

# New record of podocopid ostracods from Burmese Cretaceous amber

He Wang Corresp., 1, Mario Schädel 2, Benjamin Sames 3, David Horne 4

Corresponding Author: He Wang Email address: hwang@nigpas.ac.cn

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<sup>1</sup> State Key Laboratory of Palaeobiology and Stratigraphy, Nanjing Institute of Geology and Palaeontology and Center for Excellence in Life and Palaeonvironment, Chinese Academy of Sciences, Nanjing, China

<sup>&</sup>lt;sup>2</sup> Zoomorphology group, Department of Biology II, Ludwig-Maximilians-Universität München, Planegg-Martinsried, Germany

<sup>3</sup> Department of Geodynamics and Sedimentology, University of Vienna, Vienna, Austria

<sup>4</sup> School of Geography, Queen Mary University of London, London, United Kingdom



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4 He Wang<sup>1</sup>, Mario Schädel<sup>2</sup>, Benjamin Sames<sup>3</sup>, David J. Horne<sup>4</sup>

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- 6 <sup>1</sup> State Key Laboratory of Palaeobiology and Stratigraphy, Nanjing Institute of Geology and
- 7 Palaeontology and Center for Excellence in Life and Paleoenvironment, Chinese Academy of
- 8 Sciences, Nanjing, China
- 9 <sup>2</sup> Zoomorphology group, Department of Biology II, Ludwig-Maximilians-University Munich,
- 10 Planegg-Martinsried, Germany
- 11 <sup>3</sup> Department of Geodynamics and Sedimentology, University of Vienna, Vienna, Austria
- 12 <sup>4</sup> School of Geography, Queen Mary University of London, London, United Kingdom

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- 14 Corresponding Author:
- 15 He Wang<sup>1</sup>
- 16 39 East Beijing Road, Nanjing 210008, China
- 17 Email address: corresponding author hwang@nigpas.ac.cn

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### **Abstract**

Burmese Cretaceous amber (~99 Ma, Myanmar) is famous for the preservation of a wide range of fauna and flora, including representatives of marine, freshwater and terrestrial groups. Here, we report on three ostracod specimens, that came visible as syninclusions to an aquatic isopod. The three specimens represent three different taxa, that were found preserved in a single piece of amber. One of the described specimens was studied using μCT scanning data. On the basis of general carapace morphology we assign all three to the group Podocopida, and (tentatively) its ingroup Cypridocopina. A lack of visibility of more particular diagnostic features such as adductor muscle scars and details of the marginal zone precludes a further identification, but we discuss possible affinities with either the marine-brackish group Pontocypridoidea or the non-marine group Cypridoidea. The taphonomy indicates that the studied ostracods have been

subjects to limited (if any) post-mortem transport, indicating a coastal palaeoenvironment.

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Keywords. Myanmar, amber, ostracods, palaeoenvironment, taphonomy

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## Introduction

Ostracods (also known as "seed shrimps") are small crustaceans with a bivalved carapace (e.g., *Horne et al.*, 2002). Adult ostracods are typically 0.5–2 mm long, but can also be smaller or much larger, for example the marine *Gigantocypris* can be more than 30 mm (*Poulsen, 1962*; *Horne et al.*, 2002; *Brusca & Brusca*, 2003). Ostracods are the most commonly preserved fossil arthropods, which can be dated back to Early Ordovician (*Horne*, 2005). The earliest ostracods



