

# Clinical significance of pretreatment prognostic nutritional index and lymphocyte-to-monocyte ratio in patients with advanced p16-negative oropharyngeal cancer - a retrospective study

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**Background.** Systemic inflammation and nutritional status both play roles in the survival of cancer patients. Therefore, it is important to understand the effects of prognostic nutritional index (PNI) and lymphocyte-to-monocyte ratio (LMR) on the survival of patients with advanced p16-negative oropharyngeal cancer. **Methods.** A total of 142 patients diagnosed with advanced p16-negative oropharyngeal cancer between 2008 and 2015 were enrolled in this study. All patients received primary treatment with definite concurrent chemoradiotherapy (CCRT). Optimal cutoff values for PNI and LMR were determined using receiver operating characteristic curves for survival prediction. Survival rates for different level of PNI and LMR were estimated and compared using Kaplan-Meier method and log-rank test to see if there were significant effects on these end points, including five-year overall survival (OS), disease-specific survival (DSS), and disease-free survival (DFS) rates. The effects of PNI and LMR on survival were assessed using Cox regression model adjusted for other prognostic factors. **Results.** The results showed the optimal cutoff values for PNI and LMR were 50.5 and 4.45, respectively. A high PNI ( $\geq 50.5$ ) was significantly improved the 5-year OS. A low LMR ( $< 4.45$ ) was significantly associated with a poor 5-year DFS, DSS, and OS. In multivariate analysis, both PNI and LMR were independent prognosticators for 5-year OS. **Conclusions.** Elevated pretreatment PNI and LMR are both favorable prognosticators in advanced p16-negative oropharyngeal cancer patients undergoing CCRT.

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25 **Abstract**

26

27 **Background.**

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29 Therefore, it is important to understand the effects of prognostic nutritional index (PNI) and

30 lymphocyte-to-monocyte ratio (LMR) on the survival of patients with advanced p16-negative

31 oropharyngeal cancer.

32 **Methods.**

33 A total of 142 patients diagnosed with advanced p16-negative oropharyngeal cancer between

34 2008 and 2015 were enrolled in this study. All patients received primary treatment with definite

35 concurrent chemoradiotherapy (CCRT). Optimal cutoff values for PNI and LMR were

36 determined using receiver operating characteristic curves for survival prediction. Survival rates

37 for different level of PNI and LMR were estimated and compared using Kaplan-Meier method

38 and log-rank test to see if there were significant effects on these end points, including five-year

39 overall survival (OS), disease-specific survival (DSS), and disease-free survival (DFS) rates. The

40 effects of PNI and LMR on survival were assessed using Cox regression model adjusted for

41 other prognostic factors.

42 **Results.**

43 The results showed the optimal cutoff values for PNI and LMR were 50.5 and 4.45, respectively.

44 A high PNI ( $\geq 50.5$ ) was significantly improved the 5-year OS. A low LMR ( $< 4.45$ ) was

45 significantly associated with a poor 5-year DFS, DSS, and OS. In multivariate analysis, both PNI

46 and LMR were independent prognosticators for 5-year OS.

47 **Conclusions.**

48 Elevated pretreatment PNI and LMR are both favorable prognosticators in advanced p16-

49 negative oropharyngeal cancer patients undergoing CCRT.

## 50 **Introduction**

51 It is estimated that head and neck cancers are the sixth most commonly diagnosed systemic  
52 malignant tumors with more than 500,000 new cases and 300,000 associated deaths annually.  
53 (McGuire, 2016) Oropharyngeal squamous cell carcinoma (OPSCC) is an aggressive type of  
54 head and neck cancer. The average annual percentage increase of OPSCC was 6.1% between  
55 1980 and 2014, and the trend continues to increase with numerous OPSCC cases diagnosed as  
56 advanced stages in Taiwan. (Hsu et al., 2017) Though investigations of OPSCC have been  
57 carried out for decades worldwide, its etiologic and clinical characteristics differ substantially  
58 among populations. For instance, human papillomavirus (HPV) infection may lead to a more  
59 favorable prognosis in patients than that without HPV infection. (Mehanna et al., 2013) Besides,  
60 the relatively low HPV infection rate, approximately 20–30%, in Taiwan along with the high  
61 prevalence of betel nut chewing both may deteriorate the prognosis, as investigated in our  
62 previous study (Al-Swiahb et al., 2010) makes the evaluation of treatments and possible  
63 prognostic factors in advanced stage HPV-negative OPSCC an urgent need.

