# Delivery by caesarean section and risk of childhood obesity: analysis of a Peruvian prospective cohort

Rodrigo M. Carrillo-Larco, J. Jaime Miranda, Antonio Bernabé-Ortiz

**Objectives:** We aimed to assess if Caesarean section is a risk factor for overnutrition in early- and late-childhood, and to assess the magnitude of the effect of child- versus family-related variables in these risk estimates. **Methods:** Longitudinal data from Peruvian children from the Young Lives Study was used. Outcomes assessed were overweight, obesity, overnutrition (overweight plus obesity), and central obesity (waist circumference) at the age 5 (first follow-up) and 7 (second follow-up) years. The exposure of interests was delivery by Caesarean section. Relative risks (RR) and 95% confidence intervals (95%CI) were calculated using multivariable models adjusted for child-related (e.g. birth weight) and family-related (e.g. maternal nutritional status) variables. **Results:** At baseline, mean age was 11.7 (±3.5) months and 50.1% were boys. Children born by Caesarean section were 15.6%. The 10.5% of the children were overweight and 2.4% were obese. For the obesity outcome, data from 6,038 and 9,625 children-years was included from baseline to the first and second follow-up, respectively. Compared to those who did not experience Caesarean delivery, the risk of having obesity was higher in the group born by Caesarean: RRs were higher at early-childhood (first follow-up: 2.25; 95%CI 1.36-3.74) than later in life (second follow-up: 1.57; 95%CI 1.02-2.41). Family-related variables had a greater effect in attenuating the risk estimates for obesity at the first, than at the second follow-up.
**Conclusion:** Our results suggest a higher probability of developing obesity, but not overweight, among children born by Caesarean section delivery. The magnitude of risk estimates decreased over time, and family-related variables had a stronger effect on the risk estimates at early-childhood.

2 **TITLE PAGE**

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4 cohort

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23 **ABSTRACT**

25 **Objectives:** We aimed to assess if Caesarean section is a risk factor for overnutrition in early-
26 and late-childhood, and to assess the magnitude of the effect of child- versus family-related
27 variables in these risk estimates.

28 **Methods:** Longitudinal data from Peruvian children from the Young Lives Study was used.
29 Outcomes assessed were overweight, obesity, overnutrition (overweight plus obesity), and
30 central obesity (waist circumference) at the age 5 (first follow-up) and 7 (second follow-up)
31 years. The exposure of interests was delivery by Caesarean section. Relative risks (RR) and 95%
32 confidence intervals (95%CI) were calculated using multivariable models adjusted for child-
33 related (e.g. birth weight) and family-related (e.g. maternal nutritional status) variables.

34 **Results:** At baseline, mean age was 11.7 (±3.5) months and 50.1% were boys. Children born by
35 Caesarean section were 15.6%. The 10.5% of the children were overweight and 2.4% were
36 obese. For the obesity outcome, data from 6,038 and 9,625 children-years was included from
37 baseline to the first and second follow-up, respectively. Compared to those who did not

38 experience Caesarean delivery, the risk of having obesity was higher in the group born by
39 Caesarean: RRs were higher at early-childhood (first follow-up: 2.25; 95%CI 1.36-3.74) than
40 later in life (second follow-up: 1.57; 95%CI 1.02-2.41). Family-related variables had a greater
41 effect in attenuating the risk estimates for obesity at the first, than at the second follow-up.

42 **Conclusion:** Our results suggest a higher probability of developing obesity, but not overweight,
43 among children born by Caesarean section delivery. The magnitude of risk estimates decreased
44 over time, and family-related variables had a stronger effect on the risk estimates at early-
45 childhood.

47  **INTRODUCTION**
48  Childhood obesity is a global public health issue, and characterization of its early determinants is
49  necessary to tackle this problem.(Rooney et al. 2011) Delivery mode has gained attention as
50  Caesarean section has been reported as a risk factor for childhood obesity in some,(Goldani et al.
51  2011; Goldani et al. 2013; Huh et al. 2012; Keag et al. 2014; Mueller et al. 2014; Pei et al. 2014;
52  Rooney et al. 2011; Zhou et al. 2011) but not all studies.(Ajslev et al. 2011; Barros et al. 2012;
53  Darmasseelane et al. 2014; Flemming et al. 2013; Goldani et al. 2013; Kuhle et al. 2015) These
54  dissimilar results could be explained by different characteristics of the study population, different
55  patterns and distribution of the exposure or outcome in the study setting, and also due to the age
56  at which the outcome was assessed.

57  Although a recent meta-analysis suggested there was no difference in the pooled relative risk
58  between studies assessing the outcome when the child was younger than 6 years versus at age 6
59  or older, other report concluded that the magnitude of the association between Caesarean section
60  and obesity appears to be stronger at younger ages.(Pei et al. 2014) Furthermore, other meta-
61  analysis assessing the outcome at adulthood reported weaker association estimate in comparison
62  to that one reported for children aged 6 or older. (Darmasseelane et al. 2014; Kuhle et al. 2015)
63  Overall, the association between Caesarean section and obesity seems to become weaker as the
64  subject becomes older. Pei *et al.* suggested this could be because other risk factors become more
65  important for developing obesity, as the child grows up: diet and physical activity patterns, as
66  well as the surrounding environment;(Pei et al. 2014) overall, these are child-related variables.
67  Consequently, we hypothesize that other variables not directly related to the children, such as
68  maternal education or nutritional status, play a more important role during early childhood, and
69  this effect would diminish over child-related variables as the child grows older. A hierarchical
70  approach including child- and family-related variables separately would help to have further
71  evidence on this hypothesis.

