

Social signals decrease the effectiveness of ethanol in zebrafish

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## ABSTRACT

Pharmacological and toxicological studies involving aquatic species often expose organisms to compounds in isolation prior to physiological or behavioural testing. Recent evidence suggests that the presence of conspecifics during a stressful event can modulate behavioural outcomes (called 'social buffering') when testing occurs within the same context. It is unknown, however, whether the social environment during exposure interacts with the efficacy of anxiety-altering substances when subsequently tested in the absence of conspecifics. In this study, zebrafish were individually exposed to habitat water or ethanol (1.0% vol/vol) while untreated conspecifics were visually present or absent during dosing. Using the novel object approach test, a validated test of boldness behaviour, we observed significant effects of ethanol in isolated fish, but not in fish that had view of conspecifics during dosing. These results were not explained by locomotion during exposure and highlight the need to consider the social environment during exposure when conducting and interpreting behavioural research involving drug or toxicant exposure.

**KEYWORDS:** Social Buffering, Behavioural Mimicry, Ethanol, Zebrafish, Novel Object Approach Test, Boldness, Anxiety-like behaviour

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## INTRODUCTION

Living in a social environment offers many evolutionary advantages. Belonging to a group facilitates reproduction, allows the earlier detection and evasion of predators, and improves food localization (Rubinstein, 1978). Social cues are commonly the mechanism that convey these messages between conspecifics and can guide responses in uncertain situations (Suboski et al., 1990). Another benefit of the presence of conspecifics is a resulting decrease in stress level that minimizes the impact of stressful situations (Kikusui, Winslow & Mori, 2006). This phenomenon, known as ‘social buffering’, has been experimentally demonstrated in many species including cats (Masserman, 1943), goats (Liddell, 1949), rats (Davitz & Donald, 1955; Latané, 1969), humans (Hostinar, Johnson & Gunnar, 2015) and recently, zebrafish (Oliveira & Faustino, 2017; Faustino, Tacão-Monteiro & Oliveira, 2017).

The zebrafish has become a popular model organism for use in a variety of scientific disciplines including pharmacology. Behavioural neuroscience tests can be used to analyze a wide variety of cognitive processes in zebrafish including episodic-like memory (Hamilton et al., 2017), object recognition memory (May et al., 2016), classically conditioned memory (Sison & Gerlai, 2010), fear (Speedie & Gerlai, 2008), and anxiety-like behaviour (Maximino, de Brito & da Silva Batista, 2010). To test anxiety-like behaviour there are a variety of paradigms available, with the most common being the light/dark preference and novel tank diving tests (for a review see (Maximino, de Brito & da Silva Batista, 2010)). Due to the reliability of these tests and the practical simplicity in which psychopharmacological substances can be administered in zebrafish (Gerald, Lee & Blaser, 2006), adaptive behavioural responses can be easily manipulated with anxiolytic (anxiety-reducing) and anxiogenic (anxiety-enhancing) compounds (Collier & Echevarria, 2013). Recent evidence, however, suggests that the social environment in which anxiety-

87 altering compounds are administered and/or tested in may influence the behavioural effects of  
88 these substances in zebrafish, which can complicate conclusions.

89 Visual and olfactory conspecific cues have recently been found to protect zebrafish  
90 against the effects of an anxiogenic compound when exposure and testing occurs within the same  
91 environment. In a study that examined social buffering in zebrafish, the sight and/or smell of  
92 conspecifics was found to lessen the anxiogenic effects of an alarm substance (Faustino, Tacão-  
93 Monteiro & Oliveira, 2017). When this compound was administered in the same location where  
94 behavioural testing took place, fish exposed to conspecific water and alarm substance while next  
95 to a tank containing untreated conspecifics displayed significantly less freezing and erratic  
96 movements than when the adjacent tank remained empty and no conspecific water was added  
97 (Faustino, Tacão-Monteiro & Oliveira, 2017). When the effectiveness of each type of cue was  
98 tested, visual cues were more effective than olfactory in reducing aversive behaviours in  
99 zebrafish (Faustino, Tacão-Monteiro & Oliveira, 2017).

