

# Identification of a six-gene metabolic signature predicting overall survival for patients with lung adenocarcinoma

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**Background:** Lung cancer is the leading cause of cancer-related deaths worldwide. Lung adenocarcinoma (LUAD) is one of the main subtypes of lung cancer. Hundreds of metabolic genes are altered consistently in LUAD; however, their prognostic role remains to be explored. This study aimed to establish a molecular signature that can predict the prognosis in patients with LUAD based on metabolic gene expression. **Methods:** The transcriptome expression profiles and corresponding clinical information of LUAD were obtained from the Cancer Genome Atlas and Gene Expression Omnibus databases. The differentially expressed genes (DEGs) between LUAD and paired non-tumor samples were identified by the Wilcoxon rank sum test. Univariate Cox regression analysis and the lasso Cox regression model were used to construct the best-prognosis molecular signature. A nomogram was established comprising the prognostic model for predicting overall survival. To validate the prognostic ability of the molecular signature and the nomogram, the Kaplan-Meier survival analysis, Cox proportional hazards model, and receiver operating characteristic analysis were used. **Results:** The six-gene molecular signature (*PFKFB*, *PKM*, *TPI1*, *LDHA*, *PTGES*, and *TYMS*) from the DEGs was constructed to predict the prognosis. The molecular signature demonstrated a robust independent prognostic ability in the training and validation sets. The nomogram including the prognostic model had a greater predictive accuracy than previous systems. Furthermore, a gene set enrichment analysis revealed several significantly enriched metabolic pathways, which suggests a correlation of the molecular signature with metabolic systems and may help explain the underlying mechanisms. **Conclusions:** Our study identified a novel six-gene metabolic signature for LUAD prognosis prediction. The molecular signature could reflect the dysregulated metabolic microenvironment, provide potential biomarkers for predicting prognosis, and indicate potential novel metabolic molecular-targeted therapies.

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# Identification of a six-gene metabolic signature predicting overall survival for patients with lung adenocarcinoma

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## 37 **Abstract**

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39 adenocarcinoma (LUAD) is one of the main subtypes of lung cancer. Hundreds of metabolic  
40 genes are altered consistently in LUAD; however, their prognostic role remains to be explored.  
41 This study aimed to establish a molecular signature that can predict the prognosis in patients with  
42 LUAD based on metabolic gene expression.

43 **Methods:** The transcriptome expression profiles and corresponding clinical information of  
44 LUAD were obtained from the Cancer Genome Atlas and Gene Expression Omnibus databases.  
45 The differentially expressed genes (DEGs) between LUAD and paired non-tumor samples were  
46 identified by the Wilcoxon rank sum test. Univariate Cox regression analysis and the lasso Cox  
47 regression model were used to construct the best-prognosis molecular signature. A nomogram  
48 was established comprising the prognostic model for predicting overall survival. To validate the  
49 prognostic ability of the molecular signature and the nomogram, the Kaplan-Meier survival  
50 analysis, Cox proportional hazards model, and receiver operating characteristic analysis were  
51 used.

52 **Results:** The six-gene molecular signature (*PFKP, PKM, TP11, LDHA, PTGES, and TYMS*)  
53 from the DEGs was constructed to predict the prognosis. The molecular signature demonstrated a  
54 robust independent prognostic ability in the training and validation sets. The nomogram  
55 including the prognostic model had a greater predictive accuracy than previous systems.  
56 Furthermore, a gene set enrichment analysis revealed several significantly enriched metabolic  
57 pathways, which suggests a correlation of the molecular signature with metabolic systems and  
58 may help explain the underlying mechanisms.

59 **Conclusions:** Our study identified a novel six-gene metabolic signature for LUAD prognosis  
60 prediction. The molecular signature could reflect the dysregulated metabolic microenvironment,  
61 provide potential biomarkers for predicting prognosis, and indicate potential novel metabolic  
62 molecular-targeted therapies.

63

## 64 **Introduction**

65 Lung cancer is the leading cause of cancer-related deaths worldwide, accounting for nearly 20%  
66 of all cancer deaths (*Bray et al., 2018*). Lung adenocarcinoma (LUAD) is one of the main  
67 subtypes of lung cancer (*Travis, 2020*), accounting for more than 40% of lung cancer cases  
68 (*Hutchinson et al., 2019*), and its relative frequency is increasing (*Twardella et al., 2018*).  
69 Despite great improvements in the treatment of LUAD, the prognosis in patients with LUAD  
70 remains poor owing to the lack of early detection and effective individual therapies (*Dolly et al.,*  
71 *2017*). Therefore, exploring prognostic biomarkers is a critical need to help predict prognosis in  
72 LUAD and to design individual therapies. Until now, most prognostic models were based on  
73 clinical characteristics (e.g., age, sex, TNM stage, vascular tumor invasion, and organization  
74 classification) or a single molecular biomarker, such as carcinoembryonic antigen and epidermal  
75 growth factor receptor. However, these prognostic models have limited power for predicting  
76 prognosis because of the complicated molecular mechanisms of LUAD development and

77 progression. Therefore, it is important to explore the mechanism of LUAD pathology in more  
78 depth using bioinformatics to construct prognostic models that predict the patient' prognosis  
79 more accurately.

80 Metabolic reprogramming is one of the hallmarks of cancer (*Faubert et al., 2020*), which takes  
81 place from the onset and throughout the development of cancer (*Chang, Fang & Gu, 2020*). It  
82 plays an important role in the progression, metastasis, depressed immunity, and therapy  
83 resistance of cancer (*Lane et al., 2019*). Metabolic reprogramming has been widely accepted as  
84 the basis for the discovery of novel tumor biomarkers. *Satriano et al. (2019)* observed that  
85 metabolic rearrangement played an important role in predicting the prognosis in patients with  
86 primary liver cancers. *Chen et al. (2019)* revealed that reprogrammed tumor glucose metabolism  
87 could promote cancer stemness and result in poor prognosis in breast cancer patients. There are  
88 hundreds of metabolic genes that consistently have an altered expression in LUAD  
89 (*Asavasupreechar et al., 2019; Vanhove et al., 2019*); however, their roles and mechanisms of  
90 action remain unclear. This study investigated the role of abnormal metabolism in predicting the  
91 prognosis in patients with LUAD.

92 With the development of genome sequencing and bioinformatics, new data have emerged.  
93 Prognosis-related gene signatures that were constructed using these new tools have made great  
94 contributions to tumor prognosis prediction. This study aimed to use bioinformatic methods to  
95 establish a prognostic metabolic-gene molecular model that can predict prognosis in patients  
96 with LUAD. This model could potentially guide personalized therapy for such patients.

97

## 98 **Materials & Methods**

### 99 **Data expression datasets**

100 The transcriptome expression profiles and corresponding clinical information for LUAD were  
101 downloaded from the Cancer Genome Atlas (TCGA; <http://portal.gdc.cancer.gov/>) and Gene  
102 Expression Omnibus (GEO; <http://www.ncbi.nlm.nih.gov/geo/>) databases. From the TCGA,  
103 gene expression data were of the HTSeq-FPKM type, obtained from 497 LUAD and 54 non-  
104 tumor samples. From the GEO, the GSE68465 dataset included 443 LUAD and 19 non-tumor  
105 samples, using the GPL96 platform (Affymetrix Human Genome U133A Array). The metabolic  
106 genes in the Kyoto Encyclopedia of Genes and Genomes (KEGG) pathway were extracted from  
107 Gene Set Enrichment Analysis (GSEA) (<http://software.broadinstitute.org/gsea/index.jsp/>), and  
108 the overlapping metabolism-related genes were identified from TCGA and GSE68465  
109 (*Possemato et al., 2011; Zhu et al., 2020*).

110

### 111 **Construction and validation of the prognostic metabolic gene signature**

112 The clinical cases from the TCGA database were used to assess the prognostic associations of  
113 the metabolic genes with clinical outcomes. The differentially expressed genes (DEGs) between  
114 LUAD and paired non-tumor samples were obtained by the Wilcoxon rank sum test using the R  
115 package called "limma", and the adjusted *P*-value < 0.05 and absolute log<sub>2</sub> fold change (FC) >1  
116 were considered as the selection criterion. Univariate Cox regression analysis was used to

117 identify prognosis-related metabolic genes, and adjusted  $P$ -values  $< 0.001$  were considered  
118 statistically significant. The lasso penalty for Cox proportional hazards model (1,000 iterations)  
119 was used to construct the prognostic gene-expression signature utilizing an R package called  
120 “glmnet.” The prognostic gene-expression signature was designed using a risk scoring method  
121 with the following formula:

$$122 \quad \text{Risk score} = \sum_i^n (x_i * \beta_i)$$

123 where  $x_i$  indicates the expression of gene  $i$  and  $\beta_i$  indicates the coefficient of gene  $i$  generated  
124 from the Cox multivariate regression.

125 The R package “survminer” was used to explore the cutoff point of the risk score, which divided  
126 patients into high- and low-risk groups. The R package “survival” was used to draw the Kaplan-  
127 Meier survival curves to demonstrate the overall survival (OS) in the high- and low-risk groups.  
128 The R package “survival ROC” was used to evaluate the prognostic value of the gene-expression  
129 signature.

130

### 131 **Independence of the prognostic gene signature from other clinical characteristics**

132 To determine whether the predictive power of the prognostic gene-expression signature could be  
133 independent from other clinicopathological variables in patients with LUAD (including age, sex,  
134 TNM stage, T stage, N stage, and M stage), univariate and multivariate Cox regression analyses  
135 were performed. The hazard ratio (HR), 95% confidence intervals (Cis), and  $P$ -values were  
136 calculated.

137

### 138 **Construction and validation of a predictive nomogram**

139 The nomogram was constructed using all the independent prognostic factors of the Cox  
140 regression analyses using R package “rms.” Validation of the nomogram was assessed by  
141 discrimination and calibration using the concordance index (C-index) by Harrell et al. (1996)  
142 (bootstraps with 1,000 resamples) and the calibration plot, respectively.