are all marine and the first undoubted non-marine representatives of the group are of Early Carboniferous age (Rodriguez-Lazaro & Ruiz-Muñoz, 2012). At the present day, non-marine ostracods can be found in most non-marine aquatic ecosystems including freshwater and saline lakes, streams and rivers, springs, wetlands, temporary ponds, and groundwater (Horne et al., 2019). They can even be found in semi-terrestrial habitats such as moist soils with leaf litter (Rodriguez-Lazaro and Ruiz-Muñoz, 2012). However, most of the relatively few records of ostracods preserved in amber (e.g., Keyser & Weitschat, 2005; Keyser & Friedrich, 2017; Matzke-Karasz et al., 2019) are from the Cenozoic and, although the number of kinds of organisms trapped in Burmese Cretaceous amber from Myanmar has increased exponentially over the past few years (including flowers, fungi, scorpions, spiders, crabs, frogs, dinosaurs and insects; Ross, 2018), only one ostracod (a marine myodocopan) has so far been reported (Xing et al., 2018). Here, we report podocopan ostracods from amber of Myanmar ("Burmite"), the age of which has been biostratigraphically constrained to be late Albian–early Cenomanian (latest Early to earliest Late Cretaceous; Cruickshank & Ko, 2003). Shi et al. (2012) further constrained the age geochronologically based on U-Pb zircon ages from the volcanoclastic rock matrix, containing the amber, to lowermost Cenomanian, ~ 99 million years. In this study we describe three fossil remains of Ostracoda in Burmese amber along with a careful interpretation about their systematic position and critically discuss their values as palaeoenvironmental indicators.

## **Materials & Methods**

A single piece of amber (26.4 mm feret diameter) is the focus of this study. It was commercially obtained by Mark Pankowski (Rockville, Maryland, USA) and was donated to the collection of the Natural History Museum Vienna ("Naturhistorisches Museum Wien", NHMW) in April 2017 (Thomas Nichterl, collection manager at NHMW, pers. comm., 2020). The amber piece is available under the collection number NHMW-2017/0052/0001. The amber piece comes from one of the commercial mining sites in the Hukawng Valley in the Kachin Province of Myanmar. Due to being commercially acquired, stating a more precise provenance is not possible.

Microscopic images were made using a Keyence VHX-6000 digital microscope. The focus-stacking function of the digital microscope was used to create in-focus images of three-dimensional objects despite the limitation of the depth-of-field. To gather x-ray micro-computer tomography ( $\mu$ CT) data, a Baker Hughes (General Electrics) "phoenix nanotom m" computer tomograph was used along with the acquisition software "datos|x". The  $\mu$ CT imaging was performed at the zoological State collection in Munich. A current of 100 kV was used to scan the object. The amber piece was rotated 360 degrees in 1440 steps. The final reconstruction of the volume data was done using VGStudio MAX 2.2.6.80630 (Volume Graphics). The achieved voxel size of the volume was 2.81295  $\mu$ m. Drishti 2.6.4 (GNU) was used for volume rendering. In some cases, more than one transfer function was applied to show structures with different x-ray qualities. Regular (two-dimensional) images and red-cyan stereo anaglyphs were exported. GIMP 2.10 (GNU) was used to optimize the histogram, and enhance colour, brightness and



80 contrast of the final images. Inkscape (versions 0.92.3 and 0.92.4, GNU) was used to create the figure plates. Measurements were performed using ImageJ (FIJI, public domain). 81 82 Systematic palaeontology 83 84 The higher classification draws mainly on schemes published by *Horne* (2002), *Hou et al.* (2002) 85 and Smith et al. (2015). 86 87 Ostracoda Latreille, 1806 (= Ostrachoda Latreille, 1802) 88 Podocopa Sars, 1866 Podocopida Sars, 1866 89 Cypridocopina Jones, 1901 90 91 92 All three ostracod taxa are assigned to the Cypridocopina on the basis of their overall carapace morphology, including rounded subtriangular outline in lateral view, compressed fusiform 93 94 outline in dorsal/ventral view, and smooth or lightly pitted external surface. Neither with light 95 microscopy nor with computer-tomography have we been able to resolve any diagnostic features. 96 such as adductor muscle scars or internal details of the marginal zone, that might lead to a more 97 precise identification. 98 99 Taxon A 100 Fig. 1A; Fig. 2; Suppl. 1 101 Material. One articulated carapace, moderately well preserved. 102 103 Dimensions. L: 0.57 mm, H: 0.34 mm, W: 0.17 mm. 104 105 106 Locality and horizon. Hukawng Valley, Kachin Province, Myanmar (E 96°36′15″, N 26°13′47″, accuracy of about 10 km); lowermost Cenomanian, lowermost Upper Cretaceous. 107 108 109 Description. Carapace small, elongate subtriangular in lateral view; slender, fusiform (spindle-110 shaped) with bluntly rounded extremities in dorsal view. Left valve slightly larger than right valve and overlapping right valve along all margins but most markedly on the ventral margin and 111 at the highest point of the dorsal margin. Left valve possibly with alveolus behind a rostrum. 112 Maximum height at one third of length from anterior margin. Anterior cardinal distinct, obtuse-113 angled (approx. 140°), forming the highest point. Posterior cardinal angle weakly rounded and 114 115 obtuse with about 160°. Anterior margin broad and slightly infracurvate, almost equicurvate with a moderately long, nearly straight dorsal part. Posterior margin distinctly narrower than anterior 116 117 one, rounded and equicurvate, having a short slightly curved dorsal part. Dorsal margin slightly convex and inclined towards posterior end, about 20°. Ventral margin almost straight, slightly 118 concave at mid-length. Surface smooth. Internal features not observable. 119