100 In the majority of acute pharmacological experiments in fish, substances are administered  
101 while fish are isolated from conspecifics and the exposed fish are then transferred to a behav-  
102 ioural arena for testing (Stewart et al., 2012). Few studies, however, specify whether conspecifics  
103 are within or outside of view during dosing (Table. 1), and to the best of our knowledge, no  
104 study has examined whether this may influence the efficacy of anxiety-altering substances when  
105 subsequently tested in the absence of conspecifics. It is also unknown whether social buffering  
106 may also act to alter the effects of anxiolytic substances. To test these questions, we exposed in-  
107 dividual zebrafish to either habitat water or ethanol (1.0% vol/vol) while untreated conspecifics  
108 were visually present or absent for the entire exposure period. Following exposure, the fish were  
109 transferred to the novel object approach test which uses the exploration or avoidance of a novel

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**Comment [1]:** Reference? Is it the study that follows in the next paragraph? Then presumably this should all be one paragraph.

110 object to quantify anxiety-like behaviour and boldness (Dean et al., 2020; Krook et al., 2019;  
111 Leighton et al., 2018). Finally, we tested whether fish move at different rates and remain closer  
112 to conspecifics during the dosing period itself, in order to determine whether the social condition  
113 (Isolated vs. In-view) influences behaviour during exposure.

114

## 115 METHODS

116

### 117 Subjects and housing

118

119 Short-fin wild-type zebrafish (n = 90) were acquired from Aquatic Imports (Calgary, AB) at a  
120 minimum age of 9-months. Fish were experimentally naïve and comprised of mixed males and  
121 females (~50/50 ratio). Following a month-long quarantine period, the fish were held in either 3  
122 or 10L polypropylene tanks within a three-shelf bench top system (Aquatic Habitats, Aquatic  
123 Ecosystems, Inc. Apopka, FL, USA) which was controlled for filtration and aeration. No fish  
124 was ever housed in isolation and tank capacities never exceeded five fish per liter. Temperature  
125 and pH remained between 26 - 30°C and 6.0 – 8.0, respectively. Lights were kept on a 12-hour  
126 light/dark cycle with lights on at 8AM and off at 8PM. Fish were fed dry brine shrimp (Omega  
127 One Freeze Dried Mysis Shrimp nutri-treat, OmegaSea Ltd., Germany) once per day after exper-  
128 imentation. All experiments were approved by the MacEwan University Animal Research Ethics  
129 Board (AREB) under protocol number 05-12-13 in compliance with the Canadian Council for  
130 Animal Care (CCAC) guidelines for the care and use of experimental animals.

131

### 132 Experimental design

133 The study used a 2 x 2 factorial design. The between-subject experimental variables

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**Moved down [1]:** we performed additional experiments to determine whether the social condition (*Isolated* vs. *In-view*) influences behaviour during exposure. In these experiments,

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142 included visual access of conspecifics (*Isolated or In-view*) and the type of substance the fish  
143 were exposed to (habitat water (CTL) or ethanol) while in the dosing containers. Prior to experi-  
144 mentation, fish were randomly assigned to one of four groups: *Isolated-CTL*, *Isolated-Ethanol*,  
145 *In-view-CTL*, and *In-view-Ethanol*. Following exposure, anxiety-like behaviours were tested in  
146 the novel object approach test to examine whether the social environment during exposure influ-  
147 ences the efficacy of this anxiolytic substance.

148

#### 149 **Isolated vs. conspecifics in-view**

150 Fish assigned to one of the two *Isolated* conditions (*Isolated-CTL* (n = 15), or *Isolated-Ethanol*  
151 (n = 15)) were carried in their habitat tanks into the experimental room prior to feeding and were  
152 given at least 10 minutes to acclimatize to this new environment. A white corrugated plastic bar-  
153 rier was set up surrounding habitat tanks to limit external stimuli. Following the habituation pe-  
154 riod, fish were individually netted from their habitat tanks and placed into one of two experi-  
155 mental dosing containers (600 mL). Each dosing container contained 500 mL of solution and  
156 was also surrounded by white corrugated plastic barriers (Fig. 1A). Two dosing containers were  
157 used rather than one to increase efficiency and allow two fish to be dosed simultaneously. Once  
158 in the dosing container, a square piece of the same plastic was placed on top to prevent evapora-  
159 tion of the solution and to ensure fish remained inside (Cachat et al., 2010; Holcombe et al.,  
160 2013). Fish assigned to the *In-view* conditions (*In-view-CTL* (n = 15) or *In-view-Ethanol* (n=15))  
161 underwent the same procedure, with the exception that a second tank containing 12 untreated  
162 conspecifics was placed to the right of the experimental dosing containers. The dosing containers  
163 used in the *In-view* conditions were positioned in front of each other to ensure fish in both dosing  
164 containers had equal view of their conspecifics. The same group of conspecifics were used for