72  Although there is a growing body of literature regarding the association of Caesarean section and
73  childhood obesity, some challenges remain.(Weng et al. 2012) For example, further prospective
74  studies should verify if there is actually no difference in the risk estimates assessed at age 6,
75  younger or older. Prospective studies from different world regions are needed, as developing-
76  country settings may have a different distribution of exposures (Ebrahim et al. 2013) that may
77  influence the strength of association between Caesarean section and childhood obesity, e.g. diet
78  patterns, infections, and antibiotics use. To date, none of the available evidence on the matter
79  does come from Latin American settings. (Kuhle et al. 2015) Furthermore, most of the studies
80  assess obesity or overweight as per body mass index (BMI), (Kuhle et al. 2015) thus providing
81  room to complement current knowledge with central obesity, as per waist circumference, as the
82  outcome of interest. Therefore, studying the association between Caesarean section and offspring
83  obesity becomes relevant, especially in settings where the number of Caesarean sections
84  performed has increased in recent years.(Quispe et al. 2010)

85  The aim of this study was to assess if Caesarean section is a risk factor for overnutrition in early-
86  and late-childhood, and to assess the magnitude of effect of child- versus family-related variables
87  in these risk estimates. For this we will follow a hierarchical approach including child- and
88  family-related variables to assess whether these variables does alter or not the risk estimates at
89  two points in time, early- and late-childhood.

## METHODS

### Study Design and Setting

Secondary data analysis from the Young Lives study,(Barnett et al. 2013) an international prospective cohort study conducted in four developing countries: Ethiopia, India, Peru and Vietnam. The Young Lives study started in the year 2002 with two prospective cohorts. At baseline, the younger cohort included children aged 6-18 months; whilst the older cohort recruited children aged 7-8 years.

For this analysis we used data of the younger Peruvian cohort; we used data of the first (hereafter known as *baseline*), second (hereafter known as *first follow-up*), and third (hereafter known as *second follow-up*) round of the younger Peruvian cohort. These rounds were conducted in the years 2002 (children mean age: $11.7 \pm 3.5$ months), 2006-07 (children mean age: $5.3 \pm 0.4$ years) and 2009-10 (children mean age: $7.9 \pm 0.3$ years), when participants were at infancy, early- and late-childhood, respectively.(Barnett et al. 2013)

We did not include the other countries because preliminary analyses revealed a small number of cases of obesity during follow-up, thus preventing us from conducting multivariable analysis. In addition, we only used the younger Peruvian cohort because important variables, such as prematurity, were not available in the older Peruvian cohort.

### Participants

For the younger Peruvian cohort, the attrition rate was 4.3% and 1.1% at the first and second follow-up, respectively; further details about the attrition rates have been published elsewhere.(Barnett et al. 2013)

For this secondary analysis, children with history of prematurity were excluded. Participants included in the analysis were those with complete information in the variables of interest: child BMI, age, sex, and delivery mode. The original study enrolled 2,052 children, 549 were excluded because of prematurity and 529 due to incomplete data. Thus, 974 children were included in the analysis at baseline (Supplementary Figure 1).

Previous studies set singleton pregnancy as an inclusion criterion (Goldani et al. 2013; Huh et al. 2012). Since multiple gestation can lead to preterm delivery, (Goldenberg et al. 2008; Slattery & Morrison 2002) we decided to exclude premature children. Previous studies also excluded premature children, so we decided for this exclusion criteria in order to ensure comparability (Huh et al. 2012; Mueller et al. 2014; Pei et al. 2014).

### Variables

Primary and secondary outcomes, as well as exposure and other variables, and when these were assessed, are presented in Supplementary Figure 2. All the data was collected from participants with standardized questionnaires, and weight, height, as well as waist circumference were obtained with adequate anthropometric techniques(Barnett et al. 2013).

*Outcomes*

The primary outcomes were overweight and obesity. Additionally, we explored two secondary outcomes: overnutrition (overweight plus obesity) and central obesity. All these variables were assessed at the first and second follow-up. However, central obesity was only assessed at the second follow-up.

131 Overweight and obesity were defined as per BMI. Two different criteria to set BMI into
132 categories were used. For children at baseline we defined overweight and obesity using z-score
133 of weight-for-length: more than two but less than three z-score was overweight, and three or
134 greater z-score was obesity. Regarding children at the first and second follow-up, overweight and
135 obesity were defined with age- and sex-specific cut-off points proposed by the International
136 Obesity Task Force (IOTF).(Cole & Lobstein 2012) We used the IOTF criteria because it gives
137 more conservative rates of obesity in comparison to other international definitions.(Gonzalez-
138 Casanova et al. 2013; Padula & Salceda 2008; Ramirez et al. 2006) However, the IOTF has not
139 set cut-off points for children younger than two years old. This forced us to use z-score of
140 weight-for-length for younger children so to characterize them as either overweight or obese and
141 exclude them for the incidence and risk analysis.

