

A risk score model with five long non-coding RNAs for predicting prognosis in gastric cancer: an integrated analysis combining TCGA and GEO dataset

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Background. Gastric cancer (GC) is one of the most common carcinomas of the digestive tract, and the prognosis for these patients may be poor. There is evidence that some long non-coding RNAs (lncRNAs) could predict the prognosis of gastric cancer. However, few lncRNA signatures have been used to predict the prognosis of the cancer. We herein aimed at constructing a risk score model based on 5-lncRNA to predict the prognosis of gastric cancer and providing some new potential therapeutic targets in the future. **Methods.** We performed bayesian analysis and survival analysis to identify differential expressed lncRNAs that had significantly different survival times by using gastric cancer patient expression profile data from The Cancer Genome Atlas (TCGA). We then established a formula including five lncRNAs to predict prognosis of GC patients. In addition, to verified the prognostic value of this risk score model, two independent Gene Expression Omnibus (GEO) datasets (GSE62254 ($N=300$) and GSE 15459 ($N=200$)) were employed to act as validation groups. **Results.** Based on the character of five-lncRNA, high or low risk subgroups can be divided among GC patients. The prognostic value of the risk score model with five-lncRNA was confirmed in both TCGA dataset and the other two independent GEO datasets. Furthermore, stratification analysis found that the prognostic value of this risk model was independent in GC patients with II-IV stage. Moreover, we constructed a nomogram model combining the clinical factors and five lncRNAs to heighten the accuracy of prognostic prediction. Enrichment analysis based on Kyoto Encyclopedia of Genes and Genomes (KEGG) suggested that five lncRNAs may be associated with multiple cancer occurrence and progress-related pathways. **Conclusion.** Our results showed that the risk score model with five-lncRNA predicts prognosis of GC patients well especially in stage II-IV and may provide potential therapeutic targets in future.

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23 Abstract

24 **Background.** Gastric cancer (GC) is one of the most common carcinomas of the digestive tract,
25 and the prognosis for these patients may be poor. There is evidence that some long non-coding
26 RNAs (lncRNAs) could predict the prognosis of gastric cancer. However, few lncRNA signatures
27 have been used to predict the prognosis of the cancer. We herein aimed at constructing a risk
28 score model based on 5-lncRNA to predict the prognosis of gastric cancer and providing some
29 new potential therapeutic targets in the future.

30 **Methods.** We performed bayesian analysis and survival analysis to identify differential expressed
31 lncRNAs that had significantly different survival times by using gastric cancer patient expression
32 profile data from The Cancer Genome Atlas (TCGA). We then established a formula including
33 five lncRNAs to predict prognosis of GC patients. In addition, to verified the prognostic value of
34 this risk score model, two independent Gene Expression Omnibus (GEO) datasets (GSE62254
35 ($N=300$) and GSE15459 ($N=200$)) were employed to act as validation groups.

36 **Results.** Based on the character of five-lncRNA, high or low risk subgroups can be divided
37 among GC patients. The prognostic value of the risk score model with five-lncRNA was
38 confirmed in both TCGA dataset and the other two independent GEO datasets. Furthermore,
39 stratification analysis found that the prognostic value of this risk model was independent in GC
40 patients with II-IV stage. Moreover, we constructed a nomogram model combining the clinical
41 factors and five lncRNAs to heighten the accuracy of prognostic prediction. Enrichment analysis
42 based on Kyoto Encyclopedia of Genes and Genomes (KEGG) suggested that five lncRNAs may
43 be associated with multiple cancer occurrence and progress-related pathways.

44 **Conclusion.** Our results showed that the risk score model with five-lncRNA predicts prognosis
45 of GC patients well especially in stage II-IV and may provide potential therapeutic targets in
46 future.
47

48 Introduction

49 Gastric cancer (GC) is one of the most common carcinomas of the gastrointestinal (GI) tract
50 and is particularly prevalent in the Asian countries. It is estimated that approximately 679,100
51 individuals were diagnosed with GC in 2015 in China and approximately 498,000 of them died
52 that same year (Saka et al., 2011; Chen et al., 2016). The standard therapies for GC are surgery
53 and chemotherapy. However, most patients with advanced GC suffer from recurrence of the
54 malignancy and metastasis after treatment, resulting in poor prognosis. Despite considerable
55 research in therapies for gastric cancer, the prospects of survival of patients with GC remain
56 bleak (Saka et al., 2011). The identification of gastric cancer patients with poor survival
57 prognoses and the administration of effective treatment as early as possible are the keys to
58 improving survival. The investigation of potential therapeutic and prognostic biomarkers for
59 gastric cancer is of considerable importance.

60 Long non-coding RNAs (lncRNAs) are RNAs of ≥ 200 nucleotides with no or limited protein-
61 coding potential. There is considerable evidence that lncRNAs play crucial roles in the initiation
62 and developments of cancers. For example, lncRNA-ATB disorders contribute to cancer cell
63 proliferation, migration, and invasion, and drug-resistance as well as induce epithelial-
64 mesenchymal transition by competitively binding to microRNAs (Li et al., 2017; Balas &
65 Johnson, 2018). Some researchers have suggested that lncRNAs could act as new prognostic
66 biomarkers in various cancers, including CCAT2 (Yu et al., 2017), HOXB-AS3 (Huang et al.,
67 2017), and ASLNC07322 (Li et al., 2019) in colon cancer. Many lncRNAs closely related to the
68 prognosis of GC have been identified, including MEG3 (Wei & Wang, 2017), SNHG7 (Wang et
69 al., 2017), and DANCR (Mao et al., 2017)^[10]. Risk score models have also been constructed to
70 predict the prognosis of human cancers. In non-small cell lung cancer, differences in prognosis
71 can be identified by its 8-lncRNA signature (Miao et al., 2019). However, the identification of
72 lncRNAs related to prognosis in patients with GC is still in its early stages and additional
73 research is warranted.

74 In this study, we analyzed the data of 450 patients with GC from The Cancer Genome Atlas
75 (TCGA) database, to identify differentially expressed lncRNAs for the prognostic prediction. We
76 used two independent Gene Expression Omnibus (GEO) (Barrett et al., 2013) datasets to validate
77 the selected-lncRNAs. In addition, we analyzed the accuracy of the prediction of five lncRNAs in
78 different clinical subgroups using lncRNA data in combination with the clinical characteristics of
79 the patients. Furthermore, we constructed a nomogram model by combining clinical factors and
80 five lncRNAs to increase the accuracy of prognostic prediction. Finally, we performed pathway
81 enrichment analysis to determine the potential functions of these lncRNAs in GC.
82

83 Materials & Methods

84 Preparation of GC datasets

85 We acquired a training dataset of GC samples from TCGA, comprised of 450 samples and
86 14147 lncRNAs (case: normal = 414:36). We used these 450 samples to perform differential
87 expression analysis. After excluding six cases with missing overall survival (OS) prognostic
88 information, a total of 408 cases were recruited for further univariate Cox proportional hazard
89 regression analysis and subsequent analysis in the training group. The microarray data for the
90 validation group and the survival data of the patients are publicly available at GEO with

91 accession numbers GSE62254 ($N = 300$; 1397 lncRNAs) and GSE15459 ($N = 200$; 1397
92 lncRNAs).

93 **Normalization of GEO data**

94 Because the two GEO datasets (GSE62254 and GSE15459) were differential expression
95 profiles, we performed quantile normalization on the original data and downloaded it as a probe-
96 level CEL file. Affymetrix U133 Plus 2.0 was used as the probe matching platform. Data were
97 downloaded from the Affymetrix website (<http://www.affymetrix.com>), and a total of 2986
98 lncRNA-specific probes were included.

99 **Creation of an lncRNA-based risk model from the training group**

100 The lncRNAs that were differentially expressed between GC and normal gastric tissue in the
101 TCGA dataset were identified via Bayesian analysis using the “limma” R package of the R
102 statistical computing environment ($\log_2|\text{fold change}| > 1$ and adjusted $P < 0.05$), and the adjusted
103 P was used to reduce false positives (Deng et al., 2019; Zeng et al., 2019). The candidate
104 lncRNAs were analyzed using univariate Cox proportional hazard regression analysis ($P < 0.05$).
105 The cutoff values of lncRNA expression were determined as the median of all expression values
106 in Cox survival analysis. In total, we identified 278 lncRNAs with statistically significant
107 differences. After identifying the lncRNAs common to both the TCGA and GEO (GSE62254)
108 datasets, we performed multivariate Cox proportional hazards analysis to identify independent
109 prognostic lncRNAs. Finally, we created an lncRNA-based risk model from a linear combination
110 of the expression levels of these lncRNAs, multiplied by the regression coefficients obtained
111 from multivariate Cox proportional hazards regression analysis.