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121	Remarks: The left valve shows shallow indentation near the anterior end of the ventral margin
122	that could be interpreted as an alveolus behind a rostrum, i.e. a "beak" such as is diagnostic of
123	the group Cypridea (Superfamily Cypridoidea) (Fig. 2H). However, there is no trace of its
124	equivalent in the left valve, and the feature may actually represent damage to the valve. Based on
125	the gross morphological similarities, taxa A belongs to either Pontocypridoidea or Cypridoidea.
126	However, the strong asymmetry of the right and left valves perhaps favours an assignment to the
127	group Cypridoidea but this is not conclusive.
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129	Taxon B
130	Fig. 1B
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132	Material. One articulated carapace, well-preserved.
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134	Locality and horizon. Hukawng Valley, Kachin Province, Myanmar (E 96°36′15″, N 26°13′47″,
135	accuracy of about 10 km); lowermost Cenomanian, lowermost Upper Cretaceous.
136	
137	Description. Carapace small and fusiform (spindle-shaped) with sharp extremities in dorsal view;
138	surface smooth. Valves approximately equal in size, without evident overlap. Internal features
139	not observable.
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141	Remarks: We have been unable to obtain a clear lateral view of this carapace, which appears to
142	differ from those of taxa A and C by having sharper anterior and posterior extremities and no
143	obvious overlap of the valves. We speculate that Taxon B belongs to either Pontocypridoidea or
144	Cypridoidea according to preserved features.
145	Towns C
146	Taxon C
147 148	Fig. 1C
140 149	Motorial One articulated caranges, wall preserved
150	Material. One articulated carapace, well-preserved.
150 151	Locality and horizon. Hukawng Valley, Kachin Province, Myanmar (E 96°36′15″, N 26°13′47″,
152	accuracy of about 10 km); lowermost Cenomanian, lowermost Upper Cretaceous.
153	accuracy of about 10 km), lowermost echomaman, lowermost opper cretaceous.
154	Description. Carapace small, rounded subtriangular in lateral view. Left valve slightly
155	overlapping right valve along ventral and posterior margins. Maximum height in front of mid-
156	length. Anterior margin broad, almost equicurvate. Posterior margin narrower than anterior one,
157	rounded and equicurvate. Carapace distinctly punctate tending to reticulation. Internal features
158	not observable.
150	not observable.



- 160 Remarks: The carapace is similar in lateral outline, but laterally more inflated, than that of Taxon
- 161 A. Moreover, Taxon A has an apparently smooth exterior while Taxon C is distinctly punctate.
- Based on its overall shape and distinct punctation it has strong similarities to species of the non-
- marine group *Harbinia* Tsao, 1959 (an ingroup of Cypridoidea).

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Syninclusions: cf. Psychodidae (Diptera); Alavesia sp. (Diptera); Coleoptera sp.; Euarthropoda sp. (one unidentifiable remain and three isolated legs); Cymothoida sp. (Isopoda) (Schädel et al. in review).