165 each *In-view* condition and were selected from the aquatic habitat. A white corrugated plastic  
166 barrier covered the remaining two sides of the conspecific tank (Fig. 1B) and water temperatures  
167 were maintained between 26 and 30°C by seedling heat mats (Hydrofarm Horticultural Products,  
168 Petaluma CA). Fish in the *Isolated* or *In-view* conditions remained in the dosing containers for  
169 30 minutes. At the end of the 30-minute dosing, the solution (including the fish) was carefully  
170 poured into a net, with a second dosing container collecting the solution. Once in the net, the fish  
171 was placed into the adjacent behavioural arena for testing.

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172

### 173 **Exposure to ethanol**

174 Fish exposed to control water (*Isolated-CTL* (n = 15) or *In-view-CTL* (n = 15)), were placed into  
175 600 mL glass dosing containers that only contained habitat water (500 mL). Fish in the ethanol  
176 groups (*Isolated-Ethanol* (n = 15) or *In-view-Ethanol* (n = 15)) were placed into dosing contain-  
177 ers with 1.0% ethanol. Solutions for each compound were made fresh each day by mixing 5.26  
178 mL of non-denatured, 95% ethanol into 495 mL of habitat water in the respective dosing con-  
179 tainers. The selected concentration and duration of ethanol exposure was based on previous ex-  
180 periments in zebrafish (Johnson & Hamilton, 2017).

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181

### 182 **Experimental apparatus and behavioural testing**

183 Fish were individually tested in the novel object approach test following the 30-minute exposure  
184 period. The behavioural arena used in this experiment was circular and made from white opaque  
185 plastic ( $\varnothing = 34$  cm; depth = 15 cm; Fig. 1C). The arena was placed on top of a heat mat to main-  
186 tain habitat water temperatures and was surrounded by a three-sided white corrugated plastic en-  
187 closure to limit external stimuli during testing. Habitat water was added to the arena up to a max-

imum height of 5 cm and was replaced with fresh habitat water every four hours. An equal amount of heated habitat water was also exchanged whenever temperatures fell below 26°C. The object used in this study was a 2 cm x 4.25 cm Lego figurine which was multi-coloured to rule out possible colour preferences (Fig. 1D; Dean et al., 2020; Hamilton et al., 2017; Johnson & Hamilton, 2017) and was adhered using velcro to the bottom of the arena's center. Prior to testing, three virtual zones representing thigmotaxis, transition and inner zones were defined using EthoVision XT motion tracking software (Fig. 1E; version 11.0, Noldus, VA, USA). All experimental procedures occurred between 9AM and 6PM prior to feeding. The time, in seconds, fish spent in each zone (thigmotaxis, transition, inner) was recorded to assess exploratory preferences and anxiety-like behaviour, and locomotion was assessed by tracking the distance moved(cm) and immobility(s). Fish were tested individually for a period of 10 minutes following dosing and recording began as soon as the fish was placed into the transition zone facing the object.

202

### 203 Distance moved and side preference during exposure

204 To determine if the social condition during exposure affected the distance fish moved while in  
205 the dosing container, the activity of a new group of fish (n = 30) was assessed. We also explored  
206 whether fish that were able to view conspecifics would have a preference for the side of the dos-  
207 ing container closest to their conspecifics (conspecific side). To isolate the effect of social condi-  
208 tion, these measures were compared between separate groups of *Isolated* and *In-view* control  
209 groups (*Isolated-Dosing* (n = 15) and *In-view-Dosing* (n = 15)). Following a 10-minute habitua-  
210 tion period, one fish was individually netted from their habitat tank and placed into a 600 mL  
211 dosing container with habitat water (500 mL). A rectangular piece of white corrugated plastic  
212 was placed beneath the dosing container to assist with motion tracking. As in the novel object