112 **Validation of the lncRNA-based model for prognostic prediction**

113 We calculated the risk scores of each patient and used the corresponding median score as the
114 cutoff value to classify them into two groups: high risk and low risk subgroups. We used Kaplan-
115 Meier analysis to differentiate the survival of the two groups and time-dependent receiver
116 operating characteristic (ROC) curves to assess our lncRNA-based risk model. We used two
117 GEO datasets to validate the model for prognostic prediction. Cox proportional hazards
118 regression analysis was used to estimate the hazard ratio (HR) of the model with 95% confidence
119 interval to further evaluate the predictive value of the model for each clinical subgroup. Clinical
120 subgroups were determined based on gender, tumor–node–metastases (TNM) stage, histologic
121 grade, race, and age. Finally, we constructed a nomogram combining the model with clinical
122 factors using the “rms” package. We also calculated the concordance index (C-index) and plotted
123 a calibration curve to determine its predictive accuracy and discriminatory capacity.

124 **Potential functions of the five lncRNAs**

125 To determine the potential functions of the five lncRNAs, which appeared to be
126 discriminatory, we performed linear regression analysis of the relationship between the lncRNAs
127 and the protein-coding genes in the TCGA dataset. The screening criteria for the protein-coding
128 genes were a positive association with at least one lncRNA (Pearson coefficient > 0.4). After
129 identifying the candidate genes, we screened out aberrantly activated signaling pathways using
130 the Kyoto Encyclopedia of Genes and Genomes (KEGG) enrichment analysis via Web-based
131 Gene Set Analysis Toolkit (<http://www.webgestalt.org/>), a popular software tool for functional
132 enrichment analysis related to KEGG pathways (Yang et al., 2019; Wang et al., 2013),.

133 **Statistical analysis**

134 We used the R software (version 3.6.1) for statistical analyses. Bayesian analysis was
135 performed using the “limma” R package. Univariate and multivariate Cox proportional hazards
136 regression analyses were conducted to identify prognosis-related lncRNAs. The “survival” and
137 “survminer” package was used for Cox proportional hazards regression analyses, Kaplan–Meier
138 survival analysis and calculation of C-index. A time-dependent ROC curve was constructed to
139 assess the specificity and sensitivity of the risk model by “survivalROC” package. A nomogram

140 combining the risk score model with the clinical factors was constructed using the “rms”
141 package. The Review Manager software (version 5.3) was used to construct a forest plot. Chi-
142 square tests were used to compare the rates of reoccurrence and death between the high risk and
143 low risk groups. A P -value < 0.05 was considered statistically significant, and all tests were two
144 sided. Pearson’s linear regression analysis was used to determine the relationship between
145 lncRNAs and protein-coding genes.
146

147 **Results**

148 **Identification of five prognostic lncRNAs**

149 We finally included 408 patients with lncRNA expression profile and overall survival
150 information in the training group. We performed Bayesian analysis ($\log_2|\text{fold change}| > 1$ and
151 adjusted $P < 0.05$) and univariate Cox proportional hazard regression analysis ($P < 0.05$) to
152 identify survival-related lncRNAs. A total of 278 lncRNAs were further analyzed. To validate
153 predictive accuracy, we compared the lncRNAs selected from the TCGA database with the GEO
154 validation group. We found that 37 shared lncRNAs were present in both the 278 lncRNAs and
155 the validation dataset (GSE62254) (Table S1). Multivariable Cox proportional hazards regression
156 analyses identified five lncRNAs as independent prognostic factors of GC: LINC00205, TRHDE-
157 AS1, OVAAL, LINC00106, and MIR100HG (Table 1). Fig. 1A-B shows the expression profiles
158 of the five lncRNAs in patients with GC as volcano and heat maps, whereas Fig. 1C-D shows the
159 survival curves based on the OS and disease-free survival (DFS) of the 408 patients. Owing to the
160 lack of clinical data in GSE15459, table 2 shows the clinical features of GC patients in training
161 group and GSE62254.

162 **Creation of an lncRNA-based risk model from the training group**

163 According to the schematic workflow of the present study (Table 3), using the coefficients of
164 the five lncRNAs identified by multivariable Cox hazard analysis, we created a risk-score
165 formula as follows: risk score = $(0.249092 \times \text{expression level of LINC00205}) + (0.182045 \times$
166 $\text{expression level of TRHDE-AS1}) + (0.271169 \times \text{expression level of OVAAL}) + (-0.20794 \times$
167 $\text{expression level of LINC00106}) + (0.502539 \times \text{expression level of MIR100HG})$. Among the five
168 lncRNAs, a negative coefficient (LINC00106) indicates a protective factor. The remaining four
169 lncRNAs with positive coefficients, namely LINC00205, TRHDE-AS1, OVAAL, and
170 MIR100HG, were risk factors. The risk score of each patient in the training group were
171 calculated (Table S2), ranged from -2.086959745 to 2.270305234. The patients in the training
172 group were divided into two subgroups: high risk ($n = 204$) and low risk ($n = 204$) subgroups,
173 with the median score (-0.001085) as the cutoff value. We performed Kaplan-Meier survival
174 analysis to assess the effect of the lncRNA-based risk model on the OS and DFS of patients with
175 GC in the training group (Fig. 2A-B). Our results indicated that the high-risk group had a
176 significantly worse prognosis than the low risk group for both OS and DFS ($P = 1 \times 10^{-6}$ and $6 \times$
177 10^{-6} , respectively). Fig. 2C-F shows the scatter plots for the rates of recurrence incidence and
178 death of patients with GC. The rates of both recurrence and death were significantly higher in the
179 high risk group than in the low risk group ($P < 0.001$). To accurately evaluate the prognostic
180 value of the five-lncRNA signature, we performed time-dependent ROC analysis using the 1-4
181 years cutoff value of OS and the 1-2 years cutoff of DFS as the ROC ending points (Fig. 2G-H
182 and Fig. S1A-D). The area under the ROC curve (AUC) was 0.734 for the 4-year cutoff value of
183 OS and 0.692 for the 2-year cutoff value of DFS, respectively, and had the highest predictive
184 value among those years, indicating that our model can be used for survival prediction in patients
185 with GC (Fig. 2G-H).

186 **Validation of the lncRNA-based risk model for prognostic prediction in independent groups**

187 To assess the prognostic significance of this novel lncRNA-based risk model involving the
188 five-lncRNA signature in patients with GC, we used the other two independent validation
189 datasets from the GEO database. We calculated risk score using the formula mentioned above
190 (Table S2). The patients with GC in GSE62254 (validation group-1; $N = 300$) and GSE15459
191 (validation group-2; $N = 200$) datasets were divided into high risk and low risk groups according
192 to the median risk score. Owing to the lack of DFS data in GSE15459, we only calculated the OS
193 of the patients. The high risk group had a poorer OS than those in the low risk group (log-rank P
194 = 0.01) (Fig. 3A-B). Fig. 3C–D shows the scatter plots for the death events. The rates of death
195 were significantly higher in the high risk group than in the low risk group ($P < 0.001$). The
196 AUC for the two validation group in four-year cutoff OS was 0.622 and 0.610 for validation
197 group 1 and 2, respectively (Fig. 3E-F). Fig. S2A–F shows the ROC curve for the 1-3 year cutoff
198 OS for the validation groups 1 and 2. Furthermore, we verified the performance of our risk
199 model for the DFS of the GSE62254 dataset (Fig. S3A-D). Our results further confirmed the
200 value and robustness of this risk score model for prognostic prediction in patients with GC.

201 **The lncRNA-based risk model has a favorable prognostic prediction in patients with stage** 202 **II, III, and IV**

203 To further investigate the performance of our lncRNA-based risk model, we performed
204 stratified Kaplan-Meier survival analysis for the OS in the training group based on the AJCC
205 TNM stage: I, II, III, or IV (Fig. 4A-D). The five-lncRNA signature showed good predictive
206 value for OS of stages II ($P = 0.008$), III ($P = 0.02$), and IV ($P = 0.01$), but not of stage I ($P =$
207 0.3).

208 To estimate the HR of each subgroup of patients as defined by gender, TNM stage, histologic
209 grade, race and age (\geq or < 50 years) (Table 4), we used our models to divide the patients into
210 two risk groups on the basis of the median cutoff value. Forest plots are shown in Fig. 5. Table
211 S3 shows the HR of each subgroup of patients in GSE62252. The risk score model had a
212 relatively good prognostic value in the clinical subgroups of gender, histologic grade and age. To
213 improve the prognostic value of this model, we combined the clinical factors with the risk score
214 model to construct a nomogram model for prognostic prediction. The nomogram model and
215 calibration curve are shown in Fig. 6A-B. To evaluate the effect of the nomogram model, we
216 calculated its C-index. The C-index for predicting the 4-year OS of GC patients was 0.69668,
217 indicating that this model is a valuable indicator for prognostic prediction.