64 In addition to HPV status, inflammatory biomarkers are thought to be a representation of the  
65 interaction between the tumor microenvironment and host immune system.(O'Callaghan et al.,  
66 2010; Aggarwal, Vijayalekshmi & Sung, 2009) Recent studies have shown a negative prognostic  
67 value of higher neutrophil-to-lymphocyte ratio and lower lymphocyte-to-monocyte ratio (LMR)

68 among patients with head and neck cancer.(Perisanidis et al., 2013; Haddad et al., 2015; Rassouli  
69 et al., 2015; Tham et al., 2018) Takahashi et al.(Takahashi et al., 2019) reported that a low LMR  
70 was an independent adverse prognostic factor for survival in patients with OPSCC.

71 Nutritional impairment has also been shown to have a negative impact on clinical outcomes.  
72 (Moon et al., 2016) Patients with advanced stage OPSCC are often vulnerable to malnutrition at  
73 the time of diagnosis because of poor food intake due to cancer-related pain, mechanical  
74 obstruction by the tumor, or psychological problems. The prognostic nutritional index (PNI),  
75 calculated as previously described, (Onodera, Goseki & Kosaki, 1984) may be especially useful  
76 because it could act as a surrogate marker for both inflammation and nutritional status. This  
77 index was originally studied to demonstrate the correlation between postoperative complications  
78 and prognosis in patients with esophageal carcinoma.(Nozoe et al., 2002) With regard to head  
79 and neck cancer, a low PNI had shown as a poor survival predictor in previous study.(Bruixola et  
80 al., 2018)

81 Currently, studies on the role of PNI and LMR played in patients with advanced stage HPV-  
82 negative OPSCC are still limited. Clinically, p16 expression could be regarded as a surrogate  
83 marker for HPV in the prediction of tumor behavior in oropharyngeal cancer. (Golusiński et al.,  
84 2017) Thus, the objective of this study was to identify the significant effects of PNI and LMR on  
85 clinical prognosis in patients with advanced stage (stage III/IV) p16-negative OPSCC.

## 86 **Material and Methods**

### 87 **Study population.**

88 Patients who were histologically confirmed by biopsy to have stage III/IV p16-negative  
89 OPSCC were evaluated in the study. The TNM stage was reclassified according to the 8th  
90 edition of the American Joint Committee on Cancer (AJCC) staging system. Patients who were  
91 treated with primary concurrent chemoradiotherapy (CCRT) were eligible for this study. The  
92 determination of p16 expression in tumor cells by immunohistochemistry was done as suggested  
93 in the 8th edition AJCC staging system manual.(Amin et al., 2017) Patients with clinical  
94 evidence of an acute infection within 4 weeks prior to the blood tests or who were diagnosed  
95 with recurrent tumors, distant metastases, other concomitant active cancers, or chronic  
96 inflammatory disease or who had a history of malignancy in the past 5 years were excluded from  
97 the study.

98 In this retrospective study, 142 patients with stage III/IV p16-negative OPSCC who  
99 underwent primary CCRT at the Kaohsiung Chang Gung Memorial Hospital in Taiwan between  
100 January 2008 and April 2015 were recruited. Treatment was primarily based on the American  
101 NCCN guidelines. The chemotherapy agent was cisplatin-based and the radiation technique for  
102 all patients was intensity-modulated radiation therapy (IMRT). The primary radiation dose for all  
103 of our patients was between 70 and 74 Gy with conventional fractionated daily dose of 1.8 or 2

104 Gy. All included patients completed the treatment programs formulated by the multidisciplinary  
105 team.

### 106 **Variables and outcomes.**

107 Pretreatment clinical variables of interest were collected, including age, sex, Adult  
108 Comorbidity Evaluation-27 (ACE-27) score, and clinical TNM stage of the tumor. Information  
109 collection of pretreatment complete blood count (including absolute lymphocyte and monocyte  
110 counts) and biochemical (including albumin) tests using the peripheral blood sample were also  
111 conducted within one week before treatment.

112 The LMR was calculated by dividing the baseline absolute peripheral lymphocyte count  
113 (cells/mm<sup>3</sup>) by the absolute monocyte peripheral count (cells/mm<sup>3</sup>).