143

### 144 **External validation of the prognostic metabolic gene signature**

145 To verify the prognostic metabolic-gene molecular signature in the GEO dataset, the risk score  
146 of patients was calculated directly with the gene-expression signature constructed from the  
147 TCGA dataset for further analysis. The receiver operating characteristic (ROC) and Kaplan-  
148 Meier analyses were performed identically with the gene signature in the TCGA dataset. The  
149 mRNA expression levels of the signature genes were analyzed further using online databases  
150 (the Oncomine database [<http://www.oncomine.org/>] and TIMER database  
151 [<http://cistrome.shinyapps.io/timer/>]). The protein expression levels associated with the signature  
152 genes were validated using the Human Protein Atlas database (<http://www.proteinatlas.org/>). The  
153 known genetic alterations of the signature genes were investigated using cBioPortal for Cancer  
154 Genomics (<http://www.cbioportal.org/>).

155

## 156 Gene Set Enrichment Analysis

157 Enrichment analysis of the KEGG pathways of the signature genes was performed using GSEA  
158 on the TCGA dataset. The nominal (NOM)  $P$ -value  $< 0.05$  and the False Discovery Rate (FDR)  
159  $q$ -value  $< 0.25$  indicated statistical significance.

160

## 161 Statistical analysis

162 All analyses were performed using R software v3.6.3 (R Foundation for Statistical Computing,  
163 Vienna, Austria). Two-tailed  $P$ -values  $< 0.05$  were considered statistically significant.

164

## 165 Results

### 166 Clinical characteristics

167 The TCGA dataset included 486 patients with LUAD (**Table S1**). The GEO dataset included 443  
168 patients with LUAD (**Table S1**). Patients with a survival time of less than 30 days were omitted.  
169 For the study, 454 and 439 patients remained in the TCGA and GEO datasets, respectively. The  
170 detailed clinical characteristics of all patients are listed in **Table 1**.

171

### 172 Building and validation of the prognostic metabolic gene signature

173 To clarify our study design, a flow chart of the analysis procedure is presented in **Fig. 1**. A list of  
174 994 genes in the KEGG pathway was identified from GSEA (**Table S2**), and 633 overlapping  
175 metabolism-related genes were abstracted from TCGA and GSE68465 (**Table S3**). The 96 DEGs  
176 (72 up-regulated genes and 24 down-regulated genes) between LUAD and paired non-tumor samples  
177 were identified from the further analysis (**Fig. 2; Table S4**). Seven significant genes associated  
178 with OS were identified using univariate analysis (**Table S4**). Furthermore, six genes were  
179 selected to build the prognostic model using a lasso-penalized Cox analysis (**Table 2**). The six  
180 genes were phosphofructokinase platelet (*PFKP*), pyruvate kinase muscle (*PKM*),  
181 triosephosphate isomerase 1 (*TPII*), lactate dehydrogenase A (*LDHA*), prostaglandin E synthase  
182 (*PTGES*), and thymidylate synthase (*TYMS*). Risk score =  $(0.00005 \times PFKP \text{ mRNA level}) +$   
183  $(0.00173 \times PKM \text{ mRNA level}) + (0.00038 \times TPII \text{ mRNA level}) + (0.00379 \times LDHA \text{ mRNA}$   
184  $\text{level}) + (0.00292 \times PTGES \text{ mRNA level}) + (0.02490 \times TYMS \text{ mRNA level})$ .

185 The 445 patients with LUAD were divided into the high-risk or low-risk group based on the  
186 median risk score of 0.861 in the TCGA dataset. Patients in the high-risk group had significantly  
187 poorer OS than those in the low-risk group ( $P < 0.001$ ; **Fig. 3A**). The distribution of the risk  
188 score and survival status of the patients is presented in **Fig. 3C**, which showed a higher mortality  
189 in the high-risk group than in the low-risk group. The expression of the six prognostic genes is  
190 shown in the heatmap. All the six genes had a significant positive correlation with the high-risk  
191 group (**Fig. 3E**). The area under the curve (AUC) of the time-dependent ROC curve was used to  
192 identify the prognostic ability of the six-gene molecular signature. The AUCs of the six-gene  
193 signature model were 0.693, 0.655, and 0.565 for the 1-, 3-, and 5-year OS, respectively,  
194 suggesting that the prediction model had a good performance in predicting the OS in patients  
195 with LUAD (**Fig. 3G**).

196 The prognostic model was validated in the GSE68465 dataset. The 439 patients with LUAD  
197 were divided into the high-risk or low-risk group based on the median risk score of 0.861.  
198 Patients in the high-risk group had a poor OS compared with those in the low-risk group ( $P <$   
199  $0.001$ ; **Fig. 3B**). The distribution of the risk score and survival status showed a higher mortality  
200 in the high-risk group than in the low-risk group (**Fig. 3D**). The expression heatmap of the six  
201 prognostic genes showed that all the six genes had a significant positive correlation with the  
202 high-risk group (**Fig. 3F**). The AUCs of the six-gene signature model were 0.728, 0.654, and  
203 0.618 for the 1-, 3-, and 5-year OS, respectively (**Fig. 3H**). Taken together, these results  
204 suggested that the prognostic model had a high sensitivity and specificity in predicting the OS in  
205 patients with LUAD.

206

### 207 **The prognostic gene signature was independent from other clinicopathological factors**

208 Univariate and multivariate Cox regression analyses were conducted to assess the independent  
209 predictive value of the six-gene prognostic signature. In the TCGA dataset, univariate Cox  
210 regression analysis demonstrated that the prognostic model (HR: 2.845,  $P < 0.001$ ), TNM stage  
211 (HR: 1.666,  $P < 0.001$ ), T stage (HR: 1.605,  $P < 0.001$ ), and N stage (HR: 1.806,  $P < 0.001$ ) had  
212 a prognostic value for OS (**Fig. 4A**). Multivariate Cox regression analysis demonstrated that the  
213 only prognostic model (HR: 2.448,  $P < 0.001$ ) and TNM stage (HR: 1.950,  $P < 0.01$ ) were  
214 independent prognostic factors for OS (**Fig. 4A**). In the GSE68465 dataset, the prognostic model,  
215 T stage, N stage, and age had a prognostic value in the univariate and multivariate Cox  
216 regression analyses (**Fig. 4B**). Gender was the only independent prognostic factor for OS in the  
217 univariate Cox regression analysis (**Fig. 4B**).

218 In addition, the time-dependent ROC curve was used to identify the predictive ability of the  
219 prognostic model compared with the other clinicopathological characteristics. In the TCGA  
220 dataset, the AUCs of the prognostic model were 0.693, 0.655, and 0.565 for the 1-, 3-, and 5-year  
221 OS, respectively, which were higher than most of the other clinicopathological characteristics  
222 including age (0.498, 0.511, 0.485), gender (0.579, 0.485, 0.451), T stage (0.673, 0.613, 0.608),  
223 N stage (0.685, 0.666, 0.628), and M stage (0.508, 0.527, 0.530) (**Fig. 5A**). Furthermore, in the  
224 GSE68465 dataset, the AUCs of the prognostic model were 0.728, 0.654, and 0.618 for the 1-, 3-  
225 , and 5-year OS, respectively, which were higher than most of the other clinicopathological  
226 characteristics including age (0.593, 0.568, 0.581), gender (0.539, 0.549, 0.547), grade (0.580,  
227 0.571, 0.548), T stage (0.647, 0.606, 0.606), and N stage (0.690, 0.680, 0.655) (**Fig. 5B**). The  
228 prognostic model had a larger AUC value compared with other clinicopathological  
229 characteristics. These results indicated that the model was an excellent prognostic model for  
230 LAUD patients, especially for the 1- and 3-year OS.

231 These results suggested that our prognostic model could be an independent predictor of  
232 prognosis in patients with LAUD.

233

### 234 **Building and validating a predictive nomogram**

235 A nomogram was built to predict the survival probability in patients with LAUD in the TCGA  
236 dataset. The nomogram was constructed using four prognostic factors (the TNM stage, T stage,  
237 N stage, and prognostic model; **Fig. 6A**). The C-index was calculated to evaluate the predictive  
238 ability of the nomogram for OS. The C-index for the nomogram was 0.754 (95% CI: 0.561–  
239 0.947). Calibration plots indicated that the nomogram had a good accuracy in predicting the 1-  
240 and 3-year OS (**Fig. 6B**).

241 To predict the survival probability more accurately, the combined prognostic model was built  
242 based on the nomogram. The combined prognostic model consisted of the TNM stage, T stage, N  
243 stage, and prognostic model. A time-dependent ROC curve was used to identify the predictive  
244 ability of the combined prognostic model. The AUCs of the combined prognostic models were  
245 0.782, 0.717, and 0.688 for the 1-, 3-, and 5-year OS, respectively, which were higher than other  
246 clinical models including the TNM stage model (0.732, 0.687, 0.681), T stage model (0.671,  
247 0.612, 0.613), N stage model (0.686, 0.661, 0.648), and the prognostic model (0.692, 0.634,  
248 0.576). The combined model had the largest AUC value compared with other factors, which  
249 indicated that the combined model had a good predictive accuracy for survival. These results  
250 suggested that the predictive ability of the combined model built with the nomograms is better  
251 than other models, especially for predicting 1- and 3-year survival (**Fig. 6C**).

