The phylogenetic affinities of the bizarre Late Cretaceous Romanian theropod *Balaur bondoc* (Dinosauria, Maniraptora): dromaeosaurid or flightless bird?

Andrea Cau, Thomas Brougham, Darren Naish

The exceptionally well-preserved Romanian dinosaur *Balaur bondoc* is the most complete theropod known to date from the Upper Cretaceous of Europe. Previous studies of this remarkable taxon have included its phylogenetic interpretation as an aberrant dromaeosaurid with velociraptorine affinities. However, Balaur displays a combination of both apparently plesiomorphic and derived bird-like characters. Here, we analyse those features in a phylogenetic revision and show how they challenge its referral to Dromaeosauridae. Our reanalysis of two distinct phylogenetic datasets focusing on basal paravian taxa supports the reinterpretation of Balaur as an avialan more derived than Archaeopteryx but outside of Pygostylia, and as a flightless taxon within a paraphyletic assemblage of long-tailed birds. Our placement of Balaur within Avialae is not biased by character weighting. The placement among dromaeosaurids resulted a suboptimal alternative that cannot be rejected based on actual data. Interpreted as a dromaeosaurid, Balaur has been assumed to be hypercarnivorous and predatory, exhibiting a peculiar morphology influenced by island endemism. However, a dromaeosaurid-like ecology is contradicted by several details of Balaur's morphology, including the loss of a third functional manual digit, the non-ginglymoid distal end of metatarsal II and a non-falciform ungual on the second pedal digit that lacks a prominent flexor tubercle. Conversely, an omnivorous ecology is better supported by Balaur's morphology and is consistent with its phylogenetic placement within Avialae. Our reinterpretation of Balaur implies that a superficially dromaeosaurid-like taxon represents the enlarged, terrestrialised descendant of smaller and probably volant ancestors.

- 2 The phylogenetic affinities of the bizarre Late Cretaceous Romanian theropod
- 3 Balaur bondoc (Dinosauria, Maniraptora): dromaeosaurid or flightless bird?
- 5 Andrea Cau^{1,2*}, Thomas Brougham^{3,4}, Darren Naish^{3,4}
- 6 ¹ Museo Geologico e Paleontologico 'Giovanni Capellini', Via Zamboni 63, 40126 Bologna, Italy;
- 7 ² Dipartimento di Scienze Biologiche, Geologiche e Ambientali, Alma Mater Studiorum, Università di
- 8 Bologna, Via Zamboni 67, 40126 Bologna, Italy; email: cauand@gmail.com
- 9 ³ Ocean and Earth Science, University of Southampton, Southampton SO14 3ZH, UK; emails:
- 10 tbrougham@paravian.net, eotyrannus@gmail.com
- 11 ⁴ These authors contributed equally
- 12 *Corresponding author

14 Abstract

13

- 15 The exceptionally well-preserved Romanian dinosaur *Balaur bondoc* is the most complete theropod
- 16 known to date from the Upper Cretaceous of Europe. Previous studies of this remarkable taxon have
- 17 included its phylogenetic interpretation as an aberrant dromaeosaurid with velociraptorine affinities.
- 18 However, *Balaur* displays a combination of both apparently plesiomorphic and derived bird-like
- 19 characters. Here, we analyse those features in a phylogenetic revision and show how they challenge its
- 20 referral to Dromaeosauridae. Our reanalysis of two distinct phylogenetic datasets focusing on basal
- 21 paravian taxa supports the reinterpretation of *Balaur* as an avialan more derived than *Archaeopteryx*
- but outside of Pygostylia, and as a flightless taxon within a paraphyletic assemblage of long-tailed
- 23 birds. Our placement of *Balaur* within Avialae is not biased by character weighting. The placement
- 24 among dromaeosaurids resulted a suboptimal alternative that cannot be rejected based on actual data.
- 25 Interpreted as a dromaeosaurid, *Balaur* has been assumed to be hypercarnivorous and predatory,
- 26 exhibiting a peculiar morphology influenced by island endemism. However, a dromaeosaurid-like
- 27 ecology is contradicted by several details of *Balaur*'s morphology, including the loss of a third
- 28 functional manual digit, the non-ginglymoid distal end of metatarsal II and a non-falciform ungual on
- 29 the second pedal digit that lacks a prominent flexor tubercle. Conversely, an omnivorous ecology is

30	better supported by Balaur's morphology and is consistent with its phylogenetic placement within
31	Avialae. Our reinterpretation of Balaur implies that a superficially dromaeosaurid-like taxon represents
32	the enlarged, terrestrialised descendant of smaller and probably volant ancestors.
33	Keywords: Avialae, Deinonychosauria, Homoplasy, Mesozoic, Paraves.
34	
35	The theropod dinosaur Balaur bondoc from the Maastrichtian (latest Late Cretaceous) of Romania
36	represents the most complete theropod dinosaur yet known from the Upper Cretaceous of Europe
37	(Csiki et al. 2010). The remarkably well-preserved holotype specimen of B. bondoc, EME
38	(Transylvanian Museum Society, Dept. of Natural Sciences, Cluj-Napoca, Romania) PV.313, was
39	collected from red overbank floodplain sediments of the Maastrichtian Sebeş Formation in 2009 and
40	comprises an articulated partial postcranial skeleton of a single individual, including dorsal, sacral and
41	caudal vertebrae as well as much of the pectoral and pelvic girdles and limbs (Brusatte et al. 2013).
42	The first phylogenetic studies incorporating Balaur concluded that it represents an aberrant
43	dromaeosaurid with velociraptorine affinities, endemic to the European palaeoislands of the Late
44	Cretaceous (Csiki et al. 2010; Turner et al. 2012; Brusatte et al. 2013). The matrices utilised in these
45	three studies have all been versions of the Theropod Working Group (TWiG) matrix, an incrementally
46	and independently developed large-scale matrix focusing on the interrelationships of coelurosaurian
47	taxa (e.g., Norell et al. 2001; Makovicky et al. 2005; Turner et al. 2007; Turner et al. 2012; Brusatte et
48	al. 2014). Comparisons made between Balaur and other dromaeosaurids reveals the possession of a
49	suite of autapomorphies not present in dromaeosaurids nor in most other non-avialan theropods, such
50	as a fused carpometacarpus, loss of a functional third manual digit, proximal fusion of the
51	tarsometatarsus and a relatively enlarged first pedal digit (Csiki et al. 2010; Brusatte et al. 2013).
52	Interpreted as a dromaeosaurid, Balaur is a strikingly odd and apparently avialan-like taxon. Recently,
53	Godefroit et al. (2013a) included Balaur in a new phylogenetic analysis focusing on paravians and
54	found it resolved as a basal avialan, more crownward than Archaeopteryx. A similar result was
55	obtained independently by Foth et al. (2014) using a dataset expanded from that of Turner et al. (2012),
56	although Foth et al. (2014) recovered it in a position relatively less crownward than in the tree obtained
57	by Godefroit et al. (2013a), but still crownward of Archaeopteryx. The present study focuses on
58	resolving these conflicting interpretations regarding the affinities of Balaur following examination of
59	the holotype material (performed by TB DN). We also present a revised phylogenetic hypothesis
60	based on a comparison of updated versions of previously published taxon-character matrices.

Materials and methods

- 62 In order to test the competing dromaeosaurid and avialan hypotheses for the affinities of *Balaur*, we
- coded the holotype specimen into modified versions of two recently published theropod phylogenetic
- 64 matrices: Turner et al. (2012) and Lee et al. (2014). Both of these large-scale and independently coded
- 65 matrices focused on the interrelationships of basal paravian theropod dinosaurs and contain a broadly
- overlapping and comprehensive sampling of over 100 theropod taxa (117 and 120 taxa respectively),
- 67 including many basal avialans. The two matrices differ from each other in the logical basis on character
- statement definitions (Sereno 2007; Brazeau 2011, see discussion below).
- 69 Turner et al. (2012) data set
- We modified the Turner et al. (2012) matrix for this study to include 13 new characters and updated
- 71 character states for four previously defined characters (see Electronic Supplemental Laterial).
- 72 Characters #6, #50 and #52 were excluded from tree search, following Turner et al. (2012). All
- character statements considered to be ordered by Turner et al. (2012) were set accordingly. The
- 74 multistate character 116, considered to be unordered by Turner et al. (2012), was set as ordered as we
- 75 interpret state "1" as intermediate between states "0" and "2". In addition, *Neuquenraptor* and the two
- 76 included species of *Unenlagia* were merged as a single taxonomic unit (Turner et al. 2012, but see also
- 77 Gianechini and Apesteguia 2011). *Microraptor* was re-scored based on Pei et al. (2014). The resulting
- data matrix (490 characters vs 113 taxa) was then analysed using the Hennig Society version of TNT
- 79 v1.1 (Goloboff et al. 2008b; see Electronic Supplementary Material for further details regarding
- 80 modifications to the matrix and tree search strategy).
- 81 *Lee et al. (2014) data set*
- 82 The dataset used by Lee at al. (2014) is an updated version of the dataset of Godefroit et al. (2013a).
- 83 Character statements of the 1549 included characters and the source of score for the included 120 fossil
- 84 taxa are stored at the Dryad Digital Repository (Cau et al. 2014). In our study, this dataset has been
- 85 expanded including one taxonomic unit based on the extant avian *Meleagris* (ACUB 4817);
- accordingly, character statement 318 has been modified (see Electronic Supplementary Material).
- 87 Balaur was re-scored based on our examination of the specimen and the incorporation of information
- from Brusatte et al. (2013). Lee et al. (2014) applied Bayesian inference in their analysis of this dataset
- and integrating the morphological information with chronostratigrafic information. In the present
- 90 study, the updated morphological data matrix (1549 characters vs 121 taxa) was analysed using

- 91 parsimony as the tree search strategy in TNT (see Electronic Supplementary Material).
- 92 Alternative placement test and implied weighting analyses
- 93 In our analyses of both datasets, we constrained the alternative deinonychosaurian and avialan
- 94 positions for *Balaur*, measuring step changes between resultant topologies as a further indication of
- 95 their relative support. The Templeton's test (Templeton 1983) was used to determine whether the step
- 96 differences between the unforced and forced topologies were statistically significant. The backbone
- 97 constraints used the following species: a crown avian (*Anas platyrhynchus* in the dataset of Turner et
- 98 al. 2012, Meleagris gallopavo in the dataset of Lee et al. 2014), a dromaeosaurid (Dromaeosaurus
- 99 albertensis in both datasets), and a troodontid (*Troodon formosus* in both datasets).
- 100 In order to test whether assumptions on character weighting influence the placement of *Balaur* among
- Paraves, both datasets were subjected to implied weighting analyses (IWAs, Goloboff 1993, Goloboff
- et al. 2008a,b; see Electronic Supplementary Material).
- 103 Institutional abbreviations
- 104 ACUB, Museo di Anatomia Comparata, University of Bologna, Bologna, Italy. EME, Transylvanian
- 105 Museum Society, Dept. of Natural Sciences, Cluj-Napoca, Romania.

106 Comparative anatomy of *Balaur* and other maniraptoran theropods

- 107 Compared to other theropods, *Balaur* displays a unique and unexpected combination of characters
- 108 (Brusatte et al. 2013). The phylogenetic analyses of Csiki et al. (2010) and Brusatte et al. (2013)
- resolved *Balaur* as a velociraptorine dromaeosaurid. Consequently, most of the unusual characters
- shared by *Balaur* with non-dromaeosaurid theropods were interpreted as autapomorphies,
- independently evolved along the lineage leading exclusively to *Balaur*. An alternative explanation is
- that these features may indicate a closer relationship between *Balaur* and another non-dromaeosaurid
- 113 clade of maniraptorans.
- Here, we list the most relevant characters that may support or challenge the alternative placements of
- 115 Balaur within Maniraptora.
- 116 Dorsal vertebrae with stalked parapophyses
- The dorsal vertebrae of *Balaur* bear distinctly stalked parapophyses (Brusatte et al. 2013). Although
- this feature has been reported as a deinonychosaurian synapomorphy (Turner et al. 2012), stalked
- parapophyses are also present in alvarezsaurids and basal avialans (Novas 1997; Chiappe et al. 1999;

120	Agnolín and Novas 2013).
121	Sacrum including at least seven fused vertebrae
122	The presence of five fused sacral vertebrae is the plesiomorphic condition within coelurosaurs (e.g.,
123	Brochu 2003). An independent increase in the number of fused sacral vertebrae is a widespread
124	phenomenon within Maniraptoriformes. Six to seven sacral vertebrae are present in ornithomimids
125	(Osmólska et al. 1972), derived oviraptorosaurs (Barsbold et al. 2000), and derived dromaeosaurids
126	(Norell and Makovicky 1997; Turner et al. 2012; S. Brusatte pers. comm. 2014). The synsacrum is
127	composed of seven vertebrae in derived alvarezsauroids, whereas in basal taxa it includes only five
128	vertebrae (Choiniere et al. 2010). Archaeopteryx and basal paravians retain five sacral vertebrae
129	(Hwang et al. 2002; Paul 2002; Godefroit et al. 2013b; Godefroit et al. 2013a), whereas a sacrum with
130	at least seven vertebrae has been regarded as a synapomorphy of Jixiangornis and pygostylians (Turne
131	et al. 2012). Balaur has at least seven sacral vertebrae: four fused and clearly discernible sacral
132	vertebrae bearing sacral ribs are followed by three additional and co-ossified caudosacrals (Brusatte et
133	al. 2013).
134	Fused scapulocoracoid
135	In Balaur, the scapula and coracoid are co-ossified and the suture is obliterated on both sides (Fig. 1a;
136	Brusatte et al. 2013). Brusatte et al. (2013) noted that a fused scapulocoracoid is present in some
137	dromaeosaurids (e.g., Adasaurus, Microraptor, Velociraptor; see Fig. 1c) but not in others (e.g.,
138	Achillobator, Buitreraptor, Deinonychus, Sinornithosaurus, Unenlagia). Turner et al. (2012) included
139	fusion of the scapulocoracoid among the phylogenetically informative characters of their paravian
140	phylogeny. Within non-avian coelurosaurs, the presence of this character state has been reported within
141	ornithomimosaurs, therizinosauroids, alvarezsauroids, tyrannosaurids and oviraptorosaurs (Osmólska
142	et al. 1972; Perle 1979; Perle et al. 1994; Brochu 2003; Balanoff and Norell 2012), suggesting a high
143	degree of homoplasy. Fusion of the scapulocoracoid is also present in basal avialans (e.g.,
144	Confuciusornithidae; Chiappe et al. 1999) and flightless avians (e.g., Struthio; ACUB 4820).
145	Coracoid with prominent tuber placed on the anterolateral corner
146	The coracoid of <i>Balaur</i> bears a hypertrophied tubercle that forms the anterolateral corner of the bone
147	and obscures the supracoracoid nerve foramen when the coracoid is observed in lateral view (Fig. 1a;
148	Brusatte et al. 2013). Non-avialan theropods possess tubercles that are relatively smaller and more

lateroventrally directed (when the scapula is oriented horizontally) than that seen in avialan theropods