114 The PNI was calculated as follows:  $10 \times \text{baseline serum albumin (g/dL)} + 0.005 \times \text{baseline}$   
115  $\text{absolute lymphocyte count (cells/mm}^3\text{)}$ .

### 116 **Statistical analysis.**

117 The endpoints in our study were the 5-year overall survival (OS), 5-year disease-specific  
118 survival (DSS), and 5-year disease-free survival (DFS) rates. Overall survival calculated the time  
119 frame from the date of the first treatment to the date of death or last follow-up; disease specific  
120 survival calculated from the date of the first treatment to the date of death because of tumor or  
121 last follow-up. Disease free survival calculated the time from the date of the first treatment to the

122 date of recurrence, metastasis, or last follow-up. Follow-up was continued through May 2020.

123 Receiver operating characteristic curves for survival were plotted, and Youden's index, which

124 calculated as  $J = \text{sensitivity} + \text{specificity} - 1$ , was applied to verify the optimum cutoff value of

125 LMR and PNI for OS. Survival rates of certain prognostic factors were estimated using the

126 Kaplan–Meier method, and the log-rank test was used to determine the heterogeneity of each

127 specific factor. Sex and smoking status variables were excluded from the analysis because of the

128 extremely imbalanced distribution. The Cox proportional hazards model was built with

129 independent primary factors and other significant prognostic factors that were identified in prior

130 univariate survival analyses. The variance inflation factors (VIF) were assessed to avoid

131 multicollinearity among independent variables in the Cox model. Both VIF values for continuous

132 PNI to continuous LMR or dichotomous PNI to dichotomous LMR were below 3 (1.004 and

133 1.002, respectively), which indicated that there was a low correlation between PNI and LMR. All

134 statistics tests were two-sided, with 0.05 significant level. All statistical analyses were performed

135 using the Social Science Software, version 20.0 package (SPSS, Chicago, IL). This study was

136 approved by the Medical Ethics and Human Clinical Trial Committees at Chang Gung Memorial

137 Hospital (Ethical Application Reference number:202000471B0). Patients' consent to review

138 their medical records was not required by this hospital's committees because the patient data

139 remained anonymous in this study.

## 140 Results

141 Of the 142 p16-negative OPSCC patients, 99.3% (141) were men and 0.7% (1) were women.  
142 The mean age at diagnosis for all participants was 53.8 years (range: 36–85 years). The mean  
143 follow-up period was 40.7 months (3.6–111.8 months). Nine patients (6.3%) had stage III  
144 disease, 34 (23.9%) had stage IVA, and 99 (69.7%) had stage IVB. This cohort included patients  
145 with clinical T classifications of T1 (n = 4, 2.8%), T2 (n = 24, 16.9%), T3 (n = 24, 16.9%), T4a  
146 (n = 34, 23.9%), and T4b (n = 56, 39.4%). Clinical nodal metastasis was present in 117 patients  
147 (82.4%), and 65 (45.8 %) had extranodal extensions (ENE). The clinicopathological features of  
148 the 142 cases, and their survival outcomes were listed in Table 1.

149 The optimal cutoff value for PNI was 50.5, and 4.45 for LMR (Figure 1). Patients with  
150  $\text{PNI} \geq 50.5$  or  $\text{LMR} \geq 4.45$  did not have significant correlations with age, T classification, N  
151 classification, or other clinicopathologic factors (all  $p > 0.05$ ; Table S1).

152 The OS rate for patients with  $\text{PNI} \geq 50.5$  was significantly higher than that for patients with  
153  $\text{PNI} < 50.5$  (48.1% vs 24.7%,  $p = 0.004$ ). Similarly, the DSS for patients with  $\text{PNI} \geq 50.5$  was  
154 significantly higher than that for patients with  $\text{PNI} < 50.5$  (57.2% vs 42%,  $p = 0.043$ ). Moreover,  
155 DFS had a similar trend by PNI difference in our cohort (44.3% vs 34.2%), although  $p$  value did  
156 not reach statistical significance ( $p = 0.108$ , Figure 2). Regarding the LMR, the 5-year OS (55.5%  
157 vs 26.6%), DSS (66.8% vs 41.4%) and DFS (51.4% vs 35.0%) were all significantly increased

158 (both  $p < 0.05$ , Figure 3) among patients with  $\text{LMR} \geq 4.45$ , compared with those with  $\text{LMR} < 4.45$ .  
159 Clinically positive ENE status was another significant predictor of poor outcome for 5-year OS,  
160 DSS, and DFS in univariate analysis (Table 2).