218 **Potential functions of the five lncRNAs**

219 To investigate the functions of the five lncRNAs in patients with GC, we calculated Pearson
220 correlations between the five-lncRNA signature and 19605 protein-coding genes in the TCGA
221 dataset. A total of 3069 genes (Table S4) positively correlated with at least one lncRNA
222 (Pearson's coefficient > 0.4) (Fig. 7A). We further selected these genes for KEGG pathway
223 enrichment analysis. By ranking based on $-\log P$ value (Q value), we selected the top 10
224 pathways to draw a bubble plot (Fig. 7B) (Zeng et al., 2019; Deng et al., 2019). For biological
225 processes, the co-expressed genes were mainly enriched in pathways involved in cancer, such as
226 the focal adhesion pathway, cGMP–PKG signaling pathway and calcium signaling pathway. This
227 finding indicates that the five lncRNAs may be involved in the regulation of tumor initiation and
228 progress.

229

230 **Discussion**

231 In this study, we identified a potential signature involving five lncRNAs that are differentially
232 expressed in tumor and normal tissues, and that might be valuable for prognostic prediction in
233 GC. The prognostic performance of our lncRNA-based risk score model involving these five
234 lncRNAs was verified using both TCGA and GEO datasets. Stratified analysis suggested that the

235 risk score model is valuable for prognostic prediction in GC patients with stage II to IV. To
236 enhance the predictive accuracy of the model, we combined clinical parameters with the five-
237 lncRNA signature to construct a nomogram model and confirmed its performance using a
238 calibration curve and C index.

239 GC is a common malignancy of the digestive system (Siegel et al., 2019). Despite continuous
240 improvements in treatment, the 5-year survival rate of patients with advanced GC is still
241 approximately 20% (Min et al., 2019; Misawa et al., 2019). Therefore, early diagnosis, early
242 identification of high-risk patients and the implementation of effective treatment measures as
243 early as possible are warranted to improving survival. It is also important to develop novel
244 prognostic indicators of GC. Over the past few decades, research has shown that protein-coding
245 genes (Ghoorun et al., 2019; Luo et al., 2019) and microRNAs (Li et al., 2020; Zhou et al., 2019),
246 play a vital role in the occurrence and development of various cancers, and can also be used to
247 predict patient prognosis. Several nomogram models involving clinical factors have been
248 constructed to predict the prognosis of GC. For example, Yue (Yu & Zhang, 2019) used tumor
249 size and tumor site, as independent prognostic factors, to construct OS nomograms for
250 predicting outcomes in GC patients, and the C-index of this model indicated that could predict
251 prognosis. Recently, more lncRNAs related to GC prognosis have been discovered; however,
252 prognostic prediction models involving lncRNAs still lack consensus. We present a nomogram
253 including clinical factors and the five-lncRNA signature might be of value for prognostic
254 prediction in GC.

255 Therefore, it is necessary to explore new biomarkers to improve the assessment of diagnosis
256 and prognosis of GC because of the limitations of the TNM staging and some related scoring
257 systems. Many lncRNAs have been identified, of which only few have been functionally
258 annotated. However, there is evidence to indicate that lncRNAs, acting either as oncogenes or
259 tumor suppressors, participate in the tumorigenesis and development of various cancers by
260 regulating chromatin remodeling, transcription and post-transcriptional modification (Bartonicek
261 et al., 2016; Iyer et al., 2015), and therefore might be valuable for cancer diagnosis and
262 prognosis. Some studies have found that GC-related lncRNAs are involved in biological
263 behaviors including the proliferation, migration, invasion, and autophagy of GC cells, thereby
264 affecting the initiation and prognosis of GC (Mao et al., 2017; Wei & Wang, 2017). For example,
265 the lncRNA MEG3 inhibits the proliferation, metastasis, and prognosis of GC cells by
266 upregulating the expression p53—a key tumor suppressor (Wei & Wang, 2017). We identified
267 five lncRNAs—LINC00205, TRHDE-AS1, OVAAL, LINC00106, and MIR100HG—
268 as predictors of GC prognosis, and developed a risk-score model. Kaplan–Meier analysis
269 suggested that our lncRNA-based risk model is valuable for predicting GC prognosis. We used
270 two independent GEO datasets as validation datasets. Our results confirmed that our risk score
271 model is stable and performs well in the prognostic prediction of GC.

272 Of the five lncRNAs, LINC00205, TRHDE-AS1, OVAAL, and MIR100HG, act as risk
273 factors of GC, whereas LINC00106 is a protective factor. Apart from LINC00205 and
274 MIR100HG, the other three lncRNAs have not been reported much in the literature. Our study
275 identified LINC00205, TRHDE-AS1, OVAAL, and MIR100HG as potential prognostic
276 biomarkers of GC for the first time. Consistent with our result, it has previously been reported
277 that high expression of LINC00106 indicates prolonged OS in GC (Qi et al., 2020).
278 Nevertheless, the role of this lncRNA in GC as well as its specific mechanism need to be further
279 investigated. Interestingly, in hepatocellular carcinoma (HCC), comprehensive genome-wide
280 analysis has revealed that the expression of LINC00205, a tumor suppressor, is positively
281 associated with OS and recurrence-free survival (Cui et al., 2017). A study has showed that, as a
282 competing endogenous RNA with lower expression levels in tumor tissues, LINC00205 may
283 negatively regulate HCC progression via the miR-184/EPHX1 axis (Long et al., 2019). While

284 another study has indicated that LINC00205, can act as an oncogene, and can promote the
285 proliferation, migration and invasion of HCC cells by targeting miR-122-5p(Zhang et al., 2019).
286 In addition, LINC00205 can act as a protective factor in pancreatic cancer survival [HR = 0.58, *P*
287 (log rank) = 0.0091](Giulietti et al., 2018). The reported role and therefore prognostic prediction
288 value of LINC00205 in various cancers shows significant discrepancies. These discrepancies
289 might be associated with the specificities of different cancers. It has been reported that the
290 upregulation of TRHDE-AS1 inhibits the growth of lung carcinoma through competitive
291 combination with the miRNA-103-KLF4 axis(Zhuan et al., 2019). One study has found that
292 OVVAL is highly expressed in colon cancer and melanoma, and further experimental results
293 showed that OVAAL promotes the proliferation of cancer cells via dual mechanisms controlling
294 RAF/MEK/ERK signaling and p27-mediated cell senescence(Sang et al., 2018). The lncRNA
295 MIR100HG has been studied as an oncogene in acute megakaryoblastic leukemia(Emmrich et al.,
296 2014), laryngeal squamous cell carcinoma(Huang et al., 2019), and for its role in mediating
297 cetuximab resistance via Wnt/ β -catenin signaling(Lu et al., 2017) in colorectal cancer. Although
298 the roles of these lncRNAs in cancer need to be further investigated, our results may provide a
299 novel approach to study GC.

300 To further investigate the functions of the five lncRNAs in GC, we performed pathway
301 enrichment analysis. These genes are enriched in cancer regulation, including cGMP-PKG
302 signaling pathway, calcium signaling pathway, and focal adhesion pathway etc. This finding
303 suggests that the five lncRNAs may play an important role in the occurrence and development of
304 GC. There is evidence that lncRNAs can promote tumorigenesis through the cGMP-PKG
305 signaling pathway. For example, the overexpression of SRRM2-AS accelerates angiogenesis in
306 nasopharyngeal carcinoma via the cGMP-PKG signaling pathway(Chen et al., 2019). It has been
307 reported the calcium signaling pathway mainly involved in metabolic diseases and heart diseases
308 over the past years(Berridge, 2016; Dewenter et al., 2017). A research showed that calcium
309 signaling pathway was associated with cancer cell survival, but more details on how it affects are
310 still to be studied(Reczek & Chandel, 2018). Focal adhesion are special sites where integrin
311 receptors aggregated in cells interact with extracellular matrix and intracellular actin
312 skeleton(Burridge, 2017), and it plays a critical role in tumor invasion and migration(Shen et al.,
313 2018). There is evidence that knock down of the Linc01060 could promote the pancreatic cancer
314 progression via Vinculin-Mediated Focal Adhesion pathway Turnover(Shi et al., 2018).
315 However, whether the lncRNA can mediate the progress of GC through Focal Adhesion pathway
316 is less reported. In short, lncRNA may participate in the genesis and development of various
317 tumors through the above pathways.