- 150 (Fig. 1c; Osmólska et al. 1972; Ostrom 1976; this is the "processus praeglenoidalis" sensu Elzanowski
- et al. 2002). Although the coracoid tubercle of *Balaur* may appear autapomorphic among non-avialan
- theropods (Brusatte et al. 2013), a prominent coracoid tubercle is also present in unenlagiines
- 153 (Buitreraptor, see Agnolin and Novas 2013), basal avialans (e.g., Jeholornis, Jixiangornis; Turner et
- al. 2012, fig. 82) and forms the acrocoracoid of ornithothoracines (e.g., Apsaravis, Enantiophoenix,
- 155 Enantiornis; Clarke and Norell 2002; Baier et al. 2007; Cau and Arduini 2008; Walker and Dyke 2009;
- Fig. 1). A hypertrophied coracoid tubercle that obscures the supracoracoid nerve foramen in lateral
- view is also seen in *Sapeornis* (Zhou and Zhang 2003; Gao et al. 2012).
- 158 Humerus longer than half the combined length of tibiotarsus and tarsometatarsus
- 159 The humerus of non-avialan theropods is consistently shorter than half of the combined length of the
- tibiotarsus and tarsometatarsus (e.g., *Deinonychus*, *Gallimimus*, *Tyrannosaurus*, *Microraptor*; Ostrom
- 161 1969; Osmólska et al. 1972; Hwang et al. 2002; Brochu 2003). In *Balaur*, the humerus is longer than
- half of the combined length of the tibiotarsus and tarsometatarsus (55%) and approaches the condition
- seen in basal avialans (e.g., Archaeopteryx: 59%, Confuciusornis: 67%, Jeholornis: 77%; Chiappe et
- al. 1999; Elzanowski 2001; Zhou and Zhang 2002; see Brusatte et al. 2013, table 2).
- 165 Humeral condyles placed on the anterior surface of the distal end
- 166 The humerus of *Balaur* possesses distal condyles that are placed entirely on the anterior surface of the
- bone (Brusatte et al. 2013). As in *Balaur*, the complete anterior migration of the humeral condyles is
- present in therizinosauroids (e.g., Zanno 2010), basal pygostylians (e.g., Confuciusornis, Limenavis,
- 169 Enantiornis; Chiappe et al. 1999; Clarke and Chiappe 2001; Walker and Dyke 2009) and extant birds
- 170 (e.g., Dromaius, Meleagris, Struthio; ACUB 3131; 4817; 4820). All other known dromaeosaurids (e.g.,
- 171 Deinonychus; Ostrom 1969), most non-avialan theropods (e.g., Gallimimus, Allosaurus,
- 172 Tyrannosaurus; Osmólska et al. 1972; Madsen 1976; Brochu 2003) and the basalmost avialans (e.g.,
- 173 Archaeopteryx; Berlin specimen) bear the condyles in a more distal position, with a limited, if not
- absent, extent onto the anterior surface of bone. In the analysis of Turner et al. (2012), *Balaur* was
- scored as retaining the primitive condition (*contra* Brusatte et al. 2013). Following Brusatte et al.
- 176 (2013), we re-scored character 371, describing the placement of the humeral condyles in the dataset of
- 177 Turner et al. (2012), as 371.1.
- 178 Deep and elongate triangular brachial fossa on humerus
- 179 The humerus of *Balaur* has a prominent triangular fossa on the anterior surface of the distal end of the

- humerus (Brusatte et al. 2013, fig. 12). This fossa is bordered both laterally and medially by raised
- crests confluent with the epicondyles. The same configuration defines the brachial fossa present in
- birds (e.g., Confuciusornis, Limenavis, Apsaravis; Chiappe et al. 1999; Clarke and Chiappe 2001;
- 183 Clarke and Norell 2002). This fossa is also variably developed within dromaeosaurids (e.g.,
- 184 *Bambiraptor*; Turner et al. 2012; Brusatte et al. 2013).
- 185 Ulna with brachial depression
- 186 The proximal third of *Balaur*'s ulna bears a shallow, elongate depression on the medial surface termed
- the "proximal fossa" (Brusatte et al. 2013, fig. 14). This character is topographically equivalent to the
- brachial fossa present in pygostylians (Baumel and Witmer 1993; Clarke and Chiappe 2001; Walker
- and Dyke 2009). The ulna of most non-avialan theropods lacks a brachial depression or possesses a
- poorly developed one (e.g., *Allosaurus*, *Tyrannosaurus*; Madsen 1976; Brochu 2003). However, the
- structure is well developed in some dromaeosaurids (e.g., *Bambiraptor*, *Buttreraptor*; Burnham 2004;
- 192 Agnolín and Novas 2011; Agnolín and Novas 2013).
- 193 Distal carpals fused to proximal end of metacarpals
- 194 The manus of *Balaur* displays co-ossification of the distal carpals with the proximal ends of the
- metacarpals (Fig. 2a; Brusatte et al. 2013), unlike the dromaeosaurid condition in which no such fusion
- in present (Fig. 2d). The fusion between the distal carpals and the metacarpals is present in a few non-
- avialan theropod lineages (e.g., Avimimus, Mononykus; Kurzanov 1981; Perle et al. 1993) and in
- derived avialans (e.g., Confuciusornis, Xiangornis; Chiappe et al. 1999; Hu et al. 2012). In particular,
- the pattern of proximal fusion among the carpometacarpal elements in *Balaur* is shared by most basal
- 200 pygostylians (e.g., Confuciusornis, Sinornis, Sapeornis, Pengornis, Enantiornis, Zhouornis; Chiappe et
- 201 al. 1999; Sereno et al. 2002; Zhou and Zhang 2003; Zhou et al. 2008; Walker and Dyke 2009; Zhang et
- al. 2013; see Fig. 2b-c, Fig. S1). Most ornithurines and some enantiornithines display a complete distal
- fusion between metacarpals II and III in addition to the aforementioned proximal fusion of the
- 204 carpometacarpus as seen in *Balaur* (e.g., *Apsaravis*, *Teviornis*, *Xiangornis*; Clarke and Norell 2002;
- 205 Kurochkin et al. 2002; Hu et al. 2012).
- 206 Semilunate carpal shifted laterally and first metacarpal sloped proximolaterally
- In *Balaur*, the semilunate carpal overlaps the whole proximal ends of both metacarpals II and III (Fig.
- 208 2a, Fig. S1). Furthermore, the proximal end of the first metacarpal in *Balaur* is mediolaterally narrower
- than the distal end, producing a proximolaterally sloping medial margin of the metacarpus. In

210	Archaeopteryx and most non-avialan maniraptorans, the proximal end of the first metacarpal is not
211	constricted compared to the distal end, and the semilunate carpal overlaps most of metacarpal I;
212	whereas the overlap on metacarpal III is absent or limited to the medialmost margin of the bone (Fig.
213	2d; Ostrom 1976, fig. 10; Xu et al. 2014). Therefore, the position of the semilunate carpal of Balaur
214	represents a lateral shift when compared to other non-avialan maniraptorans, and recalls the condition
215	in long-tailed and pygostylian birds where the semilunate carpal has a reduced or absent overlap on
216	metacarpal I and extensively covers both metacarpals II and III (e.g., Confuciusornis, Sinornis,
217	Sapeornis, Enantiornis, Zhouornis; Chiappe et al. 1999; Sereno et al. 2002; Zhou and Zhang 2003;
218	Walker and Dyke 2009; Zhang et al. 2013; see also Xu et al. 2014; see Fig. 2b-c). As in Balaur,
219	pygostylian birds show a mediolateral constriction of the proximal end of the first metacarpal, and a
220	medial margin ("anterior margin", using Nomina Anatomica Avium nomenclature, see Harris 2004)
221	that is variably sloped proximolaterally in extensor view.
222	Distal condyles of metacarpals I-II restricted to the distal and ventral surfaces of the metacarpals
223	Metacarpals I and II of <i>Balaur</i> bear distal condyles that are restricted to the distal and ventral surfaces
223	of the metacarpals, and are excluded from the extensor surfaces (Brusatte et al. 2013). The
	•
225	dromaeosaurid condition (e.g., <i>Deinonychus</i> , <i>Velociraptor</i> , <i>Graciliraptor</i> ; Ostrom 1969; Norell and
226	Makovicky 1999; Xu and Wang 2003), in which the distal condyles are expanded along the extensor
227	surface of the metacarpals, is present in most non-avialan theropods (e.g., Acrocanthosaurus,
228	Allosaurus, Australovenator, Berberosaurus, Dilophosaurus, Patagonykus, Rapator; Madsen 1976;
229	Welles 1984; Novas 1997; Senter and Robins 2005; Allain et al. 2007; White et al. 2013). The
230	condition present in the metacarpals of Balaur is also present in pygostylians (e.g., Teviornis, Sinornis
231	Enantiornis; Kurochkin et al. 2002; Sereno et al. 2002; Walker and Dyke 2009) and extant birds (e.g.,
232	Dromaius; Meleagris, Struthio; ACUB 3131; 4817; 4820). Furthermore, the ventral surface of the
233	metacarpals of Balaur are excavated by a wide flexor sulcus but lack distinct flexor pits at the distal
234	end, similar to the condition present in avialans (e.g., Teviornis; Kurochkin et al. 2002) but differing
235	from that of dromaeosaurids and most non-avialan theropods that do bear a distinct flexor pit (e.g.,
236	Allosaurus, Acrocanthosaurus, Mahakala, Velociraptor; Madsen 1976; Senter and Robins 2005;
237	Turner et al. 2011).

238	Metacarpal II with an intermetacarpal ridge running along the dorsolateral edge of the bone and
239	closed intermetacarpal space between metacarpals II and III
240	Balaur possesses a distinct web of bone that extends along the dorsolateral edge of metacarpal II and
241	contacts metacarpal III distally, and a distally closed intermetacarpal space between metacarpals II and
242	III (Brusatte et al. 2013). Within basal avialans, the extent of the contact between metacarpals II and III
243	displays some variation, ranging from the close contact of a straight metacarpal III to metacarpal II
244	with no intermetacarpal space (e.g., Sapeornis; Zhou and Zhang 2003; Gao et al. 2012; see Fig. 2, Fig.
245	S1), an appressed distal contact but not fusion of metacarpal III to metacarpal II (the condition as seen
246	in Balaur and many basal avialans, including Jeholornis, Enantiornis, Confuciusornis, Zhouornis, and
247	Piscivoravis; Zhou and Zhang 2002; Walker and Dyke 2009; Zhang et al. 2009; Zhang et al. 2013;
248	Zhou et al. 2014), to distal obliteration of the contact between metacarpals II and III due to complete
249	fusion between the bones (e.g., Teviornis, Xiangornis, Meleagris; Kurochkin et al. 2002; Hu et al.
250	2012; ACUB 4817). A closed intermetacarpal space is present in Confuciusornis (Chiappe et al. 1999;
251	Zhang et al. 2009), some long-tailed birds (e.g., Jeholornis, Jixiangornis; Zhou and Zhang 2002), and
252	ornithothoracines (e.g., Enantiornis, Xiangornis, Zhouornis; Walker and Dyke 2009; Hu et al. 2012;
253	Zhang et al. 2013; see Fig. 2b). Derived euornithines differ from Balaur and most avialans in having a
254	more distally placed intermetacarpal space relative to a more shortened metacarpal I (e.g., Teviornis;
255	Kurochkin et al. 2002).
256	Distal end of metacarpal III unexpanded and not divided into separated condyles
257	The third metacarpal of Balaur bears a simple distal end that lacks distinct condyles. Dromaeosaurids
258	share with most non-avialan theropods the presence of well-defined distal metacarpal condyles
259	separated by an intercondylar sulcus (e.g., Allosaurus, Bambiraptor, Deinocheirus, Deinonychus,
260	Dilophosaurus, Gallimimus; Ostrom 1969; Osmólska and Roniewicz 1970; Osmólska et al. 1972;
261	Madsen 1976; Welles 1984; Burnham 2004). The condition present in the third metacarpal of Balaur is
262	shared by derived tyrannosauroids (e.g., Tyrannosaurus; Lipkin and Carpenter 2008, fig. 10.10), basal
263	pygostylians (e.g., Confuciusornis, Enantiornis, Sinornis, Teviornis, Xiangornis, Zhouornis; Chiappe et
264	al. 1999; Kurochkin et al. 2002; Sereno et al. 2002; Walker and Dyke 2009; Hu et al. 2012; Zhang et
265	al. 2013) and crown avians (e.g., Meleagris, Struthio; ACUB 4817; 4820). This character is not
266	obviously linked with the reduction in the number of phalanges in digit III (see below), since
267	Confuciusornis shows the derived metacarpal condition (i.e., simple distal end of metacarpal III) yet
268	retains a full set of four functional phalanges in digit III

269	Third manual digit bearing less than three phalanges
270	The third manual digit of Balaur is extremely reduced and lacks the distal phalanges, including the
271	ungual (Fig. 2a; Brusatte et al. 2013). The only known phalanx in the third manual digit of Balaur has
272	a tapering distal end with a small distal articular surface, suggesting the presence of a possible
273	additional phalanx of very small size. Such a reduction is unknown in dromaeosaurids, which have
274	three non-ungual phalanges on manual digit III and a fully functional ungual (Fig. 2d), but are

275	commonly found in non-confuciusornithid pygostylians, where the third manual digit is usually
276	reduced to two or fewer phalanges with a tapering distal end and poorly defined articular surfaces (e.g.,
277	Sinornis, Sapeornis, Zhouornis, Piscivoravis; Sereno et al. 2002; Gao et al. 2012; Zhang et al. 2013;
278	Zhou et al. 2014; see Fig. 2b-c, Fig. S1).
279	Dorsal margin of manual unguals does not arch dorsally above level of articular facet and flexor
280	tubercles not expanded ventrally
281	Senter (2007a) argued that in dromaeosaurid manual unguals, the dorsal margins arch higher than the
282	articular facets when the latter is held vertically, and that this feature differentiates dromaeosaurid
283	manual unguals from those of other theropods. The derived condition is present in microraptorines and
284	eudromaeosaurs but is absent in unenlagiines (Senter 2007a; Senter 2007b; Currie and Paulina
285	Carabajal 2012; Fig. S1A-B). Furthermore, the manual unguals in both dromaeosaurids and troodontids
286	bear prominent and dorsoventrally expanded flexor tubercles. In Balaur, the dorsal margins of the
287	manual unguals do not arch higher than the articular facet, and the flexor tubercles are relatively low,
288	more elongate proximodistally than dorsoventrally (Brusatte et al. 2013 figs. 21-22, figs. 21-22; Fig.
289	S1C). Reduction in both curvature and development of the flexor tubercles is widespread among the
290	manual unguals of basal avialans (e.g., Sinornis, Sapeornis, Zhouornis, Piscivoravis; Sereno et al.
291	2002; Gao et al. 2012; Zhang et al. 2013; Zhou et al. 2014; see Fig. 2b-c).
292	Complete coossification of pelvic bones
293	Balaur displays coossification of the pelvic bones such that both the iliopubic and ilioischial sutures
294	are obliterated (Brusatte et al. 2013, Fig. S2A). In most tetanuran theropods, including basalmost
295	avialans, the pelvic elements do not completely coossify (e.g., Allosaurus, Jeholornis, Patagonykus,
296	Sapeornis, Tyrannosaurus; Madsen 1976; Novas 1997; Zhou and Zhang 2002; Brochu 2003; Zhou and
297	Zhang 2003). This contrasts with ceratosaurian-grade theropods (Tykoski and Rowe 2004), some non-
298	avialan coelurosaurs (e.g., Avimimus; Kurzanov 1981) and derived avialans (e.g., Apsaravis, cf.
299	Enantiornis, Patagopteryx, Qiliania, Sinornis; Chiappe 2002; Chiappe and Walker 2002; Clarke and
300	Norell 2002; Sereno et al. 2002; Ji et al. 2011, Fig. S2D) in which the pelvic bones fuse completely.
301	Although coossification of the ilium to the pubis is present in the only known specimen of the
302	microraptorine dromaeosaurid Hesperonychus, the pelvic coossification differs from Balaur and
303	avialans as the ilioischial articulation remains unfused (Longrich and Currie 2009)