252

### 253 **Gene Set Enrichment Analysis**

254 To recognize signaling pathways that are differentially activated in LUAD, a GSEA was used,  
255 and a total of 49 significantly enriched KEGG pathways were found in the high-risk group and  
256 low-risk group (**Table S5**) of the TCGA dataset (FDR  $q$ -val < 0.25, NOM  $p$ -val < 0.05). Among  
257 them, many enriched pathways were related to metabolism and some highly dysregulated  
258 pathways including cell cycle, p53 signaling pathway, and basal transcription factors were also  
259 contained in these results (**Table S5**). We chose the top five significantly enriched metabolism-  
260 signaling pathways depending on the normalized enrichment score from the high-risk group or  
261 low-risk group. We found that the top five most significantly enriched metabolism-related  
262 pathways of the high-risk group were the cysteine and methionine, fructose and mannose,  
263 glyoxylate and dicarboxylate, purine, and pyrimidine pathways (**Fig. 7A**). The top five most  
264 significantly enriched metabolism-related pathways of the low-risk group were the alpha  
265 linolenic acid, arachidonic acid, ether lipid, glycerophospholipid, and linoleic acid pathways  
266 (**Fig. 7B**). Most of the metabolism-related pathways in the high-risk group mainly focused on  
267 amino acid and glycolysis metabolism, while the pathways in the low-risk group mainly focused  
268 on lipid metabolism. The results of the ten representative enriched metabolism-related KEGG  
269 pathways are given in **Table 3**. Furthermore, all the six metabolic genes of the prognostic model  
270 enriched these metabolism pathways significantly. LDHA enriched the cysteine and methionine  
271 pathway (**Table S6**); PFKP and TPI1 enriched the fructose and mannose pathway (**Table S6**);  
272 PKM enriched the purine pathway (**Table S6**); TYMS enriched the pyrimidine pathway (**Table**  
273 **S6**); and PTGES enriched the arachidonic acid pathway (**Table S6**). The results further

274 elucidated the role of metabolism in LUAD and the value of the six-gene signature in predicting  
275 the prognosis of LUAD.

276

### 277 **External validation using online databases**

278 To further identify the role of the six metabolic genes in LUAD, we compared the mRNA  
279 expression levels of the six metabolic genes (*PFKP*, *PKM*, *TPII*, *LDHA*, *PTGES*, and *TYMS*) in  
280 the LAUD tissues with those in the normal lung tissues using data from the Oncomine database  
281 (**Fig. 8**). Obviously, all the six genes were overexpressed in lung cancer in all the datasets from  
282 the Oncomine database with the threshold of fold change=2, *P*-value=0.001(**Fig. 8A**).

283 Furthermore, the mRNA levels of all the six genes in LUAD were significantly upregulated than  
284 those in normal tissues in the combined LUAD datasets from the Oncomine database (**Fig. 8B**;  
285 **Table 4**). To further validate the overexpression of the six genes in LUAD, we analyzed the  
286 expression of the six genes using TIMER databases (**Fig. 9**). The results revealed that all the  
287 mRNA expression of the six genes in LUAD were significantly higher than in normal tissues. All  
288 the results from the Oncomine and TIMER databases were consistent with our results for the  
289 TCGA and GEO datasets. In addition, the mRNA expression of the six genes was also higher in  
290 esophageal carcinoma, head and neck squamous cell carcinoma, lung squamous cell carcinoma,  
291 and stomach adenocarcinoma from the TIMER databases (**Fig. 9**). The protein expressions of  
292 these six genes were analyzed using clinical specimens from the Human Protein Profiles (**Fig.**  
293 **10A and 10B**; **Table 5**). The representative images of the six gene protein levels from the  
294 Human Protein Profiles are shown in **Fig. 10A**. Compared with the expression level in normal  
295 lung tissue, *LDHA* (100%, n=7) and *TYMS* (80%, n=5) showed a significantly higher percentage  
296 of high/medium expression levels in the LAUD tissue (**Fig. 10B**; **Table 5**). *PKM* (50%, n=6),  
297 *PFKP* (33.33%, n=6), and *PTGES* (16.67%, n=6) showed a significant moderate percentage of  
298 high/medium expression levels in the LAUD tissue (**Fig. 10B**; **Table 5**). However, *TPII* showed  
299 no detected expression both in the LAUD and normal lung tissue (**Fig. 10B**; **Table 5**). The  
300 genetic alterations were explored in the cBioPortal database. Amplifications and mutations were  
301 the most common alterations in the six metabolic genes (**Fig. 10C**). The aberrant genetic  
302 alterations might elucidate the overexpression of these six genes in LUAD.

303 Altogether, the correlation of the aberrant expression of these six genes with LAUD cancer  
304 was further validated using multiple online databases.

305

### 306 **Discussion**

307 LUAD is the most common histological subtype of primary lung cancer. The incidence of  
308 LUAD has been increasing rapidly, and mortality has not significantly decreased despite great  
309 improvements in research and treatment. Therefore, exploring the molecular mechanisms of  
310 LUAD progression and constructing a valid and accurate molecule-based tool for evaluating the  
311 prognosis in patients is urgently needed. This could help design more efficient therapeutic  
312 strategies for LUAD. Metabolic reprogramming in cancers could lead to their development and  
313 progression (Nwosu *et al.*, 2017; Liu *et al.*, 2020). Characterization of the changes in metabolic

314 gene expression in LUAD would allow development of novel prognostic biomarkers. However, a  
315 single biomarker is not a robust measure for predicting patient prognosis. Thus, constructing a  
316 robust multiple-biomarker signature for predicting the prognosis in cancer patients is necessary.

317 We identified and designed a novel six-gene prognostic molecular signature based on the  
318 TCGA database and validated its efficiency in the GSE68465 dataset. The results indicated that  
319 the molecular signature was significantly associated with OS in patients with LUAD in the  
320 training and validation sets. These results indicate that the molecular signature has a robust  
321 prognostic value, especially for predicting short-term survival in patients with LUAD. These  
322 results also demonstrated that the prognostic signature was independent of other  
323 clinicopathological characteristics, which further supports the prognostic value of this signature.

324 To increase the accuracy of the prediction of prognosis, we constructed a nomogram built with  
325 the combination of genetic and clinically related variables of patients with LUAD. The  
326 nomogram included the prognostic model, TNM, T stage, and N stage. Its predictive accuracy  
327 was verified using calibration plots, the C-index, and the AUC, which indicated that the  
328 nomogram had a greater predictive value than the previous systems. The Gene Set Enrichment  
329 Analysis showed that many significantly enriched pathways were metabolism-related pathways.  
330 The different risk groups possessed different metabolic pathway features. The metabolism-  
331 related pathways in the high-risk group were mainly associated with amino acid and glycolysis  
332 metabolism, while the pathways in the low-risk group were mainly associated with lipid  
333 metabolism. These results revealed that the different risk groups possessed the different  
334 metabolic features, which might provide the underlying metabolic mechanisms of promoting the  
335 prognosis of LUAD. All these results further suggest a strong association between the molecular  
336 signature and metabolic systems and might reflect the dysregulated metabolic microenvironment  
337 of cancers.

338 Most of the six genes in our prognostic signature are suggested to be related to cancer  
339 development. *PFKP* is a major isoform of cancer-specific phosphofructokinase-1, an enzyme  
340 that catalyzes the phosphorylation of fructose-6-phosphate to form fructose-1,6-bisphosphate.  
341 Recently, *PFKP* was noted to have an aberrant upregulation in many cancers, such as breast  
342 cancer, prostate cancer, and glioblastoma. The dynamic upregulation of *PFKP* promotes  
343 metabolic reprogramming and cancer cell survival (*Bjerre et al., 2019; Kim et al., 2017*). As a  
344 key regulator enzyme in glycolysis, *PFKP* enriched the fructose and mannose metabolism  
345 pathway. Recent studies showed that *PFKP* is highly expressed in lung cancer and promotes lung  
346 cancer development via fructose and mannose metabolism (*Shen et al., 2020; Wang, et al.,*  
347 *2015*). *PKM* is a rate-limiting enzyme in the final step of glycolysis, that is considered as one of  
348 the metabolic hallmarks of cancer (*Prakasam et al., 2017*). The abnormal expression of *PKM*  
349 promoted cancer growth, invasion, and metastasis by governing aerobic glycolysis (*Prakasam et*  
350 *al., 2017; Zahra et al., 2020*) and induced cancer treatment resistance (*Calabretta et al., 2016*).  
351 Furthermore, *PKM* is overexpressed in non-small cell lung cancer (NSCLC) and involved in the  
352 development and prognosis of NSCLC (*Luo et al., 2018*). *TPI1* is a crucial enzyme in  
353 carbohydrate metabolism, catalyzing the interconversion of dihydroxyacetone phosphate and d-

354 glyceraldehyde-3-phosphate during glycolysis and gluconeogenesis. *TPII* is abnormally  
355 expressed in different kinds of cancers, such as breast cancer, gastric cancer, and lymphoma and  
356 is associated with a poor prognosis in patients with neuroblastoma and pancreatic cancer through  
357 dysregulating glycometabolism (*Ludvigsen et al., 2018; Applebaum et al., 2016; Follia et al.,*  
358 *2019*). *LDHA* is an enzyme that catalyzes the interconversion of pyruvate and lactate. *LDHA* was  
359 enriched in cysteine and methionine metabolism, and its aberrant metabolism regulation  
360 promoted many pathological processes in tumors, such as cell proliferation, survival, invasion,  
361 metastasis, and immunity (*Dorneburg et al., 2018*). Overexpressed *LDHA* is associated with poor  
362 prognosis in many tumors, including NSCLC, breast cancer, gallbladder carcinoma, and  
363 gastrointestinal cancer (*Mizuno et al., 2020; Guddeti et al., 2019*). *PTGES* is a key enzyme in the  
364 arachidonic acid metabolism pathway. An abnormally high expression of *PTGES* is correlated  
365 with proliferation, invasion, and metastasis in many cancer cells (*Kim et al., 2016; Delgado-*  
366 *Goñi et al., 2020*). The dysregulated *PTGES* promoted tumor migration and metastasis of lung  
367 cancer cells and played an important role in lung cancer progression (*Wang et al., 2019*). *TYMS*  
368 is a rate-limiting enzyme, which plays an important role in regulating the pyrimidine metabolism  
369 signaling pathway (*Yeh et al., 2017*). *TYMS* is overexpressed frequently in different kinds of  
370 cancers, such as NSCLC, pancreatic, colorectal, and breast cancers, and it has resulted in a poor  
371 cancer prognosis and chemotherapy resistance via dysregulating pyrimidine metabolism  
372 (*Troncarelli Flores et al., 2019; Wu et al., 2019*). In our study, we constructed a six-gene  
373 signature for a prognostic model based on the TCGA database. This novel six-gene signature had  
374 a higher survival prediction, and the predictive ability of this signature was further validated by  
375 the GSE68465 dataset and multiple online databases. To our knowledge, the six-gene signature  
376 for prognosis prediction in LUAD has not been reported yet. Compared with the traditional  
377 prognostic models such as clinical characteristics (e.g., TNM stage, vascular tumor invasion, and  
378 organization classification) or a single molecular biomarker, a multi-gene signature can predict  
379 the prognosis more accurately and provide a clearer molecular mechanism for personalized  
380 LUAD therapy.