318 Risk score model is a common and widely available method to predict the prognosis of patients
319 with multiple diseases(Lemke et al., 2017; Li et al., 2018; Yang et al., 2017; Sobotka et al.,
320 2018). Our risk score was determined by the expression of independent survival-lncRNAs
321 obtained after Cox hazard analyses and its corresponding coefficients. It was calculated by using
322 the binary lncRNA expression values according to the medians of original lncRNA expression
323 values. This adjustment would help to improve the clinical application of prognostic model in
324 other study population(Zhang et al., 2018). In general, the higher the risk score, the worse the
325 prognosis, which is consistent with our analysis. Our Kaplan-Meier survival analysis showed that
326 the patients in high risk group significantly had a poorer prognosis than in low risk group. Our
327 risk score model based on lncRNAs has several advantages. This model based on the expression
328 of 5-lncRNA provides a novel non-invasive method for predicting the prognosis of GC patients
329 before surgery. Compared with traditional invasive pathological examinations, it reduces
330 unnecessary pain for patients. Secondly, this 5-lncRNA risk model can provide preoperative risk
331 predictive probability of individual mortality and recurrence in different clinical endpoints. It is
332 simple and convenient for clinicians and patients to understand. Thirdly, our model used the

333 median of 5-lncRNA-based risk score as the cutoff value to divide patients into high risk and low
334 risk groups. It can timely identify those patients at high risk of death or recurrence, and prompt
335 clinicians take clinical interventions as early as possible to improve their prognoses.

336 There have been several reports on the lncRNA signatures for GC. A previous study has
337 reported a 24-lncRNA signature can predict outcomes in GC patients by applying the random
338 survival forest-variable hunting (RSF-VH) algorithm using the GEO datasets (Zhu et al., 2016).
339 However, due to the limited amount of data in the GEO datasets, the lncRNAs identified in this
340 study might not represent the complete population of lncRNAs involved in GC. In our study, we
341 integrated a total of 950 samples from the TCGA and GEO databases to comprehensively
342 investigate the potentially prognostic lncRNAs. This greatly improves the accuracy, reliability
343 and robustness of our model. A 6-lncRNA prognostic signature was established by Robust
344 likelihood-based survival and LASSO model (Ma et al., 2019). Whether the 6-lncRNA combined
345 with other clinical features can promote the predictive power remains blank. To improve the
346 accuracy of the five-lncRNA prognosis model, we combined it with clinical factors to develop a
347 nomogram model which could predict the OS of GC patients. Zhu (Zhu et al., 2018) et al
348 constructed a 11-lncRNA signature by univariate and multivariate Cox regression analyses.
349 Although an internal validation was validated by using the bootstrap resampling method, external
350 validation studies are needed to further evaluate the value of this model. We not only included
351 two external verification datasets, but also performed survival analysis, ROC curve, and forest
352 plot for predictive verification, indicating a favorable effectiveness of our model.

353 Of course, there are some limitations of the present study. We integrated the data from the
354 TCGA and GEO databases to improve the amount of the cases, reducing bias from a small
355 sample size. Integrated analysis has been proved to be an effective approach for multiple datasets
356 with different platforms using R package (Zhang et al., 2019), thus promoting reliability of our
357 conclusion (Ma et al., 2017). However, TCGA dataset has more lncRNAs than the GEO
358 (14147:1397) because of different sequencing technology: TCGA used RNA sequencing
359 technology, while GEO used microarray chip technology. Intersection of three datasets has
360 inevitably omitted potential prognostic lncRNAs. Moreover, the clinical characteristics of
361 patients in three datasets are heterogeneous. This might have inevitably led to a bias. Besides,
362 owing to the lack of DFS and clinical data in GSE15459, we used only one external validation
363 group to verify the prognostic value of the five-lncRNA signature for the DFS of patients. In
364 addition, many important variables affecting the prognosis of patients with GC are not provided
365 in TCGA and GEO datasets, such as dietary habit, previous disease, history of chemotherapy or
366 radiation, and family history of cancer. Thus, on the one hand, it is necessary to perform a large-
367 scale multi-center prospective clinical study based on the same sequencing technology to
368 decrease the bias mentioned above. On the other hand, based on existing data, it is beneficial to
369 develop innovative statistical algorithms to reduce the heterogeneity of different data sources.
370 Last, due to the limited studies regarding these lncRNAs so far, experimental research on these
371 lncRNAs is highly warranted to further understand their functions in GC in the future.

372 **Conclusions**

373 We established a risk score model including five lncRNAs to predict GC patients' OS and
374 DFS, particularly in those with II-IV stage. Our findings also provided evidence of developing
375 effective prognostic biomarkers for GC patients and potential therapeutic targets in the future.

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378 the data analysis.

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Figure 1

The expression information of five lncRNAs, overall survival and disease free survival in gastric cancer patients in the TCGA dataset.

(A) Volcano plot with blue dots indicating five lncRNAs expression levels which is significantly different between tumor and normal tissue based on the criteria of an absolute log₂ fold change (FC) > 1 and adjusted $P < 0.05$. (B) Heatmap of the five-lncRNA expression profile of the 414 patients in the TCGA dataset. Among five lncRNAs, MIR100HG and TRHDE-AS1 have a similar expression in 414 patients in the TCGA dataset, otherwise the other three lncRNAs do as well. (C-D) The survival curves based on the OS and DFS of the 408 patients in TCGA dataset.

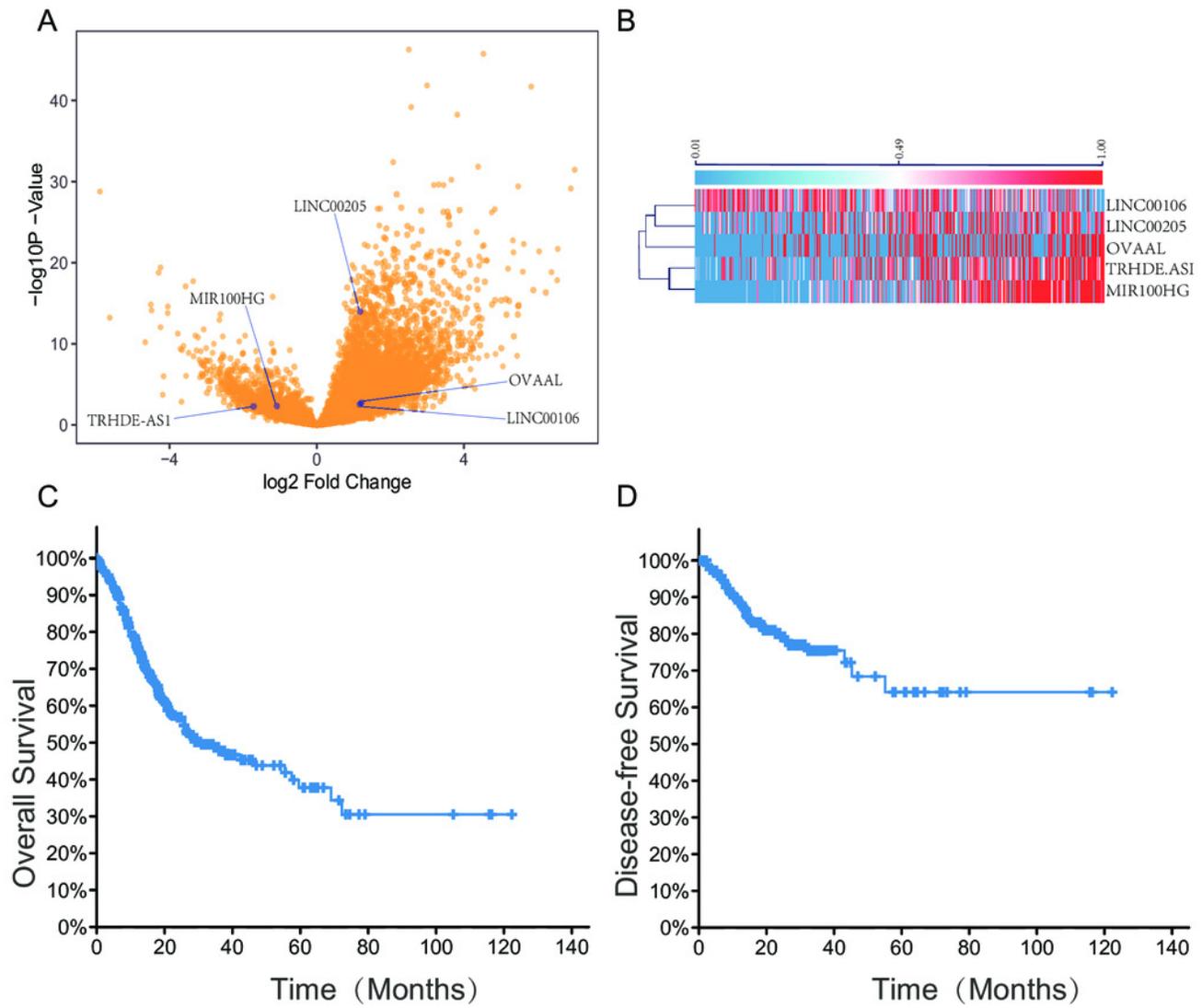


Figure 2

The prognostic value of lncRNA-based risk model in training group.

