary maps. Since no information is available about dispersal
231 capacities of queens of *V. mandarinia*, all simulations were done with 200 arbitrary time steps
232 that ensure reaching a steady state. In the end, we visualized the simulation results by summing
233 the occupied distribution layers obtained from each set of simulations. A value of 100 in these

234 final layers means that the species reached that cell in 100% of the simulations, whereas a value
235 of 0 means that the species never reached that cell. Further details regarding the simulation
236 processes can be found in the Supplementary Information.

237

238 *Honey production and native bee richness in North America*

239 To explore potential ecological and economic impacts of the invasion of the AGH in
240 North America, we explored annual, state-level production of honey (for Mexico, United States,
241 and Canada) as well as species richness of bumble bees (*Bombus* Latreille) and stingless bees of
242 the genus *Melipona* Illiger in Mexico and the United States. We extracted data on 2016 honey
243 production (in US dollars) for the United States from the U.S. Department of Agriculture
244 (USDA; available at [https://quickstats.nass.usda.gov/#4A0314DA-F3E5-3B06-ADD1-](https://quickstats.nass.usda.gov/#4A0314DA-F3E5-3B06-ADD1-CA8032FBD937)
245 [CA8032FBD937](https://quickstats.nass.usda.gov/#4A0314DA-F3E5-3B06-ADD1-CA8032FBD937)), from the Instituto Nacional de Estadística, Geografía e Informática (INEGI)
246 for Mexico (<https://atlasapi2019.github.io/cap4.html>), and from the government of Canada
247 website ([https://www.agr.gc.ca/eng/horticulture/horticulture-sector-reports/statistical-overview-](https://www.agr.gc.ca/eng/horticulture/horticulture-sector-reports/statistical-overview-of-the-canadian-honey-and-bee-industry-2018/?id=1571143699779)
248 [of-the-canadian-honey-and-bee-industry-2018/?id=1571143699779](https://www.agr.gc.ca/eng/horticulture/horticulture-sector-reports/statistical-overview-of-the-canadian-honey-and-bee-industry-2018/?id=1571143699779)) for Canada. For native
249 species richness, we obtained a list of species of bumble bees and stingless bees of the genus
250 *Melipona* that occur in Mexico and the United States from Discover Life
251 (<https://www.discoverlife.org/>) and downloaded their occurrence data from GBIF. We chose
252 these bee taxa as likely targets of AGH because the species in these groups are of similar body
253 size and behavior to the typical prey of these hornets: they are social insects that form annual or
254 perennial colonies that can have a few hundreds to as many as 10,000 individuals (Cueva del
255 Castillo, Sanabria-Urbán & Serrano-Meneses, 2015; Viana et al., 2015), and store honey and
256 pollen inside their nests (Michener, 2000). To summarize species richness of these two genera,

257 we created a presence absence matrix (PAM; Arita et al., 2008) for North America, based on
258 geographic coordinates of occurrence data, with a pixel size of one degree. The PAM was
259 created in R with the package biosurvey (Nuñez-Penichet et al., 2020; available at
260 <https://github.com/claununez/biosurvey>).

261 To assure transparency and reproducibility of our work, we include an Overview, Data,
262 Model, Assessment, and Prediction protocol (ODMAP; Zurell et al., 2020) in our supplementary
263 materials. This metadata summary provides a detailed key to the steps of our analyses. The data
264 and R code used in this research are openly available at <http://hdl.handle.net/1808/30602> and
265 <https://github.com/townpeterson/vespa> repositories, respectively.

266

267 **Results**

268 *Model calibration*

269 The number of models that met the selection criteria was considerably smaller than the
270 total number of models tested (Table 1, see Supplemental information for more details of the
271 calibration results). The calibration schemes including raw variables had fewer models selected
272 than those using PCs (11, 19, 6, 15 models selected for raw/distance-thinned, PC/distance-
273 thinned, raw/country-density, and PC/country-density, respectively). Not all replicates of
274 selected models anticipated the *V. mandarinia* invaded areas in North America successfully, so
275 we kept only those that predicted all known invasive records. The number of replicates retained
276 varied among distinct calibration schemes and types of extrapolation used (Table 1).

277

278 *Ecological niche model predictions*

279 In our models, areas predicted as suitable for the AGH varied among calibration schemes,
280 in both extension and geographic pattern (Fig. 3, Figures S2-S4). The differences are
281 conspicuous between the two types of thinning approaches, which resulted in models created
282 with different numbers of occurrence records. Models with country-density thinning (18 records)
283 resulted in broad predicted suitable areas worldwide, with areas of higher values of suitability
284 concentrated in tropical regions (Fig. 3, Figures S2-S4). In contrast, models created with the
285 greater number of occurrences (49 records) from the geographic distance thinning predicted
286 more patches of suitable areas across large extensions of Southeast Asia, Europe, West Africa,
287 Central America, northern South America, and the Pacific Northwest and southeastern United
288 States (Fig. 3, Figures S2-S4). In the calibration area, the areas detected with high levels of
289 suitability were larger in the scheme with geographic distance thinned occurrences and the raw
290 variables and smaller in the predictions obtained with the country-density thinned occurrences
291 and the PCs as environmental predictors (Fig. 3). In all schemes, the two northernmost
292 occurrence points of this species in China were accorded relatively low levels of suitability (Fig.
293 3). Predicted suitable areas for this hornet worldwide were also different among types of
294 extrapolation considered in this study, especially as regards its distribution size rather than
295 location (Figures S2-S4).

296 In North America, across multiple model calibration schemes, our various models agreed
297 in predicting suitable areas for AGH in the Pacific region of southwestern Canada, the Pacific
298 Northwest, the southeastern United States, and from central Mexico south to southernmost
299 Panama (Fig. 4). Our model calibration schemes also agreed in identifying the Rocky Mountains
300 and Great Plains as unsuitable for this species (Fig. 4).

301 The proportion of area identified as suitable varied among the data thinning schemes. In
302 the case of models created with raw variables, the proportion was 0.171 and 0.164 for spatially
303 thinned and country-density thinned records, respectively. When PCs were used, suitable
304 proportions were 0.248 and 0.239, for spatially thinned and country-density thinned records,
305 respectively (Table S3).

306 *Extrapolation risks in model projections*

307 The pattern of areas detected with risk of extrapolation was similar worldwide between
308 thinning methods, but different between raw variables and PCs (Fig. 5, Figure S8). Most tropical
309 areas predicted as suitable were identified as regions with high extrapolation risk (Figure S8).
310 For raw variables, the areas with extrapolation risk in North America included most of Canada
311 and Alaska, whereas for PCs areas with extrapolation risk included large portions of Mexico and,
312 the central-southwestern United States, as well as the islands north of Hudson Bay in Canada
313 (Fig. 5).