161 Multiple regression analysis was applied to analyze the relationship between survival  
162 outcome and significant factors which were revealed in prior univariate analyses. PNI was an  
163 independent factor of OS in this cohort (hazard ratio [HR]: 1.778, 95% confidence interval [CI]:  
164 1.145–2.761) and simultaneously adjusted by other independent factors, LMR and ENE (Table  
165 3). In another model, the status of LMR showed a significant prognosticator in OS (HR of 2.408,  
166 95% CI: 1.439–4.029), DSS (HR: 2.33, 95% CI: 1.255–4.323), and DFS (HR: 1.765, 95% CI:  
167 1.067–2.892) after being adjusted by other factors (Tables 3-5). The status of clinical ENE was a  
168 significant prognosticator of OS (HR: 1.592, 95% CI: 1.054–2.405), DSS (HR: 2.159, 95% CI:  
169 1.319–3.533), and DFS (HR: 1.86, 95% CI: 1.202–2.878). (Tables 3–5)

## 170 Discussion

171 In the current study of patients with advanced stage (stage III/IV) p16-negative OPSCC, the  
172 5-year DFS, DSS, and OS rates were 39.9%, 49.8%, and 35.6%, respectively. PNI, LMR, and  
173 clinical ENE status were all significant independent factors of OS in our multivariate cox  
174 regression analysis.

175 Clinical ENE is the extension of metastatic lymph node through an affected lymph node  
176 capsule. It has always been considered a marker of poor prognosis as tumor recurrence and  
177 oncological survival in head and neck cancer; thus, it was proposed to be incorporated into the  
178 newest edition of the AJCC staging system manual (Amin et al., 2017). Our cohort also revealed  
179 similar results, showing that the presence of ENE was associated with poor oncologic outcomes.

180 A low PNI indicated a decrease in the serum albumin and/or a low absolute lymphocyte  
181 count. Serum albumin is an important factor of the host inflammatory response and nutritional  
182 status.(Gupta & Lis, 2010) The absolute lymphocyte count is also believed to be an important  
183 participant in the inhibition of cancer growth by initiating a cytotoxic immune  
184 response.(Mantovani et al., 2008) Taken together, this existing evidence showed that  
185 malnutrition and lymphocytopenia may be factors affecting a chronically impaired immune  
186 system. The cutoff value for PNI reported in previous studies in other type of cancer was 40 –  
187 60.(Feng & Chen, 2014; Lee et al., 2017; Jian-Hui et al., 2016; Shibutani et al., 2015; Yang et

188 al., 2016; Zhang et al., 2018) With regard to head and neck cancer, several studies found that  
189 lower PNI predicted poor oncologic outcomes in head and neck squamous cell carcinoma  
190 (HNSCC) (Table 6).(Bruixola et al., 2018; Kono et al., 2017; Chang et al., 2018; Fu et al., 2016)  
191 Bruixola et al. demonstrated that low PNI (cutoff value: 45) was an independent prognostic  
192 biomarker in locoregional advanced HNSCC.(Bruixola et al., 2018) Fu et al. studied 975 patients  
193 with laryngeal squamous cell carcinoma treated with curative laryngectomy, and found that  
194 patients with PNI < 48.65 had a low probability of cancer-specific survival and OS.(Fu et al.,  
195 2016) Our results are comparable with these findings, showing that a low PNI is an indicator of  
196 poor prognosis in patients with advanced stage (stage III/IV) p16-negative OPSCC undergoing  
197 primary CCRT, with a cutoff value similar to previous studies.(Bruixola et al., 2018; Kono et al.,  
198 2017; Chang et al., 2018; Fu et al., 2016) In our study, patients with PNI < 50.5 had significantly  
199 reduced survival with adjusted for other prognostic factors in the multivariate analysis.  
200 Studies of investigating the clinical effects of LMR on HNSCC prognosis have increased in  
201 recent years. White blood cell differential could be divided into myeloid lineage and lymphoid  
202 lineage. It is believed that lymphoid lineage preponderance of white blood cell was related to  
203 better survival based on previous HNSCC study. (Wu et al., 2017) Several studies found that  
204 lower LMR predicted reduced DSS and OS in HNSCC (Table 7).(Takahashi et al., 2019; Tham  
205 et al., 2019; Furukawa et al., 2019; Yang et al., 2018; Kano et al., 2017) In addition, the

206 relationship between LMR and advanced stage OPSCC was not thoroughly evaluated. Our  
207 results are comparable with these findings, showing that a low LMR is an indicator of poor  
208 prognosis in advanced stage (stage III/IV) p16-negative OPSCC. Patients with LMR < 4.45 have  
209 significantly reduced OS, DSS, and DFS according to the multivariate analysis.