(A-B) Kaplan-Meier analysis of patients' OS and DFS in the high risk (n = 204) and low risk (n = 204) subgroups of the training group. (C) The scatter plot of lncRNA-based risk model distribution for patient survival status. (D) The percentage of patient survival status in the high risk and low risk subgroups of the training group. (E) The lncRNA-based risk model distribution for patient recurrence. (F) The percentage of patient recurrence in the high risk and low risk subgroups of the training group. (G-H) The time-dependent ROC analysis of the risk score for prediction the 4-year cutoff OS and 2-year cutoff DFS of the training group. The area under the curve was calculated for ROC curve. ***P<0.001.

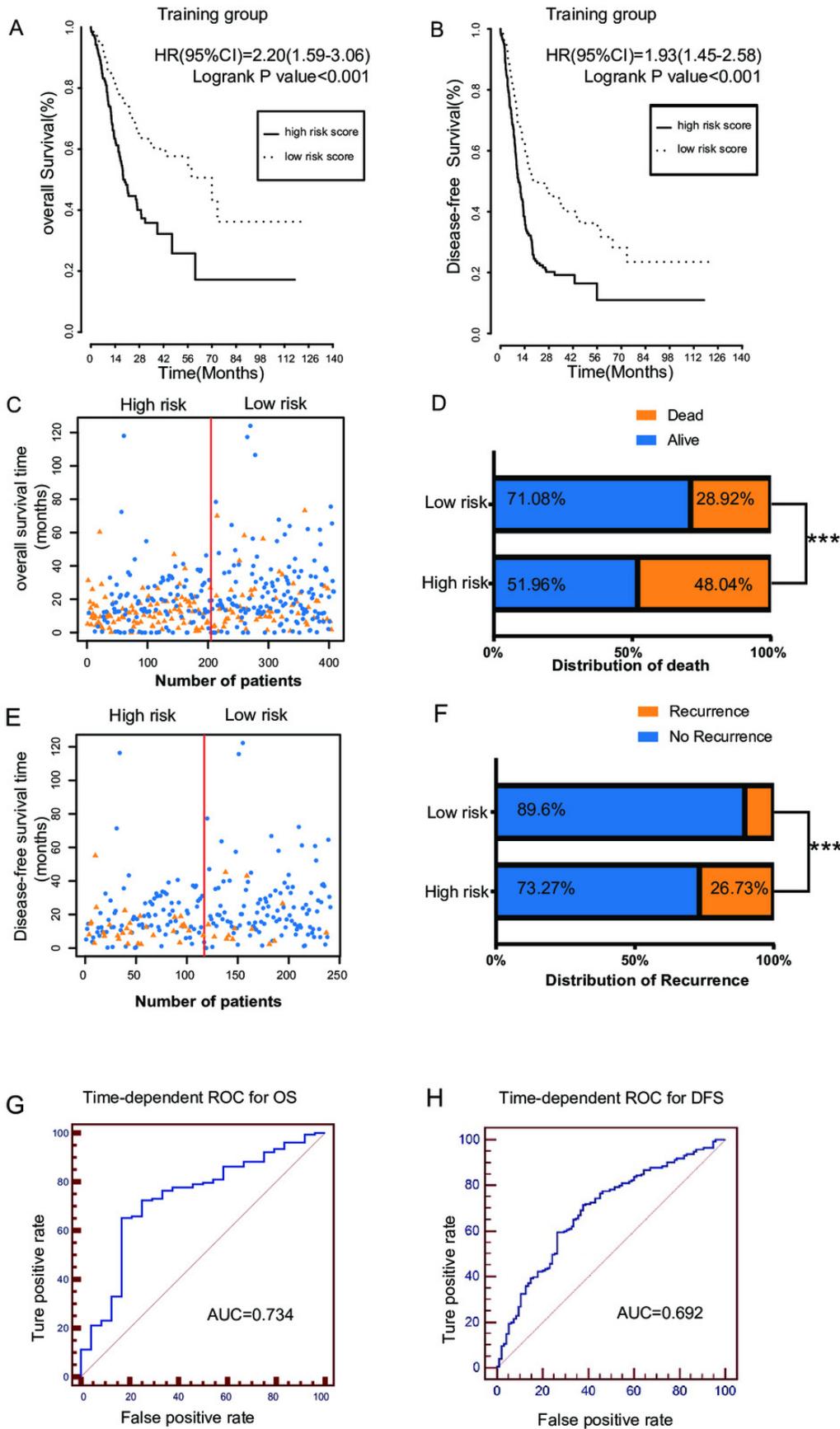


Figure 3

The prognostic value of lncRNA-based risk model in two independent GEO validation groups.

(A-B) Kaplan-Meier analysis of predicting OS of GC patients based on the high risk and low risk subgroups in two independent validation groups (GSE62254 and GSE15459). (C-D) The scatter plot of five-lncRNA-based risk score distribution for patient survival status in two independent validation groups. (E-F) The time-dependent ROC analysis of the risk score for prediction the 4-year cutoff OS of the two independent validation groups. The area under the curve was calculated for ROC curve.

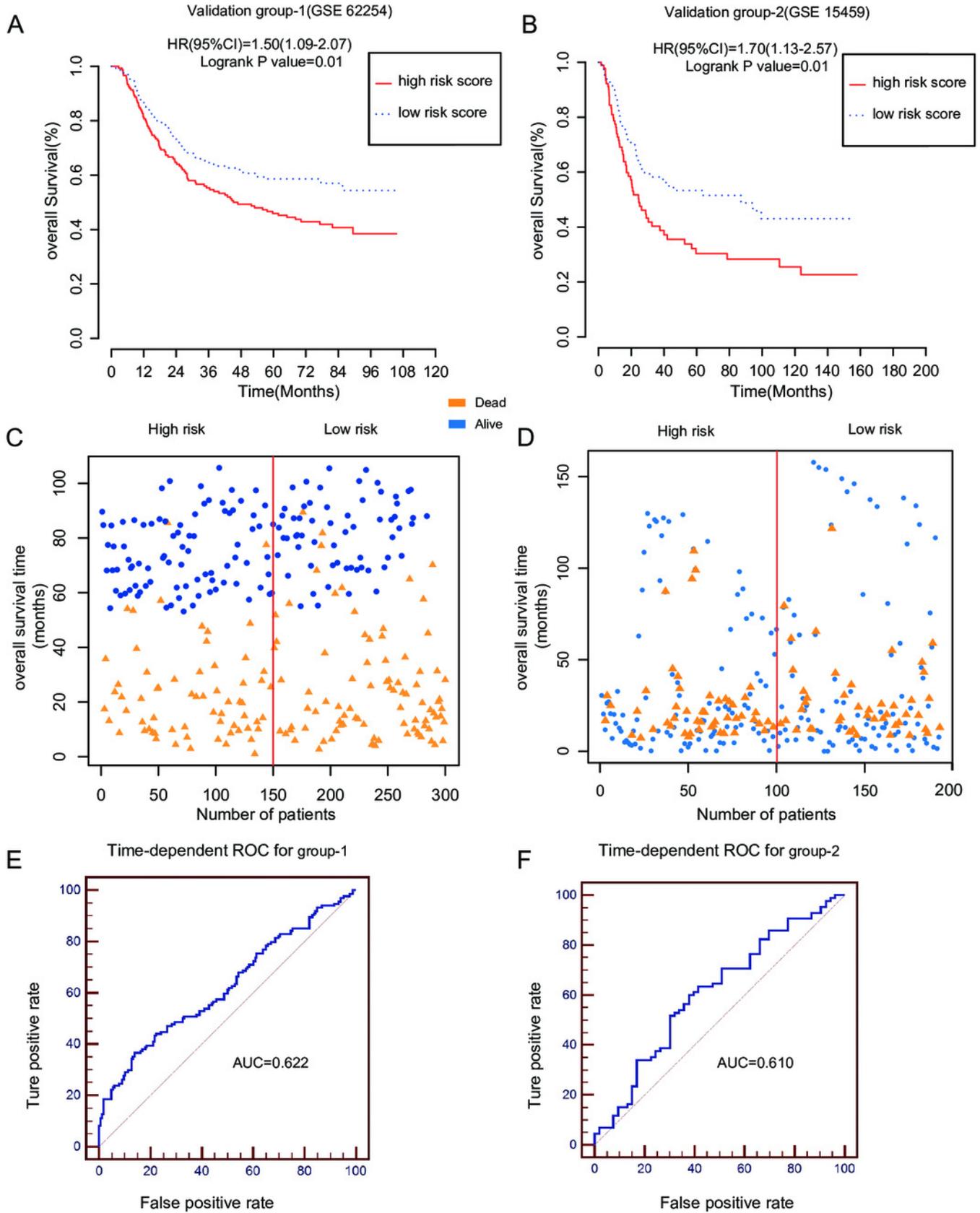


Figure 4

The prognostic value of lncRNA-based risk model in subgroups according to the TNM stage.