314

315 *Simulations of potential invasion*

316 The simulations of potential sequences of colonization and dispersal of AGH in North
317 America, starting from the known invaded localities, showed agreement among calibration
318 schemes in predicting an invasion across the Pacific Northwest from southernmost Alaska to
319 southernmost California in the United States (Fig. 6). In contrast, we found that the dispersal
320 distance required to invade all the way to the East Coast of North America varied among
321 calibration schemes. In the schemes using raw variables, the route of invasion to reach the East
322 Coast goes from the Pacific Northwest down to California and Mexico, and then up the East

323 Coast of North America. A dispersal distance of 10 cells (where each cell represents ~18 km)
324 was enough to reach the East Coast (see A and C panels in Fig. 6). For the scheme using the 50
325 km spatially-thinned occurrences and PCs, the invasion follows a more direct route from the
326 Pacific Northwest to the East Coast that goes through the United States, and the required
327 dispersal distance to reach the East Coast was only 4 cells (Fig. 6B). Finally, in the case of
328 country-density thinned occurrences and PCs, the invasion goes from the Pacific Northwest
329 through Canada to the Atlantic, and then down the East Coast to the United States. A distance of
330 8 cells was needed to make this invasion route possible (Fig. 6D).

331

332 *Honey production and native bee richness*

333 The areas in North America that our models identified as highly suitable for AGH
334 overlapped broadly with the states where honey production is highest. This overlap was
335 particularly noticeable in southern Mexico and in some states of the Pacific Northwest and
336 eastern US (Fig. 7). We found a similar pattern with the species richness of *Bombus* and
337 *Melipona*.

338

339 Discussion

340 The patterns of suitability that we found in North America across multiple input data
341 processing schemes are broadly concurrent with the results obtained by Zhu et al. (2020) and
342 Alaniz et al. (2020) (Fig. 6), who used an ensemble modeling approach for the potential invasion
343 of AGH. This concordance with the results of these works (both among our selected models, and

344 between our models and the ensemble models), gives us confidence that the Pacific Northwest
345 and southeastern United States represent suitable areas for AGH. In contrast with the results of
346 Zhu et al. (2020), however, our dispersal simulations indicate a larger potential invasion area in
347 the United States, with the AGH potentially crossing to eastern North America via a southern
348 invasion route, through Mexico and Texas; a southeast-ward route crossing Idaho, Wyoming,
349 and Colorado; or a northern route across Canada and the Great Lakes region (Fig. 6).

350 Quantifying the probability of the AGH following any one of the individual dispersal
351 routes presented would require precise quantification of dispersal ability, and discerning the real-
352 world validity of each of the four modeling outcomes. Instead of attempting to guess, we present
353 several models that offer multiple plausible invasion scenarios. Across all scenarios presented,
354 the AGH is expected to establish populations along the coastal Pacific Northwest via short-
355 distance dispersal, and it is likely to invade the southeastern United States if it has even moderate
356 dispersal potential (Fig. 6). It is important to note that these potential invasion routes consider
357 only the natural dispersal ability of this hornet, and do not take into account the effect of
358 potential accidental human-aided dispersal through the transport of soil and wood, where
359 fertilized queen AGHs overwinter (Archer, 1995). Such unwitting human-aided dispersal is a
360 serious concern, as it could potentiate a rapid invasion of this hornet to environmentally suitable,
361 yet currently isolated places across North America. Our simulations allowing AGH to disperse to
362 larger numbers of neighbor cells are perhaps a good illustration of what could be expected if
363 dispersal events to very long distances occur.

364 Contrasts between our prediction of extensive invasion potential, and Zhu et al.'s (2020)
365 more conservative predictions, arise from Zhu et al.'s (2020) use of MigClim (Engler, Hordijk &
366 Guisan, 2012) to model dispersal of the AGH in western North America. MigClim is a cellular

367 automaton platform that models the state of grid cells as occupied or unoccupied. Although we
368 used the same modelling technique, our dispersal kernel is a much simpler “Moore
369 Neighborhood” (Gray et al., 2003) approach, in which cells surrounding an occupied focal cell
370 (to $1, 2, \dots, d$ neighbors) may become occupied, depending on their suitability. MigClim instead
371 assumes a probabilistic contagion model that requires parameter estimates for number of
372 propagules, and short- and long-distance-decay rates. Given the lack of empirical data to inform
373 values for those parameters, we prefer a simpler algorithm to explore how connected clusters of
374 suitable cells are across different values of the single parameter d . Another factor resulting in
375 these differences is the number of simulation steps used in our approach (200). From a biological
376 perspective, this implies that 200 dispersal events resulting in colonization of suitable cells
377 happened. Although this number may appear excessive, it gives a view of a scenario in which no
378 action is taken to prevent AGH invasion in North America and the species builds to large local
379 populations. For a more conservative view of the expected invasion, one could concentrate in
380 areas with high values of suitability on the layers obtained from our simulations.

381 The areas in North America that our models identified as highly suitable for this hornet
382 overlap broadly with the states where honey production is highest, and species richness of
383 *Bombus* and *Melipona* are highest (Fig. 7). These results give credence to public concerns that, if
384 established, the AGH could pose a serious economic threat to the beekeeping industry in Oregon,
385 northern California, Georgia, Alabama, and Florida. In the United States alone, the European
386 honey bee provides at least \$15 billion worth of pollination services and generates between \$300
387 and 500 million in harvestable honey and other products each year (Calderone, 2012). Indeed,
388 Alaniz et al. (2020) estimate that if spread across the US, the AGH could threaten between 11
389 and 100 million dollars for hive derived products and honey bee-pollinated crops production. In

390 Mexico, impacts on the honey bee industry are also expected in tropical areas of the country that
391 have suitable areas for the AGH, particularly in the states of Yucatán, Campeche, and Quintana
392 Roo. Beekeepers in the United States and Mexico may have to adopt mitigation practices to
393 avoid serious losses, such as those developed by Japanese beekeepers including the use of
394 protective screens or traps at the hive entrance that can exclude AGHs based on body size
395 (Matsuura & Sakagami, 1973; Mahdi, Glaiim & Ibrahim, 2008). Potential establishment of the
396 AGH in North America adds an additional layer of environmental and economic stress to a
397 beekeeping industry already suffering from high annual hive mortality rates resulting from the
398 combined effects of pesticides, diseases, and poor nutrition (Goulson et al., 2015).