210 The mechanism between an increased systemic inflammatory response and promotion of  
211 tumor cell invasion is not clearly understood. A possible explanation might lie in the antitumoral  
212 roles that lymphocyte plays by inhibiting tumor cell proliferation and migration, and reinforcing  
213 human's immune response to cancer. (De Giorgi et al., 2012) Fewer infiltrating lymphocytes  
214 have been correlated to poor prognosis.(Gooden et al., 2011) In contrast, higher levels of  
215 monocyte-derived macrophages have been associated with greater tumor aggressiveness and  
216 poorer survival outcomes.(Pollard et al., 2004) This is postulated to happen through tumor  
217 microenvironment mediators such as TNF- $\alpha$ , vascular endothelial growth factor, and epidermal  
218 growth factor.(Pollard et al., 2004; Xiong et al., 1998) A low LMR implies a relative decrease in  
219 lymphocytes and / or increase monocytes. Perhaps, the prognostic ability of LMR is owing to its  
220 action as a crude marker for the pro-tumor versus anti-tumor dynamic in the immune  
221 system.(Lin, Chien & Chuang, 2017)

222 PNI, which calculated as  $10 \times \text{baseline serum albumin (g/dL)} + 0.005 \times \text{baseline absolute}$   
223 lymphocyte count (cells/mm<sup>3</sup>), is used to evaluate the immune-nutritional status and may

224 influence the prognosis of cancer patients.(Yao et al., 2013) Poor immune-nutritional status has  
225 been reported as its' association with an immunosuppressed condition, which provides a  
226 favorable microenvironment for tumor relapse.(Colotta et al., 2009) That may be the reason why  
227 this immunosuppressed condition in low-PNI patients may cause the poor outcomes. Recently,  
228 remarkable progress in research on immune checkpoints in tumor immunity has allowed the  
229 elucidation of the molecular mechanism underlying immunological tolerance to tumor  
230 development. The association between peripheral inflammatory biomarkers and treatment  
231 outcomes for immunotherapy remains unclear. These biomarkers might serve as a useful  
232 predictor for immunotherapy in the treatment of head and neck cancer in the future.

233         In our study, we have identified the clinical significance of PNI and LMR on survival in  
234 patients with p16 negative oropharyngeal cancer treated by CCRT. Moreover, we had control  
235 cancer stage and HPV status these two well-known prognostic factors in oropharyngeal cancer,  
236 making this cohort homogenous for our analysis findings. However, the drawback of our study is  
237 that it is retrospective, and selective bias may exist. A prospective study or large series study  
238 from multiple institutes is necessary to confirm our findings.

**240 Conclusion**

241 In summary, our current study showed that patients with higher pretreatment LMR ( $\geq 4.45$ )  
242 showed significantly better survival than those with lower LMR ( $< 4.45$ ); Patients with higher  
243 PNI ( $\geq 50.5$ ) revealed significantly better 5-year OS and 5-year DSS than those with lower PNI  
244 ( $< 50.5$ ). According to Cox regression analysis from this cohort, pretreatment LMR and PNI  
245 were also an independent prognostic factor that predicts OS. Interestingly, it may be possible to  
246 incorporate pretreatment LMR and PNI into the treatment strategy for patients with advanced  
247 stage p16-negative OPSCC undergoing CRT/RTO in the future.

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**Table 1** (on next page)

Clinical characteristics of 142 patients who were diagnosed with advanced p16-negative OPSCC.