381 There are limitations in our study. First, our nomogram was not validated further in the GEO  
382 database because the GSE68465 lacked detailed TNM stage data. Thus, the nomogram should be  
383 externally validated using larger datasets from multicenter clinical trials and perspective studies.  
384 Second, functional experiments should be further performed to explore the molecular  
385 mechanisms predicted by the metabolic gene expression.

386

## 387 **Conclusions**

388 We concluded from our research results that the six-gene metabolic prognostic signature could  
389 accurately predict the prognosis in patients with LUAD. The molecular signature may provide  
390 potential biomarkers for metabolic therapy and prognosis prediction of LUAD.

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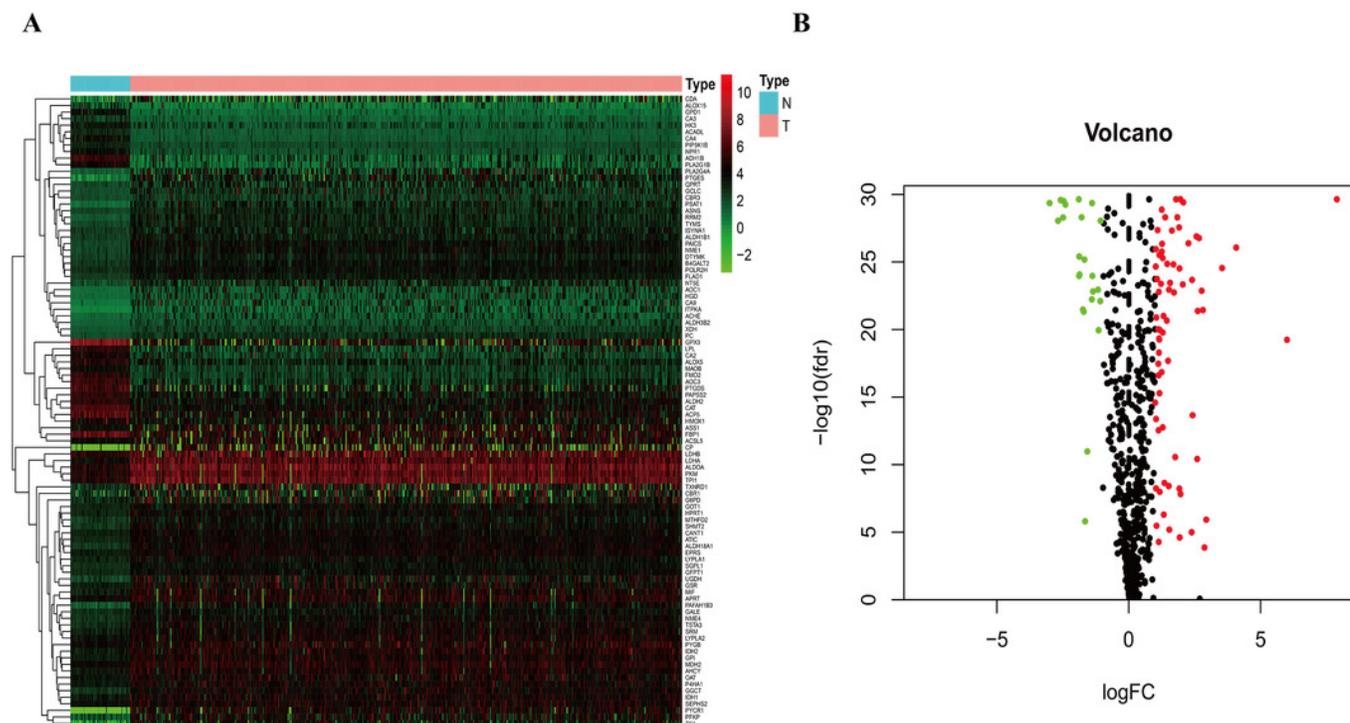
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## Figure 2

Heatmap and Volcano plot of metabolism-related DEGs.

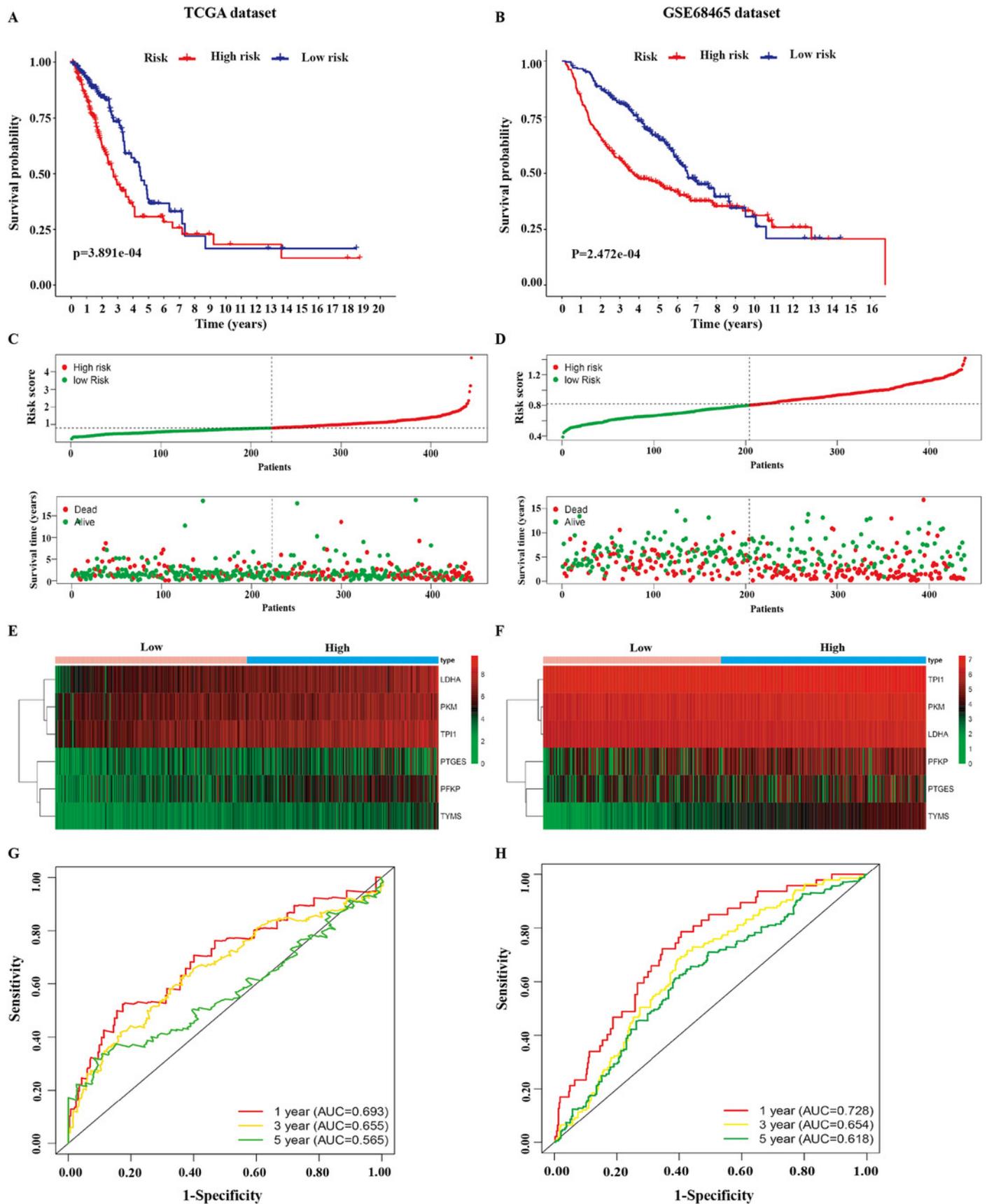
(A) The heatmap of metabolism-related DEGs. The red color represented high expression genes, the green color represented low expression genes, and the black color represented the expression genes with no significant difference ( $FDR < 0.05$ , absolute  $\log FC > 1$ ). (B) Volcano plot of metabolism-related DEGs. The red, green and black dots represented the high expression genes, low expression genes, and the expression genes with no significant difference ( $FDR < 0.05$ , absolute  $\log FC > 1$ ). DEGs, differentially expressed genes; FDR, false discovery rate.



## Figure 3

Identification of the prognostic model in lung adenocarcinoma.