399 The ecological impact of AGH on the local bee fauna is more challenging to predict than
400 the economic impact on honey production, because it is not clear which native bee species would
401 be particularly targeted by AGH in North America. We explore *Bombus* and *Melipona* species as
402 potential prey candidates of AGH because, among the >4000 bee species occurring in this region
403 (Ascher & Pickering, 2020), these two groups of bees are social, locally abundant, and make
404 annual or perennial colonies (Michener, 2007; Cueva del Castillo, Sanabria-Urbán &
405 Serrano-Meneses, 2015; Viana et al., 2015). Thus, they may represent predictable food sources
406 for the AGH, particularly in areas where bee colonies remain active year-round. It is crucial to
407 consider this potential threat because both *Bombus* and *Melipona* bees are important pollinators
408 that have already experienced population losses and local extirpations, reflecting changes in
409 landscape and agricultural intensification (Brown & Albrecht, 2001; Cameron et al., 2011).
410 Furthermore, these North American bee species are predicted to lack the specialized behavioral
411 responses exhibited by the Asian honey bee, which makes them vulnerable to the attacks of the
412 AGH. The economic and cultural importance of *Melipona* species in America is well-

413 documented, particularly in the Yucatan Peninsula in Mexico, where these bees have been
414 traditionally raised for honey and were even considered gods outright in Mayan times (Ayala,
415 Gonzalez & Engel, 2013; Quezada-Euán et al., 2018). It is important to mention, however, that
416 the risk to *Melipona* species may be lower than that to *Bombus* species because entrances to the
417 hives of some species of *Melipona* are narrow, allowing a single bee to pass at a time (Couvillon
418 et al., 2007), unlike the entrances to the hives of honey bees and many bumble bees, which are
419 wider.

420 The AGH is not the first Hymenoptera to invade North America, and species of *Vespa* are
421 well-known for their invasive success and excellent dispersal capacity (Beggs et al., 2011;
422 Monceau, Bonnard & Thiéry, 2014). The European hornet, *Vespa crabro* L., a Eurasian species
423 that was accidentally introduced to North America in the 1800s, occupies a range in the United
424 States that encompasses most state east of the Great Plains (Smith-Pardo et al. 2020). The
425 solitary giant resin bee, *Challomegachile sculpturalis* is an Asian taxon which was recently
426 introduced in the United States. Only 15 years after its initial detection near Baltimore,
427 Maryland, this species had invaded most of the southeastern United States (Hinojosa-Díaz et al.,
428 2005). These examples indicate considerable precedent for hornet invasion and establishment in
429 the southeastern United States, but the AGH poses a unique biodiversity risk as a direct predator
430 of bees. Because the Pacific Northwest is consistently predicted as suitable for the AGH,
431 preventing further establishment and spread of recently detected introduced populations near
432 Seattle and Vancouver is essential. If these introduced individuals are not eradicated, they may
433 flourish under the suitable climatic conditions, establishing many more colonies that will be
434 difficult to control. Preventing establishment of the AGH in the Pacific Northwest is especially
435 critical because an established AGH population in the Pacific Northwest would provide a source

436 population for potential long-range dispersers that could use multiple potential invasion routes
437 (Fig. 6) to reach suitable habitat in the eastern United States, facilitating full-scale invasion. In
438 light of this, we recommend official monitoring protocols for the vulnerable Pacific Northwest
439 region including on-going citizen science and outreach efforts (<https://agr.wa.gov/hornets>),
440 which may be the fastest and most effective way to detect potential range expansions.

441 Although AGH is primarily found in temperate areas in its native range, some of its
442 populations reach subtropical regions like Taiwan (Archer, 2008), which indicates a broad
443 temperature tolerance. This southern part of the species' native range might explain why our
444 models predicted suitable areas in South America, Africa, and elsewhere (Figure 2S-S7).
445 Although temperature is a critical factor that determines the abundance and distribution of
446 organisms (Sunday, Bates & Dulvy, 2012), factors such as desiccation resistance may be equally
447 important for some species. For example, for ants and some bees, desiccation tolerance is a good
448 predictor of species' distributions (Bujan, Yanoviak & Kaspari, 2016; Burdine & McCluney,
449 2019). For example, humidity is important for the regulation of temperature in nests of the
450 European hornet (Klingner et al., 2005) and, in some species of stingless bees, regulation of
451 humidity appears to be more important than regulation of temperature to maintain colony health
452 (Ayton et al., 2016). Unfortunately, heat and desiccation tolerances, factors that might improve
453 predictions of this species' distributional potential, are unknown for the AGH. In other hornets,
454 subtropical populations tend to have longer population cycles than temperate populations
455 (Archer, 2008), so negative impacts of an AGH invasion may be stronger in tropical or
456 subtropical areas.

457 In summary, our modeling approach allowed us to recognize how predicted suitable
458 areas can be depending on distinct schemes of data treatment. We showed that this variability

459 can derive from crucial decisions made during the initial steps of ecological niche modeling
460 exercises. These results highlight the importance of such initial decisions, as well as the need to
461 recognize sources of variability in predictions of suitability. This point is of special importance
462 in predicting the potential for expansion of invasive species, as uncertainty increases when
463 models are transferred to areas where environmental conditions are different. Our analyses and
464 simulations revealed the potential of the AGH to invade large areas in North America and the
465 likely paths of such an invasion. We also showed that predicted suitable areas for the AGH
466 overlap broadly with those where honey production is highest in the United States and Mexico,
467 as well as with species-rich areas for bumble bees and stingless bees. These results bring light to
468 the potential implications of uncontrolled dispersal of the AGH to suitable environments in
469 North America, and highlight the need for rapid eradication actions to mitigate potential
470 biodiversity and economic losses.

471

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702 **Tables**

703 Table 1. Summary of results of ecological niche modeling for *Vespa mandarinia*, including
704 model calibration, model evaluation, and relevant Maxent settings for models selected after
705 independent testing. Maxent settings are represented in the columns ‘Regularization multiplier,’
706 ‘Feature classes,’ and ‘Variable sets’. The column ‘Calibration processes’ refers to each of the
707 five calibrations processes that were done with different sets of random points, for every
708 calibration scheme. The variables included in the sets mentioned on this table can be found in
709 Table 1S-2S. E: free extrapolation; EC: extrapolation with clamping; NE: no extrapolation, PCs:
710 principal components.