142 Central obesity was defined using waist circumference based on the cut-offs proposed by
143 Bergmann *et al*.(Bergmann et al. 2010) These cut-off points were chosen because they increased
144 the risk for cardiovascular risk factors among children: 63.85 cm for boys younger than 8 years
145 old and 64.00 cm for those with eight years or older; for girls: 58.25 and 59.65cm, respectively.

146 *Exposure*
147 The exposure of interest was delivery mode collected at baseline; yet mothers had to recall this
148 information as no birth certificate was requested. This information was retrieved with the
149 question: *was the child delivered by a Caesarean section?* (Yes/no).

150 *Other variables at baseline*
151 Co-variables were assessed at baseline and included information on both the children and
152 mothers. In the case of children, variables included: sex (boy or girl), age at baseline (<1 years or
153 ≥1 year), birth weight (2,500g-4,000g, ≤2,500g, ≥4,000g), hospitalization immediately after birth
154 (*Was the child hospitalized after the birth?,* Yes/no), birth order (1st, 2nd or ≥3rd), and
155 breastfeeding (*Did you ever breastfed the child?,* Yes/no); although breastfeeding could be in the
156 causal path from Caesarean section and childhood obesity, the effect of such variable needs to be
157 controlled for in the risk estimates; therefore, we included it the regression models (see statistical
158 analysis section). Other co-variables included in the analyses were: maternal nutritional status by
159 BMI at baseline (normal weight (≥18.5 and <25), overweight (≥25 and <30), and obesity (≥30));
160 maternal educational attainment (none/primary, high school, higher education); household
161 wealth index (in tertiles); and household location (rural or urban).

162 **Sampling and procedures**
163 The sampling design as well as the collection methods are available online. Briefly, the Peruvian
164 team selected twenty sentinel sites; the initial sample frame was at the district level from which
165 the twenty sentinel sites were chosen. In order to oversample poor areas, the 5% of richest
166 districts were excluded. Poverty level was determined by the Peruvian National Fund for
167 Development and Social Compensation. The sampling strategy included rural and urban settings.
168 To choose the sentinel sites, a multi-stage, cluster-stratified, random sampling technique was
169 applied. Afterwards, one census tract in each district was randomly selected, and all block of
170 houses and clusters of houses were counted. Finally, households in each selected block of houses
171 or cluster of houses were searched to identify those with at least one child aged 6-18 months old,
172 until a total of one hundred households were found. Exact details about the sampling procedures
173 are published elsewhere.

## Statistical Analysis

Analyses were conducted with STATA 11.0 (StataCorp, College Station, TX, USA). Descriptive analyses were conducted using Chi-squared test to contrast categorical variables. Proportions and 95% confidence intervals (95% CI) were calculated. Means and standard deviations are presented as well. Cumulative incidence per 100 children-years and 95% CI were calculated for developing either of the outcomes of interest, after excluding those subjects who met the criteria for overweight or obesity at baseline. However, when assessing the cumulative incidence of central obesity no subject was excluded because of lack of data on waist circumference at baseline. Relative risk (RR) and 95% CI were calculated with generalized linear models assuming Poisson distribution, log link, and using robust standard errors to account for the cluster effect.

Regression analyses were conducted with participants with complete data in all variables included in the regressions; number of observations for each outcome is presented in Tables 2 and 3. Four models were constructed to assess the risk of interest using a hierarchical approach.(Victora et al. 1997) We fit these models to understand which variables, child-related or family-related, alter or not the risk estimates at two points in time, early- and late-childhood. First, a crude model including only the outcome and exposure was fitted. Model A included adjustment by child-related variables: gender, age at baseline, breastfeeding, hospitalization after birth, birth weight and child birth order. Model B included adjustment by family-relates variables: household location and wealth index as well as maternal education and nutritional status; all the measures assessed at baseline (family-related variables). Model C included adjustment by all the previous variables. When the outcome was central obesity, the same four previous models were also fitted, though child nutritional status at baseline was included as a potential confounder in both models A and C.

The study used data of a prospective cohort study. Such longitudinal design could have allowed conducting more complex analysis including variables which values could have changed over time. We did not include such analysis because only a few of the variables could change over time, and of these only two were deemed to be important for the present study: wealth index and maternal BMI. Wealth index did not vary much between rounds (data not shown). Even though the frequency of maternal obesity increased over time, when we included the current maternal BMI (e.g. maternal BMI at first follow-up when the outcome was at first follow-up too) the risk estimated did not change much in comparison to a model in which baseline maternal BMI was included (data not shown).

## Ethics

The Young Lives Study had ethical as a whole. Further details on the ethics procedures have been published elsewhere and are available online. Because this is a secondary analysis of a publicly available dataset, we did not request approval from an Institutional Review Board. Data can be accessed online: http://www.younglives.org.uk/.(Barnett et al. 2013) The analyses conducted in this study did not include personal information of any participant and only de-identified data was used.

215    **RESULTS**

216    **Participants**
217    There were 1,503 potential participants and 529 were excluded because of missing values: 974
218    children were included at baseline (Supplementary Figure 1). Comparisons between potential
219    participants included and those excluded from the analyses (missing data) revealed these groups
220    were not different in terms of gender, age, breastfeeding and delivery mode; there were however,
221    differences between groups in family-related variables: maternal BMI and educational level,
222    household wealth index and location. (Supplementary Table 1).