304	Ridge bounding the cuppedicus fossa confluent with the acetabular rim
305	In the ilium of <i>Balaur</i> , the ridge that dorsally bounds the cuppedicus fossa is extended posteriorly on
306	the lateral surface of the pubic peduncle and is confluent with the acetabular rim (Brusatte et al. 2013;
307	Fig. S2A). This feature is a compound character formed by the presence of a ridge bounding the
308	cuppedicus fossa, which is a neotetanuran synapomorphy (Hutchinson 2001; Novas 2004), and the
309	posterior extension of the cuppedicus fossa on the lateral surface of the pubic peduncle, which is a
310	derived feature of paravians (Hutchinson 2001, figs. 4-6). The combination of features present in
311	Balaur is shared by Anchiornis and Xiaotingia (Turner et al. 2012), Unenlagia and Rahonavis (Novas
312	2004), Velociraptor (Norell and Makovicky 1999) and enantiornithines (e.g., Sereno et al. 2002, fig.
313	8.4; Walker and Dyke 2009, Fig. S2D). The presence and extent of the cuppedicus fossa is difficult to
314	determine in most Mesozoic avialans because of the two-dimensional preservation of most specimens
315	(Novas 2004). Furthermore, the character statements relative to the ridge bounding the cuppedicus
316	fossa in phylogenetic analyses are marked as 'inapplicable' in those taxa lacking a distinct cuppedicus
317	fossa (Hutchinson 2001; e.g., Mahakala, Patagopteryx, Ornithurae; Turner et al. 2011), a scoring
318	strategy followed by both Turner et al. (2012) and Godefroit et al. (2013a).
319	Pubis and ischium projected strongly posteroventrally and subparallel
320	Balaur has a posteroventrally directed pubis, subparallel to the ischium (Csiki et al. 2010; Fig. S2A).
321	Although Brusatte et al. (2013) acknowledged that the extreme posterior inclination of the pubis may
322	partially be the result of taphonomic distortion, they confirmed the genuine posteroventral orientation
222	
323	of this bone. Within Theropoda, retroversion of the pubis (opisthopuby) is known in therizinosauroids
324	
	of this bone. Within Theropoda, retroversion of the pubis (opisthopuby) is known in therizinosauroids
324	of this bone. Within Theropoda, retroversion of the pubis (opisthopuby) is known in therizinosauroids parvicursorine alvarezsaurids, dromaeosaurids and pygostylians. Therizinosauroids more derived than
324 325	of this bone. Within Theropoda, retroversion of the pubis (opisthopuby) is known in therizinosauroids parvicursorine alvarezsaurids, dromaeosaurids and pygostylians. Therizinosauroids more derived than <i>Falcarius</i> show a posteroventrally directed pubis that articulates with the obturator process of the
324 325 326	of this bone. Within Theropoda, retroversion of the pubis (opisthopuby) is known in therizinosauroids parvicursorine alvarezsaurids, dromaeosaurids and pygostylians. Therizinosauroids more derived than <i>Falcarius</i> show a posteroventrally directed pubis that articulates with the obturator process of the ischium (Zanno 2010). Opisthopuby is present in many parvicursorines (e.g., <i>Mononykus</i> ; Perle et al.
324325326327	of this bone. Within Theropoda, retroversion of the pubis (opisthopuby) is known in therizinosauroids parvicursorine alvarezsaurids, dromaeosaurids and pygostylians. Therizinosauroids more derived than <i>Falcarius</i> show a posteroventrally directed pubis that articulates with the obturator process of the ischium (Zanno 2010). Opisthopuby is present in many parvicursorines (e.g., <i>Mononykus</i> ; Perle et al. 1994), but absent in more basal alvarezsauroids (e.g., <i>Haplocheirus</i> , <i>Patagonykus</i> ; Novas 1997;
324 325 326 327 328	of this bone. Within Theropoda, retroversion of the pubis (opisthopuby) is known in therizinosauroids parvicursorine alvarezsaurids, dromaeosaurids and pygostylians. Therizinosauroids more derived than <i>Falcarius</i> show a posteroventrally directed pubis that articulates with the obturator process of the ischium (Zanno 2010). Opisthopuby is present in many parvicursorines (e.g., <i>Mononykus</i> ; Perle et al. 1994), but absent in more basal alvarezsauroids (e.g., <i>Haplocheirus</i> , <i>Patagonykus</i> ; Novas 1997; Choiniere et al. 2010). A retroverted pubis is absent in basal paravians – they instead display a
324 325 326 327 328 329	of this bone. Within Theropoda, retroversion of the pubis (opisthopuby) is known in therizinosauroids parvicursorine alvarezsaurids, dromaeosaurids and pygostylians. Therizinosauroids more derived than <i>Falcarius</i> show a posteroventrally directed pubis that articulates with the obturator process of the ischium (Zanno 2010). Opisthopuby is present in many parvicursorines (e.g., <i>Mononykus</i> ; Perle et al. 1994), but absent in more basal alvarezsauroids (e.g., <i>Haplocheirus</i> , <i>Patagonykus</i> ; Novas 1997; Choiniere et al. 2010). A retroverted pubis is absent in basal paravians – they instead display a vertically oriented ('mesopubic') pubis – and is present in some dromaeosaurids (e.g., <i>Adasaurus</i> and
324 325 326 327 328 329 330	of this bone. Within Theropoda, retroversion of the pubis (opisthopuby) is known in therizinosauroids parvicursorine alvarezsaurids, dromaeosaurids and pygostylians. Therizinosauroids more derived than <i>Falcarius</i> show a posteroventrally directed pubis that articulates with the obturator process of the ischium (Zanno 2010). Opisthopuby is present in many parvicursorines (e.g., <i>Mononykus</i> ; Perle et al. 1994), but absent in more basal alvarezsauroids (e.g., <i>Haplocheirus</i> , <i>Patagonykus</i> ; Novas 1997; Choiniere et al. 2010). A retroverted pubis is absent in basal paravians – they instead display a vertically oriented ('mesopubic') pubis – and is present in some dromaeosaurids (e.g., <i>Adasaurus</i> and <i>Velociraptor</i> ; Norell and Makovicky 1999; Xu et al. 2010; Turner et al. 2012) but absent in others

334	Broad pelvic canal with laterally convex pubes and abrupt distal narrowing of interpubic distance
335	Brusatte et al. (2013) noted as an autapomorphy of Balaur an interpubic distance that is proportionally
336	greater than that present in other dromaeosaurids (e.g., Velociraptor; Norell and Makovicky 1997;
337	Norell and Makovicky 1999). The gap between the laterally bowed pubes of Balaur only begins to
338	narrow abruptly in the distalmost third of the bone (Fig. 3b, Fig. S2B; Brusatte et al. 2013, fig. 56).
339	This condition differs from that seen in most theropods (e.g., Avimimus, Sinraptor, Tyrannosaurus;
340	Currie and Zhao 1993; Vickers-Rich et al. 2002; Brochu 2003), including Velociraptor (Fig. 3d, Fig.
341	S2C; Norell and Makovicky 1999; Brusatte et al. 2013), Bambiraptor (Burnham 2004) and
342	Archaeopteryx (Norell and Makovicky 1999, fig. 25), where the narrowing is more gradual over the
343	length of the pubes and the pubis is not bowed laterally in anteroposterior view. Brusatte et al. (2013)
344	noted that the condition in Balaur is somewhat similar to the condition in therizinosaurids (Zanno
345	2010). The combination of a relatively broad pelvic canal, bounded by laterally convex pubes and with
346	an abrupt distal narrowing of the interpubic distance, is also seen in pygostylian birds (e.g., Concornis,
347	Dapingfangornis, Piscivoravis, Sapeornis, Yanornis; Sanz et al. 1995; Zhou and Zhang 2003; Li et al.
348	2006; Zhou et al. 2014; Zheng et al. 2014; see Figs. 3c, Fig. S2E).
349	Ischial tuberosity
350	The ischium of Balaur bears a well-developed obturator tuberosity (ischial tuberosity of Hutchinson
351	2001) on the proximal end of its anterior margin that contacts or nearly contacts the pubis ventrally
352	(Brusatte et al. 2013). This feature was determined to be a synapomorphy of the velociraptorine
353	subclade including <i>Balaur</i> by Turner et al. (2012). However, almost all non-velociraptorine taxa were
353354	
	subclade including <i>Balaur</i> by Turner et al. (2012). However, almost all non-velociraptorine taxa were
354	subclade including <i>Balaur</i> by Turner et al. (2012). However, almost all non-velociraptorine taxa were scored by them as either unknown for or lacking an ischial tuberosity (char. 176 in Turner et al. 2012),
354 355	subclade including <i>Balaur</i> by Turner et al. (2012). However, almost all non-velociraptorine taxa were scored by them as either unknown for or lacking an ischial tuberosity (char. 176 in Turner et al. 2012), with only <i>Adasaurus</i> , <i>Anchiornis</i> , <i>Deinonychus</i> and <i>Velociraptor</i> scored as bearing that feature.
354355356	subclade including <i>Balaur</i> by Turner et al. (2012). However, almost all non-velociraptorine taxa were scored by them as either unknown for or lacking an ischial tuberosity (char. 176 in Turner et al. 2012), with only <i>Adasaurus</i> , <i>Anchiornis</i> , <i>Deinonychus</i> and <i>Velociraptor</i> scored as bearing that feature. Nevertheless, a prominent ischial tuberosity is also present in avialans, in particular in large-bodied
354 355 356 357	subclade including <i>Balaur</i> by Turner et al. (2012). However, almost all non-velociraptorine taxa were scored by them as either unknown for or lacking an ischial tuberosity (char. 176 in Turner et al. 2012), with only <i>Adasaurus</i> , <i>Anchiornis</i> , <i>Deinonychus</i> and <i>Velociraptor</i> scored as bearing that feature. Nevertheless, a prominent ischial tuberosity is also present in avialans, in particular in large-bodied flightless taxa (e.g., <i>Patagopteryx</i> ; Hutchinson 2001). The ischial tuberosity of birds approaches and
354 355 356 357 358	subclade including <i>Balaur</i> by Turner et al. (2012). However, almost all non-velociraptorine taxa were scored by them as either unknown for or lacking an ischial tuberosity (char. 176 in Turner et al. 2012), with only <i>Adasaurus</i> , <i>Anchiornis</i> , <i>Deinonychus</i> and <i>Velociraptor</i> scored as bearing that feature. Nevertheless, a prominent ischial tuberosity is also present in avialans, in particular in large-bodied flightless taxa (e.g., <i>Patagopteryx</i> ; Hutchinson 2001). The ischial tuberosity of birds approaches and eventually contacts the pubis (e.g., <i>Dromaius</i> ; ACUB 3131), and is the case in <i>Balaur</i> .
354 355 356 357 358 359	subclade including <i>Balaur</i> by Turner et al. (2012). However, almost all non-velociraptorine taxa were scored by them as either unknown for or lacking an ischial tuberosity (char. 176 in Turner et al. 2012), with only <i>Adasaurus</i> , <i>Anchiornis</i> , <i>Deinonychus</i> and <i>Velociraptor</i> scored as bearing that feature. Nevertheless, a prominent ischial tuberosity is also present in avialans, in particular in large-bodied flightless taxa (e.g., <i>Patagopteryx</i> ; Hutchinson 2001). The ischial tuberosity of birds approaches and eventually contacts the pubis (e.g., <i>Dromaius</i> ; ACUB 3131), and is the case in <i>Balaur</i> . <i>Ischium with proximodorsal flange</i>
354 355 356 357 358 359 360	subclade including <i>Balaur</i> by Turner et al. (2012). However, almost all non-velociraptorine taxa were scored by them as either unknown for or lacking an ischial tuberosity (char. 176 in Turner et al. 2012), with only <i>Adasaurus</i> , <i>Anchiornis</i> , <i>Deinonychus</i> and <i>Velociraptor</i> scored as bearing that feature. Nevertheless, a prominent ischial tuberosity is also present in avialans, in particular in large-bodied flightless taxa (e.g., <i>Patagopteryx</i> ; Hutchinson 2001). The ischial tuberosity of birds approaches and eventually contacts the pubis (e.g., <i>Dromaius</i> ; ACUB 3131), and is the case in <i>Balaur</i> . <i>Ischium with proximodorsal flange</i> The ischium of <i>Balaur</i> bears a process along the proximal half of its dorsal surface (Brusatte et al.

364	Agnotin and Novas 2013). In unentagitnes and microraptorines, the ischium bears a tuber-like
365	proximodorsal process (Novas and Puerta 1997; Agnolín and Novas 2013, figs. 3.5c-e) which is absent
366	in known velociraptorines (Norell and Makovicky 1999; Agnolín and Novas 2013; Brusatte et al.
367	2013) except for a Velociraptor-like taxon from Mongolia (Norell and Makovicky 1999, fig 24). In
368	basal avialans, the ischial tuberosity is developed as a prominent trapezoidal flange which is more
369	proximodistally expanded than it is in other paravians and which resembles the condition present in
370	Balaur (e.g., Confuciusornis, cf. Enantiornis, Jeholornis, Patagopteryx, Sapeornis, Sinornis; Chiappe
371	et al. 1999; Hutchinson 2001; Sereno et al. 2002; Zhou and Zhang 2002; Zhou and Zhang 2003;
372	Walker and Dyke 2009; see Agnolín and Novas 2013; Fig. S2D, F).
373	Fibula fused to tibia proximally
374	In Balaur, the tibia and the fibula are fused proximally (Brusatte et al. 2013), a condition not seen in
375	dromaeosaurids or most non-avialan theropods. Among coelurosaurs, a more extensive proximal fusion
376	between tibia and fibula is present in pygostylian birds (e.g., Qiliania; Ji et al. 2011).
377	Tuber and ridge along lateral surface of the distal end of the tibiotarsus
378	The distal end of the tibiotarsus of <i>Balaur</i> bears a pronounced anteroposteriorly oriented lateral ridge.
379	The ridge is most pronounced anteriorly, where it terminates at a discrete rounded tubercle located at
380	the point where the lateral condyle and shaft merge. The ridge is kinked at its midpoint where it forms
381	a second, ventrally directed tubercle positioned laterodistally relative to the first tubercle (Brusatte et
382	al. 2013, fig. 35). Brusatte et al. (2013) suggested that the first tubercle may represent the distal end of
383	the fibula, fused to the tibiotarsus, whereas no interpretation of the second tubercle was provided. A
384	raised ridge along the anterolateral margin of the distal end of the tibiotarsus at the point of fusion
385	between the tibia and the proximal tarsals is also present in Qiliania (Ji et al. 2011) and in the
386	enigmatic Hațeg taxon Bradycneme (Harrison and Walker 1975). Based on comparison with birds, we
387	interpret the second tubercle and the corresponding kinked ridge as the fibular facet of the calcaneum.
388	According to our interpretation, the other tubercle, more proximally placed, is topographically
389	equivalent to the tuberculum retinaculi M. fibularis of birds (Baumel and Witmer 1993).
390	Complete distal co-ossification of the tibiotarsus
391	The distal end of the tibia and the proximal tarsals of Balaur are coossified, forming a tibiotarsus
392	where the sutures are obliterated (Brusatte et al. 2013). Turner et al. (2012) considered the fusion
393	between the calcaneum and astragalus, but not the tibia and tarsals, to be a synanomorphy of Parayes