711 **Figures**

712 Figure 1. Hypothesis of accessible areas (**M**) and representation of the occurrence records of
713 *Vespa mandarinia* across its native distribution. The three panels represent the occurrences left
714 after cleaning (A) and after applying the two thinning approaches (B and C).

715 Figure 2. Schematic representation of methods used to obtain ecological niche models for *Vespa*
716 *mandarinia*. The aim of the modeling process was to consider the variability resulting from
717 different procedures and methodological decisions made during model calibration.

718 Figure 3. Median of potentially suitable areas for *Vespa mandarinia* predicted with free
719 extrapolation for different calibration schemes in the calibration area (A, C, E, G) and in North
720 America (B, D, F, H). Only models that anticipated the invaded areas of North America were
721 included. The color pallet is standard for all figure panels.

722 Figure 4. Sum of all suitable areas for *Vespa mandarinia* in North America derived from
723 binarizing each replicate of selected models (model transfers done with extrapolation) using a
724 5% threshold. Each replicate predicted the known invaded localities of this hornet.

725 Figure 5. Agreement of areas with extrapolation risk for models of *Vespa mandarinia* in North
726 America, separated by calibration schemes.

727 Figure 6. Results from simulations of the potential dynamics of invasion of *Vespa mandarinia* in
728 North America. Dark shades of green show areas that the species reached in a high percentage of
729 scenarios, while light shades of green represent areas reached only rarely by the species. Arrows
730 represent the general path of potential invasion.

731 Figure 7. Representation of potential ecological and economic impacts of an invasion of *Vespa*

732 *mandarina*. A: honey production (in US dollars) in Mexico and the United States in 2016. B:
733 species richness of the genera *Bombus* (bumble bees) and *Melipona* (stingless bees) in North
734 America. The area shaded in gray represents the simulated potential invaded area of *Vespa*
735 *mandarina* in North America obtained with the 50 km spatial thinning occurrences and PCs as
736 environmental predictors. We used this scenario because is the one that best connects the known
737 invaded areas with the eastern United States.

Figure 1

Hypothesis of accessible areas (M) and representation of the occurrence records of *Vespa mandarinia* across its native distribution.

The three panels represent the occurrences left after cleaning (A) and after applying the two thinning approaches (B and C).

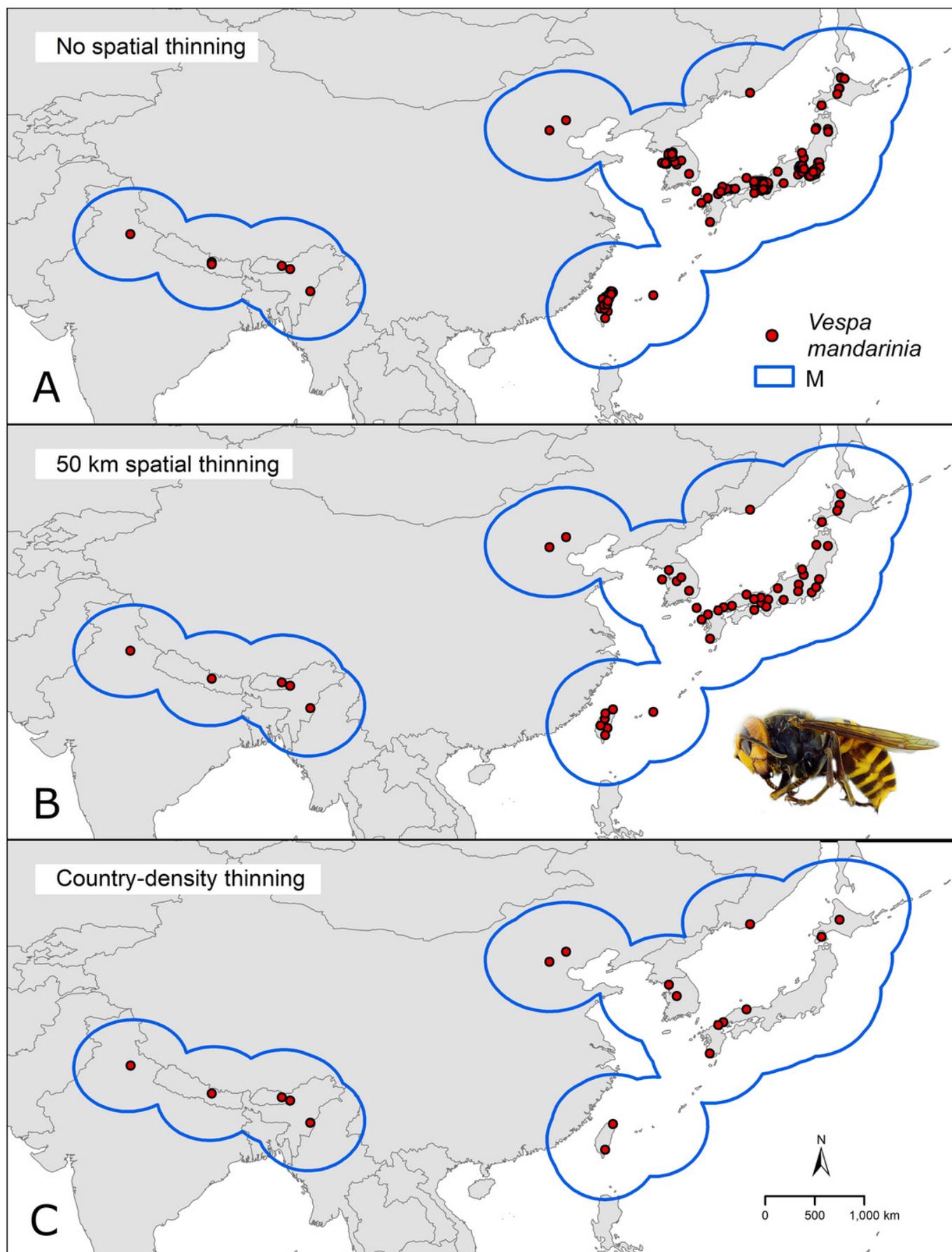


Figure 2

Schematic representation of methods used to obtain ecological niche models for *Vespa mandarinia*.

The aim of the modeling process was to consider the variability resulting from different procedures and methodological decisions made during model calibration.