223    **Study Population at Baseline**
224    Mean age at baseline was 11.7 (±3.5) months; and 50.1% were males; this population was
225    predominately urban: 79.6%. Children born by Caesarean section were 15.6% (95% CI: 13.3%-
226    17.9%). At baseline, the prevalence of overweight was 10.5% (95% CI: 8.5%-12.4%), whereas
227    obesity was present in 2.4% (95% CI: 1.4%-3.4%). In the bivariate analyses Caesarean section
228    was associated with nutritional status at baseline (p=0.008). The distribution of other variables at
229    baseline according to children nutritional status at baseline is shown in Supplementary Table 2.

230    **Caesarean Section and Incidence of Excess of Weight**
231    The number of children-years assessed at each time according to each outcome is presented in
232    Supplementary Figure 2. Cumulative incidence of overweight, obesity and overnutrition at the
233    first follow-up was: 3.2 (95% CI: 2.8-3.7), 1.1 (95% CI: 0.9-1.4) and 4.1 (95% CI: 3.6-4.6) per
234    100 children-years, respectively. Moreover, the cumulative incidence for these outcomes at the
235    second follow-up was: 2.0 (95% CI: 1.7-2.3), 0.7 (95% CI: 0.5-0.8) and 2.5 (95% CI: 2.2-2.9),
236    respectively. The cumulative incidence of abdominal obesity was 5.3 (95% CI: 4.8-5.7) at the
237    second follow-up. The cumulative incidence for either outcome is detailed in Table 1.

238    The incidence of obesity was higher among children born by Caesarean section at first and
239    second follow-up (p<0.001). Overweight showed a similar pattern, with higher incidence for
240    children born by Caesarean section at the first (p=0.006) but not at the second (p=0.095) follow-
241    up.

242    **Caesarean Section as a Risk Factor for Excess of Weight**
243    Children born by caesarean section in comparison to children who were not, did not have higher
244    risk of overweight; in addition, the risk of overnutrition was only higher at the first follow-up
245    (RR=1.57, 95% CI: 1.22-2.04). Relative to children who were not born by Caesarean section,
246    those who were born by Caesarean section had higher risk of obesity at the first (RR=2.25, 95%
247    CI: 1.36-3.74) and second (RR=1.57, 95% CI: 1.02-2.41) follow-up (Table 2). There was no risk
248    of central obesity at the second follow-up (Table 3).

249    In the hierarchical approach, at the first follow-up, family-related variables had a stronger effect
250    in diminishing the risk of obesity in comparison to children-related variables (Table 2). At the
251    second follow-up, there was the opposite trend (Table 2).

253 **DISCUSSION**

254 **Main Findings**
255 In a sample of Peruvian children drawn from and currently living in resource-limited settings,
256 our results suggest a higher probability of developing obesity among those born by Caesarean
257 section, compared to those who were not. The risk of having obesity among those born by a
258 Caesarean delivery was over twofold in early-childhood, and 57% higher in late-childhood. This
259 observation suggests that risk magnitudes wane over time, and yet caution is warned as
260 confidence intervals for risk estimates at both follow-up periods overlapped. Relative to child-
261 related variables, family-related variables decreased more the risk of obesity at the first, than at
262 the second follow-up. This suggests that family-related factors, more than child-related ones,
263 appears as potential avenues to intervene to prevent the increased risk of obesity at early-
264 childhood among children born by Caesarean section.

265 **Previous Studies**
266 Caesarean section delivery appears to be a risk factor for turning obese(Darmasseelane et al.
267 2014; Keag et al. 2014; Kuhle et al. 2015) and evidence from observational studies suggest such
268 risk is stronger at early ages.(Kuhle et al. 2015; Pei et al. 2014) In the USA, Rooney *et
269 al.*(Rooney et al. 2011) reported over 200% risk of obesity; the outcome was measured when the
270 children were in average 5 years old.(Rooney et al. 2011) Other study in the USA showed similar
271 results and the outcome was measured at 3 years of age.(Huh et al. 2012) Just recently, Mueller
272 *et al.* reported a cohort study conducted in New York with women who classified themselves as
273 African-American or Dominican, and reported higher risk of obesity when the offspring was 7
274 years old.(Mueller et al. 2014) In Germany, they found higher odds of obesity at age 2 years yet
275 not at age 6 or 10 years, providing evidence that the risk diminishes over time.(Pei et al. 2014) In
276 contrast, Goldani *et al.*(Goldani et al. 2013) in Brazil found there were higher odds of obesity at
277 age 10-11 years, yet not at age 7-9 years;(Goldani et al. 2013) it is worth mentioning that
278 Brazilian settings from which these results were retrieved were very dissimilar: one was less rich
279 than the other.(Goldani et al. 2013) Not all previous studies have found higher risk of obesity.
280 Flemming *et al.* in Canada did not report a significant association; nutritional status was assessed
281 at age 10-11.(Flemming et al. 2013) Ajslev *et al.* in Demark had similar results with BMI
282 assessed at age 7 years in average.(Ajslev et al. 2011) Another study in Brazil did not find higher
283 prevalence of obesity; the outcome was assessed at age 4 years.(Barros et al. 2012)