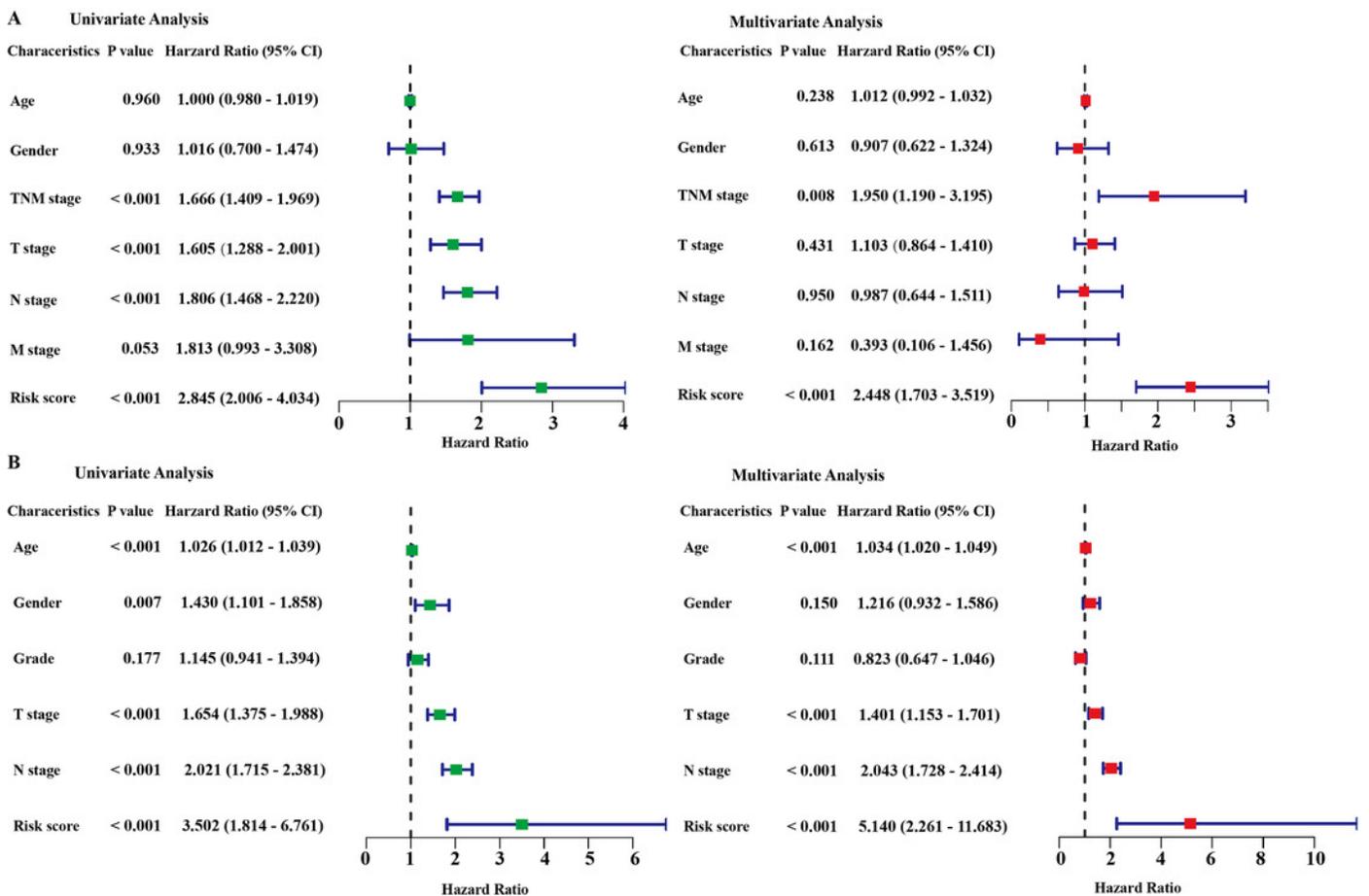
(A, B) Kaplan-Meier curves of overall survival of the high-risk and low-risk groups stratified by the six-gene signature- based risk score in the TCGA or GEO dataset. (C, D) Risk score distribution, survival status distribution in the TCGA or GEO dataset. (E, F) The expression heatmap of the six prognostic genes in the TCGA or GEO dataset. (G, H) Time-dependent ROC curves of the six-gene signature in the TCGA or GEO dataset. TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus; ROC, receiver operating characteristic.



## Figure 4

Cox regression analysis of the associations between the prognostic model and clinicopathological characteristics with overall survival in LAUD.

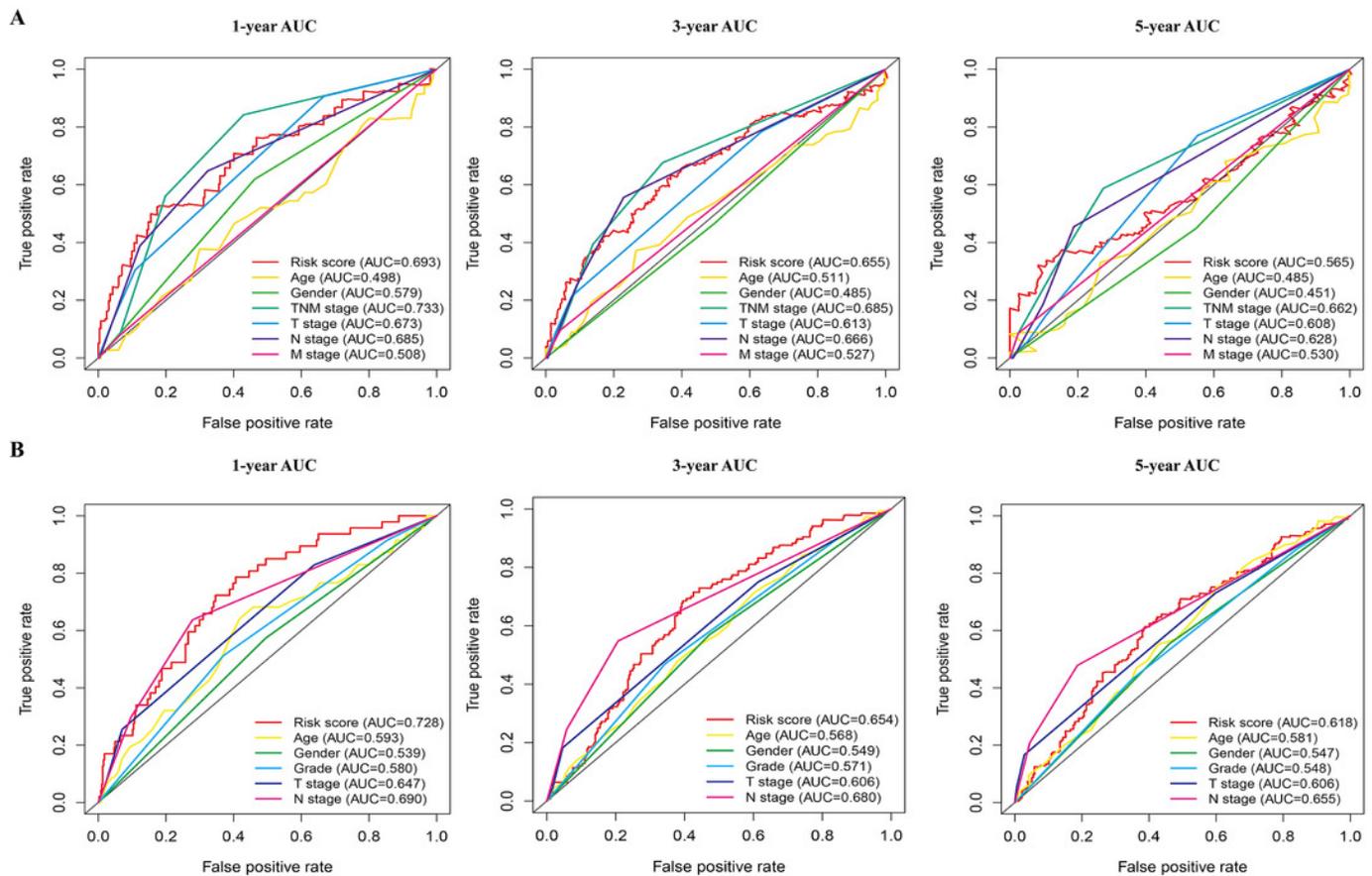
Univariate and multivariate Cox regression analyses in the TCGA dataset (A) and GEO dataset (B). LUAD, lung adenocarcinoma; TCGA, The Cancer Genome Atlas; GEO, Gene Expression Omnibus.



## Figure 5

The time-dependent receiver operating characteristic (ROC) analysis for the prognostic model and clinicopathological characteristics in LAUD.