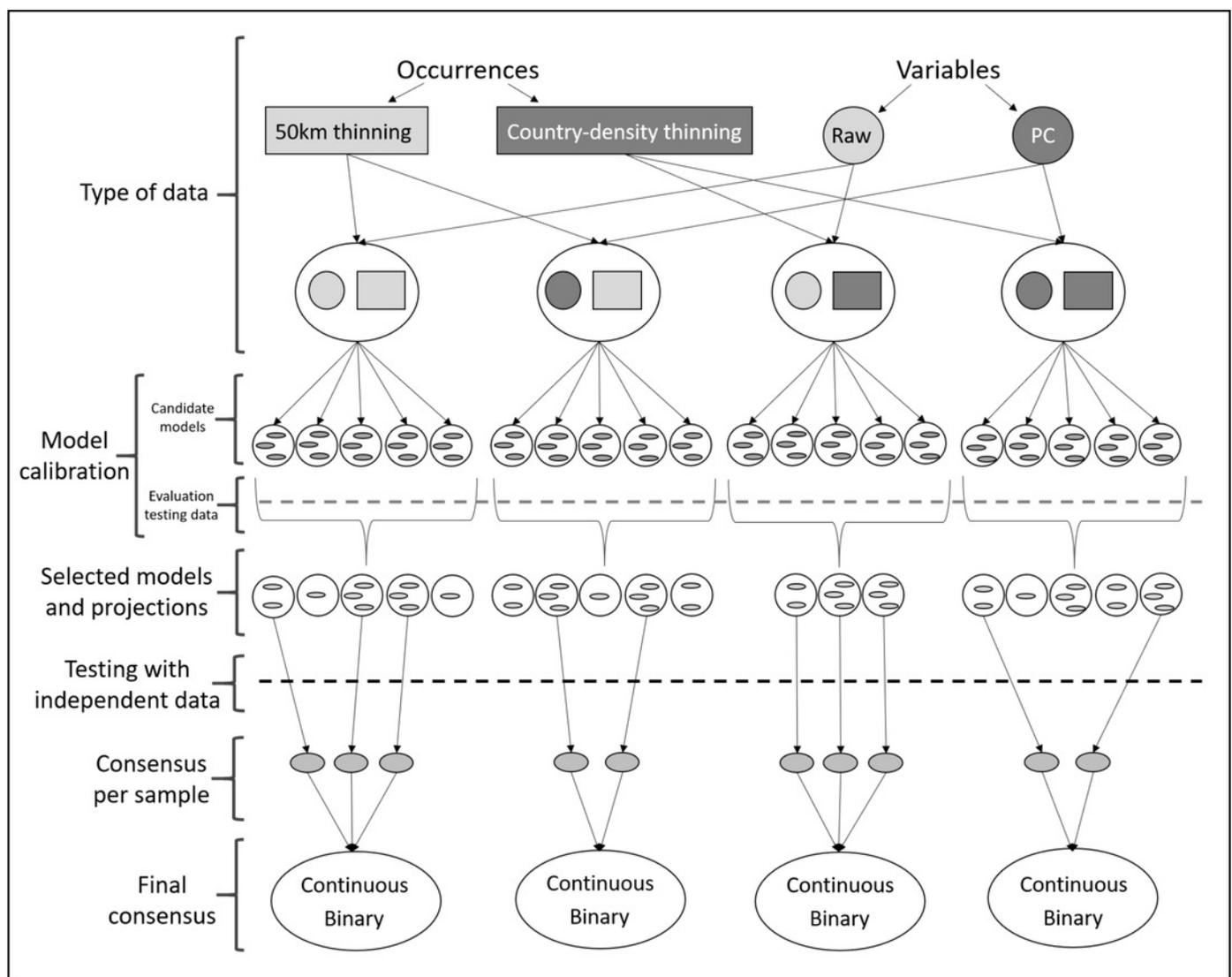


Figure 3

Median of potentially suitable areas for *Vespa mandarinia* predicted with free extrapolation for different calibration schemes in the calibration area (A, C, E, G) and in North America (B, D, F, H).

Only models that anticipated the invaded areas of North America were included. The color pallet is standard for all figure panels.