284 With regard to central obesity, a cross-sectional study in Iran reported similar results: no
285 significant estimates after adjusting for potential confounders.(Salehi-Abargouei et al. 2014)
286 They included a smaller sample size (n=635) enrolled from elementary schools.(Salehi-
287 Abargouei et al. 2014) Although it seems that Caesarean section delivery is not a risk factor for
288 childhood central obesity, more studies should be conducted so to clearly prove this observation,
289 especially as it seems to be biologically plausible.(Sanz & Moya-Perez 2014)

290 The different rates we report regarding childhood obesity and Caesarean section, in comparison
291 to other studies, could be because our sample excluded the highest socioeconomic level, and
292 most of Caesarean section (56.2%) were reported at the top wealth index tertile (data not shown).
293 Overall, the risk of obesity among those born by Caesarean section delivery could be stronger in
294 the Peruvian general children population. This warrants further studies with a general-

295    population-based sample. Socioeconomic status, as well as obesity and Caesarean section rates,
296    may influence the risk estimates.

297    Provided the gut microbiota is in the causal path from Caesarean section to childhood obesity,
298    (Cani & Delzenne 2009; Cani et al. 2008; Gronlund et al. 1999; Isolauri 2012; Mackie et al.
299    1999; Penders et al. 2006; Reinhardt et al. 2009; Snedeker & Hay 2012) variables which directly
300    affect the gut microbiota may play a role too: diarrhea of different etiologies,(Albert et al. 1978;
301    Gorkiewicz et al. 2013; Hopkins et al. 2001; Hopkins et al. 2002; Monira et al. 2013) and re-
302    colonization with regular microbiota after diarrhoea.(Monira et al. 2012) Diet patterns which
303    determine shifts in the gut microbiota between subjects in different continents,(De Filippo et al.
304    2010) and within the same country,(Abdallah Ismail et al. 2011) could also explain the different
305    results.

306    **Caesarean section and Risk of Obesity: A Hierarchical Approach**
307    Pei *et al.*(Pei et al. 2014) suggested that the effect of Caesarean section on childhood obesity
308    might decrease as the child grows up and other factors, such as dietary habits or lack of physical
309    activity, start playing a more important role in the onset of obesity.(Pei et al. 2014) Our results
310    support this hypothesis because the risk estimates were stronger at younger ages. Moreover,
311    family-related variables diminished the risk of obesity at the first follow-up, more than it did at
312    the second follow-up. This means that family-related variables play a greater role in the risk
313    estimates at younger ages. Efforts should be taken to address family-related variables of young
314    children born by Caesarean section to prevent early-childhood obesity.

315    **Strengths and Limitations**
316    The main strength of this study is the analysis of prospective data. It also benefits from a large
317    sample size of children in resource-limited settings in a developing country. The study
318    population has characteristics that have not been included in previous studies. In addition, we
319    included several confounders and central obesity as outcome. The multi-stage, cluster-stratified,
320    random sampling technique is also a strength.

321    However, limitations must be highlighted too. First, we could not control for what has been
322    reported to be an important confounder:(Flemming et al. 2013) pre-pregnancy BMI or pregnancy
323    weight gain; this limitation is shared with other published studies.(Goldani et al. 2011; Goldani
324    et al. 2013; Rooney et al. 2011; Zhou et al. 2011) If we had adjusted for any of these variables,
325    the risk estimates could have been smaller or perhaps they would have not been statistically
326    significant, as other authors have reported.(Ajslev et al. 2011; Barros et al. 2012; Flemming et al.
327    2013) Second, some studies have accounted for maternal smoking status during
328    pregnancy,(Ajslev et al. 2011; Barros et al. 2012; Flemming et al. 2013; Pei et al. 2014) though
329    we did not. However, this limitation should have not affected much our results as the prevalence
330    of smoking among women is low in some Peruvian cities;(Champagne et al. 2010; Heitzinger et
331    al. 2014; Medina-Lezama et al. 2008) this reduces the probability of women to be active smoker
332    during pregnancy. Third, we could not exclude children with central obesity at baseline.
333    However, this could have a minor impact as it is very unlikely for a child younger than one year
334    old to be centrally obese. A study in Mexico reported that the prevalence of abdominal obesity
335    was 4.4% among children aged 2.7 years in average.(Salinas-Martinez et al. 2012) Provided
336    Mexico has higher rates of childhood obesity than Peru, we believe the prevalence of abdominal
337    obesity among Peruvian infants or children at very early childhood should be rather low. To the
338    best of our knowledge there are no studies which have reported central obesity among infants.