- 394 Fusion involving the proximal tarsals and the distal end of the tibia is a condition seen in some basal 395 neotheropods (Tykoski and Rowe 2004). Within non-avialan coelurosaurs, coossification of the 396 proximal tarsals and the distal end of the tibia is observed in alvarezsaurids (e.g., Albinykus, 397 Mononykus; Perle et al. 1994; Nesbitt et al. 2011) and some oviraptorosaurs (e.g., Avimimus, 398 Elmisaurus; Osmólska 1981; Vickers-Rich et al. 2002). Within Avialae, the presence of a fully 399 coossified tibiotarsus is present in taxa more crownward than Archaeopteryx (e.g., Apsaravis, Confuciusornis, Hollanda; Chiappe et al. 1999; Clarke and Norell 2002; Bell et al. 2010). 400 401 Deep extensor groove on distal tibiotarsus 402 Balaur bears a deep and prominent extensor groove on the distal end of the tibiotarsus (Brusatte et al. 403 2013). Within dromaeosaurids, this feature has otherwise been reported only in *Buitreraptor* and is 404 homoplastically present in other maniraptoran lineages (e.g., Apsaravis, Hollanda, Mononykus; Perle et 405 al. 1994; Clarke and Norell 2002; Bell et al. 2010). 406 Tibiotarsus with intercondylar sulcus extended along the posterior surface The distal end of Balaur's tibiotarsus is saddle-shaped due to the presence of a large and distinct 407 408 intercondylar sulcus (Brusatte et al. 2013). The latter feature is restricted not only to the anterodistal 409 end of the bone but also extends along the distal end of the posterior surface as a flexor sulcus. This 410 feature is also present in basal avialans known from three-dimensionally preserved specimens (e.g., 411 Apsaravis, Hollanda; Clarke and Norell 2002; Bell et al. 2010). 412 Deep circular pit on medial surface of distal tibiotarsus 413 The medial surface of the distal end of *Balaur*'s tibiotarsus is excavated by a deep subcircular pit 414 which was described as being deeper than are the homologous depressions variably present in the 415 astragali of some dromaeosaurids (Brusatte et al. 2013). A pit comparable in depth to that present in 416 Balaur is also present in avialans more crownward than Archaeoptervx (depressio epicondylaris 417 medialis, Baumel and Witmer 1993) and has been considered a phylogenetically informative feature 418 (see O'Connor et al. 2011). 419 Extensive coossification of tarsometatarsus 420 The tarsometatarsal elements of *Balaur* display extensive coossification (Fig. 4a, Figs. S3-4; Brusatte
- et al. 2013), in contrast to most non-avian theropods in which no such fusion is present (e.g.,
- 422 *Velociraptor*; see Fig. 4b, Fig. S4A). Many maniraptoran lineages display coossification of the distal

423 tarsals to the proximal ends of the metatarsals (e.g., Avimimus, Adasaurus, Albinykus, Elmisaurus; 424 Kurzanov 1981; Osmólska 1981; Nesbitt et al. 2011; Turner et al. 2012). However, the extensive 425 coossification of the metatarsal shafts is a character present only in *Balaur* and pygostylians (e.g., 426 Bauxitornis, Confuciusornis, Evgenavis, Hollanda, Patagopteryx, Vorona, Yungavolucris; Chiappe 427 1993; Chiappe et al. 1999; Chiappe 2002; Forster et al. 2002; Bell et al. 2010; Dyke and Ösi 2010; 428 O'Connor et al. 2014; see Fig. 4c, Fig. S3, Fig. S4C-D). 429 Metatarsals with one or more longitudinal eminences on the dorsal surface of the shafts 430 The shafts of *Balaur*'s second to fourth metatarsals are dorsoventrally deep in cross-section, being 431 strongly convex along the extensor surfaces except for the area of contact between metatarsals II and 432 III. Here, the lateral edge of metatarsal II and the medial edge of metatarsal III form dorsoventrally 433 shallow, longitudinally arranged flanges that, together, form a depressed region between the remainder 434 of the metatarsal shafts. This unusual character combination, which is not observed in non-avialan 435 theropods, was considered to be an autapomorphy of *Balaur* by Brusatte et al. (2013). However, 436 comparable features are present in several Mesozoic avialans. Vorona possesses two distinct ridges that 437 extend along the distal halves of the extensor surfaces of both metatarsals III and IV, delimiting a 438 depressed intermetatarsal space (Forster et al. 2002). A depressed area between metatarsals II and III is 439 also present in *Patagopteryx* (Chiappe 2002). The extensor surfaces of metatarsals II and III are markedly convex transversely in many avisaurids with depressed areas present between the metatarsal 440 shafts (e.g., Avisaurus, Bauxitornis; Chiappe 1993; Dyke and Ősi 2010; Fig. S3H). Yungavolucris is 441 442 reported to lack a dorsally convex third metatarsal; however, the shaft's extensor surface at the 443 proximal end of metatarsal III bears a centrally positioned, longitudinally oriented eminence 444 comparable to the condition in *Balaur* (Chiappe 1993). Finally, the enigmatic avialan *Mystiornis* also 445 bears distinct longitudinal ridges along the extensor surfaces of metatarsals II-IV (Kurochkin et al. 446 2010). 447 Enlarged extensor fossa on distal end of metatarsal II 448 In most theropods, the distal end of metatarsal II bears an extensor fossa proximal to the articular end. 449 This fossa usually appears as a pit delimited by distinct margins and does not extend mediolaterally 450 across the entire extensor surface (e.g., Allosaurus, Deinonychus, Tyrannosaurus; Ostrom 1969;

Madsen 1976; Brochu 2003). In *Balaur*, the extensor fossa of metatarsal II is enlarged and extends

across the whole distal surface, bounded laterally by a raised ridge converging with the trochlea

451

453 (Brusatte et al. 2013; Fig. S3B). A large, proximodistally enlarged extensor fossa is present on the 454 second metatarsal of Evgenavis (O'Connor et al. 2014; Fig. S3F). An enlarged extensor fossa on metatarsal II, lacking distinct margins and bounded laterally by a raised margin, is also present in 455 Parabohaiornis (Wang et al. 2014a) and Yungavolucris (Chiappe 1993; Fig. S3E). 456 457 Metatarsal II with plantarly projected medial condyle 458 Balaur bears a plantarly projected medial condyle on the distal end of metatarsal II, visible in medial 459 view as a distinct ventral projection of the distal end (Brusatte et al. 2013; Fig. S3A). In most 460 theropods, including dromaeosaurids, the medial condyle of metatarsal II does not project plantarly 461 more than the lateral condyle (e.g., Deinonychus, Eustreptospondylus, Falcarius, Garudimimus, 462 Sinraptor, Talos, Tyrannosaurus, Zuolong; Ostrom 1969; Currie and Zhao 1993; Brochu 2003; 463 Kirkland et al. 2004; Kobayashi and Barsbold 2005; Sadleir et al. 2008; Choiniere et al. 2010; Zanno et 464 al. 2011). Many avialans bear a plantarly unexpanded medial condyle on metatarsal II and hence 465 resemble other theropods (e.g., Avisaurus, Mystiornis, Yungavolucris; Chiappe 1993; Kurochkin et al. 466 2010). However, a plantarly projected medial condyle like that present in *Balaur* is present in the basal 467 pygostylians Confuciusornis and Evgenavis (O'Connor et al. 2014; Fig. S3G, I) and in the 468 ornithuromorph Apsaravis (Clarke and Norell 2002). 469 Metatarsal II lacks prominent ginglymoid distal end 470 The presence of a prominent extensor sulcus on the second metatarsal is regarded as a synapomorphy 471 of Dromaeosauridae (Turner et al. 2012). Balaur possesses a broadly convex distal end of metatarsal II 472 that lacks a ginglymoid distal articulation with a well-developed extensor sulcus (Fig. 4a; see Norell 473 and Makovicky 1997; Brusatte et al. 2013; Fig. S3B). Some avialan taxa also bear a distinct extensor 474 sulcus on metatarsal II like that present in dromaeosaurids (e.g., Avisaurus, Yungavolucris; Chiappe 475 1993; Fig. S3C, E) whereas others bear a broadly convex articular facet and hence resemble *Balaur* 476 (e.g., Bauxitornis, Evgenavis; Dyke and Ösi 2010; O'Connor et al. 2014; Fig. S3D, F). 477 Distal articular surface of metatarsal II narrower than maximum width of its distal end 478 The width of the distal articular surface of metatarsal II in *Balaur* is less than the width of the entire 479 distal end of the metatarsal (Brusatte et al. 2013; Fig. S3B). In extensor view, a large non-articular 480 region is present both lateral and medial to the articular surface. The metatarsals of derived 481 therizinosauroids show a similar condition (e.g., Segnosaurus; Perle 1979). The same feature also

occurs in the second metatarsal of some avisaurid avialans, where distinct non-articular mediolateral

- 483 expansions are present proximal to the distal articular surface (Avisaurus archibaldi, A. gloriae;
- 484 Chiappe 1993; Varricchio and Chiappe 1995; Fig. S3C).
- Shaft of metatarsal IV anteroposteriorly compressed and mediolaterally widened
- In most theropods, the mid-length cross section of metatarsal IV is subcircular, or anteroposteriorly
- 487 thicker than wide. In *Balaur*, the mid-length cross section of metatarsal IV is anteroposteriorly
- compressed and mediolaterally expanded (Brusatte et al. 2013), a characteristic that is also seen in both
- 489 velociraptorine (e.g., *Deinonychus*, *Velociraptor* and *Adasaurus*) and dromaeosaurine dromaeosaurids
- 490 (e.g., *Utahraptor*) as well as basal troodontids (Turner et al. 2012). However, an anteroposteriorly
- compressed metatarsal IV with a flat cross section is also present in basal avialans (e.g., Avisaurus,
- 492 Mystiornis, Evgenavis, Yungavolucris; Brett-Surman and Paul 1985; Chiappe 1993; Kurochkin et al.
- 493 2010; O'Connor et al. 2014; Fig. S3E, H).
- 494 Short and robust metatarsal V
- 495 Dromaeosaurids bear a slender and elongate metatarsal V that is at least 40% of metatarsal III's length
- 496 (Fig 5c; Norell and Makovicky 1999; Hwang et al. 2002; Brusatte et al. 2013). *Balaur* possesses a
- shorter and stouter metatarsal V that is less than 30% of metatarsal III's length (Fig 5a, Fig. S3A, S4B;
- Brusatte et al. 2013): it is thus more similar to the condition present in basal avialans (e.g., Evgenavis,
- 499 Sapeornis, Vorona; Forster et al. 2002; Zhou and Zhang 2003; O'Connor et al. 2014) and most non-
- avialan coelurosaurs (e.g., Khaan, Segnosaurus, Tyrannosaurus; Perle 1979; Brochu 2003; Balanoff
- 501 and Norell 2012).
- 502 Hallux unreduced compared to other toes and functional
- 503 Balaur possesses a hallux that cannot be considered reduced in size compared to the other pedal digits
- (Brusatte et al. 2013, Fig. S4B). Most non-avialan theropods, including dromaeosaurids, possess a
- 505 relatively small first pedal ungual (e.g., Allosaurus, Microraptor, Velociraptor; Madsen 1976; Norell
- and Makovicky 1997; Hwang et al. 2002; Fig. S4A). However, a large and falciform first pedal ungual
- 507 that is not reduced compared to the other pedal unguals, as seen in *Balaur*, is also present in many
- 508 basal birds (e.g., Confuciusornis, Jixiangornis, Patagopteryx, Sapeornis, Zhouornis; Chiappe et al.
- 509 1999; Chiappe 2002; Ji et al. 2002; Zhou and Zhang 2003; Zhang et al. 2013; Fig. S4D). Furthermore,
- 510 the first phalanx in *Balaur*'s hallux is subequal in length compared to the proximal phalanges of pedal
- digits II-IV, a condition present in basal avialans (e.g., Jixiangornis, Sapeornis, Zhouornis; Ji et al.
- 512 2002; Zhou and Zhang 2003; Zhang et al. 2013; Fig. S4) but not in non-avialan theropods. The distal

- placement of the articular end of metatarsal I in *Balaur* relative to the trochlea of metatarsal II is more similar to that of the basal avialans (e.g., *Confuciusornis*, *Patagopteryx*; Chiappe et al. 1999; Chiappe
- 515 2002) than the more proximally placed trochlea of metatarsal I in dromaeosaurids (e.g., *Microraptor*,
- 516 Deinonychus, Velociraptor; Norell and Makovicky 1997; Hwang et al. 2002; Fowler et al. 2011) and
- other non-avialan theropods (e.g., Khaan, Balanoff and Norell 2012). In addition, the well-developed
- articular surfaces indicate that the hallux of *Balaur* was dextrous, mobile and fully functional (Brusatte
- et al. 2013). This is also the condition present in birds but contrasts with that of most non-avialan
- theropods, including dromaeosaurids (Norell and Makovicky 1997).
- 521 Enlarged pedal ungual II lacking both marked falciform shape and prominent flexor tubercle
- 522 Balaur bears a hypertrophied second pedal ungual that is larger than the third and fourth pedal unguals,
- similar to that seen in most deinonychosaurs (Turner et al. 2012; Brusatte et al. 2013). However,
- Brusatte et al. (2013) noted that the second pedal ungual of *Balaur* does not show the marked falciform
- shape and prominent flexor tubercle seen in most dromaeosaurids (e.g., Ostrom 1969; Turner et al.
- 526 2012). A robust second pedal digit with an enlarged and moderately recurved ungual, comparable to
- 527 the condition in *Balaur*, is also present among several avialans (e.g., *Bohaiornis*, *Fortunguavis*,
- 528 Jixiangornis, Parabohaiornis, Patagopteryx, Qiliania, Sulcavis, Zhouornis; Chiappe 2002; Ji et al.
- 529 2002; Hu et al. 2011; Ji et al. 2011; O'Connor et al. 2013; Zhang et al. 2013; Wang et al. 2014b; Wang
- 530 et al. 2014A; Fig. S4D).
- Penultimate phalanges of pedal digit III longer than 1.2 times that of the preceding phalanx
- In most theropods, including dromaeosaurids, the penultimate phalanx of the third pedal digit is
- subequal to or shorter than the length of the preceding phalanges (e.g., Gallimimus, Khaan,
- 534 Tyrannosaurus, Velociraptor; Osmólska et al. 1972; Norell and Makovicky 1997; Brochu 2003;
- Balanoff and Norell 2012; Brusatte et al. 2013, table 7). However, *Balaur* bears a relatively elongate
- penultimate phalanx on pedal digit III that is 1.2 times longer than the preceding phalanx (Brusatte et
- al. 2013; Fig. S4B). This condition is similar to that seen in many basal avialans (e.g., *Concornis*,
- 538 Sapeornis, Zhouornis; Sanz et al. 1995; Zhou and Zhang 2003; Zhang et al. 2013; Fig. S4D) and unlike
- that of dromaeosaurids and most non-avialan theropods.
- 540 Pedal ungual IV reduced in size
- 541 Balaur's fourth pedal ungual, although distally incomplete in the holotype specimen, is the smallest of
- the pedal unguals (about 60% the size of pedal ungual III, see Brusatte et al. 2013; Fig. S4B). This

343	condition differs from dromaeosaurius, <i>supeorms</i> and some troodonitus that have routin pedar diffusials
544	that are more than 85% the length of the third pedal ungual (e.g., Borogovia 140%, Sapeornis 100%;
545	Osmólska 1987; Brusatte et al. 2013, table 7; Pu et al. 2013) but resembles the condition of
546	ornithothoracine birds (e.g., Bohaiornis 59%, Parabohaiornis 60%, Qiliania 76%, Zhouornis 66%; Hu
547	et al. 2011; Ji et al. 2011; Zhang et al. 2013; Fig. S4C-D). The relative length of the fourth pedal
548	ungual of most maniraptorans is intermediate between Balaur and dromaeosaurids, being 70-85% the
549	length of pedal ungual III (e.g., Archaeopteryx 77-78%, Khaan, Jixiangornis, Sinornithoides and
550	Zhongjianornis 80%; Elzanowski 2001; Currie and Dong 2001; Ji et al. 2002; Zhou and Li 2010;
551	Balanoff and Norell 2012, fig. 33).
552	Results
553	Modified Turner et al. (2012) analysis
554	The modified Turner et al. (2012) analysis produced >999,999 shortest cladograms of 2085 steps each
555	(CI = 0.2998 , RI = 0.7389). In all shortest trees found, <i>Balaur</i> was recovered as an avialan, as the
556	sister-taxon of Sapeornis and not as a member of Dromaeosauridae. The 'Balaur + Sapeornis' clade
557	resolved as the sister-taxon of a clade including Pygostylia, Jixiangornis and Jeholornis. Exploration of
558	the alternative topologies found indicated that Epidendrosaurus and Pedopenna acted as 'wildcard'
559	taxa among Maniraptora, as in Turner et al. (2012), and these taxa were pruned a posteriori from the
560	results of the analyses to improve resolution within basal paravian taxa. After pruning the 'wildcard'
561	taxa from the strict consensus topology (Fig. 5), Archaeopteryx resolved as the basalmost avialan.
562	Unambiguous synapomorphies for the sister taxon relationships between Balaur and Sapeornis are:
563	anterior surface of deltopectoral crest with distinct muscle scar near lateral edge along distal end of
564	crest for insertion of biceps muscle (141.1, homoplastic among maniraptorans); third manual digit with
565	two or less phalanges (150.2, convergently developed among ornithothoracines); humerus condyles
566	placed on anterior surface (371.1, convergently developed among therizinosauroids and most avialans);
567	metacarpal III anteroposterior diameter less than 50% same diameter of metacarpal II (391.1); length of
568	first phalanx of pedal digit I > 66% of first pedal phalanx of pedal digit III (483.1, convergently
569	developed among derived avialans).
570	Furthermore, all three versions of the dataset that used implied weighting recovered Balaur as an
571	avialan and sister-taxon of Sapeornis (see Figure S5).