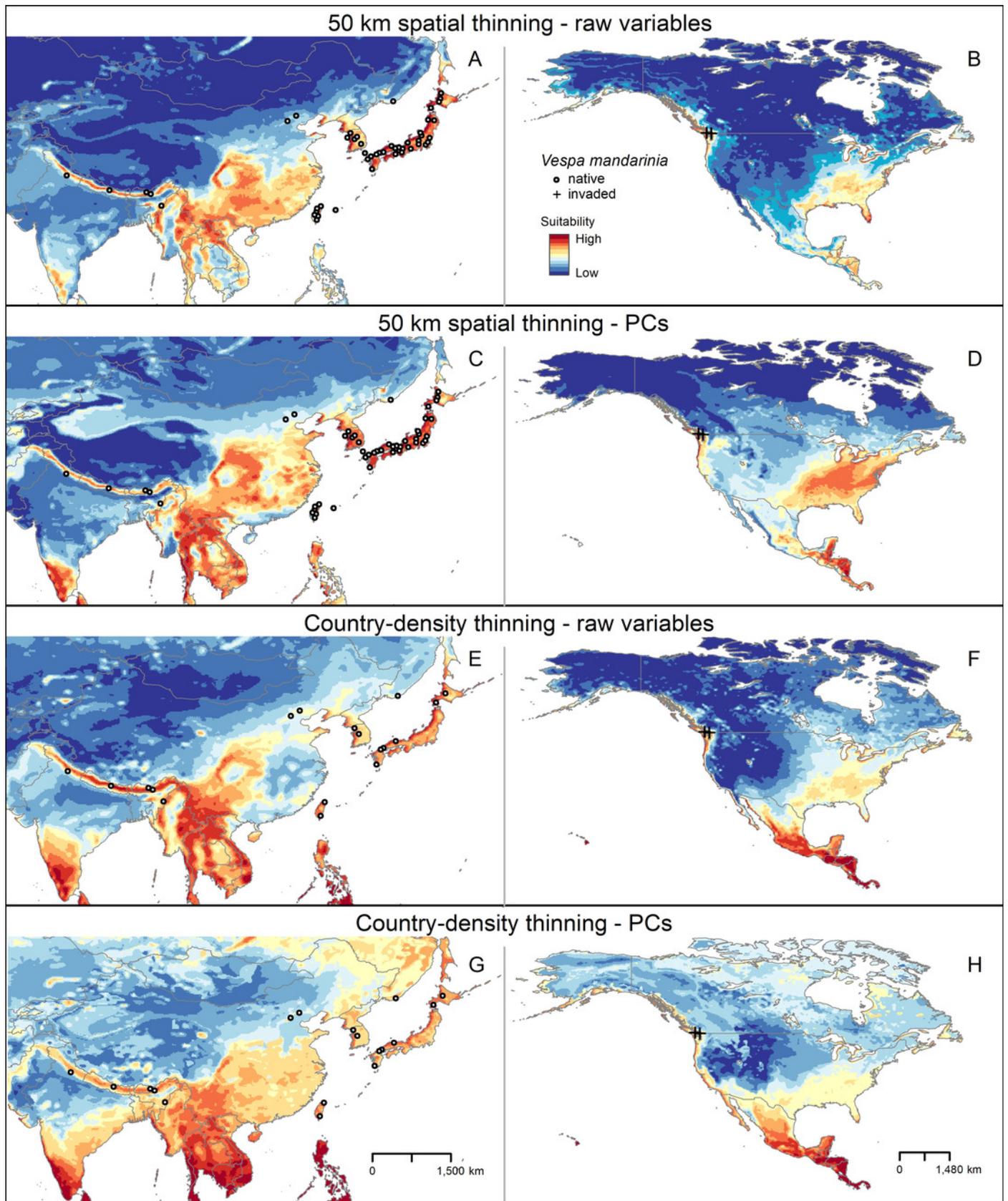


Figure 4

Sum of all suitable areas for *Vespa mandarinia* in North America derived from binarizing each replicate of selected models (model transfers done with extrapolation), using a 5% threshold.

Each replicate predicted the known invaded localities of this hornet.

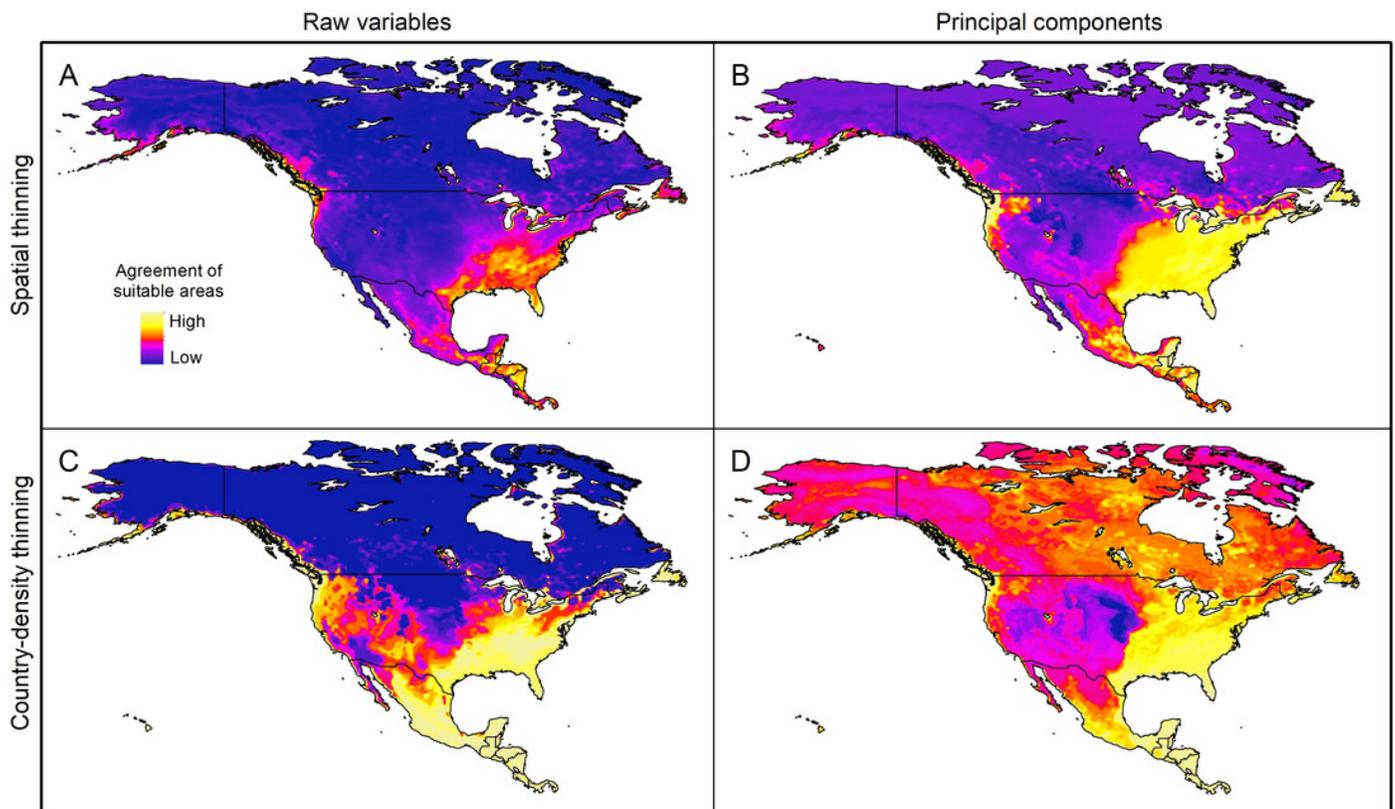


Figure 5

Agreement of areas with extrapolation risk for models of *Vespa mandarinia* in North America, separated by calibration schemes.