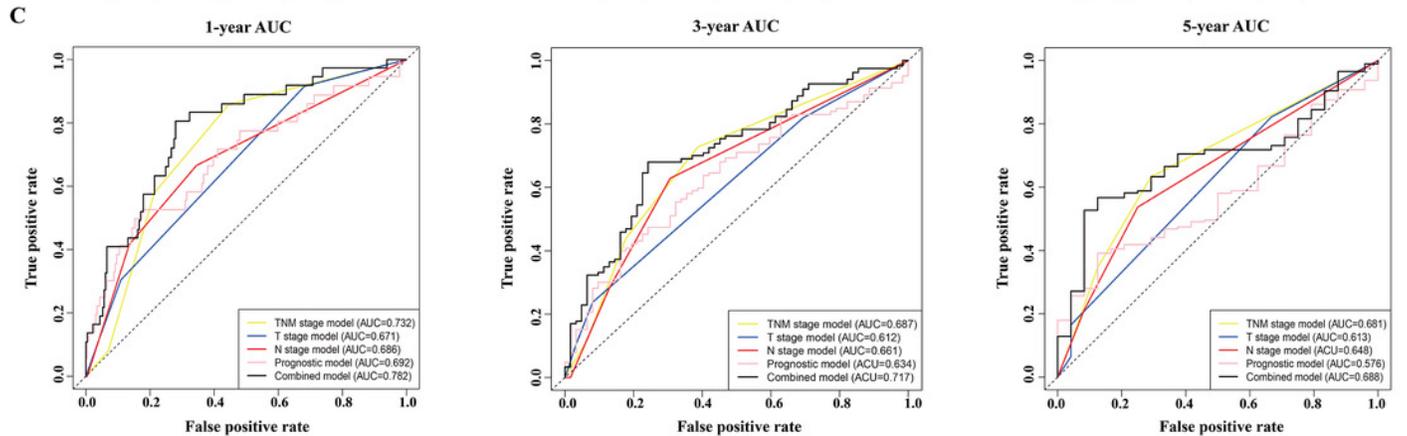
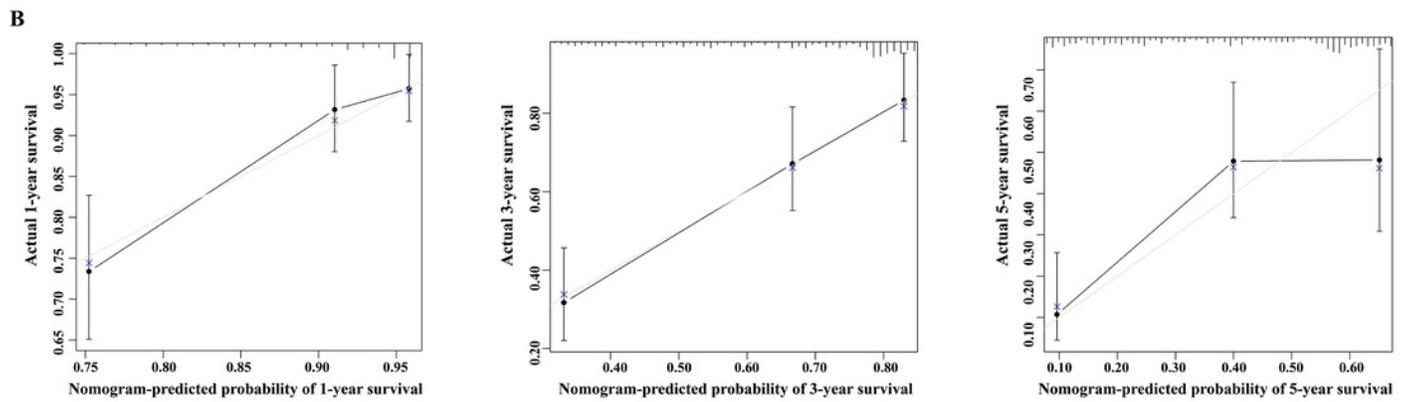
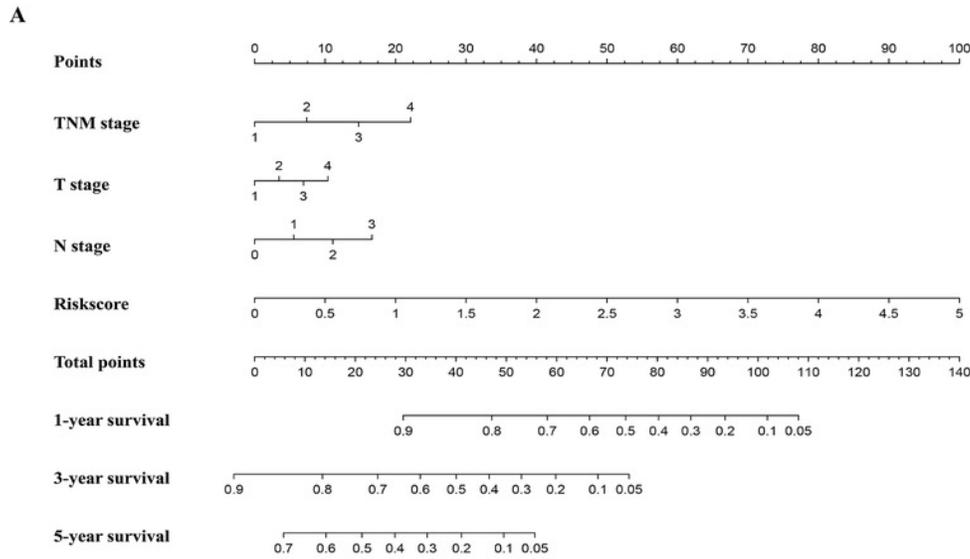
(A) The time-dependent ROC curves of risk score, age, gender, TNM stage, T stage, N stage, and M stage in the TCGA dataset. (B) The time-dependent ROC curves of risk score, age, gender, grade, T stage, and N stage in the GEO dataset. LUAD, lung adenocarcinoma.



## Figure 6

Construction and validation of a nomogram for survival prediction in LUAD from the TCGA dataset.

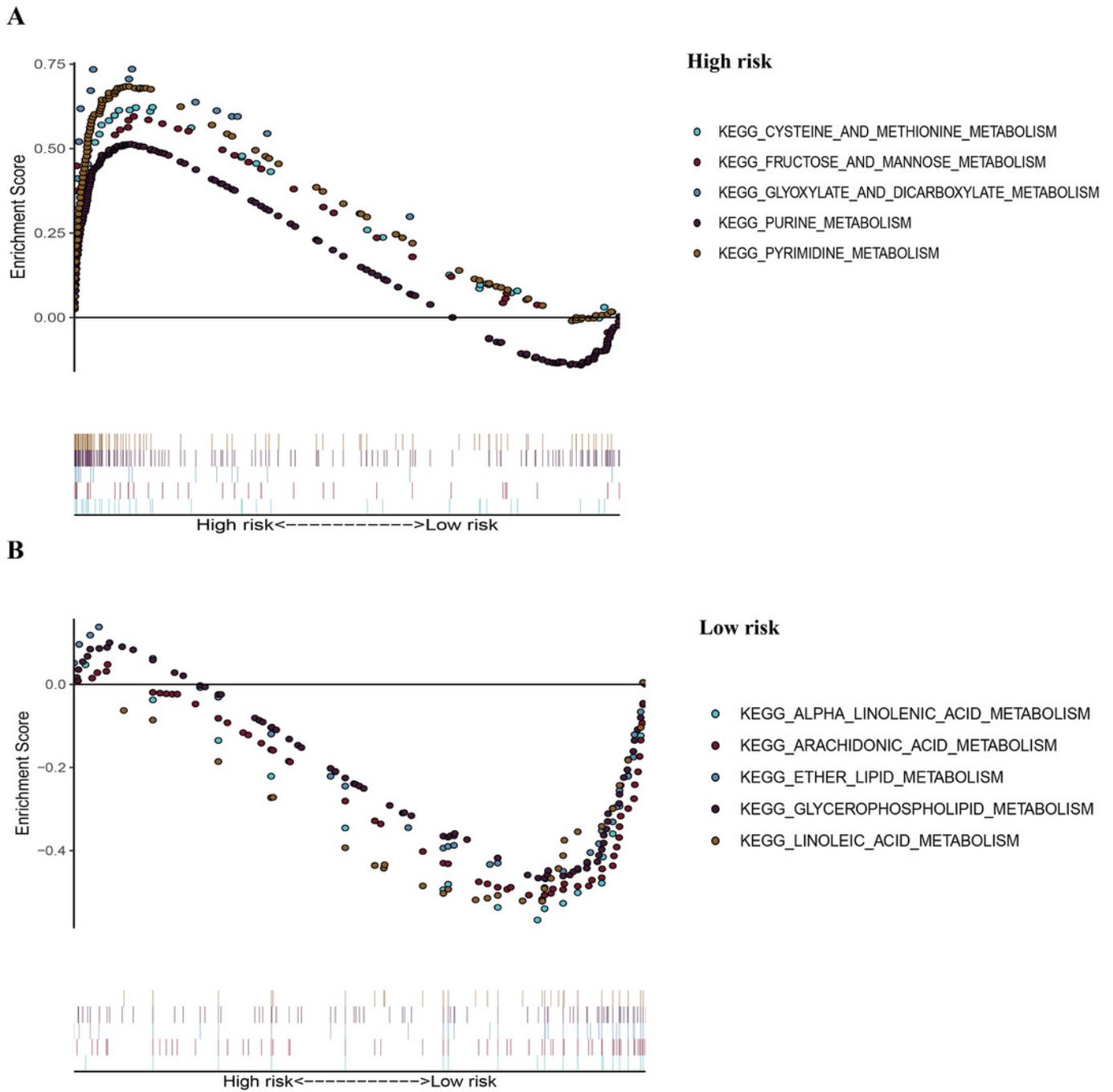
(A) The nomogram was built in the TCGA dataset. (B) Calibration plots revealed the nomogram-predicted survival probabilities. (C) The time-dependent ROC analysis evaluated the accuracy of the nomogram. TCGA, The Cancer Genome Atlas; ROC, receiver operating characteristic; LUAD, lung adenocarcinoma.



## Figure 7

The representative enriched metabolism-related KEGG pathways in the TCGA dataset by GSEA.

(A) The top five significantly representative enriched metabolism-related KEGG pathways in the high-risk group. (B) The top five significantly representative enriched metabolism-related KEGG pathways in the low-risk group. Related parameters for the ten representative enriched metabolism-related KEGG pathways are given in Table 3. GSEA, Gene Set Enrichment Analysis; KEGG, Kyoto Encyclopedia of Genes and Genomes; TCGA, The Cancer Genome Atlas.



## Figure 8

mRNA expression levels of the six prognostic genes from online databases.

(A) mRNA expression levels of the six genes in the OncoPrint database

(<http://www.oncoPrint.org/>). The threshold is shown at the bottom ( $P$  value  $< 0.001$  and fold change  $> 2$  were utilized for screening). The figure in the colored cell represents the number of datasets complying with the threshold. The red cells indicate that the genes were overexpressed in the cancer, while the blue cells indicate that the genes were overexpressed in the normal tissues. (B) Comparisons of the mRNA expression levels of the six genes between LUAD and normal tissues in the combined LUAD datasets from the OncoPrint database. *PFKP*, phosphofructokinase platelet; *PKM*, pyruvate kinase muscle; *TPI1*, triosephosphate isomerase 1; *LDHA*, lactate dehydrogenase A; *PTGES*, prostaglandin E synthase; *TYMS*, thymidylate synthase; LUAD, lung adenocarcinoma.

Analysis Type by Cancer	PFKP	PKM	TPI1	LDHA	PTGES	TYMS
	Cancer vs. Normal					
Bladder Cancer	1	2	3	1		4
Brain and CNS Cancer	1 4			2 1		2 1
Breast Cancer	1	3 1	2	2	1	14
Cervical Cancer					1	3
Colorectal Cancer		5			3	2
Esophageal Cancer		1	1		1	3
Gastric Cancer		1	1	2		3
Head and Neck Cancer	1			1	1	4
Kidney Cancer	10	9	4 1	9		5
Leukemia	11	3	1	4	3	1 4
Liver Cancer	1	3				4
Lung Cancer	9	4	5	4	5	12
Lymphoma	5	1	9	7	2	5
Melanoma		1				3
Myeloma		1		2		
Other Cancer	2	2	5	1	1	7 2
Ovarian Cancer	2	6	2	3		1
Pancreatic Cancer	3	3	1	3	1	2 1
Prostate Cancer				1 1		
Sarcoma	1 1	5				12
Significant Unique Analyses	45 12	46 5	33 2	36 8	12 7	86 8
Total Unique Analyses	453	410	393	461	428	458



Cell color is determined by the best gene rank percentile for the analysis within the cell.  
NOTE: An analysis may be counted in more than one cancer type.