1 Table 1. Clinical characteristics of 142 patients who were diagnosed with advanced p16-negative OPSCC.

Characteristics		Value	%
Mean Age(range), yr		53.8 (36, 85)	
Mean follow up time (range), months		40.7 (3.7, 111.8)	
Sex	male	141	99.3
	female	1	0.7
Clinical TNM Stage	III	9	6.3
	IVA	34	23.9
	IVB	99	69.7
Clinical T classification	T1	4	2.8
	T2	24	16.9
	T3	24	16.9
	T4a	34	23.9
	T4b	56	39.4
Clinical N classification	N0	25	17.6
	N1	15	10.6
	N2b	17	12
	N2c	20	14.1
	N3b	65	45.8
Clinical ENE	negative	77	54.2
	positive	65	45.8
PNI	unknown	7	4.9
	<50.5	79	55.6
	≥ 50.5	56	39.4
LMR	< 4.45	99	69.7
	≥ 4.45	43	30.3
Recurrence	No	59	41.5
	Yes	83	58.5
Last status	NED	35	24.6
	Alive with disease	12	8.5
	DOD	68	47.9
	DWOD	27	19.0

2 0103

3 Abbreviations

4 0103

5 Abbreviations

6 Abbreviations: OPSCC, oropharyngeal squamous cell carcinoma; PNI, prognostic nutritional index =  $10 \times \text{serum}$

7 albumin (g/dl) + 0.005 \* total lymphocyte count (/mm<sup>3</sup>); ENE, extranodal extension; LMR, lymphocyte to

8 monocyte ratio; NED, no evidence of disease; DOD, died of disease; DWOD, die without disease.

**Table 2** (on next page)

Univariate Analysis of Factors Impacting Survival (n= 142)

1 Table 2. Univariate Analysis of Factors Impacting Survival (n= 142).

Variable		Number	Event	5yr OS (%)	<i>p</i>	Event	5 yr DSS (%)	<i>p</i>	Event	5 yr DFS (%)	<i>p</i>
Age	<53	70	45	38.1	0.489	34	49.5	0.836	44	35.3	0.743
	≥53	72	50	33.2		34	50.1		39	44.3	
ACE-27	0	93	60	37.9	0.602	44	50.4	0.948	56	39.1	0.893
	1	42	30	31.4		21	47.7		24	39.9	
Betel nut chewing	no	36	26	36.1	0.841	16	55.7	0.672	18	48.7	0.416
	yes	106	69	35.5		52	47.7		65	36.9	
Alcohol drinking	no	24	13	49.0	0.226	9	61.6	0.327	11	52.1	0.221
	yes	118	82	32.8		59	46.9		72	37.3	
Clinical T classification	T1/2/3	52	34	33.5	0.693	24	50.5	0.638	29	43.6	0.407
	T4a/b	90	61	36.7		44	49.4		54	37.7	
Clinical N classification	N0	25	18	35.6	0.684	11	50.7	0.801	15	36.3	0.884
	N1-N3b	117	77	35.5		57	49.6		68	40.6	
Clinical ENE	negative	77	48	41.0	0.037*	29	59.4	0.003*	38	49.7	0.008*
	positive	65	47	29.1		39	38.6		45	28.2	
PNI	<50.5	79	61	24.7	0.004*	43	42.0	0.043*	50	34.2	0.108
	≥50.5	56	31	48.1		23	57.2		31	44.3	
LMR	< 4.45	99	75	26.6	0.001*	54	41.4	0.01*	62	35.0	0.042*
	≥4.45	43	20	55.5		14	66.8		21	51.4	

2 Abbreviations: \*, statistically significant ( $p < 0.05$ ); OS, overall survival; DSS, disease specific survival; DFS,  
3 disease free survival; ACE-27: Adult Comorbidity Evaluation-27; ENE, extranodal extension; PNI, prognostic  
4 nutritional index; LMR, lymphocyte to monocyte ratio.

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**Table 3** (on next page)

Multivariate analysis of prognostic factors associated to overall survival.

1 Table 3. Multivariate analysis of prognostic factors associated to overall survival.

Factor	Hazard ratio	95% CI	<i>p</i> -value
PNI			0.01*
$\geq 50.5$	1		
$< 50.5$	1.778	(1.145, 2.761)	
LMR			0.001*
$\geq 4.45$	1		
$< 4.45$	2.408	(1.439, 4.029)	
ENE			0.027*
negative	1		
positive	1.592	(1.054, 2.405)	

2 Abbreviations: \*, statistically significant ( $p < 0.05$ ); ENE, extranodal  
 3 extension; PNI, prognostic nutritional index; LMR, lymphocyte to  
 4 monocyte ratio.