572	Modified Lee et al. (2014) analysis
573	The modified Lee et al. (2014) analysis recovered 1152 shortest trees of 6350 steps each (CI = 0.2672,
574	RI = 0.5993). The strict consensus of the shortest trees found is in general agreement with the
575	Maximum Clade Credibility Tree recovered by Lee et al. (2014), the most relevant difference being the
576	unresolved polytomy among Aurornis, Jinfengopteryx, Dromaeosauridae, Troodontidae and Avialae
577	(Fig. 6). The <i>a posteriori</i> pruning of the two above mentioned genera does not resolve the polytomy
578	among the three suprageneric clades. It is noteworthy that an unresolved polytomy among the main
579	paravian lineages was also obtained by Brusatte et al. (2014) using an expanded version of Turner et
580	al.'s (2012) dataset. In all trees found, Balaur was resolved as a basal avialan and as the sister taxon of
581	Pygostylia (the 'Zhonjianornis + (Sapeornis + more derived avialans)' clade), in agreement with the
582	results of previous versions of this matrix (i.e., Godefroit et al. 2013b). The character states
583	unambiguously supporting this placement for Balaur are: (1) presence of fusion between metacarpal II
584	and the distal carpals (char. 311.1); presence of a mediolaterally slender third metacarpal (char. 322.1);
585	absence of the mediodorsal process on the ischium (char. 423.0); presence of an elongate first phalanx
586	of pedal digit I (char. 499.0); presence of a completely fused tibiotarsus (char. 580.1). Nodal support
587	for this placement was low (Decay Index = 1). Nevertheless, higher nodal support values for more
588	basal nodes along the basal part of Avialae support the placement of Balaur in this clade. This
589	interpretation is further supported by the implied weighting analyses of the data set: as discussed
590	above, these analyses consistently recovered Balaur as a non-pygostylian member of Avialae, located
591	less crownward within Avialae than was the case in the unweighted analysis, and bracketed by
592	Archaeopteryx and all other avialans (see Figure S6).
593	Templeton tests
594	We re-analysed Turner et al.'s (2012) original dataset enforcing the following backbone constraint:
595	((Balaur, Anas), Troodon, Dromaeosaurus) (i.e., enforcing the analysis to retain only those topologies
596	where Balaur is closer to modern birds than both troodontids and dromaeosaurids, thus by definition
597	forcing it to be a member of Avialae; see Electronic Supplementary Material). The shortest enforced
598	topologies that resulted were 2054 steps long, five steps less parsimonious than the shortest
599	unconstrained topologies that recovered Balaur among Dromaeosauridae. This difference was not
600	statistically significant based on the Templeton test (<i>p</i> >0.490, N>49).
601	We also re-analysed Turner et al.'s (2012) modified dataset, this time enforcing Balaur as a
602	dromaeosaurid using the following backbone constraint: ((Balaur, Dromaeosaurus), Troodon, Anas).

603	The shortest enforced topologies that resulted were 2087 steps long, two steps less parsimonious than
604	the shortest unconstrained topologies were Balaur was recovered within Avialae. This difference was
605	not statistically significant based on the Templeton test ($p>0.750$, N>37).
606	Using the dataset modified from Lee et al. (2014), we enforced a dromaeosaurid placement for Balaur,
607	using the following backbone constraint: ((Balaur, Dromaeosaurus), Troodon, Meleagris). The
608	shortest trees found using that constraint are nine steps longer than the shortest unforced topologies,
609	and placed Balaur as the basalmost dromaeosaurid, excluded from the ((Eudromaeosauria +
610	Microraptoria) + Unenlagiinae) clade. This difference was not statistically significant based on the
611	Templeton test (<i>p</i> >0.440, N>125).
612	Finally, we also tested a velociraptorine placement for Balaur, using the following backbone
613	constraint: ((Balaur, Velociraptor), Dromaeosaurus, Troodon). The shortest trees found using that
614	constraint are 14 steps longer than the shortest unforced topologies, and placed Balaur as the basalmost
615	velociraptorine. This difference was not statistically significant based on the Templeton test ($p>0.158$,
616	N>89).
617	
618	Discussion
619	Balaur possesses a unique and bizarre mix of characters, many of which were previously considered
620	exclusive to Deinonychosauria or Avialae, and which may challenge its placement in either of the
621	aforementioned clades. Godefroit et al. (2013b, Electronic Supplementary Material) tested alternative
622	placements of <i>Balaur</i> among Paraves, and recovered the dromaeosaurid placement for that taxon as a
623	suboptimal solution. Here, we have shown that an avialan placement for <i>Balaur</i> using the original
624	dataset of Turner et al. (2012) is a suboptimal solution that cannot be rejected using that dataset.
625	Although the most parsimonious results of the two updated phylogenetic analyses presented here
626	concur in resolving Balaur within Avialae, the deinonychosaurian placement for this taxon discussed
627	by Brusatte et al. (2013) can be only tentatively rejected based on current information. The most
628	parsimonious placement was recovered under both equally weighted and implied weighting analyses,
629	suggesting that the avialan placement of Balaur was not biased by a priori assumptions on character
630	weighting. Nevertheless, in assuming an avialan placement for Balaur, a significant amount of
631	homoplasy, due to both convergences and reversals, is required to explain its unique morphology.
632	The sister taxon relationships between <i>Balaur</i> and the short-tailed <i>Sapeornis</i> resulted by the analysis of
633	the dataset modied from Turner et al. (2012) is quite unexpected, and may be partially biased by the

634	placement of the long-tailed Jeholornis and Jixiangornis as closer to other short-tailed birds than
635	Sapeornis (a relationships also recovered by the original dataset, Turner et al. 2012). According to that
636	topology, the short pygostyle-bearing tail of Sapeornis evolved independently to the same condition in
637	more crown-ward birds. The topology resulted by the dataset modified from Lee et al. (2014) is more
638	'traditional' as it depicts a single origin of the pygostylian tail among birds. Topological discrepancies
639	and alternative placements of problematic taxa may be influenced by artefacts in coding practice, or by
640	the logical basis of character statement definition followed by different authors (Brazeau 2011). The
641	datasets of Turner et al. (2012) and Lee et al. (2014) differ from each other in the logical basis of their
642	respective character statements and definitions. The definitions of many characters used in the analysis
643	of Turner et al. (2012) impose congruence by linking more than one variable character to a particular
644	state (see Brazeau 2011 and references therein), or by mixing together neomorphic and
645	transformational characters as alternative states of the same character statements (see Sereno 2007) – a
646	decision made such that contingent characters may be ordered so that absence is not "counted twice".
647	Character statements and definitions in the analysis of Lee et al. (2014) followed the recommendations
648	outlined by Sereno (2007) and Brazeau (2011); consequently, each character statement describes a
649	single variable character, and neomorphic and transformational characters were included as separate
650	character statements. To avoid the creation of spurious transformational optimizations under some
651	topologies, the characters in the analysis of Lee et al. (2014) were therefore atomized in such a way as
652	to capture both the presence or absence of the feature in addition to the states of the feature (Brazeau
653	2011). Taxa scored as lacking a particular neomorphic character were scored as 'unknown' for the
654	transformational characters describing different conditions of the same neomorphic feature.
655	We therefore consider it likely that some discrepancies between the updated analyses of Turner et al.
656	(2012) and Lee et al. (2014) - including the alternative placements of Balaur and Sapeornis among
657	basal avialans - reflect artefacts of coding rather than actual conflict in the data. Nevertheless, it is
658	noteworthy that even using distinct datasets, alternative character weighting hypotheses and different
659	logical bases for character definitions, Balaur was consistently recovered as a basal avialan.
660	Furthermore, the phylogenetic analysis of Foth et al. (2014), which used the dataset of Turner et al.
661	(2012) as their basis and which included an expanded set of characters, independently found Balaur to
662	be a basal avialan more crownward than Archaeopteryx, but in a less crownward position than that
663	presented here. In conclusion, we consider the consensus among the results of these alternative tests
664	(i.e., Balaur as a non-pygostylian basal avialan) as the phylogenetic framework for the discussion on

665	its evolution and palaeoecology.
666	Implications for the palaeoecology of Balaur
667	In the absence of both extrinsic data on diet and craniodental remains there is no direct evidence
668	pertaining to the ecology and trophic adaptations of Balaur. Although not explicitly stated, Brusatte et
669	al.'s (2013) inferences about the ecology and diet of Balaur rest entirely on their favoured phylogenetic
670	placement of the taxon within the predatory deinonychosaurian clade Velociraptorinae (see Carpenter
671	1998). However, some aspects of Balaur's morphology do not support the hypothesis that its
672	ecomorphology was similar to that of dromaeosaurids. While there exists evidence that dromaeosaurids
673	employed both their hands and feet in predation (see Carpenter 1998), the reduction in length and
674	functionality of the third manual digit and the poor development or absence of the pedal characters
675	linked with predatory behaviour in deinonychosaurs (i.e., ginglymoid distal end of metatarsal II
676	allowing extensive hyperextension, falciform second ungual with prominent flexor tubercle; Ostrom
677	1969; Fowler et al. 2011), challenge the notion of a specialised, dromaeosaurid-like predatory ecology
678	for Balaur. Brusatte et al. (2013) interpreted these unusual traits of Balaur as the result of insularism,
679	although they acknowledged that comparable morphological changes in insular taxa have so far not
680	been reported in predatory species. We are not aware of the reduction or loss of predatory adaptations
681	in any insular predatory taxon, and therefore consider it unlikely that the unique morphology of Balaur,
682	in particular the appendicular characters considered to be predatory adaptations among
683	dromaeosaurids, could be sufficiently accounted for by the 'island effect'.
684	Most of the features considered to be autapomorphies of Balaur by Csiki et al. (2010) and Brusatte et
685	al. (2013) are reinterpreted here as avialan synapomorphies. Consequently, these traits were inherited
686	by Balaur from its bird-like ancestors before its lineage was isolated in the Haţeg environment. Since
687	our analyses place Balaur among a grade of non-predatory avialans including herbivorous and/or
688	omnivorous species (Zhou and Zhang 2002; Dalsätt et al. 2006; Zanno and Makovicky 2011), our
689	preferred scenario does not necessitate a hypothesis of a carnivorous ecology for this taxon and is thus
690	more consistent with the absence of the aforementioned predatory adaptations. Furthermore, in
691	assuming a herbivorous or omnivorous ecology for Balaur, the amount of morphological changes,
692	particularly in limb shapes and proportions, is comparable to that reported in several insular
693	herbivorous and omnivorous taxa, including both mammals (Sondaar 1977; Caloi and Palombo 1994;
694	van der Geer et al. 2011) and dinosaurs (e.g., Dalla Vecchia 2009). In particular, the presence in Balaur
695	of a relatively broad pelvic canal, the short and broad metatarsus with mediolaterally expanded distal

696	ends relative to the articular surfaces, and the presence of an enlarged first pedal digit is a combination
697	of features convergently acquired only by the non-predatory clade Therizinosauridae among Mesozoic
698	theropods (Zanno 2010; Zanno and Makovicky 2011).
699	However, we agree with previous authors that, regardless of its position within Paraves, the
700	morphology of Balaur includes a unique and unexpected combination of features, otherwise seen in
701	distinct maniraptoran lineages. Interestingly, Balaur independently evolved a series of features
702	previously reported in more crownward bird lineages, such as a deep depressio epicondylaris medialis
703	in the tibiotarsus, a hypertrophied extensor fossa in the second metatarsal, and dorsally convex
704	metatarsals with expanded distal ends (characters elsewhere seen in some ornithothoracines). A
705	possible role of insularism in the origin of some of these traits is acknowledged even in our preferred
706	phylogenetic scenario. In particular, the results of our analyses indicate that Balaur is phylogenetically
707	bracketed by taxa showing relatively more elongate forelimbs (humeral lengths usually more than 60%
708	of the tibiotarsus + tarsometatarsus length) and more robust forearms (ulna as thick as or thicker than
709	the tibiotarsus). Accordingly, we interpret the forelimb of Balaur as secondarily reduced.
710	Flightlessness has also been inferred in the ornithurine Gargantuavis from the Campanian-
711	Maastrichtian of southern France (Buffetaut and Loeuff 1998), indicating that distinct avialan lineages
712	endemic to Late Cretaceous Europe reduced or lost their flight adaptations. Several bird clades
713	independently evolved flightlessness during the Cenozoic as a result of their exploitation of insular
714	environments and the taxa concerned typically displayed apomorphic reduction of the forelimbs
715	compared to those of their closest relatives (Paul 2002; Naish 2012). Therefore, the reduced forelimb
716	of Balaur may be interpreted as the result of insularism.
717	Finally, existing skeletal and life reconstructions of <i>Balaur</i> have interpreted it as a velociraptorine-like
718	dromaeosaurid (Csiki et al. 2010; Brusatte et al. 2013). Does our re-interpretation of this taxon as a
719	member of Avialae require that previous hypotheses about its appearance should be modified? By
720	combining the known elements of Balaur with those of other paravians, a new skeletal reconstruction
721	has been produced (Fig. 7). As our knowledge of Mesozoic paravian diversity has improved, it has
722	become ever clearer that early members of the deinonychosaurian and avialan lineages were highly
723	similar in proportions, detailed anatomy and life appearance: consequently, an 'avialan interpretation'
724	of Balaur does not result in an animal obviously different from a 'dromaeosaurid interpretation'. This
725	conclusion has been supported by recent quantitative analyses that demonstrate a significant degree of
726	shared morphospace between hasal avialan taxa and their closest paravian relatives (e.g. Rrusatte et al.

727 2014). Nevertheless, we suggest that *Balaur* may have been proportionally shorter-tailed and with a 728 less raptorial-looking foot than previously depicted (Csiki et al. 2010; Brusatte et al. 2013). Clearly, 729 details of its cranial and dental anatomy are speculative. We assume that, like other paravians, Balaur 730 was extensively feathered. 731 **Conclusions** 732 The Maastrichtian paravian theropod *Balaur bondoc* is reinterpreted here as a basal avialan rather than 733 as a dromaeosaurid. Features supporting its placement among Avialae include the hypertrophied 734 coracoid tubercle, the anterior placement of the distal condyles of the humerus, the proximally fused 735 carpometacarpus with a laterally shifted semilunate carpal, the closed intermetacarpal space, the 736 reduced condyles on metacarpals I-II, the slender metacarpal III, the reduced phalangeal formula of the 737 third digit, the extensively fused tibiotarsus, the extensively fused tarsometatarsus, the distal placement 738 of the articular end of first metatarsal, the large size of the hallux, and the elongation of the penultimate 739 phalanges of the pes. The absence of dromaeosaurid synapomorphies (e.g., non-ginglymoid metatarsals 740 II and III, short metatarsal V) is thus interpreted as plesiomorphic and not as reversals. Both its 741 phylogenetic bracketing within basal avialans and the absence of predatory adaptations concur in 742 indicating that *Balaur* was herbivorous or omnivorous, not predatory. The reduced forelimb of *Balaur* 743 represents one of the most compelling pieces of evidence for insular adaptation in a Mesozoic bird. Furthermore, with its unique combination of features shared by distinct paravian clades and its possible 744 745 placement as one of the closest relatives of Pygostylia, *Balaur* may represent a pivotal taxon in future 746 investigations of Mesozoic bird interrelationships. 747 The hypothesis that some Mesozoic paravians represent the flightless descendants of volant, 748 Archaeopteryx-like ancestors, most vigorously promoted by Paul (1988, 2002), has not been supported 749 by recent phylogenetic hypotheses (e.g., Senter 2007b; Turner et al. 2012; Agnolín and Novas 2013). 750 Furthemore, phylogenetic analyses that incorporate sufficient character data are able to differentiate the 751 members of such paravian lineages as Dromaeosauridae, Troodontidae and Avialae, as demonstrated 752 by our present study. Nevertheless, reinterpretation of *Balaur* as a flightless avialan reinforces the point 753 that at least some Mesozoic paravian taxa, highly similar in general form and appearance to 754 dromaeosaurids, may indeed be the enlarged, terrestrialised descendants of smaller, flighted ancestors, 755 and that the evolutionary transition involved may have required relatively little in the way of 756 morphological or trophic transformation.