(A-D) Kaplan-Meier analysis of the OS of GC patients with stage I, II, III and IV, respectively.

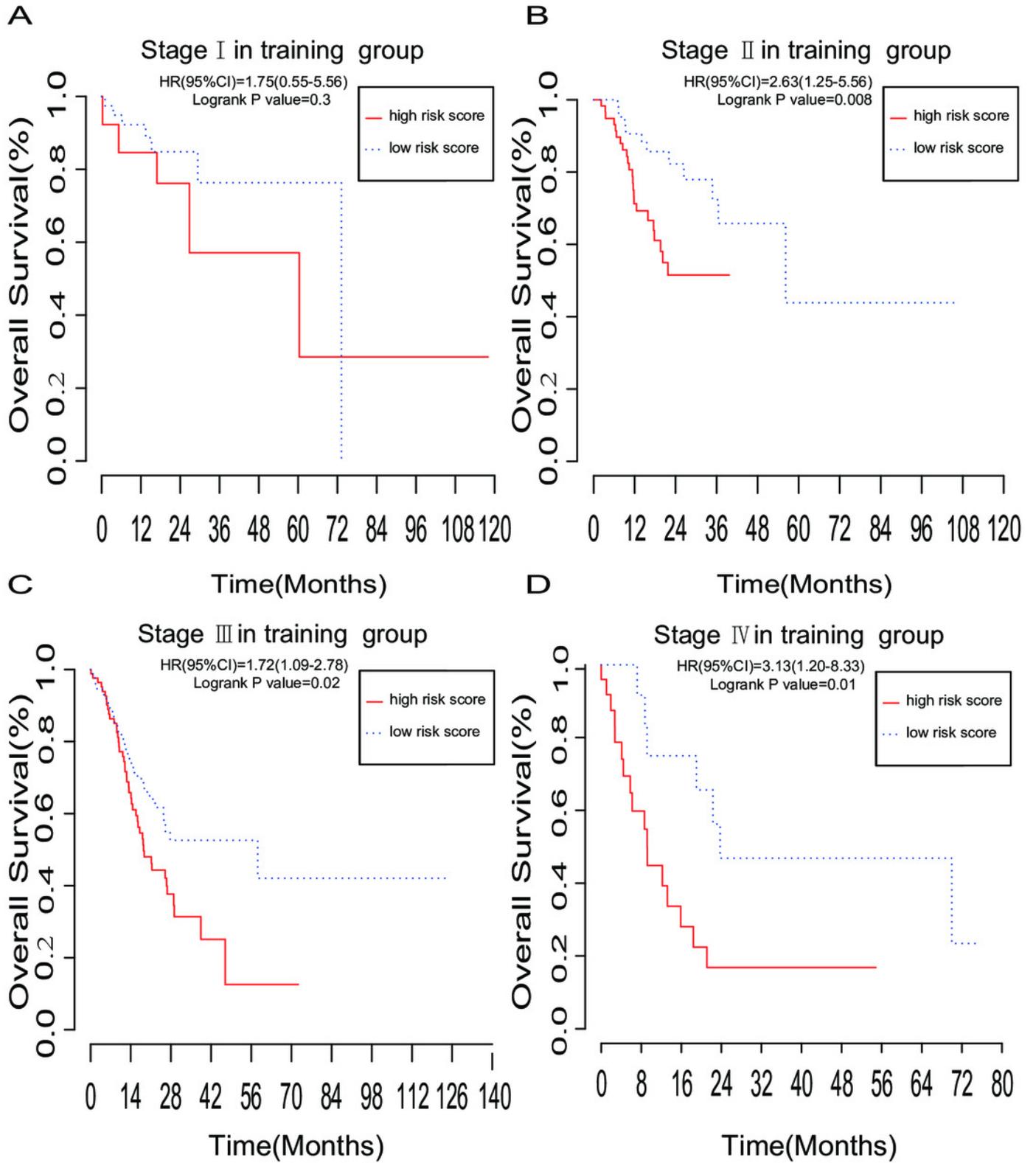


Figure 5

Forest plot to evaluate prognostic value of lncRNA-based risk model in subgroups divided by clinical factors.