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#### **Discussion**

170 Even though the studied ostracod specimens are well-preserved, their positions within the amber piece do not allow us to study further details, so we are unable to identify them beyond 171 suborder level with confidence. This limitation in turn impedes the palaeoenvironmental 172 interpretation of the assemblage. Nevertheless, we can speculate, on the basis of gross 173 morphological similarities, that at least taxa A and B belong to either of two ingroups of 174 Cypridocopina, namely Pontocypridoidea and Cypridoidea. Moreover, as we don't know if the 175 specimens are adults, and the shape of Taxon A could be a juvenile, which tend to have less 176 inflated posterior margins. If we assume Taxon A is an adult, then it resembles a 177 178 Pontocypridoidea or Cypridoidea (maybe even a Paracypridinae of the Candonidae). Cypridoidea are the predominant group in non-marine environments today, such as fresh water 179 and saline inland water (athalassic environments). Pontocypridoidea, on the other hand, 180 comprises marine and brackish-water taxa (*Horne*, 2003). In the case of Taxon C the general 181 shape and the association with the other two taxa suggest that it, too, is a cypridocopine. Species 182 183 of the Cretaceous non-marine cypridoidean genus *Harbinia* Tsao, 1959, have similar carapace shape and punctate/reticulate ornament (e.g. *Harbinia hapla* Tsao, 1959, illustrated by *Ye et al.* 184 (2003: pl. 27, figs 2a-c). However, we cannot rule out the possibility that it may belong to the 185 186 group Cytheroidea (which is the dominant marine group today but also has non-marine lineages) 187 without being able to see the characteristic adductor muscle scar patterns that distinguish between cypridoideans and cytheroideans. 188

All three ostracod specimens in this study lack preserved soft parts but are preserved with articulated carapaces, suggesting limited (if any) post-mortem transport. In view of our uncertainty about the precise systematic interpretation of our specimens we can contribute little to the discussion of the taphonomic and palaeoenvironmental interpretation of the Burmese amber assemblage. Both cypridoidean and pontocypridoidean taxa would be consistent with the mixed marine–freshwater–terrestrial components of the assemblage.

An ammonite shell preserved in Burmese amber argues that the Burmese amber forest was located near a dynamic and shifting coastal environment (*Yu et al.*, 2019), a conclusion supported by the occurrence of a marine myodocopan ostracod (*Xing et al.*, 2018). Also, semi-aquatic and aquatic insects occur in Burmese amber, including Ochteridae (Hemiptera),

199 Heteroceridae (Coleoptera), Chresmododea and Gerridae (Hemiptera), Dytiscidae and Gyrinidae



(Coleoptera), adults and larvae of Odonata, larvae of Psephenidae, Trichoptera, and 200 Ephemeroptera (Zhang, 2017; Xing et al., 2018; Schädel et al., 2020). The herein presented 201 ostracods are within the same amber piece as a fossil, supposedly aquatic living, isopod (Schädel 202 et al. in review). Actuo-palaeontological experiments (Schmidt & Dilcher, 2007) have 203 204 demonstrated, that it is easily possible for aquatic organisms to be trapped in submerged bodies of resin. Several records of delicate arthropod remains from groups with supposed aquatic 205 lifestyle (Heard et al., 2018; Schädel et al., 2019; Schädel et al. 2020; Serrano-Sánchez et al., 206 2015; Serrano-Sánchez et al., 2016) indicate that the result of in-situ embedment of aquatic 207 organisms is present in many amber sites. Recurrent flows of resin and changing water levels can 208 209 explain the preservation of aquatic and non-aquatic organisms – such as the dipterans in the herein presented assemblage – in the same amber piece (*Xing et al., 2018*). 210

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### Conclusions

The three specimens are new records of podocopan ostracods to be reported from Burmese Cretaceous amber, and most likely belong to the Order Podocopida, Suborder Cypridocopina, and either the Superfamily Cypridoidea (indicative of non-marine environments) or the Superfamily Pontocypridoidea (indicative of marine/brackish environments). If the former be true, these would be the oldest non-marine ostracods preserved in amber. Either superfamily assignment would be consistent with previous evidence of a mixed marine–freshwater–terrestrial assemblage deposited in a coastal setting.