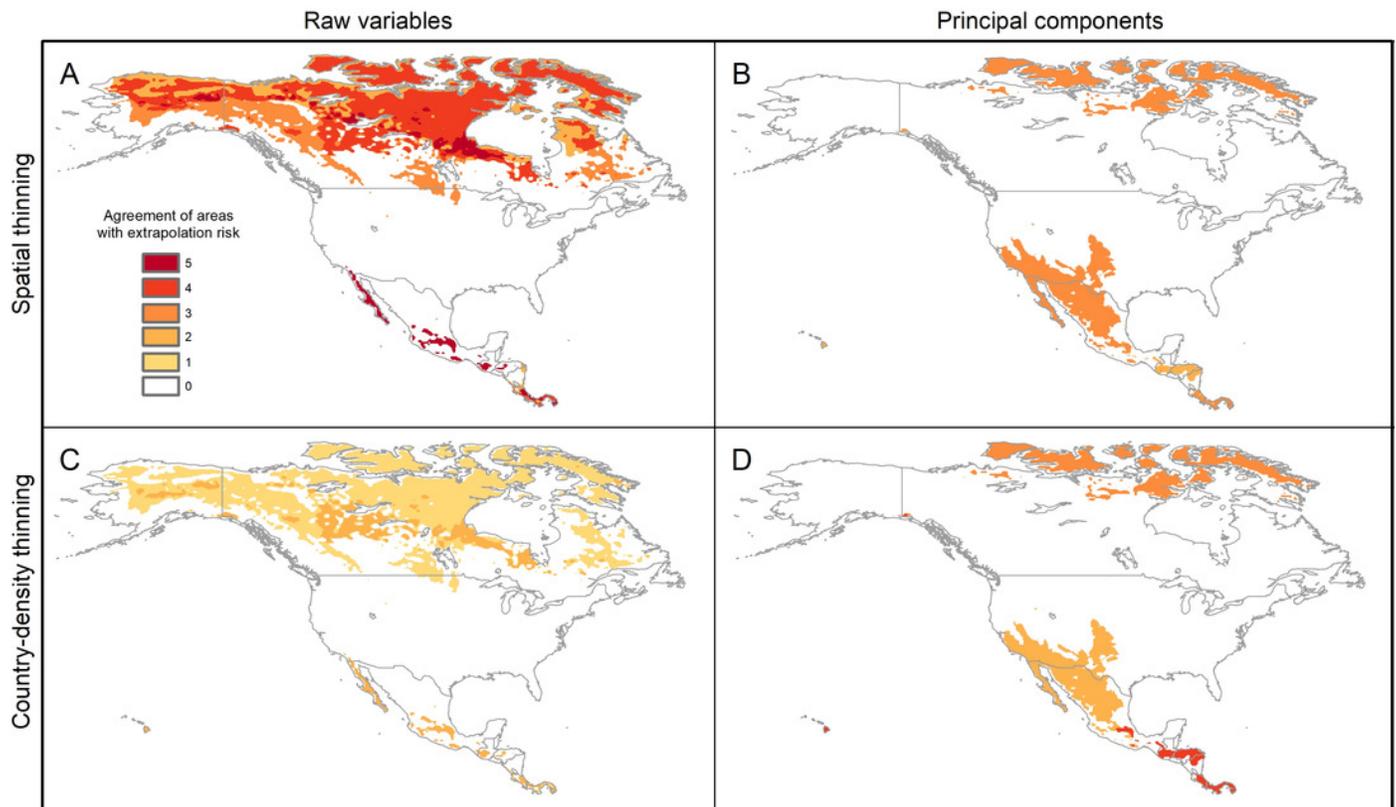


Figure 6

Results from simulations of the potential dynamics of invasion of *Vespa mandarinia* in North America.

Dark shades of green show areas that the species reached in a high percentage of scenarios, while light shades of green represent areas reached only rarely by the species. Arrows represent the general path of potential invasion.

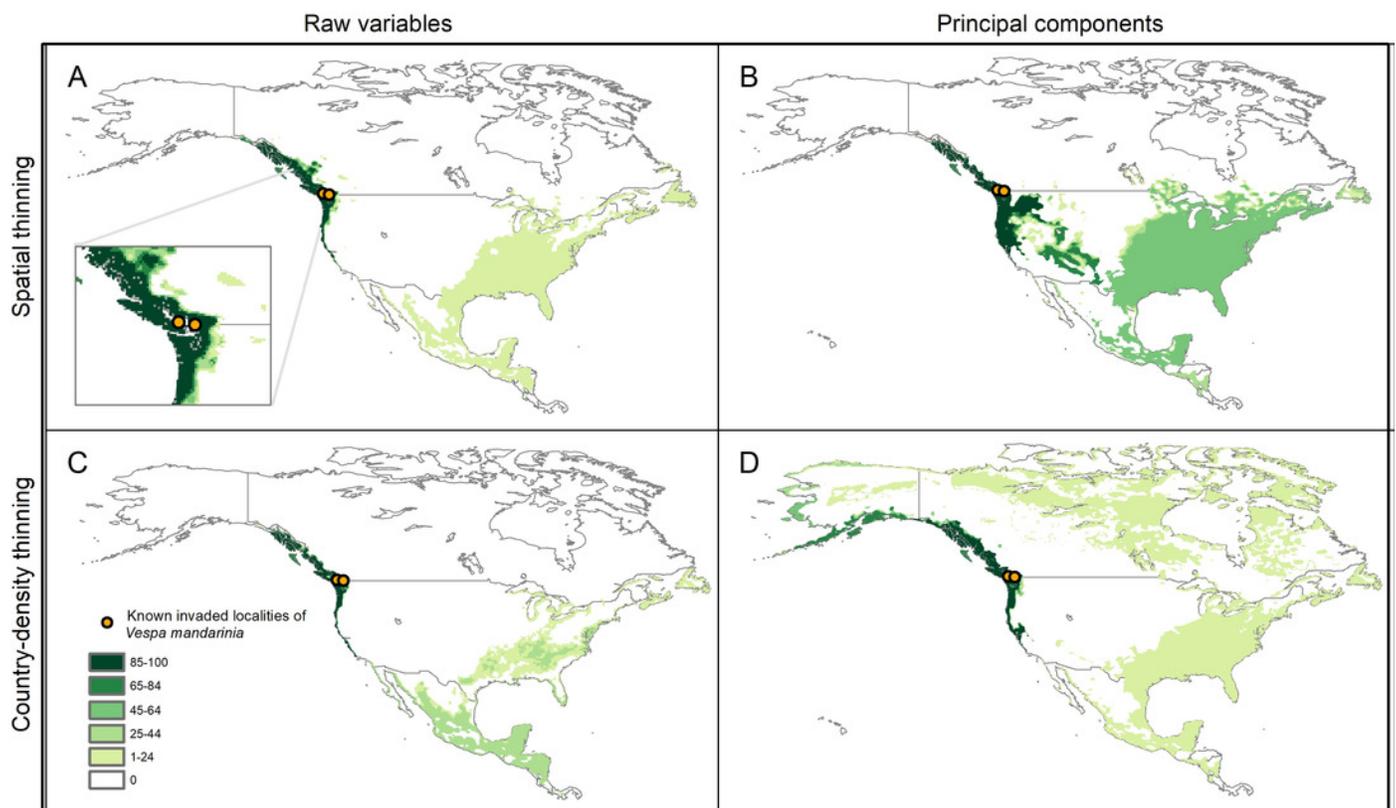


Figure 7

Representation of potential ecological and economic impacts of an invasion of *Vespa mandarinia*.