757	Acknowlegements
758	We thank staff at the Transylvanian Museum Society (EME), Cluj-Napoca, in particular Matyas and
759	Marta Vremir for allowing access to the Balaur holotype, for discussion and substantial invaluable
760	assistance in Transylvania. We thank Steve Brusatte, Jonah Choiniere, Gareth Dyke and Corwin
761	Sullivan for the detailed and critical comments on an earlier version of this manuscript. Jaime Headden
762	kindly created and allowed us use of the image in Fig. 7.
763	

764 Figure captions

- Figure 1. Comparison of the scapulocoracoid of (a) *Balaur* (lateral view) to that of (b) the pygostylian
- 766 Enantiophoenix (medial view); and (c) the dromaeosaurid Velociraptor (lateral view); (a) after Csiki et
- al. (2010, fig. 1); (b) modified after Cau and Arduini (2003, fig. 2); (c) after Norell and Makovicky
- 768 (1999, fig. 4). All scapulocoracoids are drawn with the proximal half of the scapular blade oriented
- horizontally. Abbreviations: ac, acromion; ct, coracoid tubercle; snf, supracoracoid nerve foramen.
- Figure 2. Comparison of the manus of (a) *Balaur* to those of (b) the enantiornithine *Zhouornis*; (c) the
- pygostylian Sapeornis; and (d) the dromaeosaurid Deinonychus, showing bird-like features of Balaur.
- 772 (a) after Csiki et al. (2010, fig. 1, mirrored from original); (b) after Zhang et al. (2013, fig. 7); (c) after
- Zhou and Zhang (2003, fig. 7); (d) after Wagner and Gauthier (1999, fig. 2). All drawn at the same
- metacarpal II length. Abbreviations: cis, closed intermetacarpal space; cmc, carpometacarpus; d3,
- reduced third digit; drc, distally restricted condyles; lsc, laterally shifted semilunate carpal; pnm,
- proximally narrow metacarpal I.
- Figure 3. Pelvis of *Balaur* in lateral view (a). Comparison of the pubes of *Balaur* in anteroventral view
- 778 (b) to those of the pygostylian *Sapeornis* in anterior view (c), and the dromaeosaurid *Velociraptor* in
- posterior view (d). (c) after Zhou and Zhang (2003, fig. 8); (d) after Norell and Makovicky (1999, fig.
- 780 19).
- 781 Figure 4. Comparison of the metatarsus and pes of (b) *Balaur* to that of (a) the dromaeosaurid
- 782 *Velociraptor*; and (c) the pygostylian *Zhouornis*. (a) after Norell and Makovicky (1997 figs. 6); (c)
- after Zhang et al. (2013, fig. 8, mirrored from original). Abbreviations: mt I, metatarsal I; U II: pedal
- 784 ungual I.
- 785 Figure 5. Reduced strict consensus of the shortest trees from the analysis of the modified Turner et al.
- 786 (2012) matrix after pruning the 'wildcard' taxa *Epidendrosaurus* and *Pedopenna*. Numbers adjacent to
- 787 nodes indicate Decay Index values >1.
- Figure 6. Strict consensus tree of the shortest trees from the analysis of the modified Lee et al. (2014)
- 789 matrix. Filled circle indicates Avialae. Numbers adjacent to nodes indicate Decay Index values.
- 790 Figure 7. Speculative skeletal reconstruction for *Balaur bondoc*, showing known elements in white and
- unknown elements in grey. Note that the integument would presumably have substantially altered the
- outline of the animal in life. Produced by Jaime Headden, used with permission.

794	References
795	Agnolín FL, Novas FE (2013) Avian Ancestors: A Review of the Phylogenetic Relationships of the
796	Theropods Unenlagiidae, Microraptoria, Anchiornis and Scansoriopterygidae. Springer
797	Agnolín FL, Novas FE (2011) Unenlagiid theropods: are they members of the Dromaeosauridae
798	(Theropoda, Maniraptora)? Anais da Academia Brasileira de Ciências 83:117-162.
799	Allain R, Tykoski R, Aquesbi N, Jalil N-E, Monbaron M, Russell D, Taquet P (2007) An abelisauroid
800	(Dinosauria: Theropoda) from the Early Jurassic of the High Atlas Mountains, Morocco, and the
801	radiation of ceratosaurs. Journal of Vertebrate Paleontology 27:610-624.
802	Baier DB, Gatesy SM, Jenkins FA (2007) A critical ligamentous mechanism in the evolution of avian
803	flight. Nature 445:307–310.
804	Balanoff AM, Norell MA (2012) Osteology of Khaan mckennai (Oviraptorosauria: Theropoda).
805	Bulletin of the American Museum of Natural History 372:1-77.
806	Barsbold R, Currie PJ, Myhrvold NP, Osmólska H, Tsogtbaatar K, Watabe M (2000) A pygostyle from
807	a non-avian theropod. Nature 403:155–156.
808	Baumel JJ, Witmer LM (1993) Osteologia. In: Baumel JJ, King AS, Breazile JE, Evans HE, Vanden
809	Berge JC (eds) Handbook of avian anatomy: Nomina anatomica avium. Publications of the
810	Nuttall Ornithological Club, no. 25, pp 45-132
811	Bell AK, Chiappe LM, Erickson GM, Suzuki S, Watabe M, Barsbold R, Tsogtbaatar K (2010)
812	Description and ecologic analysis of Hollanda luceria, a Late Cretaceous bird from the Gobi
813	Desert (Mongolia). Cretaceous Research 31:16–26.
814	Brazeau MD (2011) Problematic character coding methods in morphology and their effects. Biological
815	Journal of the Linnean Society 104:489–498.
816	Brett-Surman MK, Paul GS (1985) A new family of bird-like dinosaurs linking Laurasia and
817	Gondwanaland. Journal of Vertebrate Paleontology 5:133-138.
818	Brochu CA (2003) Osteology of <i>Tyrannosaurus rex</i> : Insights from a nearly complete Skeleton and
819	High-Resolution Computed Tomographic Analysis of the Skull. Journal of Vertebrate
820	Paleontology 22:1–138.
821	Brusatte S, Lloyd G, Wang S, Norell M (2014) Gradual Assembly of Avian Body Plan Culminated in
822	Rapid Rates of Evolution across the Dinosaur-Bird Transition. Current Biology 24:2386–2392.
823	Brusatte SL, Vremir M, Csiki-Sava Z, Turner AH, Watanabe A, Erickson GM, Norell MA (2013) The
824	Osteology of <i>Balaur bondoc</i> an Island-Dwelling Dromaeosaurid (Dinosauria: Theropoda) from

825	the Late Cretaceous of Romania. Bulletin of the American Museum of Natural History 374:1-
826	100.
827	Buffetaut E, Loeuff JL (1998) A new giant ground bird from the Upper Cretaceous of southern France.
828	Journal of the Geological Society 155:1-4.
829	Burnham DA (2004) New information on Bambiraptor feinbergi from the Late Cretaceous of
830	Montana. In: Currie PJ, Koppelhus EB, Shugar MA, Wright JL (eds) Feathered Dragons: Studies
831	on the Transition from Dinosaurs to Birds. Indiana University Press, pp 67-111
832	Caloi L, Palombo MR (1994) Functional aspects and ecological implications in Pleistocene endemic
833	herbivores of Mediterranean Islands. Historical Biology 8:151-172.
834	Cau A., Arduini P (2008) Enantiophoenix electrophyla gen. et sp. nov. (Aves, Enantiornithes) from the
835	Upper Cretaceous (Cenomanian) of Lebanon and its phylogenetic relationships. Atti della Società
836	Italiana di Scienze Naturali e del Museo Civico di Storia Naturale di Milano 149(II):293-324.
837	Cau A, Dyke GJ, Lee MSY, Naish D (2014) Data from: Sustained miniaturization and anatomical
838	innovation in the dinosaurian ancestors of birds. Dryad Digital Repository doi:
839	http://dx.doi.org/10.5061/dryad.jm6pj
840	Carpenter K (1998) Evidence of predatory behavior by carnivorous dinosaurs. Gaia 15:135–144.
841	Chiappe LM (2002) Osteology of the flightless Patagopteryx deferrariisi from the Late Cretaceous of
842	Patagonia (Argentina). In: Chiappe LM, Witmer LM (eds) Mesozoic Birds: above the heads of
843	dinosaurs. University of California Press, pp 281–361
844	Chiappe LM (1993) Enantiornithine (Aves) tarsometatarsi from the Cretaceous Lecho Formation of
845	northwestern Argentina. American Museum Novitates 3083:1-27.
846	Chiappe LM, Shu-an J, Qiang J, Norell MA (1999) Anatomy and Systematics of the
847	Confuciusornithidae (Theropoda Aves) from the Late Mesozoic of Northeast. Bulletin of the
848	American Museum of Natural History 242:1–89.
849	Chiappe LM, Walker CA (2002) Skeletal morphology and systematics of the Cretaceous
850	Euenantiornithes (Ornithothoraces: Enantiornithes). In: Chiappe LM, Witmer LM (eds) Mesozoic
851	Birds: above the heads of dinosaurs. University of California Press, pp 240-267
852	Choiniere JN, Xu X, Clark JM, Forster CA, Guo Y, Han F (2010) A Basal Alvarezsauroid Theropod
853	from the Early Late Jurassic of Xinjiang, China. Science 327:571–574.
854	Clarke JA, Chiappe LM (2001) A new carinate bird from the Late Cretaceous of Patagonia
855	(Argentina). American Museum Novitates 1–24.

856	Clarke JA, Norell MA (2002) The morphology and phylogenetic position of Apsaravis ukhaana from
857	the Late Cretaceous of Mongolia. American Museum Novitates 1-46.
858	Csiki Z, Vremir M, Brusatte SL, Norell MA (2010) An aberrant island-dwelling theropod dinosaur
859	from the Late Cretaceous of Romania. Proceedings of the National Academy of Sciences
860	107:15357–15361.
861	Currie PJ, Paulina Carabajal A (2012) A new specimen of Austroraptor cabazai Novas, Pol, Canale,
862	Porfiri and Calvo, 2008 (Dinosauria, Theropoda, Unenlagiidae) from the latest Cretaceous
863	(Maastrichtian) of Río Negro, Argentina. Ameghiniana 49:662-667.
864	Currie PJ, Zhao X-J (1993) A new carnosaur (Dinosauria, Theropoda) from the Jurassic of Xinjiang,
865	People's Republic of China. Can J Earth Sci 30:2037–2081.
866	Currie PJ, Zhiming D (2001) New information on Cretaceous troodontids (Dinosauria, Theropoda)
867	from the People's Republic of China. Can J Earth Sci 38:1753-1766.
868	Dalla Vecchia FM (2009) Tethyshadros insularis, a new hadrosauroid dinosaur (Ornithischia) from the
869	Upper Cretaceous of Italy. Journal of Vertebrate Paleontology 29:1100-1116.
870	Dalsätt J, Zhou Z, Zhang F, Ericson PGP (2006) Food remains in Confuciusornis sanctus suggest a fish
871	diet. Naturwissenschaften 93:444-446.
872	Dyke GJ, Ősi A (2010) A review of Late Cretaceous fossil birds from Hungary. Geol J 45:434-444.
873	Elzanowski A (2001) A new genus and species for the largest specimen of Archaeopteryx. Acta
874	Palaeontologica Polonica 46:519–532.
875	Elzanowski A, Chiappe LM, Witmer LM (2002) Archaeopterygidae (Upper Jurassic of Germany). In:
876	Mesozoic Birds: Above the Heads of Dinosaurs. University of California Press, pp 129-159
877	Forster CA (1998) The Theropod Ancestry of Birds: New Evidence from the Late Cretaceous of
878	Madagascar. Science 279:1915–1919.
879	Forster CA, Chiappe LM, Krause DW, Sampson SD (2002) Vorona berivotrensis, a primitive bird
880	from the Late Cretaceous of Madagascar. In: Chiappe LM, Witmer LM (eds) Mesozoic Birds:
881	above the heads of dinosaurs. University of California Press, pp 268-280
882	Foth C, Tischlinger H, Rauhut OWM (2014) New specimen of Archaeopteryx provides insights into
883	the evolution of pennaceous feathers. Nature 511:79-82.
884	Fowler DW, Freedman EA, Scannella JB, Kambic RE (2011) The Predatory Ecology of Deinonychus
885	and the Origin of Flapping in Birds. PLoS ONE 6:e28964.
886	Gao C, Chiappe LM, Zhang F, Pomeroy DL, Shen C, Chinsamy A, Walsh MO (2012) A subadult

887	specimen of the Early Cretaceous bird Sapeornis chaoyangensis and a taxonomic reassessment of
888	sapeornithids. Journal of Vertebrate Paleontology 32:1103-1112.
889	Gianechini FA, Apesteguia S (2011) Unenlagiinae revisited: dromaeosaurid theropods from South
890	America. Anais da Academia Brasileira de Ciências 83:163–195.
891	Godefroit P, Cau A, Dong-Yu H, Escuillié F, Wenhao W, Dyke G (2013a) A Jurassic avialan dinosaur
892	from China resolves the early phylogenetic history of birds. Nature 498:359–362.
893	Godefroit P, Demuynck H, Dyke G, Hu D, Escuillié F, Claeys P (2013b) Reduced plumage and flight
894	ability of a new Jurassic paravian theropod from China. Nature Communications 4:1394.
895	Goloboff PA (1993) Estimating character weights during tree search. Cladistics 9:83–91.
896	Goloboff PA, Carpenter JM, Arias JS, Esquivel DRM (2008a) Weighting against homoplasy improves
897	phylogenetic analysis of morphological data sets. Cladistics 24:758-773.
898	Goloboff PA, Farris JS, Nixon KC (2008b) TNT, a free program for phylogenetic analysis. Cladistics
899	24:774–786.
900	Harris J (2004) Confusing dinosaurs with mammals: Tetrapod phylogenetics and anatomical
901	terminology in the world of homology. The Anatomical Record 281A:1240-1246.
902	Harrison CJO, Walker CA (1975) The Bradycnemidae, a new family of owls from the Upper
903	Cretaceous of Romania. Palaeontology 18:563-570.
904	Hu D, Li L, Hou L, Xu X (2011) A new enantiornithine bird from the Lower Cretaceous of western
905	Liaoning, China. Journal of Vertebrate Paleontology 31:154-161.
906	Hu D, Xu X, Hou L, Sullivan C (2012) A new enantiornithine bird from the Lower Cretaceous of
907	Western Liaoning, China, and its implications for early avian evolution. Journal of Vertebrate
908	Paleontology 32:639–645.
909	Hutchinson JR (2001) The evolution of pelvic osteology and soft tissues on the line to extant birds
910	(Neornithes). Zoological Journal of the Linnean Society 131:123-168.
911	Hwang SH, Norell MA, Qiang J, Keqin G (2002) New specimens of Microraptor zhaoianus
912	(Theropoda: Dromaeosauridae) from northeastern China. American Museum Novitates 1-44.
913	Ji Q, Ji S-A, Zhang H, You H, Zhang J, Wang L, Yuan C, Ji Z (2002) A new avialian bird -
914	Jixiangornis orientalis gen. et sp. nov from the Lower Cretaceous of Western Liaoning, NE
915	China. Journal of Nanjing University (Natural Science) 38:723-736.
916	Ji S-A, Atterholt J, O'connor JK, Lamanna MC, Harris JD, Li D-Q, You H-L, Dodson P (2011) A new,
917	three-dimensionally preserved enantiornithine bird (Aves: Ornithothoraces) from Gansu