339   Moreover, in order to overcome this potential limitation, when the outcome was central obesity
340   we adjusted for children nutritional status at baseline. We decided for this procedure because
341   preliminary analyses revealed these were the most conservative results: when we did not adjust
342   for children nutritional status at baseline the results were very similar, and when we excluded
343   obese children as per BMI at baseline the estimates were higher. Fourth, the source of
344   information about delivery mode could be a limitation as it relied on a questionnaire applied to
345   the mother. As this information was collected when the children were 6-18 months old, we
346   believe there should have been low recall bias. Fifth, we do not consider having two different
347   criteria for childhood obesity is a limitation. The criterion (IOTF) we used to define obesity at
348   either follow-up is more conservative in comparison to other criteria.(Gonzalez-Casanova et al.
349   2013; Padula & Salceda 2008; Ramirez et al. 2006) Sixth, there could be selection bias: there
350   were more obese mothers in the study group. If we would have not applied the exclusion criteria
351   (prematurity), there would still be more obese mothers included in the analysis; this difference
352   might have influenced delivery mode. However, we cannot verify if mothers were obese at birth;
353   data on maternal weight was assessed between six and eighteen months after child birth. Lastly,
354   there are medical and obstetric issues that may have contributed to a Caesarean section
355   including, for example, gestational diabetes. To the best of our knowledge there are not
356   nationally representative data on gestational diabetes. However, one study in a hospital in Lima
357   reported that gestational diabetes was not associated with higher odds of Cesarean section.(Ilave
358   & Gutarra 2009) There was also no information if the Caesarean section was planned or an
359   emergency procedure.

360   Further sources of bias may affect cohort studies (Delgado-Rodriguez & Llorca 2004; Yu & Tse
361   2012) and these must be addressed too. First, attrition bias (Barnett et al. 2013) may be ruled out
362   as losses to follow-up at the first and second follow-up were 4.3% and 1.1%, respectively.
363   Second, missing values in multivariable analysis could have added bias; however, post-hoc
364   analysis not restricting the analysis to subjects with complete information revealed almost
365   identical results (data not shown). Also, it is most likely that missing values follow a random
366   pattern, so the effect of the bias would change the estimates towards the null value. Third, non-
367   response bias was addressed when comparing included and excluded individuals. Fourth, non-
368   differential misclassification bias should not raise concerns; especially as it has been suggested
369   that when the exposure is binary the bias will move the estimates towards the null risk
370   value.(Copeland et al. 1977) Lastly, common causes of misclassification bias were ruled out due
371   to the study design: i) observer/interviewer bias, data collection followed a standardized
372   procedure and variables of interest for the present study were unknown by the interviewers; ii)
373   low risk of reporting bias as the hypothesis tested in the present study was unknown by the
374   participants at time of data collection.

375   **Conclusions**
376   Our results suggest a higher probability of developing obesity, but not overweight, among
377   children born by Caesarean section delivery, and such risk appears to be stronger at younger
378   ages. Provided family-related variables play an important role in such risk at early-childhood, a
379   possible venue for childhood obesity prevention could be to focus on families with a young child
380   who has been born by Caesarean section delivery.

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392

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548 **TABLES AND FIGURES**

549 **Table 1. Cumulative incidence at the first and second follow-up of overweight, obesity,**
550 **overnutrition and central obesity. The Young Lives Study, Younger Cohort, Peru.**

551 **Table 2. Risk of overweight, obesity and overnutrition provided the child was born by**
552 **Caesarean section. The Young Lives Study, Younger Cohort, Peru.**
553

554 Crude model, only include the outcome and exposure; Model A adjusted by child sex, child age
555 at baseline, breastfeeding, hospitalised after birth, birth weight and child birth order; Model B
556 adjusted only by location at baseline, maternal BMI at baseline, wealth index at baseline, and
557 maternal education; Model C adjusted by all the previous variables. In bold, p<0.05. C-sect:
558 Caesarean section.

559 **Table 3. Risk of central obesity provided the child was born by caesarean section. The**
560 **Young Lives Study, Peru.**
561

562 Crude model, only include the outcome and exposure; Model A adjusted by child gender, child
563 age at baseline, breastfeeding, hospitalised after birth, birth weight, child birth order and child
564 nutritional status at baseline; Model B adjusted only by location at baseline, maternal BMI at
565 baseline, wealth index at baseline, and maternal education; Model C adjusted by all the previous
566 variables. C-sect: Caesarean section.

567 *Did not exclude any overweight or obese children at baseline; no data on waist circumference.

568

Cumulative incidence at the first and second follow-up of overweight, obesity, overnutrition and central obesity. The Young Lives Study, Younger Cohort, Peru.

**Table 1. Cumulative incidence at the first and second follow-up of overweight, obesity, overnutrition and central obesity. The Young Lives Study, Younger Cohort, Peru.**