**B** Comparison of PFKP Across 5 Analyses  
Over-expression

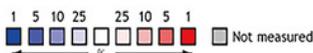
Median Rank	p-Value	Gene
280.0	1.64E-17	PFKP

- Legend**
- Lung Adenocarcinoma vs. Normal Garber Lung, Proc Natl Acad Sci U S A, 2001
  - Lung Adenocarcinoma vs. Normal Hou Lung, PLoS One, 2010
  - Lung Adenocarcinoma vs. Normal Landi Lung, PLoS ONE, 2008
  - Lung Adenocarcinoma vs. Normal Selamat Lung, Genome Res, 2012
  - Lung Adenocarcinoma vs. Normal Stearman Lung, Am J Pathol, 2005

Comparison of PTGES Across 4 Analyses  
Over-expression

Median Rank	p-Value	Gene
253.0	5.62E-7	PTGES

- Legend**
- Lung Adenocarcinoma vs. Normal Garber Lung, Proc Natl Acad Sci U S A, 2001
  - Lung Adenocarcinoma vs. Normal Hou Lung, PLoS One, 2010
  - Lung Adenocarcinoma vs. Normal Selamat Lung, Genome Res, 2012
  - Lung Adenocarcinoma vs. Normal Stearman Lung, Am J Pathol, 2005



The rank for a gene is the median rank for that gene across each of the analyses.  
The p-Value for a gene is its p-Value for the median-ranked analysis.

Comparison of LDHA Across 2 Analyses  
Over-expression

Median Rank	p-Value	Gene
85.5	3.15E-4	LDHA

- Legend**
- Lung Adenocarcinoma vs. Normal Selamat Lung, Genome Res, 2012
  - Lung Adenocarcinoma vs. Normal Yamagata Lung, Clin Cancer Res, 2003

Comparison of TYMS Across 6 Analyses  
Over-expression

Median Rank	p-Value	Gene
150.0	1.09E-7	TYMS

- Legend**
- Lung Adenocarcinoma vs. Normal Beer Lung, Nat Med, 2002
  - Lung Adenocarcinoma vs. Normal Hou Lung, PLoS One, 2010
  - Lung Adenocarcinoma vs. Normal Landi Lung, PLoS ONE, 2008
  - Lung Adenocarcinoma vs. Normal Selamat Lung, Genome Res, 2012
  - Lung Adenocarcinoma vs. Normal Stearman Lung, Am J Pathol, 2005
  - Lung Adenocarcinoma vs. Normal Su Lung, BMC Genomics, 2007

## Figure 9

mRNA expression levels of the six prognostic genes extracted from online database.

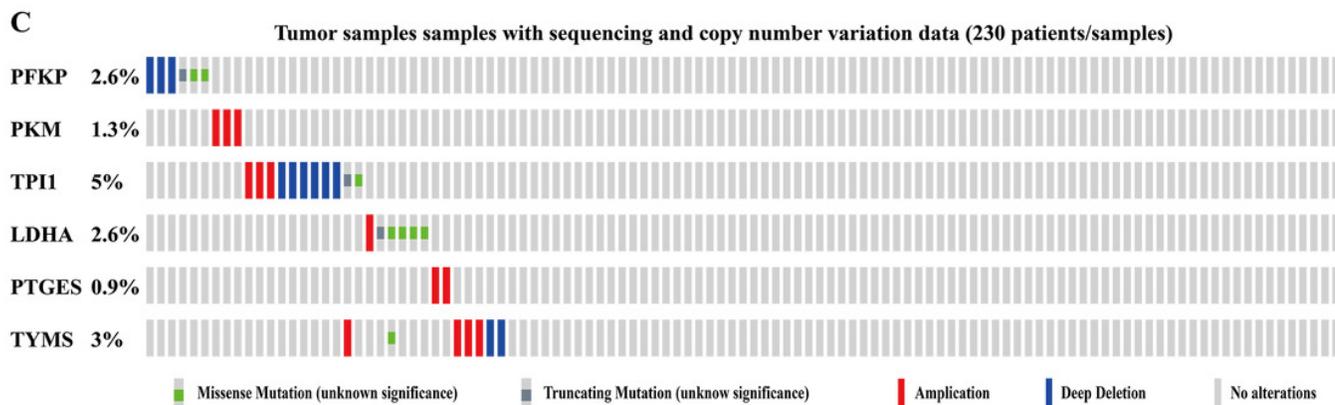
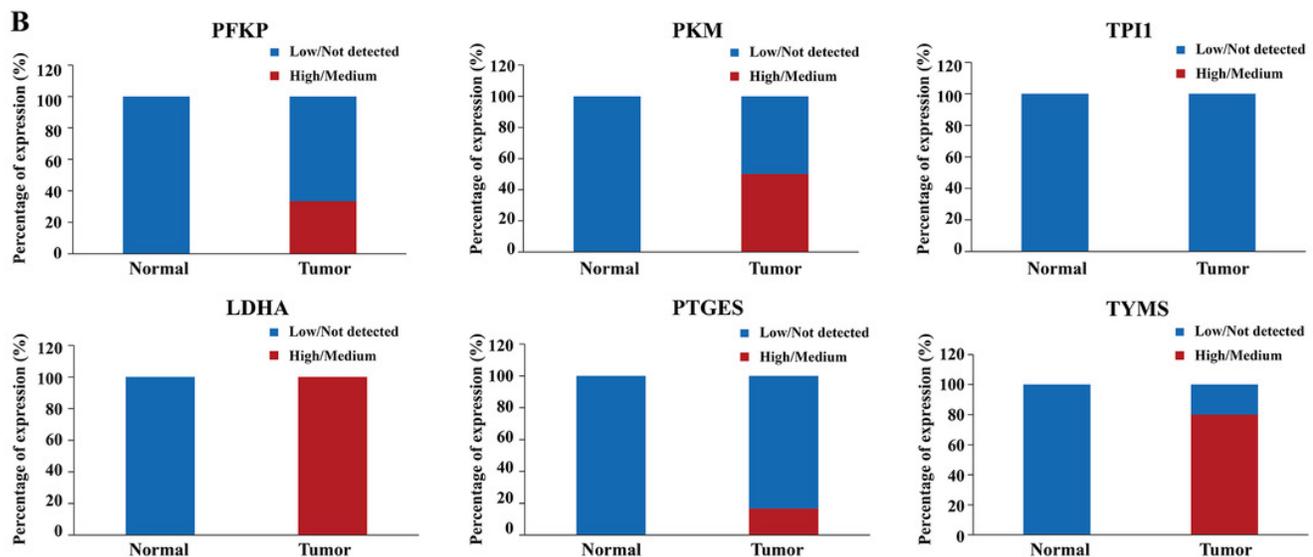
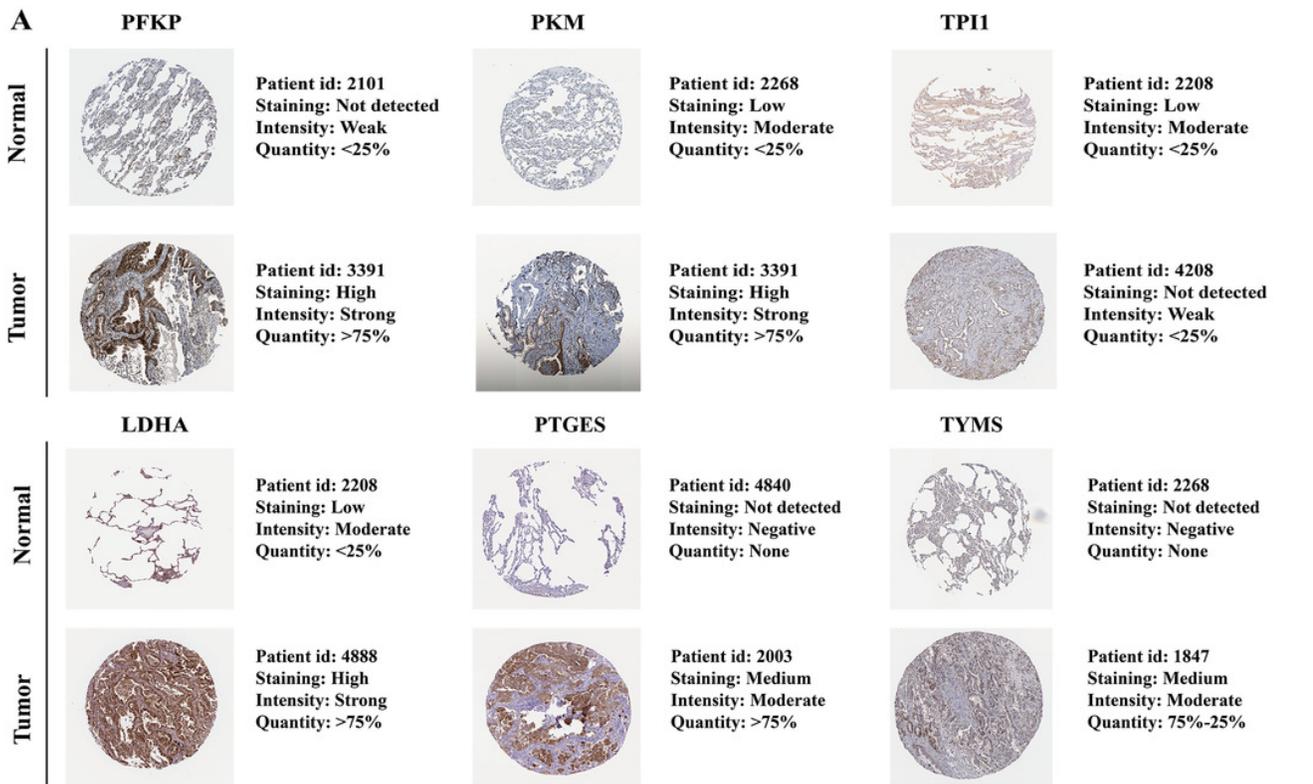
The mRNA expression levels of the six genes in different tumour types from the TIMER database ( <http://cistrome.shinyapps.io/timer/> ) ( $*P < 0.05$ ,  $**P < 0.01$ ,  $***P < 0.001$ ). *PFKP*, phosphofructokinase platelet; *PKM*, pyruvate kinase muscle; *TPI1*, triosephosphate isomerase 1; *LDHA*, lactate dehydrogenase A; *PTGES*, prostaglandin E synthase; *TYMS*, thymidylate synthase.