918	Province, north-western China. Zoological Journal of the Linnean Society 162:201–219.
919	Kirkland JI, Zanno LE, Sampson SD, Clark JM, DeBlieux DD (2004) A primitive therizinosauroid
920	dinosaur from the Early Cretaceous of Utah. Nature 435:84-87.
921	Kobayashi Y, Barsbold R (2005) Reexamination of a primitive ornithomimosaur, Garudinimus
922	brevipes Barsbold, 1981 (Dinosauria: Theropoda), from the Late Cretaceous of Mongolia. Can J
923	Earth Sci 42:1501–1521.
924	Kurochkin EN, Dyke GJ, Karhu AA (2002) A new presbyornithid bird (Aves, Anseriformes) from the
925	Late Cretaceous of southern Mongolia. American Museum Novitates 1–11.
926	Kurochkin EN, Zelenkov NV, Averianov AO, Leshchinskiy SV (2010) A new taxon of birds (Aves)
927	from the Early Cretaceous of Western Siberia, Russia. Journal of Systematic Palaeontology
928	9:109–117.
929	Kurzanov SM (1981) On the unusual theropods from the Upper Cretaceous of Mongolia. Trudy
930	Sovmestnay Sovetsko-Mongolskay Paleontologiyeskay Ekspeditsiy (Joint Soviet-Mongolian
931	Paleontological Expedition) 39–49.
932	Lee MSY, Cau A, Naish D, Dyke GJ (2014) Sustained miniaturization and anatomical innovation in
933	the dinosaurian ancestors of birds. Science 345(6196): 562-566.
934	Li L, Ye D, Dongyu H, Li W, Shaoli C, Lianhai H (2006) New eoenantiornithid bird from the Early
935	Cretaceous Jiufotang Formation of Western Liaoning, China. Acta Geologica Sinica - English
936	Edition 80:38–41.
937	Lipkin C, Carpenter K (2008) Looking again at the forelimb of Tyrannosaurus rex. In: Larson PL,
938	Carpenter K (eds) Tyrannosaurus rex, the Tyrant King. Indiana University Press, pp 166-190
939	Longrich NR, Currie PJ (2009) Albertonykus borealis, a new alvarezsaur (Dinosauria: Theropoda)
940	from the Early Maastrichtian of Alberta, Canada: implications for the systematics and ecology of
941	the Alvarezsauridae. Cretaceous Research 30:239–252.
942	Madsen JH (1976) Allosaurus fragilis: a revised osteology. Utah Geological Survey Bulletin, Salt Lake
943	City
944	Makovicky PJ, Apesteguía S, Agnolín FL (2005) The earliest dromaeosaurid theropod from South
945	America. Nature 437:1007–1011.
946	Naish D (2012) Birds. In: Brett-Surman MK, Holtz TR, Farlow JO (eds) The Complete Dinosaur
947	(Second Edition). Indiana University Press, pp 379–423
948	Nesbitt SJ, Clarke JA, Turner AH, Norell MA (2011) A small alvarezsaurid from the eastern Gobi

949	Desert offers insight into evolutionary patterns in the Alvarezsauroidea. Journal of Vertebrate
950	Paleontology 31:144–153.
951	Norell M, Clark JM, Makovicky PJ (2001) Phylogenetic relationships among coelurosaurian dinosaurs
952	In: Ostrom JH, Gauthier J, Gall LF (eds) New perspectives on the origin and early evolution of
953	birds: proceedings of the International Symposium in honor of John H. Ostrom. Peabody
954	Museum of Natural History Yale University, pp 49-67
955	Norell MA, Makovicky PJ (1997) Important Features of the Dromaeosaurid Skeleton Information from
956	a new specimen. American Museum Novitates 3215:1-28.
957	Norell MA, Makovicky PJ (1999) Important Features of the Dromaeosaurid Skeleton II: Information
958	from Newly Collected Specimens of Velociraptor mongoliensis. American Museum Novitates
959	3282:1–45.
960	Novas FE (1997) Anatomy of Patagonykus puertai (Theropoda, Avialae, Alvarezsauridae), from the
961	Late Cretaceous of Patagonia. Journal of Vertebrate Paleontology 17:137-166.
962	Novas FE (2004) Avian traits in the ilium of <i>Unenlagia comahuensis</i> (Maniraptora, Avialae). In:
963	Currie PJ, Koppelhus EB, Shugar MA, Wright JL (eds) Feathered Dragons: Studies on the
964	Transition from Dinosaurs to Birds. Indiana University Press, pp 137-166
965	Novas FE, Puerta PF (1997) New evidence concerning avian origins from the Late Cretaceous of
966	Patagonia. Nature 387:390–392.
967	O'Connor J, Chiappe LM, Bell AK (2011) Pre-modern birds: avian divergences in the Mesozoic. In:
968	Dyke DG, Kaiser G (eds) Living Dinosaurs: The Evolutionary History of Modern Birds. John
969	Wiley & Sons, pp 39–114
970	O'Connor J, Zhang Y, Chiappe LM, Meng Q, Quanguo L, Di L (2013) A new enantiornithine from the
971	Yixian Formation with the first recognized avian enamel specialization. Journal of Vertebrate
972	Paleontology 33:1–12.
973	O'Connor JK, Averianov AO, Zelenkov NV (2014) A confuciusornithiform (Aves, Pygostylia)-like
974	tarsometatarsus from the Early Cretaceous of Siberia and a discussion of the evolution of avian
975	hind limb musculature. Journal of Vertebrate Paleontology 34:647-656.
976	Osmólska H (1981) Coossified tarsometatarsi in theropod dinosaurs and their bearing on the problem
977	of bird origins. Palaeontologia Polonica 42:79–95.
978	Osmólska H (1987) Borogovia gracilicrus gen. et sp. n., a new troodontid dinosaur from the Late
979	Cretaceous of Mongolia. Acta Palaeontologica Polonica 32:133–150.

980	Osmólska H, Roniewicz E (1970) Deinocheiridae, a new family of theropod dinosaurs. Palaeontologia
981	Polonica 21:5–19.
982	Osmólska H, Roniewicz E, Barsbold R (1972) A new dinosaur, Gallimimus bullatus n. gen., n. sp.
983	(Ornithomimidae) from the Upper Cretaceous of Mongolia. Palaeontologia Polonica 27:104-143.
984	Ostrom JH (1976) Archaeopteryx and the origin of birds. Biological Journal of the Linnean Society
985	8:91–1982.
986	Ostrom JH (1969) Osteology of <i>Deinonychus antirrhopus</i> , an Unusual Theropod from the Lower
987	Cretaceous of Montana. Peabody Museul Bulletin 30:1-165.
988	Paul GS (2002) Dinosaurs of the air: the evolution and loss of flight in dinosaurs and birds. Johns
989	Hopkins University Press, Baltimore
990	Paul GS (1988) Predatory dinosaurs of the world: a complete illustrated guide. Simon & Schuster, New
991	York
992	Pei R, Li Q, Meng Q, Gao K-Q, Norell MA (2014) A new specimen of Microraptor (Theropoda:
993	Dromaeosauridae) from the Lower Cretaceous of western Liaoning, China. American Museum
994	Novitates 3821:1–28.
995	Perle A (1979) Segnosauridae - a new family of Theropoda from the Lower Cretaceous of Mongolia.
996	Trudy, Sovmestnaâ Sovetsko - Mongol'skaâ paleontologičeskaâ èkspediciâ 8:45-55.
997	Perle A, Chiappe LM, Barsbold R, Clark JM, Norell MA (1994) Skeletal Morphology of Mononykus
998	olecranus (Theropoda Avialae) from the Late Cretaceous of Mongolia. American Museum
999	Novitates 3105:1–29.
1000	Perle A, Norell MA, Chiappe LM, Clark JM (1993) Flightless bird from the Cretaceous of Mongolia.
1001	Nature 362:623–626.
1002	Perle A, Norell MA, Clark JM (1999) A new maniraptoran theropod, Achillobator giganticus
1003	(Dromaeosauridae), from the Upper Cretaceous of Burkhant, Mongolia. Contributions from the
1004	Geology and Mineralogy Chair, National University of Mongolia 1-105.
1005	Pu H, Chang H, Lü J, Wu Y, Xu L, Zhang J, Jia S (2013) A New Juvenile Specimen of Sapeornis
1006	(Pygostylia: Aves) from the Lower Cretaceous of Northeast China and Allometric Scaling of this
1007	Basal Bird. Paleontological Research 17:27–38.
1008	Sadleir R, Barrett PM, Powell HP (2008) The anatomy and systematics of Eustreptospondylus
1009	oxoniensis, a theropod dinosaur from the Middle Jurassic of Oxfordshire, England. Monograph
1010	of the Palaeontographical Society, London

1011	Sanz JL, Chiappe LM, Buscalioni AD (1995) The osteology of Concornis lacustris (Aves,
1012	Enantiornithes) from the Lower Cretaceous of Spain and a reexamination of its phylogenetic
1013	relationships. American Museum Novitates 3133:
1014	Senter P (2007a) A method for distinguishing dromaeosaurid manual unguals from pedal "sickle
1015	claws". Bulletin of Gunma Museum of Natural History 11:1-6.
1016	Senter P (2007b) A new look at the phylogeny of coelurosauria (Dinosauria: Theropoda). Journal of
1017	Systematic Palaeontology 5:429–463.
1018	Senter P, Kirkland JI, DeBlieux DD, Madsen S, Toth N (2012) New Dromaeosaurids (Dinosauria:
1019	Theropoda) from the Lower Cretaceous of Utah, and the Evolution of the Dromaeosaurid Tail.
1020	PLoS ONE 7:e36790.
1021	Senter P, Robins JH (2005) Range of motion in the forelimb of the theropod dinosaur
1022	Acrocanthosaurus atokensis, and implications for predatory behaviour. Journal of Zoology
1023	266:307–318.
1024	Sereno PC (2007) Logical basis for morphological characters in phylogenetics. Cladistics 23:565–587
1025	Sereno PC, Chenggang R, Jianjun L (2002) Sinornis santensis (Aves: Enantiornithes) from the Early
1026	Cretaceous of northeastern China. In: Chiappe LM, Witmer LM (eds) Mesozoic Birds: above the
1027	heads of dinosaurs. University of California Press, pp 184-208
1028	Sondaar PY (1977) Insularity and its effect on mammal evolution. In: Hecht MK, Goody PC, Hecht
1029	BM (eds) Major Patterns in Vertebrate Evolution. Springer US, pp 671-707
1030	Templeton AR (1983) Phylogenetic inference from restriction endonuclease cleavage site maps with
1031	particular reference to the evolution of humans and the apes. Evolution 37:221.
1032	Turner AH, Makovicky PJ, Norell MA (2012) A Review of Dromaeosaurid Systematics and Paravian
1033	Phylogeny. Bulletin of the American Museum of Natural History 371:1-206.
1034	Turner AH, Pol D, Clarke JA, Erickson GM, Norell MA (2007) A Basal Dromaeosaurid and Size
1035	Evolution Preceding Avian Flight. Science 317:1378–1381.
1036	Turner AH, Pol D, Norell MA (2011) Anatomy of Mahakala omnogovae (Theropoda:
1037	Dromaeosauridae), Tögrögiin Shiree, Mongolia. American Museum Novitates 1-66.
1038	Tykoski RS, Rowe TB (2004) Ceratosauria. In: Weishampel DB, Dodson P, Osmólska H (eds) The
1039	Dinosauria: Second Edition. University of California Press, pp 47–70
1040	van der Geer A, Lyras G, de Vos J, Dermitzakis M (2011) Evolution of island mammals: adaptation
1041	and extinction of placental mammals on islands. John Wiley & Sons, Chichester, UK

1042	Varricchio DJ, Chiappe LM (1995) A new enantiornithine bird from the Upper Cretaceous Two
1043	Medicine Formation of Montana. Journal of Vertebrate Paleontology 15:201-204.
1044	Vickers-Rich P, Chiappe LM, Kurzanov SM (2002) The enigmatic birdlike dinosaur Avimimus
1045	portentosus. In: Chiappe LM, Witmer LM (eds) Mesozoic Birds: above the heads of dinosaurs.
1046	University of California Press, pp 65–86
1047	Wagner GP, Gauthier J (1999) 1,2,3 = 2,3,4: A solution to the problem of the homology of the digits in
1048	the avian hand. Proceedings of the National Academy of Science USA. 96:5111-5116.
1049	Walker C, Dyke G (2009) Euenantiornithine birds from the Late Cretaceous of El Brete (Argentina).
1050	Irish Journal of Earth Sciences 27:15–62.
1051	Wang M, O'Connor JK, Zhou Z (2014a) A new robust enantiornithine bird from the Lower Cretaceous
1052	of China with scansorial adaptations. Journal of Vertebrate Paleontology 34:657-671.
1053	Wang M, Zhou Z-H, O'Connor JK, Zelenkov NV (2014b) A new diverse enantiornithine family
1054	(Bohaiornithidae fam. nov.) from the Lower Cretaceous of China with information from two new
1055	species. Vertebrata Palasiatica 52:31–76.
1056	Welles SP (1984) Dilophosaurus wetherilli (Dinosauria, Theropoda). Osteology and comparisons.
1057	Palaeontographica Abteilung A A185:85–180.
1058	White MA, Falkingham PL, Cook AG, Hocknull SA, Elliott DA (2013) Morphological comparisons of
1059	metacarpal I for Australovenator wintonensis and Rapator ornitholestoides: implications for their
1060	taxonomic relationships. Alcheringa: An Australasian Journal of Palaeontology 37:435-441.
1061	Xu X, Choiniere JN, Pittman M, Tan Q, Xiao D, Li Z, Tan L, Clark JM, Norell MA, Hone DWE,
1062	Sullivan C (2010) A new dromaeosaurid (Dinosauria: Theropoda) from the Upper Cretaceous
1063	Wulansuhai Formation of Inner Mongolia, China. Zootaxa 2403:1-9.
1064	Xu X, Han F, Zhao Q (2014) Homologies and homeotic transformation of the theropod "semilunate"
1065	carpal. Scientific Reports 4:6042 doi: 10.1038/srep06042
1066	Xu X, Wang X-L (2003) A new dromaeosaur (Dinosauria:Theropoda) from the Early Cretaceous
1067	Yixian Formation of Western Liaoning. Vertebrata Pal Asiatica 42:111–119.
1068	Xu X, Wang X-L, Wu X-C (1999) A dromaeosaurid dinosaur with a filamentous integument from the
1069	Yixian Formation of China. Nature 401:262–266.
1070	Zanno LE (2010) A taxonomic and phylogenetic re-evaluation of Therizinosauria (Dinosauria:

Maniraptora). Journal of Systematic Palaeontology 8:503-543.