**Table 4**(on next page)

Multivariate analysis of prognostic factors associated to disease-specific survival.

1 Table 4. Multivariate analysis of prognostic factors associated to disease-specific survival.

Factor	Hazard ratio	95% CI	<i>p</i> -value
PNI			0.066
$\geq 50.5$	1		
$< 50.5$	1.624	(0.968, 2.723)	
LMR			0.007*
$\geq 4.45$	1		
$< 4.45$	2.33	(1.255, 4.323)	
ENE			0.002*
negative			
positive	2.159	(1.319, 3.533)	

- 2 Abbreviations: \*, statistically significant ( $p < 0.05$ ); ENE, extranodal  
 3 extension; PNI, prognostic nutritional index; LMR, lymphocyte to  
 4 monocyte ratio.

**Table 5** (on next page)

Multivariate analysis of prognostic factors associated to disease-free survival.

1 Table 5. Multivariate analysis of prognostic factors associated to disease-free survival.

Factor	Hazard ratio	95% CI	<i>p</i> -value
LMR			0.027*
$\geq 4.45$	1		
$< 4.45$	1.765	(1.067, 2.892)	
ENE			0.005*
Negative	1		
Positive	1.86	(1.202, 2.878)	

2 Abbreviations: \*, statistically significant ( $p < 0.05$ ); ENE, extranodal extension;

3 LMR, lymphocyte to monocyte ratio.

**Table 6** (on next page)

Different studies about PNI in HNSCC.

1 Table 6. Different studies about PNI in HNSCC.

Reference	Site	Case number	Cut off for PNI	Primary treatment strategy	Statically significant Outcome measurement
Bruixola G et al. <sup>15</sup>	Locoregionally advanced HNSCC	145	45	ICT followed by CCRT	OS
Kono et al. <sup>26</sup>	HNSCC	101	40	Radiotherapy	toxicity of radiotherapy
Chang et al. <sup>27</sup>	Advanced oral cavity, oropharynx, hypopharyngeal cancer	143	36	CCRT	treatment tolerance and toxicity of CCRT
Fu et al. <sup>28</sup>	Laryngeal squamous cell carcinoma	975	48.65	Radical surgery	DSS and OS
Our current study	Advanced stage p16 negative OPSCC	142	50.5	CCRT	OS

- 2 Abbreviations: PNI, prognostic nutritional index; HNSCC, head and neck squamous cell carcinoma; OPSCC,  
3 oropharyngeal squamous cell carcinoma; ICT, induction chemotherapy; CCRT, concurrent chemoradiotherapy; OS,  
4 overall survival; DSS, disease-specific survival.

**Table 7** (on next page)

Different studies about LMR in HNSCC.

1 Table 7. Different studies about LMR in HNSCC.

Reference	Site	Case number	Cutoff for LMR	Primary treatment strategy	Statically significant Outcome measurement
Takahashi et al. <sup>11</sup>	Oropharyngeal carcinoma	75	4.97	Heterogeneity (76% of OS population were CRT)	
Tham et al. <sup>30</sup>	HNSCC	123	2.8	Radical surgery	Event free survival
Furukawa et al. <sup>31</sup>	Tongue cancer	103	4.29	Radical surgery	OS
Yang et al. <sup>32</sup>	Hypopharyngeal carcinoma	197	2.98	Not well documented	OS, DSS and DFS
Kano et al. <sup>33</sup>	Oropharyngeal, hypopharyngeal, and laryngeal cancers	285	3.22	Concurrent CRT	OS and DFS
Our current study	Advanced stage p16 negative OPSCC	142	4.45	Concurrent CRT	OS, DSS and DFS