| Variables | From baseline to first follow-up | | | From baseline to the second follow-up | | | |
|---|---|---|---|---|---|---|---|
| | Overweight | Obesity | Overnutrition | Overweight | Obesity | Overnutrition | Central Obesity |
| | Incidence (95% CI) | | | | | | |
| *Mother* | | | | | | | |
| Maternal BMI | | | | | | | |
|   Normal Weight | 2.7 (2.1-3.5) | 1.1 (0.7-1.6) | 3.5 (2.7-4.4) | 2.1 (1.7-2.7) | 0.7 (0.5-1.1) | 2.7 (2.2-3.3) | 5.3 (4.6-6.1) |
|   Overweight | 2.9 (2.1-4.0) | 1.5 (1.0-2.3) | 4.1 (3.1-5.5) | 2.9 (2.2-3.8) | 1.1 (0.7-1.6) | 3.8 (3.0-4.8) | 6.9 (5.8-8.1) |
|   Obesity | 4.3 (2.7-7.1) | 2.6 (1.4-4.7) | 6.5 (4.4-9.7) | 4.1 (2.7-6.2) | 2.11 (1.3-3.6) | 6.0 (4.3-8.5) | 8.7 (6.8-11.2) |
| Maternal Education | | | | | | | |
|   None/Primary | 2.6 (1.8-3.7) | 0.3 (0.1-0.8) | 2.7 (2.0-3.8) | 1.9 (1.4-2.6) | 0.0 (0.0-0.3) | 1.9 (1.4-2.6) | 3.9 (3.2-4.8) |
|   High School | 2.9 (2.2-3.9) | 1.8 (1.2-2.5) | 4.3 (3.4-5.5) | 2.5 (1.9-3.2) | 1.4 (1.0-1.9) | 3.6 (2.9-4.5) | 7.0 (6.0-8.0) |
|   Higher Education | 3.4 (2.3-5.0) | 2.1 (1.4-3.3) | 5.3 (3.9-7.2) | 4.0 (3.0-5.4) | 1.5 (1.0-2.3) | 5.2 (4.1-6.7) | 8.1 (6.7-9.8) |
| Wealth Index | | | | | | | |
|   Bottom | 2.4 (1.5-3.8) | 0.6 (0.2-1.3) | 3.1 (2.1-4.5) | 1.5 (1.0-2.4) | 0.1 (0.0-0.5) | 1.4 (0.9-2.2) | 4.1 (3.2-5.3) |
|   Middle | 3.4 (2.5-4.6) | 0.6 (0.3-1.2) | 3.7 (2.8-5.0) | 2.1 (1.5-2.8) | 0.7 (0.4-1.1) | 3.0 (2.3-3.9) | 5.6 (4.7-6.7) |
|   Top | 2.8 (2.1-3.7) | 2.3 (1.7-3.1) | 4.7 (3.7-6.0) | 3.5 (2.8-4.3) | 1.7 (1.3-2.3) | 4.9 (4.0-5.9) | 7.8 (6.9-9.0) |
| Location | | | | | | | |
|   Urban | 2.9 (2.3-3.6) | 1.5 (1.2-2.0) | 4.1 (3.4-4.9) | 2.9 (2.4-3.4) | 1.2 (0.9-1.5) | 3.8 (3.3-4.5) | 6.9 (6.2-7.6) |
|   Rural | 3.0 (2.0-4.6) | 0.5 (0.2-1.4) | 3.6 (2.4-5.2) | 1.5 (1.0-2.4) | 0.2 (0.1-0.7) | 1.7 (1.1-2.6) | 3.6 (2.7-4.8) |
| *Children* | | | | | | | |
| Gender | | | | | | | |
|   Male | 3.2 (2.5-4.2) | 1.6 (1.1-2.2) | 4.6 (3.7-5.7) | 2.6 (2.0-3.2) | 1.1 (0.8-1.6) | 3.6 (2.9-4.3) | 4.1 (3.4-4.8) |
|   Female | 2.6 (1.9-3.5) | 1.1 (0.7-1.7) | 3.3 (2.6-4.3) | 2.5 (2.0-3.2) | 0.8 (0.5-1.2) | 3.1 (2.5-3.9) | 8.4 (7.4-9.4) |
| Age | | | | | | | |
|   <1 year | 3.1 (2.4-4.1) | 1.2 (0.8-1.9) | 4.0 (3.2-5.1) | 2.9 (2.3-3.7) | 1.1 (0.7-1.5) | 3.7 (3.0-4.5) | 6.5 (5.7-7.5) |
|   ≥1 year | 2.7 (2.1-3.6) | 1.4 (1.0-2.1) | 3.9 (3.1-4.9) | 2.3 (1.8-2.9) | 0.9 (0.6-1.3) | 3.1 (2.5-3.7) | 5.9 (5.1-6.8) |
| Birth Weight | | | | | | | |
|   2500-4000 | 2.7 (2.2-3.4) | 1.3 (0.9-1.7) | 3.7 (3.1-4.5) | 2.6 (2.2-3.1) | 0.9 (0.7-1.2) | 3.3 (2.9-3.9) | 6.3 (5.6-7.0) |
|   ≤2500 | 4.6 (1.7-12.3) | 0.0 (0.0-0.0) | 4.6 (1.7-12.3) | 2.9 (1.1-7.7) | 0.7 (0.1-5.1) | 3.6 (1.5-8.7) | 4.3 (1.9-9.6) |