Zanno LE, Makovicky PJ (2011) Herbivorous ecomorphology and specialization patterns in theropod

1071

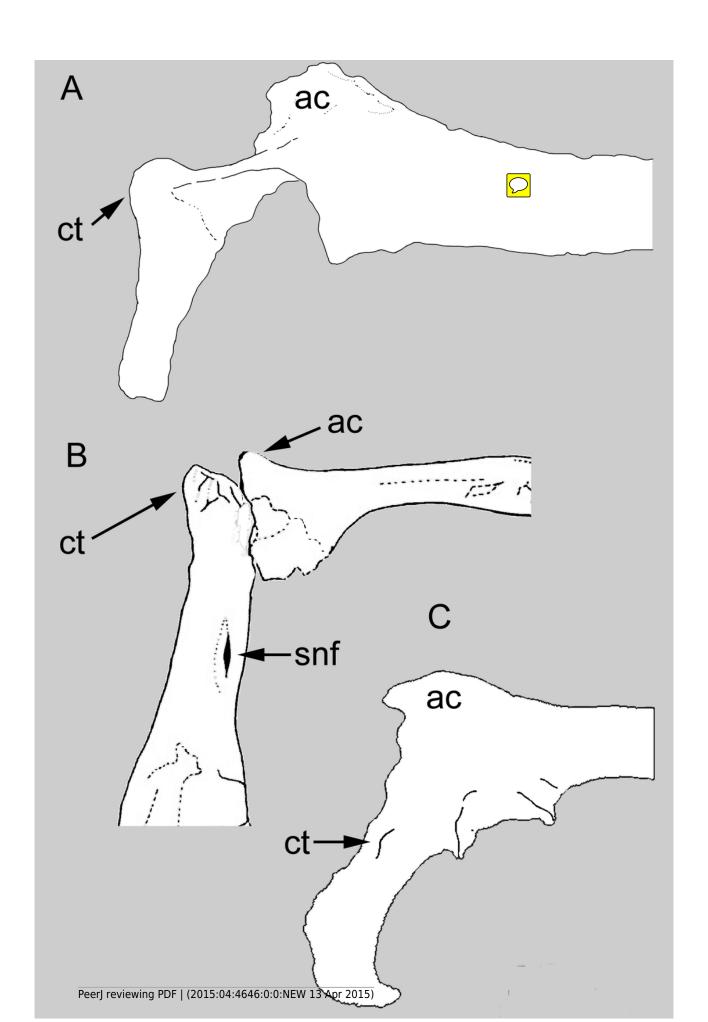
1072

1073	dinosaur evolution. PNAS 108:232–237.
1074	Zanno LE, Varricchio DJ, O'Connor PM, Titus AL, Knell MJ (2011) A New Troodontid Theropod,
1075	Talos sampsoni gen. et sp. nov., from the Upper Cretaceous Western Interior Basin of North
1076	America. PLoS ONE 6:e24487.
1077	Zhang Z, Chiappe LM, Han G, Chinsamy A (2013) A large bird from the Early Cretaceous of China:
1078	new information on the skull of enantiornithines. Journal of Vertebrate Paleontology 33:1176-
1079	1189.
1080	Zhang Z, Gao C, Meng Q, Liu J, Hou L, Zheng G (2009) Diversification in an Early Cretaceous avian
1081	genus: evidence from a new species of Confuciusornis from China. J Ornithol 150:783-790.
1082	Zheng X, O'Connor JK, Huchzermeyer F, Wang X, Wang Y, Zhang X, Zhou Z (2014) New
1083	Specimens of Yanornis Indicate a Piscivorous Diet and Modern Alimentary Canal. PLoS ONE
1084	9:e95036.
1085	Zhou S, Zhou Z, O'Connor J (2014) A new piscivorous ornithuromorph from the Jehol Biota.
1086	Historical Biology 26:608–618.
1087	Zhou Z-H, Zhang F (2002) A long-tailed, seed-eating bird from the Early Cretaceous of China. Nature
1088	418:405–409.
1089	Zhou Z, Clarke J, Zhang F (2008) Insight into diversity, body size and morphological evolution from
1090	the largest Early Cretaceous enantiornithine bird. Journal of Anatomy 212:565-577.
1091	Zhou Z, Li FZZ (2010) A new Lower Cretaceous bird from China and tooth reduction in early avian
1092	evolution. Proceedings of the Royal Society of London B: Biological Sciences 277:219-227.
1093	Zhou Z, Zhang F (2003) Anatomy of the primitive bird Sapeornis chaoyangensis from the Early
1094	Cretaceous of Liaoning, China. Canadian Journal of Earth Sciences 40:731–747.

1

Comparison between the scapulocoracoid of *Balaur* and other paravians.

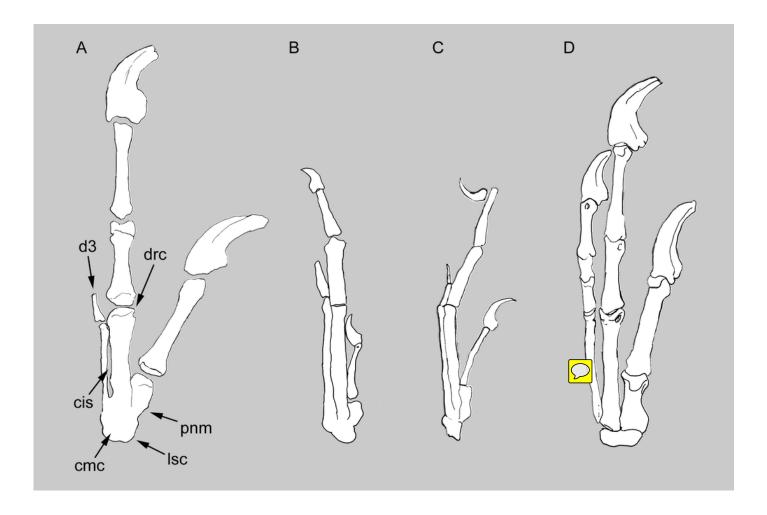
Comparison of the scapulocoracoid of (a) *Balaur* (lateral view) to that of (b) the pygostylian *Enantiophoenix* (medial view); and (c) the dromaeosaurid *Velociraptor* (lateral view); (a) after Csiki et al. (2010, fig. 1); (b) modified after Cau and Arduini (2003, fig. 2); (c) after Norell and Makovicky (1999, fig. 4). All scapulocoracoids are drawn with the proximal half of the scapular blade oriented horizontally. Abbreviations: ac, acromion; ct, coracoid tubercle; snf, supracoracoid nerve foramen.



2

Comparison between the manus of *Balaur* and other paravians.

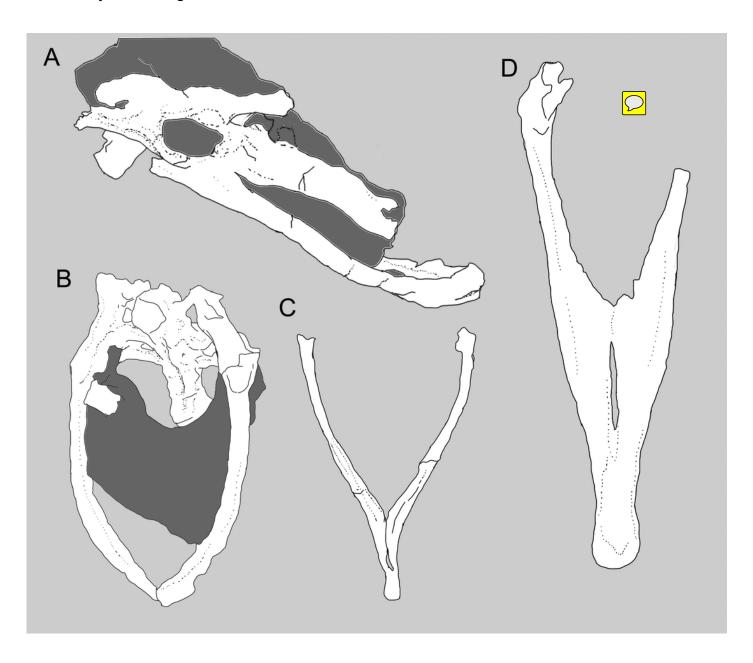
Comparison of the manus of (a) *Balaur* to those of (b) the enantiornithine *Zhouornis*; (c) the pygostylian *Sapeornis*; and (d) the dromaeosaurid *Deinonychus*, showing bird-like features of Balaur. (a) after Csiki et al. (2010, fig. 1, mirrored from original); (b) after Zhang et al. (2013, fig. 7); (c) after Zhou and Zhang (2003, fig. 7); (d) after Wagner and Gauthier (1999, fig. 2). All drawn at the same metacarpal II length. Abbreviations: cis, closed intermetacarpal space; cmc, carpometacarpus; d3, reduced third digit; drc, distally restricted condyles; lsc, laterally shifted semilunate carpal; pnm, proximally narrow metacarpal I.



3

Comparison between the pelvis of *Balaur* and other paravians.

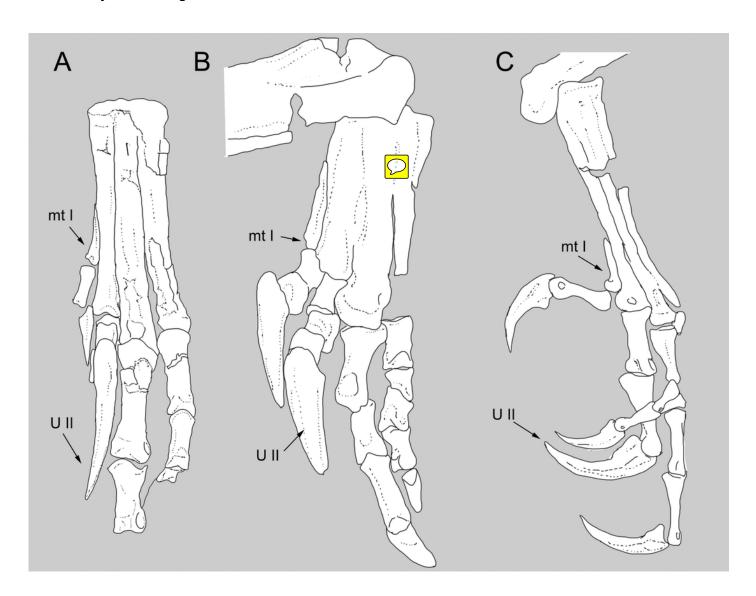
Pelvis of *Balaur* in lateral view (a). Comparison of the pubes of *Balaur* in anteroventral view (b) to those of the pygostylian *Sapeornis* in anterior view (c), and the dromaeosaurid *Velociraptor* in posterior view (d). (c) after Zhou and Zhang (2003, fig. 8); (d) after Norell and Makovicky (1999, fig. 19).



4

Comparison between the metatarsus of *Balaur* and other paravians.

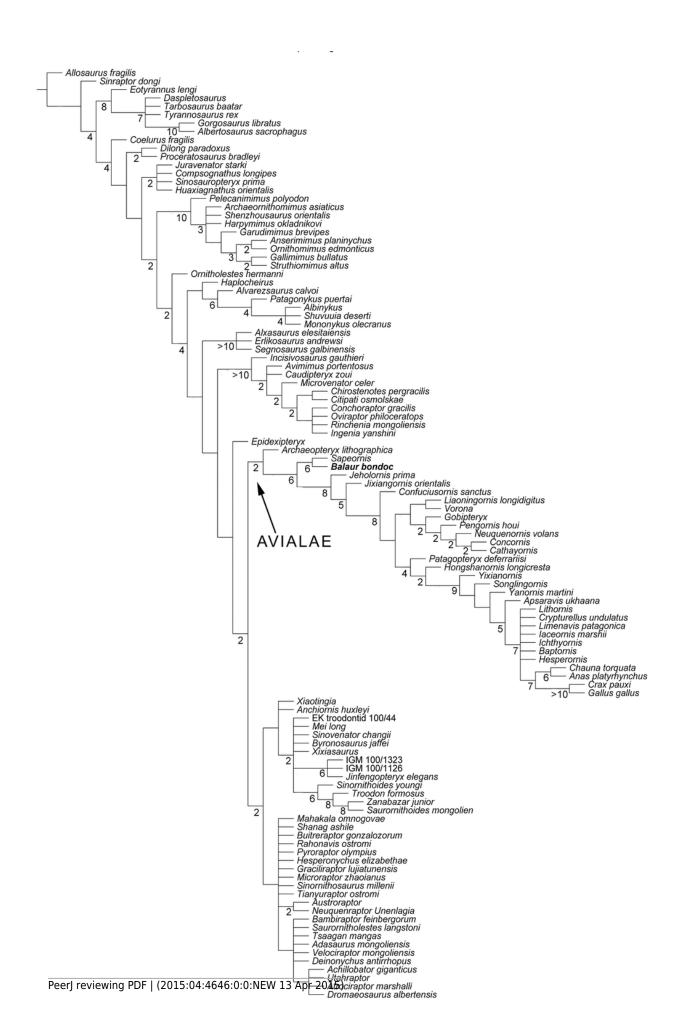
Pelvis of *Balaur* in lateral view (a). Comparison of the pubes of *Balaur* in anteroventral view (b) to those of the pupostylian *Sapeornis* in anterior view (c), and the dromaeosaurid *Velociraptor* in posterior view (d). (c) after Zhou and Zhang (2003, fig. 8); (d) after Norell and Makovicky (1999, fig. 19).



5

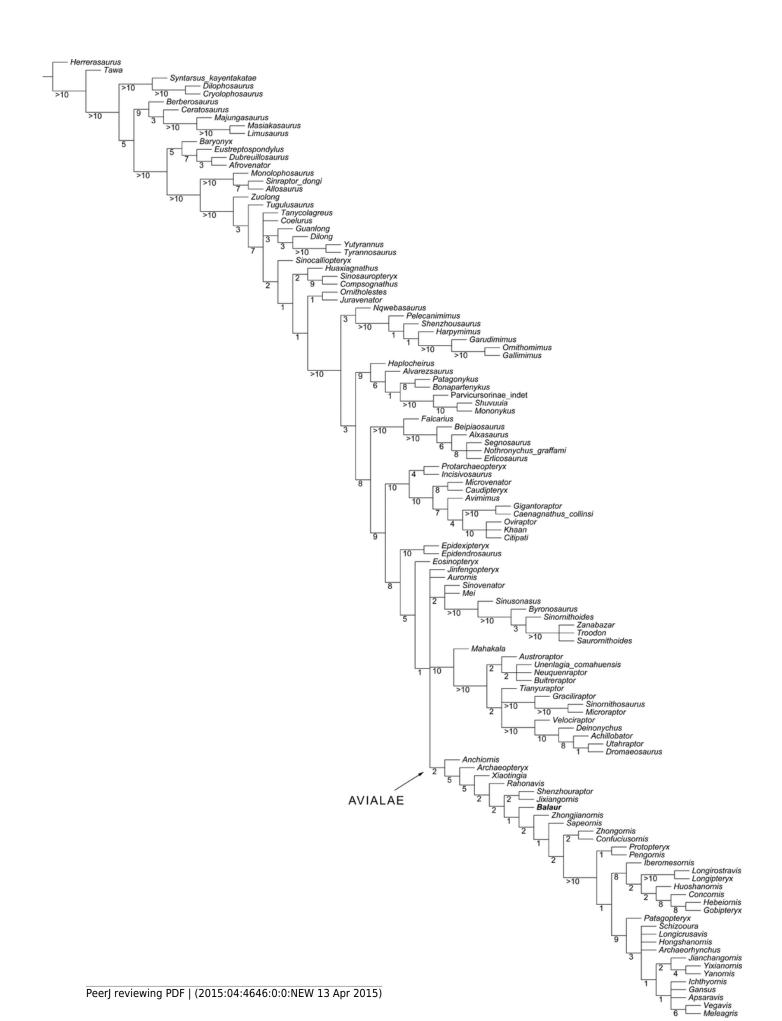
Updated dataset of Turner et al. (2012)

Reduced strict consensus of the shortest trees from the analysis of the modified Turner et al. (2012) matrix after pruning the 'wildcard' taxa *Epidendrosaurus* and *Pedopenna*. Numbers adjacent to nodes indicate Decay Index values >1.



6

Updated dataset of Lee et al. (2014)



7

Skeletal reconstruction of Balaur.

Speculative skeletal reconstruction for *Balaur bondoc*, showing known elements in white and unknown elements in grey. Note that the integument would presumably have substantially altered the outline of the animal in life. Produced by Jaime Headden, used with permission.