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215 approach test, a three-sided enclosure was set up during behavioural tracking and seedling heat  
216 mats maintained water temperatures. To ensure these fish received the same treatment as fish in  
217 the *Isolated-CTL* and *In-view-CTL* conditions, a white piece of corrugated plastic was also set up  
218 across the front of the three-sided enclosure (Fig. 2A). For fish in both the *Isolated-Dosing* and  
219 *In-view-Dosing* conditions, **EthoVision** was set up to record the distance (cm) each fish moved  
220 throughout the 30-minute exposure period. For fish in the *In-view-Dosing* condition, a habitat  
221 tank containing the same conspecifics (n =12) that were used in the other In-view conditions was  
222 positioned to the right of the beaker. Using Ethov**V**ision, the beaker was then vertically split into  
223 two equal-sized virtual sections to compare the amount of time, in seconds, fish explored the side  
224 of the beaker closest to conspecifics (conspecific side) and the side farthest from conspecifics  
225 (empty side; Fig.2B). To rule out external variables potentially contributing to a side preference,  
226 the habitat tank was placed to the left of the beaker for the final three trials. No differences were  
227 observed in the time spent exploring either side of the beaker regardless of whether the habitat  
228 tank was on the right or left side of the beaker (Mann-Whitney;  $p = 0.2549$ ;  $p = 0.2945$ ) so these  
229 were combined for analysis.

230

### 231 **Statistical analysis**

232 All data was analyzed using GraphPad Prism (Version 6; CA, USA). Normality was assessed  
233 using D'Agostino & Pearson omnibus normality tests. To analyze the effect of social condition  
234 and/or ethanol on anxiety levels, parametric data was analyzed using ordinary Two-Way ANO-  
235 VAs. As we were unaware of a non-parametric equivalent of a Two-Way ANOVA, Kruskal-  
236 Wallis tests were used for analyzing the non-parametric data. Differences between experimental  
237 groups were analyzed using Tukey's and Dunn's post-hoc tests for the parametric and non-

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239 parametric data, respectively. To assess differences in distance moved and side preferences for  
240 *Isolated-Dosing* and *In-view-Dosing* fish during exposure, unpaired *t*-tests were used. Signifi-  
241 cance across all tests was determined using alpha levels of 0.05 and 95% confidence intervals.

242

## 243 **RESULTS**

### 244 **Effect of social context**

245 The social context did not have a significant effect on fish behaviour. No significant differences  
246 were found between control groups in the time spent in either the thigmotaxis ( $p = 0.1646$ ; Fig.  
247 3A), transition ( $p = 0.1879$ ; Fig. 3B) or inner ( $p = 0.0738$ ; Fig. 3C) zones, the distance fish  
248 moved ( $p > 0.9999$ ; Fig. 3D), or time spent immobile ( $p > 0.9999$ ; Fig. 3E).

249

### 250 **Effect of ethanol following isolated vs. in-view exposure**

251 The time fish spent in the thigmotaxis, transition, and inner zones was significantly affected by  
252 ethanol. Fish in the *Isolated-Ethanol* condition spent significantly more time in the transition and  
253 inner zones compared to fish in the *Isolated-CTL* condition ( $p < 0.0001$ ; Fig. 3B;  $p < 0.0001$ ;  
254 Fig. 3C). Fish in the *Isolated-Ethanol* condition spent significantly less time in the thigmotaxis  
255 zone compared to fish in the *Isolated-CTL* condition ( $p < 0.0001$ ; Fig. 3A). Distance moved was  
256 not found to differ between *Isolated-CTL* and *Isolated-Ethanol* groups ( $p = 0.4964$ ; Fig. 3D).  
257 Differences in immobility between these groups, however, were found to be highly significant.  
258 Compared to *Isolated-CTLs*, fish in the *Isolated-Ethanol* condition spent significantly more time  
259 immobile ( $p = 0.0002$ ; Fig. 3E).