Forest plot for clinic subgroup

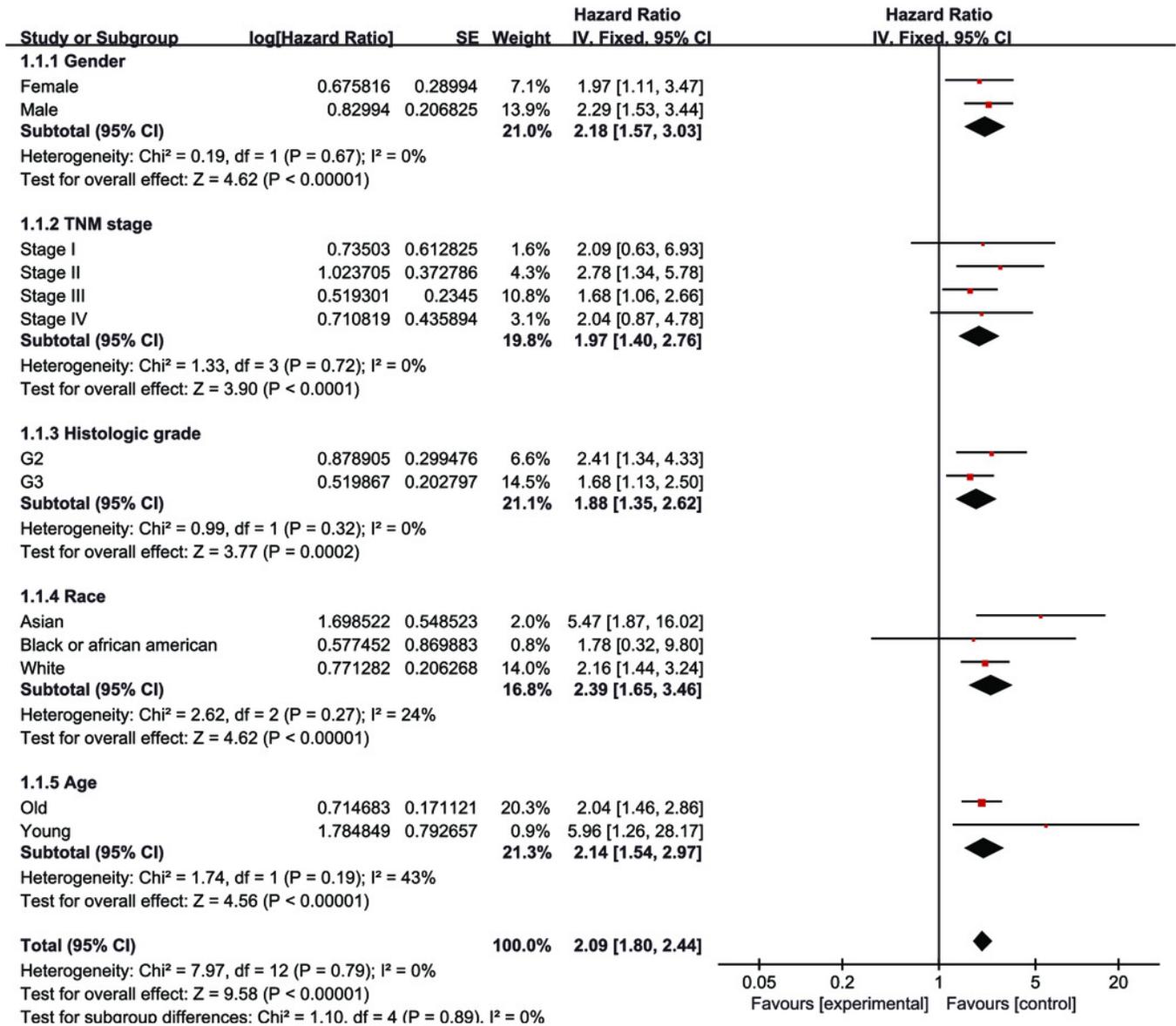


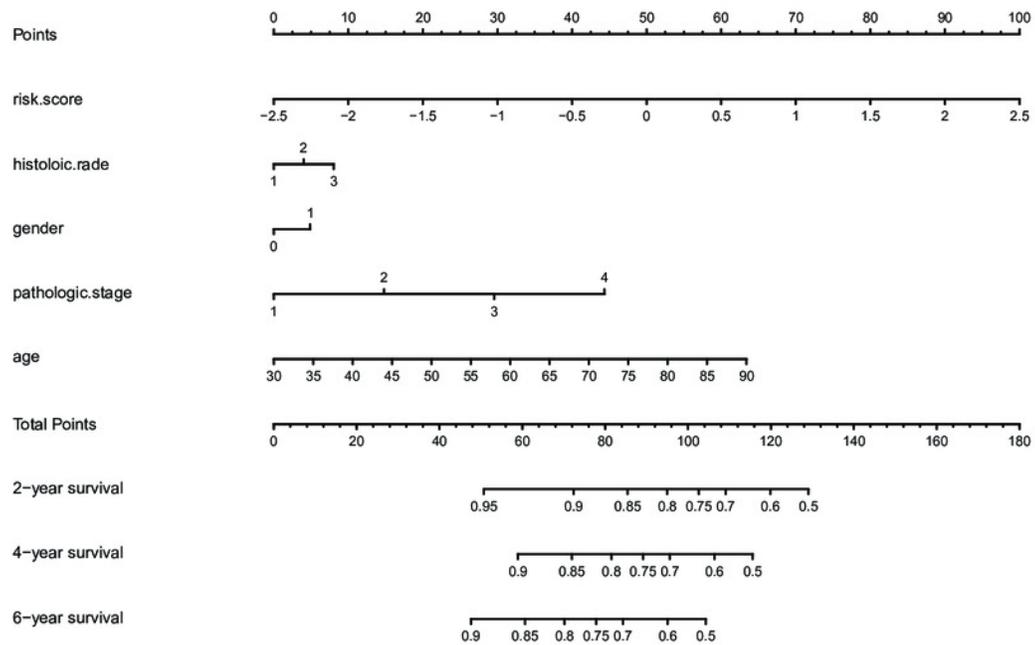
Figure 6

The prognostic value of a nomogram model combining five-lncRNA signature with the clinical factors.

(A) A nomogram model combining five-lncRNA signature with the clinical factors for predicting the 4-year OS of GC patients. (B) The nomogram calibration curve to evaluate the prediction of 4-year OS of GC patients. The C index of this model was also calculated.

A

Nomogram model



B

Nomogram calibration curve

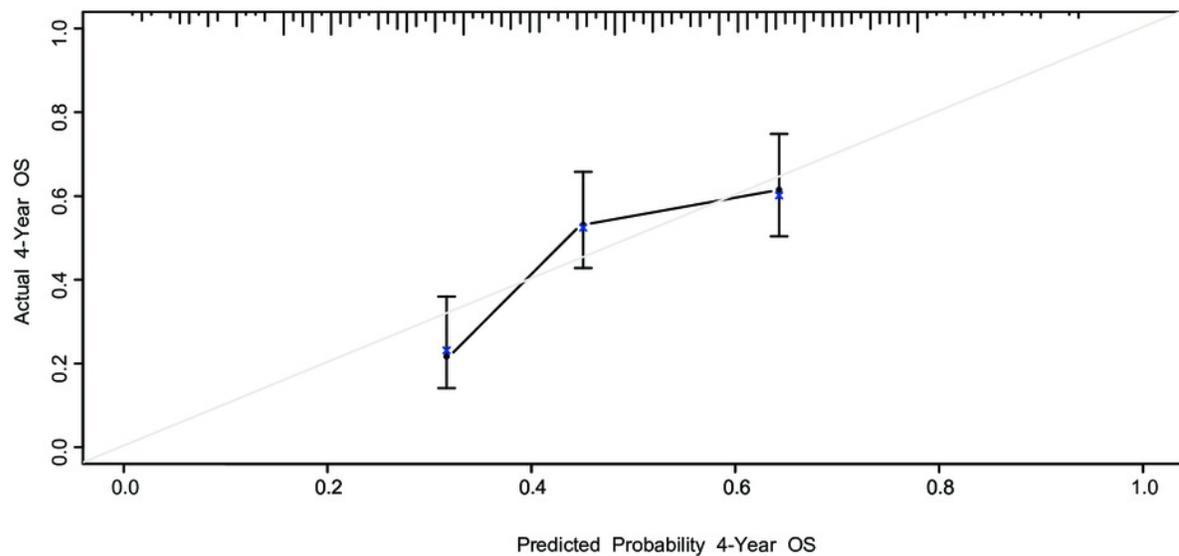
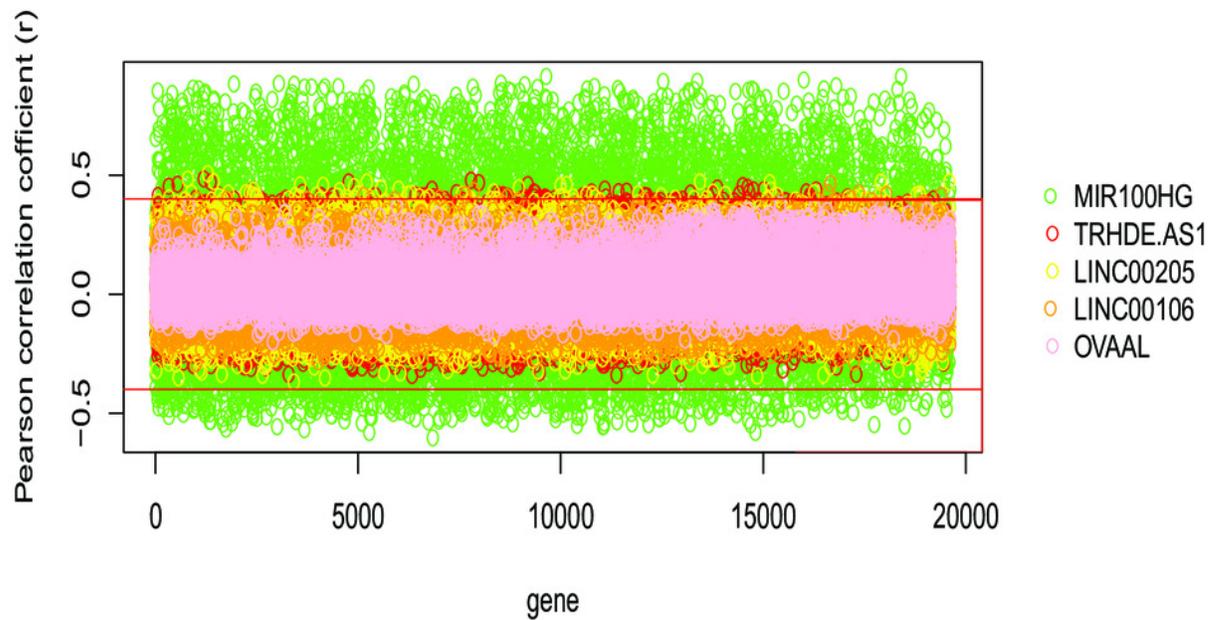


Figure 7

Potential functions of the five lncRNAs

(A) The Pearson correlation coefficient between 19605 protein-coding genes and five lncRNAs in TCGA dataset. (B) The functional enrichment bubble map of pathways by KEGG pathway analysis. Bubble size represents the number of gene enriched in the pathway.