| | | | | | | | |
|---|---|---|---|---|---|---|---|
| ≥4000 | 5.4 (3.1-9.6) | 3.3 (1.6-6.6) | 7.7 (4.8-12.4) | 2.9 (1.5-5.3) | 2.4 (1.2-4.5) | 4.9 (3.0-7.9) | 7.4 (5.2-10.6) |
| Breastfeeding | | | | | | | |
| Yes | 2.9 (2.4-3.5) | 1.3 (1.0-1.8) | 3.9 (3.3-4.6) | 2.5 (2.2-3.0) | 1.0 (0.7-1.2) | 3.3 (2.9-3.8) | 6.2 (5.6-6.8) |
| No | 3.2 (0.5-23.0) | 3.2 (0.5-23.0) | 6.5 (1.6-25.9) | 4.1 (1.0-16.5) | 2.1 (0.3-14.7) | 6.2 (2.0-19.2) | 8.3 (3.1-22.0) |
| Hospitalised after birth | | | | | | | |
| Yes | 3.8 (2.2-6.5) | 1.6 (0.7-3.5) | 4.7 (2.9-7.6) | 3.4 (2.2-5.4) | 1.9 (1.1-3.4) | 4.7 (3.2-7.0) | 6.9 (5.1-9.4) |
| No | 2.9 (2.3-3.4) | 1.3 (1.0-1.8) | 3.9 (3.3-4.6) | 2.5 (2.1-2.9) | 0.9 (0.7-1.2) | 3.2 (2.7-3.7) | 6.1 (5.5-6.8) |
| Birth Order | | | | | | | |
| 1st | 3.1 (2.3-4.2) | 1.9 (1.4-2.7) | 4.5 (3.5-5.7) | 2.8 (2.2-3.6) | 1.2 (0.8-1.7) | 3.8 (3.0-4.7) | 6.8 (5.9-7.9) |
| 2nd | 1.9 (1.2-3.1) | 1.5 (0.9-2.4) | 3.3 (2.4-4.8) | 2.9 (2.1-3.9) | 1.2 (0.8-1.9) | 3.9 (3.0-5.0) | 6.8 (5.7-8.2) |
| ≥3rd | 3.2 (2.3-4.4) | 0.5 (0.2-1.1) | 3.5 (2.6-4.8) | 2.0 (1.4-2.8) | 0.5 (0.2-0.9) | 2.4 (1.8-3.2) | 4.6 (3.8-5.7) |
| Delivery | | | | | | | |
| No Caesarean | 2.7 (2.1-3.3) | 1.0 (0.7-1.4) | 3.5 (2.9-4.2) | 2.5 (2.0-2.9) | 0.8 (0.6-1.0) | 3.1 (2.6-3.6) | 6.0 (5.4-6.7) |
| Caesarean section | 4.4 (2.9-6.6) | 3.2 (2.1-5.0) | 6.7 (4.8-9.3) | 3.2 (2.2-4.7) | 2.2 (1.4-3.3) | 4.9 (3.6-6.7) | 7.2 (5.7-9.0) |

Risk of overweight, obesity and overnutrition provided the child was born by Caesarean section. The Young Lives Study, Younger Cohort, Peru.

2 **Table 2. Risk of overweight, obesity and overnutrition provided the child was born by**
3 **Caesarean section. The Young Lives Study, Younger Cohort, Peru.**

| | RR (95% CI) | | | |
|---|---|---|---|---|
| | Crude | Model A | Model B | Model C |
| | **From baseline to the first follow-up** | | | |
| | Outcome: overweight (n=644) | | | |
| No C-sect | 1 | 1 | 1 | 1 |
| C-sect | **1.80 (1.14-2.84)** | **1.54 (1.00-2.36)** | **1.78 (1.09-2.89)** | 1.51 (0.98-2.35) |
| | Outcome: obesity (n=654) | | | |
| No C-sect | 1 | 1 | 1 | 1 |
| C-sect | **3.12 (1.87-5.21)** | **2.59 (1.36-4.93)** | **2.46 (1.62-3.74)** | **2.25 (1.36-3.74)** |
| | Outcome: overnutrition (n=680) | | | |
| No C-sect | 1 | 1 | 1 | 1 |
| C-sect | **1.90 (1.47-2.46)** | **1.63 (1.27-2.09)** | **1.81 (1.37-2.38)** | **1.57 (1.22-2.04)** |
| | **From baseline to the second follow-up** | | | |
| | Outcome: overweight (n=630) | | | |
| No C-sect | 1 | 1 | 1 | 1 |
| C-sect | 1.35 (0.96-1.90) | 1.17 (0.83-1.64) | 1.29 (0.93-1.77) | 1.15 (0.84-1.55) |
| | Outcome: obesity (n=601) | | | |
| No C-sect | 1 | 1 | 1 | 1 |
| C-sect | **2.69 (1.71-4.23)** | **1.96 (1.20-3.22)** | **2.02 (1.38-2.97)** | **1.57 (1.02-2.41)** |
| | Outcome: overnutrition (n=671) | | | |
| No C-sect | 1 | 1 | 1 | 1 |
| C-sect | **1.48 (1.08-2.02)** | 1.25 (0.92-1.69) | **1.34 (1.02-1.75)** | 1.17 (0.92-1.50) |

Risk of central obesity provided the child was born by caesarean section. The Young Lives Study, Peru.

Crude model, only include the outcome and exposure; Model A adjusted by child gender, child age at baseline, breastfeeding, hospitalised after birth, birth weight, child birth order and child nutritional status at baseline; Model B adjusted only by location at baseline, maternal BMI at baseline, wealth index at baseline, and maternal education; Model C adjusted by all the previous variables. C-sect: Caesarean section. *Did not exclude any overweight or obese children at baseline; no data on waist circumference.

2 **Table 3. Risk of central obesity provided the child was born by caesarean section. The**
3 **Young Lives Study, Peru.**

| Variable | RR (95% CI) | | | |
|---|---|---|---|---|
| | Crude | Model A | Model B | Model C |
| | **From baseline to the second follow-up** | | | |
| | Central Obesity (n=801)* | | | |
| No C-sect | 1 | 1 | 1 | 1 |
| C-sect | **1.21 (1.00-1.48)** | 1.12 (0.96-1.31) | 1.09 (0.94-1.27) | 1.06 (0.91-1.22) |