260 Ethanol was not found to have a significant effect on any of the behavioural measures  
261 when fish could view conspecifics during exposure. Specifically, *In-view-CTL* and *In-view-*

262 *Ethanol* groups did not differ in the time spent in the thigmotaxis ( $p = 0.1929$ ; Fig. 3A), transi-  
263 tion ( $p = 0.0946$ ; Fig. 3B), or inner ( $p > 0.9999$ ; Fig. 3C) zones, the distance fish moved ( $p >$   
264  $0.9999$ ; Fig. 3D), or time spent immobile ( $p = 0.5543$ ; Fig. 3E).

265

#### 266 **Distance moved and side-preference during exposure**

267 The distance fish moved while in the dosing container did not significantly differ between *Isolat-*  
268 *ed-Dosing* and *In-view-Dosing* groups ( $t_{28} = 1.255$ ,  $p = 0.2198$ ; Fig. 4A). A highly significant  
269 preference for the conspecific side of the dosing container was found in fish from the *In-view-*  
270 *Dosing* group ( $t_{28} = 10.21$ ,  $p < 0.0001$ ; Fig. 4B).

271

272

### 273 **DISCUSSION**

274 To examine whether the social condition during dosing impacts behavioural effects of anxiety-  
275 altering substances when later tested in isolation, we exposed zebrafish to ethanol (1.0%) either  
276 while fish were isolated or able to observe conspecifics. Following dosing, anxiety-like behav-  
277 iours were tested in the novel object approach test. The behavioural effects of ethanol were found  
278 to be highly dependent on the social condition in which it was administered. Ethanol only affect-  
279 ed anxiety-like behaviour and boldness in isolated fish and did not have an effect in fish that  
280 were able to view conspecifics during dosing.

281 Ethanol exposure significantly increased the time isolated fish spent in the zones closest  
282 to the novel object (transition and inner; Fig. 3B-C), consistent with previous research demon-  
283 strating ethanol increased boldness (Hamilton et al., 2017; Johnson & Hamilton, 2017). Ethanol  
284 also decreased time spent in the thigmotaxis zone; an indication that anxiety-like behaviour was

285 decreased. The same pattern also emerged in ethanol's influence on locomotion. Ethanol did not  
286 impact the distance *Isolated* or *In-view* fish moved (Fig. 3D), or the time *In-view* fish spent im-  
287 mobile; it only increased immobility in *Isolated* fish (Fig. 3E). This suggests that social isolation  
288 either increases sensitivity to ethanol's anxiolytic and depressant effects, or the presence of con-  
289 specific suppresses these effects.

290 In an attempt to understand how the social context contributes to differences observed in  
291 behavioural outcomes, we analyzed the behaviours of a second group of fish while in the dosing  
292 container during the 30-minute dosing period. Because mobility may affect the rate of intake  
293 when fish are dosed via immersion, with greater physiological demands resulting in more venti-  
294 lation and therefore the drug moving in through the gills at a higher rate (Blaser & Vira, 2014),  
295 we wanted to determine whether the heightened effect of ethanol observed in *Isolated* fish could  
296 be explained by greater movement during dosing. To examine the effect of social condition, we  
297 chose to analyze the behaviours of fish exposed to habitat water while isolated or within view of  
298 conspecifics. Interestingly, no differences were observed in the distance fish moved (Fig. 4A),  
299 indicating differences in locomotion during dosing could not explain behaviours observed in the  
300 novel object approach test. Not surprisingly, zebrafish spent significantly more time on the side  
301 of the dosing container closest to conspecifics when in view (Fig. 4B), demonstrating their pref-  
302 erence to remain near other zebrafish.

303 An explanation for the anxiolytic effect of ethanol in *Isolated* but not *In-view* groups may  
304 be related to 'social buffering.' Previous research in zebrafish has shown that the presence of  
305 conspecifics helps to suppress anxiety evoked by a fearful stimulus (Faustino, Tacão-Monteiro &  
306 Oliveira, 2017). Faustino, Tacão-Monteiro and Oliveira (2017) first demonstrated this in  
307 zebrafish by exposing fish to a conspecific alarm substance with or without the presence of con-