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# Data and materials availability:

The amber is deposited permanently at the Natural History Museum Vienna (Naturhistorisches Museum Wien', NHMW) in full compliance with the International Code of Zoological Nomenclature (https://www.iczn.org/the-code/the-international-code-of-zoological-nomenclature/the-code-online/). The fossils at the NHMW are held safely in trust for the benefit



- of researchers and educators in the world respecting all ethnic groups, ages, sexes, landowners
- and collectors. Apart from public exhibitions, access is free to all scientists and interested people
- by prior arrangement during normal working hours and subject to the NHMW laboratory and
- 243 museum regulations. All data needed to evaluate the conclusions in the paper are present in the
- paper. All data related to the paper are also deposited in the Morph D·Base (Zoological Research
- 245 Museum Alexander Koenig, Germany). Correspondence and requests should be addressed to
- 246 H.W.

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## Supplementary files:

- 249 Supplementary File 1 (marked by the arrow in fig.1A): μCT scan data of Taxon A, NHMW-
- 250 2017/0052/0001, sequence of images in Tagged Image Format (TIFF). Available from
- 251 Morph D·Base (Zoological Research Museum Alexander Koenig, Germany):
- www.morphdbase.de/?M\_Schaedel 20200706-M-34.1

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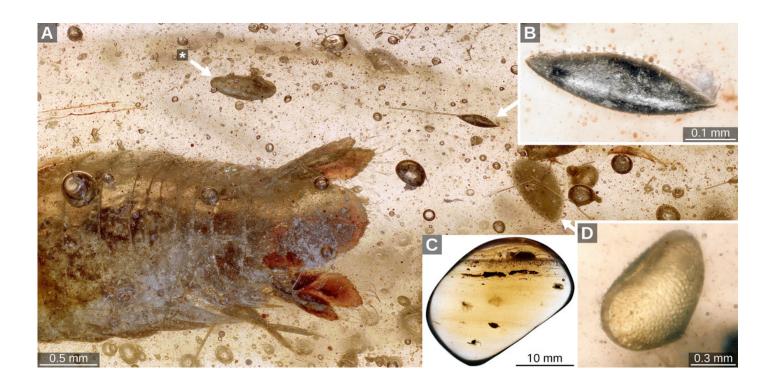


# **PeerJ**

339	Figure explanations
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341	Fig. 1: A: Overview image depicting the relative position of the ostracods (white arrows); B:
342	Taxon B with numerous vesicles at or right above the lateral pore canals; C: overview image of
343	the amber piece. D: Taxon C; asterisk: Taxon A.
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345	Fig. 2: Taxon A. A-B: light microscopy, mixed translucent and reflected light. A: dorsolateral
346	view. B: left lateral view, side 1; C–L: volume rendering images based on μCT data. C: right
347	lateral view; D: red-cyan stereo anaglyph, posterolateral view; E: posterior view; F: ventral view;
348	G: dorsal view; H: left lateral view; I: red-cyan stereo anaglyph, anterolateral view; J: anterior
349	view; K: ventral view, presumed pyrite crystals in red; L: right lateral, presumed pyrite crystals
350	in red.

# Figure 1

Fig. 1: A: Overview image depicting the relative position of the ostracods (white arrows); B: Taxon B with numerous vesicles at or right above the lateral pore canals; C: overview image of the amber piece. D: Taxon C; asterisk: Taxon A.



# Figure 2

Taxon A. A–B: light microscopy, mixed translucent and reflected light. A: dorsolateral view. B: left lateral view, side 1; C–L: volume rendering images based on  $\mu$ CT data. C: right lateral view; D: red-cyan stereo anaglyph, posterolateral view;

E: posterior view; F: ventral view; G: dorsal view; H: left lateral view; I: red-cyan stereo anaglyph, anterolateral view; J: anterior view; K: ventral view, presumed pyrite crystals in red; L: right lateral, presumed pyrite crystals in red.