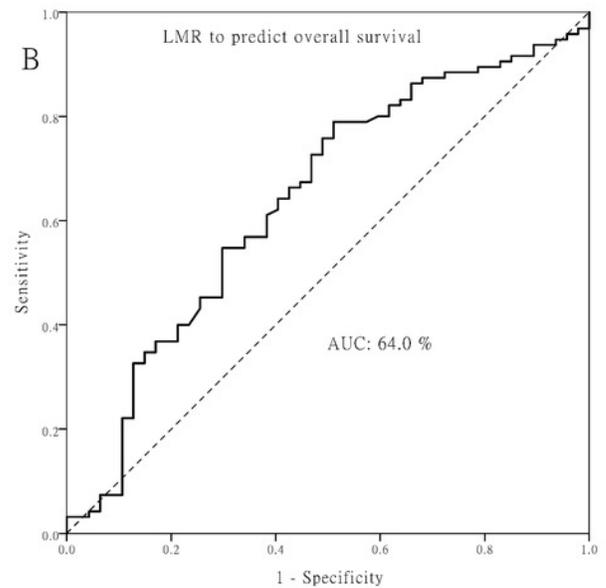
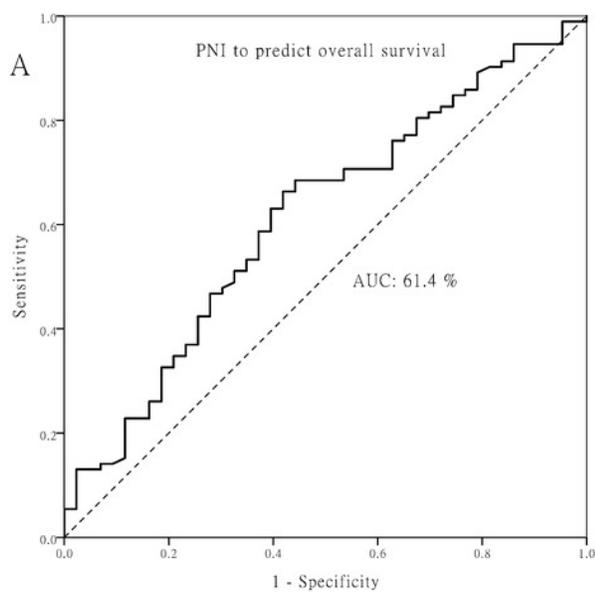
2 Abbreviations: LMR, lymphocyte to monocyte ratio; HNSCC, head and neck squamous cell carcinoma; OPSCC,  
3 oropharyngeal squamous cell carcinoma; CRT, chemoradiotherapy; OS, overall survival; DSS, disease-specific  
4 survival; DFS, disease-free survival.

5

# Figure 1

## Receiver operating characteristic curves

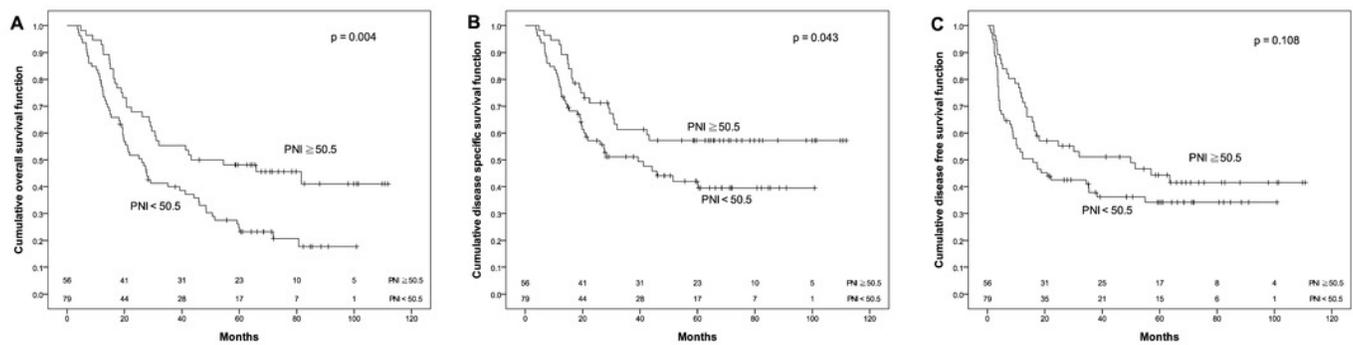
Receiver operating characteristic curves for predicting the survival outcome. (A) pretreatment prognostic nutritional index (PNI). (B) pretreatment lymphocyte to monocyte ratio (LMR).



## Figure 2

Kaplan-Meier survival curves

Kaplan-Meier survival curves by different level of pretreatment prognostic nutritional index (PNI). (A) overall survival. (B) disease-specific survival. (C) disease-free survival.



# Figure 3

Kaplan-Meier survival curves

Kaplan-Meier survival curves by different level of pretreatment lymphocyte to monocyte ratio (LMR). (A) overall survival. (B) disease-specific survival. (C) disease-free survival.