specific cues. They found that the anxiogenic effects were dampened by the presence of olfactory and/or visual cues. In other words, fish that could observe or smell their conspecifics showed less anxiety in response to the alarm substance (Faustino, Tacão-Monteiro & Oliveira, 2017). The mechanisms of social buffering have not been well explored in zebrafish; however, it is possible that zebrafish use the behaviours of their conspecifics as a source of information to guide their own responses in unfamiliar or fearful environments. This would explain why there was no effect of ethanol in the *in-view* condition in our experiment. Fish in the dosing container were observing their conspecifics behaving normally and the effects of ethanol were minimized. However, social buffering has only been shown to decrease stress responses and in our study anxiolysis was reduced. The effect of ethanol may have been ‘buffered’ by the presence of conspecifics but the mechanism would be due to behavioural mimicry. Future studies could examine how manipulating the emotional state of conspecifics that are within view during dosing affects the behavioural outcomes of fish observing them. It would also be valuable to explore the neurochemical basis of the decreased response to ethanol with analysis of brain chemistry after dosing in either of these social conditions.

## CONCLUSIONS

The presence of conspecifics lessens the effects of ethanol suggesting social buffering can also blunt the effect of anxiolytics in zebrafish. These findings have important implications in the fields of pharmacology, toxicology and behavioural neuroscience as isolated drug administration seems to be more effective in eliciting a behavioural response. Additionally, it is not uncommon for behavioural findings involving fish to be inconsistent, yet researchers rarely specify whether

331 or not conspecifics are within view during dosing (Table. 1). Therefore, social buffering may of-  
332 fer a potential explanation for these discrepancies and necessitates more detailed explanations of  
333 methods used within these experiments. Overall, this study provides the first evidence that the  
334 social condition during dosing effects the efficacy of anxiolytic substances when subsequently  
335 tested in isolation and highlights the need to consider the social environment during exposure  
336 when conducting or interpreting behavioural research in the future.

337

338

339

340 Figure legends:

341 **FIGURE 1.** Experimental dosing set-up. (A) *Isolated* and (B) *In-view* dosing. An Individual fish  
342 was netted from the holding tank and placed into one of the two dosing containers. *In-view* fish  
343 had visual access to 12 conspecifics held in the conspecific tank but were not able to see the oth-  
344 er fish being dosed. Fish remained in the dosing containers for 30-minutes prior to behavioural  
345 testing. C) The circular arena used was 34 cm in diameter and 16 cm in height. D) The novel ob-  
346 ject used was a multi-coloured LEGO figurine. E) The thigmotaxis, transition and inner zones  
347 were calibrated to 34, 23 and 12 cm in diameter respectively.

348

349 **FIGURE 2.** Experimental set up for tracking behaviour during testing. (A) *Isolated* dosing, or  
350 (B) *In-view* dosing. The circle in the bottom left of figure (B) represents the virtual zones created  
351 in Ethovision to test whether fish spend more time on the side of the beaker closest to conspecif-  
352 ics when in view.

353

354 **FIGURE 3.** Effects of social context and ethanol on zone preference. The time, in seconds, fish  
355 spent in the thigmotaxis (A), transition (B) and inner zones (C). (D) and (E) represent the effect  
356 of social context and substance on the distance fish moved (D) and the time fish spent immobile  
357 (E). Individual data points represent mean values ( $n = 15$  per group). Error bars represent the SE  
358 and \* identifies significant differences between group means using 95% C.I.  $**P < 0.01$ ;  $***P <$   
359  $0.001$ ;  $****P < 0.0001$

360

361 **FIGURE 4.** Distance and side preferences during the dosing procedure. (A) The distance,  
362 *Isolated* and *In-view-CTL* fish moved and the (B) amount of time, in seconds, *In-view-CTLs*

363 spent on either side of the dosing container during dosing. The social condition did not have  
364 a significant effect on the distance fish moved, however when in-view, fish had a significant  
365 preference for the side of the dosing container closest to conspecifics. Data was analyzed  
366 using independent *t*-tests. Individual data points (n = 15 per group) represent mean values ±  
367 SEM. Error bars represent SE and \* identifies significant differences between group means  
368 using 95% C.I. \*\*\*\**P* < 0.0001.

369

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#### 380 **Author contributions**

381

382 RD conducted all experiments. Data analysis, the design of the study, and writing of the manuscript  
383 was done by RD, NHR, and TJH. TJH contributed all experimental compounds. All authors gave final  
384 approval for publication and agree to be held accountable for the work performed therein.  
385  
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