A



B

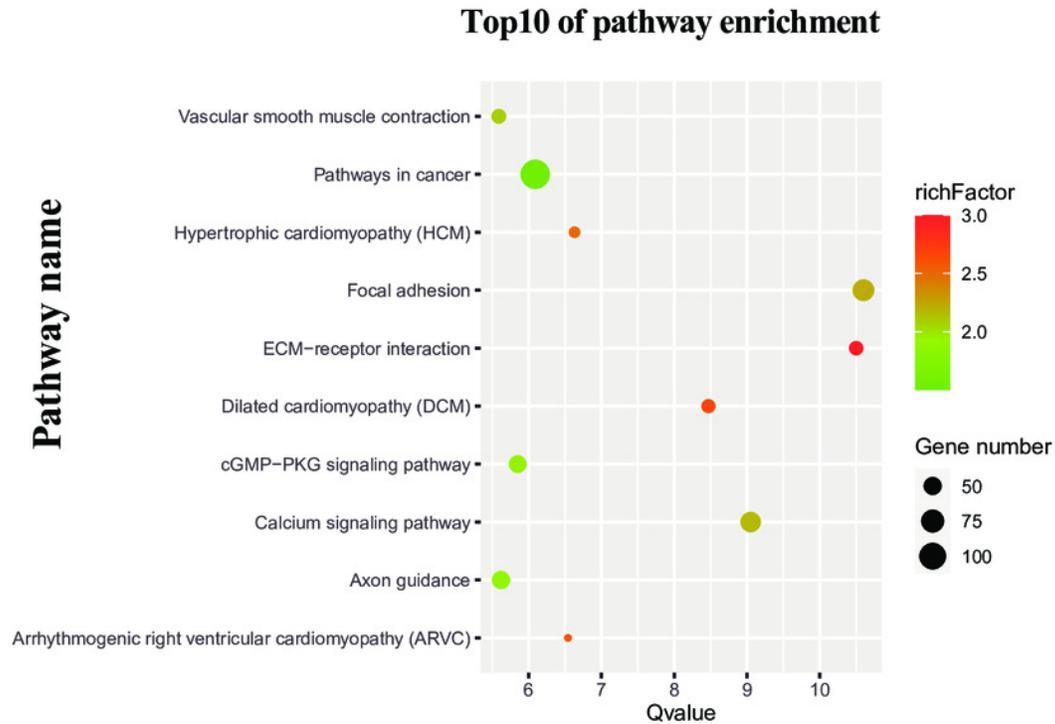


Table 1 (on next page)

Five lncRNAs significantly associated with prognosis of GC patients in the training group.

Derived from the multivariable Cox proportional hazards regression analysis in the training group.

LncRNA name	Ensemble ID	Chr.	Coordinate	Coefficient	Hazard ratio	P value
LINC00205	ENSG00000223768.1	21	45288052-45297354	0.249092	1.373451497	0.047216345
TRHDE-AS1	ENSG00000236333.3	12	72253507-72273509	0.182045	1.846654514	0.000109193
OVAAL	ENSG00000236719.2	1	180558974-180566518	0.271169	1.880897277	0.0000744
LINC00106	ENSG00000236871.6	X&Y	1397025-1399412	-0.207942	0.624972486	0.003469142
MIR100HG	ENSG00000255248.6	11	122028329-122422871	0.502539	1.396343319	0.036829012

1

2

Table 2 (on next page)

The clinical features of GC patients in training group and GSE62254.

Variables	Training group		Validation group-1 (GSE62254)	
	n=408	%	n=300	%
Gender				
Male	263	64.46	199	66.33
Female	145	35.54	101	33.67
Age				
Old (≥ 50 years old)	377	92.40	262	87.33
Young (< 50 years old)	31	7.60	38	12.67
TNM stage				
Stage I	55	13.48	30	10.00
Stage II	120	29.41	96	32.00
Stage III	167	40.93	95	31.67
Stage IV	41	10.05	79	26.33
Not Available	25	6.13	0	
T stage				
T1	20	4.90	2	0.67
T2	87	21.32	186	62.00
T3	178	43.63	91	30.33
T4	114	27.94	21	7.00
TX	9	2.21	0	
N stage				
N0	120	29.41	38	12.67
N1	110	26.96	131	43.67
N2	77	18.87	80	26.67
N3	82	20.10	51	17.00
NX	17	4.17	0	
Not Available	2	0.49	0	
M stage				
M0	362	88.73	273	91.00
M1	27	6.62	27	9.00
MX	19	4.66	0	
Survival status				
Alive	251	61.52	148	49.33
Dead	157	38.48	152	50.67

Table 3 (on next page)

The schematic workflow of the present study.

1

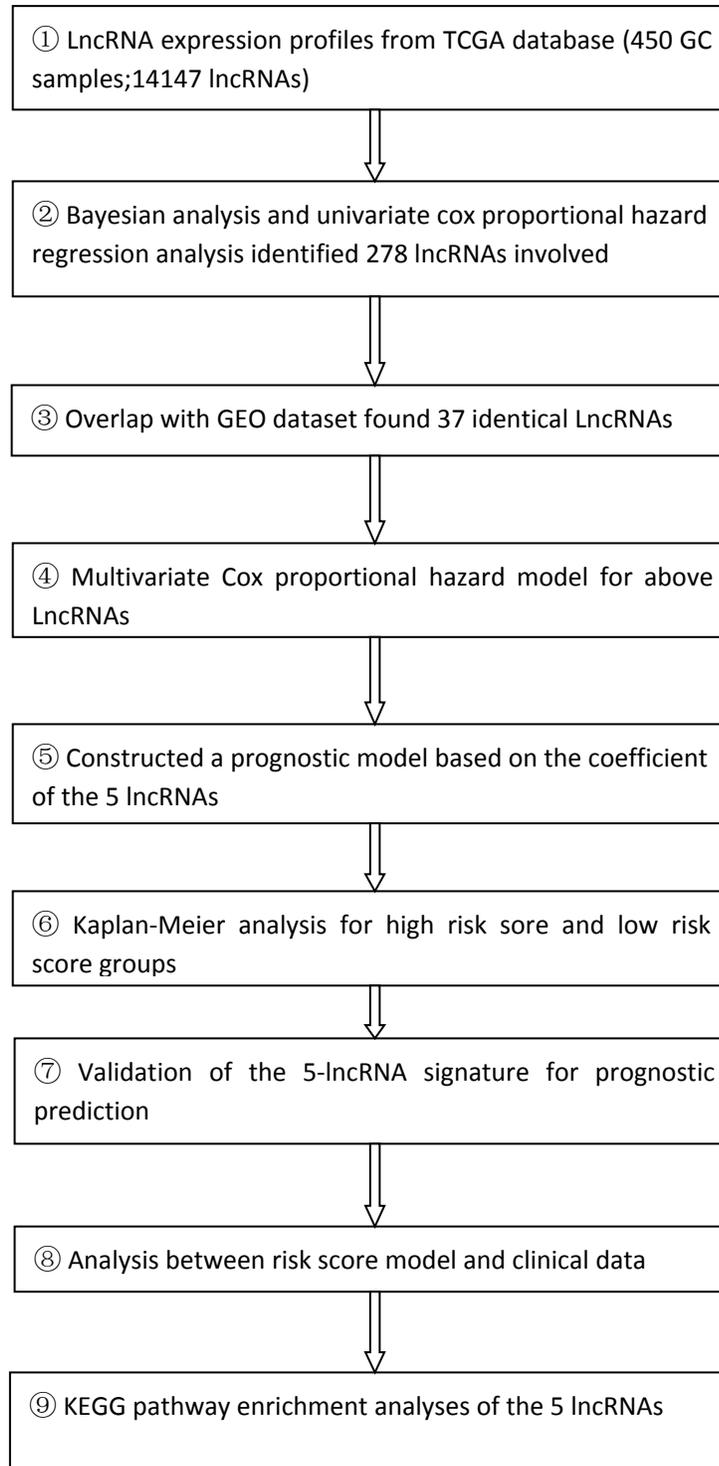


Table 4(on next page)

The association between five-lncRNA signature and OS of GC patients in training group.

Abbreviations: HR, Hazard ratio; 95%CI, 95% confidence interval.

	Number (High Risk score/Low Risk score)	HR (95%CI)	P value
Total	204/204	2.09 (1.80, 2.44)	0.000001
Gender			
Male	129/134	2.29 (1.53, 3.44)	0.00002
Female	75/70	1.97 (1.11, 3.47)	0.01
Histologic grade			
G2	47/97	2.41 (1.34, 4.33)	0.0006
G3	146/97	1.68 (1.13, 2.50)	0.02
Race			
Asian	44/41	5.47 (1.87, 16.02)	0.001
Black or african american	4/8	1.78 (0.32, 9.80)	0.6
White	138/120	2.16 (1.44, 3.24)	0.0003
Age			
Old (>=50 years old)	186/191	2.04 (1.46, 2.86)	0.00001
Young (<50 years old)	18/13	5.96 (1.26, 28.17)	0.008
TNM stage			
Stage I	14/41	2.09 (0.63, 6.93)	0.3
Stage II	62/58	2.78 (1.34, 5.78)	0.008
Stage III	87/77	1.68 (1.06, 2.66)	0.02
Stage IV	25/16	2.04 (0.87, 4.78)	0.01