A: honey production (in US dollars) in Mexico and the United States in 2016. B: species richness of the genera *Bombus* (bumble bees) and *Melipona* (stingless bees) in North America. The area shaded in gray represents the simulated potential invaded area of *Vespa mandarinia* in North America obtained with the 50 km spatial thinning occurrences and PCs as environmental predictors. We used this scenario because is the one that best connects the known invaded areas with the eastern United States.

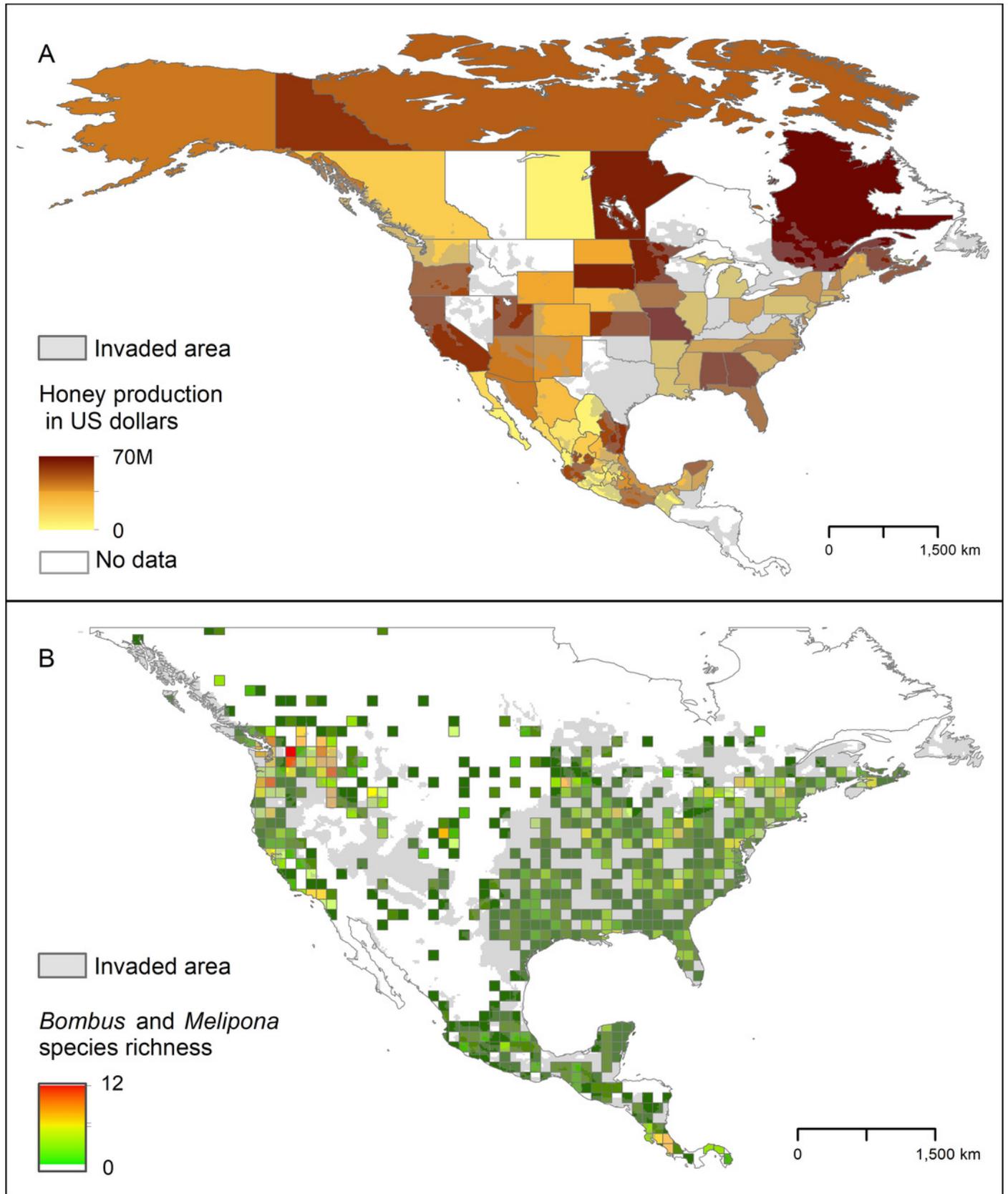


Table 1 (on next page)

Summary of results of ecological niche modeling for *Vespa mandarinia*, including model calibration, model evaluation, and relevant Maxent settings for models selected after independent testing.

Maxent settings are represented in the columns 'Regularization multiplier,' 'Feature classes,' and 'Variable sets'. The column 'Calibration processes' refers to each of the five calibrations processes that were done with different sets of random points, for every calibration scheme. The variables included in the sets mentioned on this table can be found in Table 1S-2S. E: free extrapolation; EC: extrapolation with clamping; NE: no extrapolation, PCs: principal components.

Calibration scheme	Calibration processes	Models meeting selection criteria	Models predicting independent records (E; EC; NE)	Regularization multiplier	Feature classes	Variable sets
Raw variables and distance thinned occurrences	1	6	8; 2; 10	0.25; 0.5; 0.75	lq; lqpt	42; 43; 50; 51; 57
	2	1	-	-	-	-
	3	1	6; 4; 4	0.75	lqpth	12
	4	1	2; -; 1	0.25	lq	21
	5	2	7; 7; 13	0.1; 0.25	lq	26
PCs and distance thinned occurrences	1	4	24; 18; 20	5	lqph; lqpth	7; 11
	2	2	10; 5; 5	0.25; 0.5	qp	11
	3	4	22; 23; 19	0.1; 0.25; 0.5; 0.75	lp	11
	4	3	9; 8; 11	0.25; 0.5; 0.75	qp	7
	5	6	21; 21; 26	0.1; .25; 0.5; 0.75	lqp	2; 9
Raw variables and country-density thinned occurrences	1	1	4; 4; 6	0.1	lqp	22
	2	2	4; 11; 8	0.1	lq; lqp	5; 22
	3	-	-	-	-	-
	4	3	15; 13; 16	0.1; 2	lq; lqph; lqpth	13; 32
	5	-	-	-	-	-
PCs and country-density thinned occurrences	1	7	32; 31; 32	0.25; 0.5; 0.7; 1	lp; lqpt; lqpth	2; 4; 5; 6; 8
	2	1	1; 3; 1	1	lqpth	8
	3	1	5; 6; 7	0.1	q	4
	4	4	24; 22; 18	0.1; 0.25; 0.5; 0.74	lp	1
	5	2	5; 7; 5	1	lqp	1; 6

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