## Figure 10

Protein expression levels and genetic alterations of the corresponding six prognostic genes obtained from online databases.

(A) The representative immunohistochemistry images of the protein expression of the six genes in the normal lung tissues and LUAD tissues from the Human Protein Atlas database. (<http://www.proteinatlas.org/>) (B) The percentage of protein expression levels in the normal lung tissues and LUAD tissues analysed based on the Human Protein Atlas database. Anti-PFKP antibody is HPA018257; anti-PKM antibody is CAB019421; anti-TPI1 antibody is HPA053568; anti-LDHA antibody is CAB069404; anti-PTGES is HPA045064; anti-TYMS antibody is CAB002784. (C) Genetic alterations of the six genes in 230 LUAD patients / samples (TCGA, Firehose Legacy). Data were obtained from the cBioportal for Cancer Genomics ( <http://www.cbioportal.org/> ). *PFKP*, phosphofructokinase platelet; *PKM*, pyruvate kinase muscle; *TPI1*, triosephosphate isomerase 1; *LDHA*, lactate dehydrogenase A; *PTGES*, prostaglandin E synthase; *TYMS*, thymidylate synthase; TCGA, The Cancer Genome Atlas; LUAD, lung adenocarcinoma.



**Table 1** (on next page)

Clinical characteristics of the included datasets

1

<b>Characteristics</b>	<b>TCGA (n, %)</b> (n=454)	<b>GSE68465 (n, %)</b> (n=439)
<b>Age</b>		
<60	133 (29.3%)	128 (29.2%)
≥60	321 (70.7%)	311 (70.8%)
NA	0 (0.0%)	0 (0.0%)
<b>Gender</b>		
Female	248 (54.6%)	218 (49.7%)
Male	206 (45.4%)	221 (50.3%)
NA	0 (0.0%)	0 (0.0%)
<b>Grade</b>		
G1	0 (0.0%)	60 (13.7%)
G2	0 (0.0%)	206 (46.9%)
G3	0 (0.0%)	166 (37.8%)
NA	454 (100%)	7 (1.6%)
<b>TNM stage</b>		
I	243 (53.5%)	
II	105 (23.1%)	
III	74 (16.3%)	
IV	24 (5.3%)	
NA	8 (1.8%)	439 (100%)
<b>T stage</b>		
T1	156 (34.4%)	150 (34.2%)
T2	240 (52.9%)	248 (56.5%)
T3	37 (8.1%)	28 (6.4%)
T4	18 (4.0%)	11 (2.5%)
Tx	3 (0.7%)	2 (0.5%)
<b>N stage</b>		
N0	291 (64.1%)	297 (67.7%)
N1	86 (18.9%)	87 (19.8%)
N2	64 (14.1%)	52 (11.8%)
N3	2 (0.4%)	0 (0.0%)
Nx	11 (2.4%)	3 (0.7%)
<b>M stage</b>		
M0	305 (67.2%)	439 (100%)
M1	23 (5.1%)	0 (0.0%)
Mx	126 (27.8%)	0 (0.0%)
<b>Survival status</b>		
Alive	300 (66.1%)	206 (46.9%)
Dead	154 (33.9%)	233 (53.1%)

2 **Note:**

3 TCGA, The Cancer Genome Atlas.

4

**Table 2** (on next page)

Prognostic values for the six-gene metabolic signature in 454 LUAD patients.

<b>Gene</b>	<b>Coef</b>	<b>HR</b>	<b>HR.95L</b>	<b>HR.95H</b>	<b>P value</b>
PFKP	0.000050	1.009949	1.004184	1.015747	0.0007
PKM	0.001734	1.005149	1.002545	1.007759	0.000104
TPI1	0.000384	1.003352	1.001479	1.005229	0.000448
LDHA	0.003792	1.005663	1.003774	1.007556	0.00000000396
PTGES	0.002922	1.008392	1.003408	1.0134	0.000946
TYMS	0.024904	1.033141	1.01572	1.050861	0.000172

1 **Note:**

2 LUAD, lung adenocarcinoma; HR, hazard ratio; CI, confidence interval.

3

**Table 3** (on next page)

The results of the ten representative enriched metabolism-related KEGG pathways analysed by GSEA.

Pathway	Size	ES	NES	NOM <i>p</i> -val	FDR <i>q</i> -val
High risk					
KEGG_CYSTEINE_AND_METHIONINE_METABOLISM	34	0.62	1.98	0.00	0.006
KEGG_FRUCTOSE_AND_MANNOSE_METABOLISM	33	0.60	1.95	0.002	0.007
KEGG_GLYOXYLATE_AND_DICARBOXYLATE_ METABOLISM	16	0.74	1.89	0.002	0.013
KEGG_PURINE_METABOLISM	157	0.51	2.02	0.000	0.005
KEGG_PYRIMIDINE_METABOLOSM	98	0.68	2.36	0.000	0.000
Low risk					
KEGG_ALPHA_LINOLENIC_ACID_METABOLISM	19	-0.60	-1.82	0.002	0.113
KEGG_ARACHIDONIC_ACID_METABOLISM	58	-0.53	-1.86	0.000	0.101
KEGG_ETHER_LIPID_METABOLISM	33	-0.51	-1.73	0.011	0.129
KEGG_GLYCEROPHOSPHOLIPID_METABOLISM	77	-0.48	-1.91	0.002	0.127
KEGG_LINOLEIC_ACID_METABOLISM	29	-0.55	-1.77	0.008	0.138

1 **Note:**

2 KEGG, Kyoto Encyclopedia of Genes and Genomes; GSEA, Gene Set Enrichment Analysis; ES, enrichment score;

3 NOM *p*-val, nominal *p*-value; FDR *q*-val, false discovery rate *q*-value; NES, normalized enrichment score.

4

**Table 4**(on next page)

Comparison of mRNA expression levels of the six genes between LUAD and normal tissues from the Oncomine database.

Gene	Analysis Type of lung cancer vs. normal	t-Test	Fold change	P value	References
PFKP	LUAD (n=40) vs. Normal (n=6)	7.146	3.469	7.56E-8	Garber et al., 2001
	LUAD (n=58) vs. Normal (n=49)	11.177	2.685	4.35E-19	Landi et al., 2008
	LUAD (n=45) vs. Normal (n=65)	7.946	2.536	3.39E-11	Hou et al., 2010
	LUAD (n=58) vs. Normal (n=58)	10.910	2.883	1.64E-17	Selamat et al., 2012
	LUAD (n=20) vs. Normal (n=19)	5.277	3.149	9.37E-6	Stearman et al., 2005
	Comparison of PFKP expression across 5 Analysis between LUAD and Normal	-	-	1.64E-17	-
PKM	LUAD (n=58) vs. Normal (n=58)	12.037	2.551	3.56E-20	Selamat et al., 2012
TPI1	LUAD (n=40) vs. Normal (n=6)	4.929	2.283	4.03E-4	Garber et al., 2001
LDHA	LUAD (n=9) vs. Normal (n=3)	4.502	4.037	6.29E-4	Yamagata et al. 2003
	LUAD (n=58) vs. Normal (n=58)	11.533	2.179	1.59E-19	Selamat et al., 2012
	Comparison of LDHA expression across 2 Analysis between LUAD and Normal	-	-	3.15E-4	-
PTGES	LUAD (n=20) vs. Normal (n=19)	9.332	5.883	1.54E-11	Stearman et al., 2005
	LUAD (n=40) vs. Normal (n=6)	6.690	4.969	1.12E-6	Garber et al., 2001
	LUAD (n=58) vs. Normal (n=58)	10.267	2.179	5.58E-16	Selamat et al., 2012
	LUAD (n=45) vs. Normal (n=65)	6.513	2.170	6.22E-9	Hou et al., 2010
	Comparison of PTGES expression across 4 Analysis between LUAD and Normal	-	-	5.62E-7	-
TYMS	LUAD (n=45) vs. Normal (n=65)	9.322	3.929	6.92E-15	Hou et al., 2010
	LUAD (n=27) vs. Normal (n=30)	7.395	3.016	2.40E-9	Su et al., 2007
	LUAD (n=58) vs. Normal (n=49)	11.169	2.797	9.86E-20	Landi et al., 2008
	LUAD (n=20) vs. Normal (n=19)	6.509	2.118	2.18E-7	Stearman et al., 2005
	LUAD (n=86) vs. Normal (n=10)	4.191	2.158	3.05E-4	Beer et al., 2002
	LUAD (n=58) vs. Normal (n=58)	8.565	2.040	3.35E-13	Selamat et al., 2012
Comparison of TYMS expression across 6 Analysis between LUAD and Normal	-	-	1.09E-7	-	

1 **Note:**

2 Owing to only one dataset meeting the screening criteria, the comparison of PKM or TPI1 expression in LUAD and normal has not been built based on  
3 the combined LUAD datasets. *P* value < 0.001 and fold change > 2 were utilized for screening. LUAD, lung adenocarcinoma.

4

**Table 5** (on next page)

Protein expression levels of the six prognostic genes in the normal lung tissues and LUAD tissues obtained from the Human Protein Atlas database.

Gene name	Tissue type	Patients in high/medium staining n (%)	Patients in low/not detected staining n (%)
PFKP	Normal	0 (0%)	3 (100%)
	Tumor	2 (33.33%)	4 (66.67%)
PKM	Normal	0 (0%)	3 (100%)
	Tumor	3 (50%)	3 (50%)
TPI1	Normal	0 (0%)	3 (100%)
	Tumor	0 (0%)	3 (100%)
LDHA	Normal	0 (0%)	3 (100%)
	Tumor	7 (100%)	0 (0%)
PTGES	Normal	0 (0%)	3 (100%)
	Tumor	1 (16.67%)	5 (83.33%)
TYMS	Normal	0 (0%)	3 (100%)
	Tumor	4 (80%)	1 (20%)

1 **Note:**

2 LUAD, lung adenocarcinoma.