

# Validation and clinical application of a targeted next-generation sequencing gene panel for solid and hematological malignancies

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**Background:** Next-generation sequencing (NGS) has become a high-throughput technology widely integrated in molecular diagnostics laboratories. Among the large diversity of NGS-based panels, the Trusight Tumor 26 (TsT26) permitted the detection of low-frequency variants across 26 genes using the MiSeq platform. **Methods:** We described the clinical implementation of the panel in 399 patients affected of varied tumors types: gastrointestinal (GI, 29%), hematological (18%), lung (13%), gynecological and breast (8% each), among other tumor kind, after performing a previous inter-laboratory validation. **Results:** The panel performance resulted in an overall agreement of 93%. Two thirds of the patients succeeded in the sequencing testing against a third that failed due to unsuccessful quality controls filtering. The major number of detected variants was observed in *TP53* (28%), *KRAS* (16%), *APC* (10%) and *PIK3CA* (8%) genes. Globally, 372 variants were identified in 23 genes, primarily distributed as missense (81%), stop gain (9%) and frameshift (7%) altered sequences and mostly reported as pathogenic (78%) and VUS (19%). The more repeated variant across GI and lung tumors were *KRAS* G12D/V/C. **Conclusions:** Together, an appropriate validation of the TsT26 panel has granted a good application into the clinical routine by providing several relevant and potentially targetable variants across multiple FFPE tumors.

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8 Introduction

9 Most of the laboratories committed to molecular diagnostics have incorporated next-  
10 generation sequencing (NGS) technology permitting a high-throughput sequencing of the genome.  
11 In part, due to the necessity of clinicians to gather data of genetic alterations to precisely guide the  
12 matching of a specific molecular-based therapy to the appropriate patient (Friedman et al., 2015).  
13 On the other hand, the cost-effectivity and multiple advantages of its application among other  
14 technologies might explain its extended use (Tan et al., 2018). A simultaneous screening of  
15 multiple genes in numerous samples in a single assay better defines this molecular testing standing  
16 out from the rest of diagnostic platforms generally analyzing an individual gene of a unique  
17 sample. It is a high sensitivity tool requiring small amounts of DNA input, besides of providing  
18 variant allele frequencies (Luthra et al., 2015; Surrey et al., 2017).

19 A crescent number of biomarkers are progressively required to characterize the molecular  
20 profile of a specific type of tumor or to administer targeted therapies (Morganti et al., 2018).  
21 Hence, platforms analyzing a single marker are becoming less convenient, leaving more room to  
22 those yielding results from several markers at once. An NGS-based gene panel test tolerates the

23 detection of genetic aberrations of different biomarkers sensitive of being targetable by molecular-  
24 based drugs (Nagahashi et al., 2018). Accordingly, the development of distinct gene panels confers  
25 the opportunity to identify multiple mutations of a concrete tumor type. In lung cancer, alterations  
26 in *EGFR*, *ALK* or *ROS1* genes are used to guide FDA-approved therapies (Hyman, Taylor &  
27 Baselga, 2017). Among the large diversity of NGS-based panel types, the Trusight Tumor 26  
28 (TsT26) from Illumina was released time ago as a small actionable gene panel enabling the  
29 identification of low-frequency variants of genes involved in targeted therapy of solid tumors  
30 (Dong et al., 2015). The panel included *KRAS*, *NRAS* and *BRAF* genes that may be used for  
31 eligibility of colorectal cancer (CRC) patients against targeted anti-EGFR treatment, as well as to  
32 establish prognostication at any stage of the disease (Sepulveda et al., 2017). Several guidelines  
33 and recommendations are meant to standardize the implementation of NGS-based panels into the  
34 clinics by the consideration of a prior technical validation (Jennings et al., 2017). A NGS-based  
35 panel should not be set up into the clinical practice unless an acceptable validation is performed  
36 beforehand (Matthijs et al., 2016). In fact, the validation process should be able to document  
37 thoroughly how the assay is sufficiently reliable in identifying known mutations detected by  
38 diagnostic standards (McCourt et al., 2013).

39         After multidisciplinary clinical consensus, the need for an NGS-based panel in the medical  
40 routine was established for detailed molecular characterization of patients affected of diverse  
41 advanced cancer types. A unique molecular testing would bring the possibility of considering  
42 either administering targeted therapy or selecting appropriate candidates to participate in early-  
43 stage clinical trials from our institution. We postulated that a small gene panel such is the TsT26  
44 panel including several genes implicated in targeted therapy and targets required for the  
45 recruitment to specific early-stage clinical trials would be suitable to fulfill our care necessity. In

46 order to test the panel capacities, the study first aimed to demonstrate whether its use might  
47 determine the mutational status of three precise genes, *KRAS*, *NRAS* and *BRAF*, altogether  
48 associated with treatment decision-making in CRC. For that purpose, we managed an inter-  
49 laboratory validation to incorporate the assay in the medical routine. Between 2015 and 2017, the  
50 laboratory subsequently employed the panel in the habitual activity. We have secondly described  
51 the successful practicability of the TsT26 panel in 399 patients presenting diverse tumorigenesis  
52 and evaluated its utility within the clinical context.

53

## 54 Material and Methods

### 55 FFPE tissue collection

56 The TsT26 panel performance was conducted in three clinical centers: Hospital Del Mar  
57 Medical Research Institute (Barcelona, Spain, n=16), Vall d'Hebron University hospital  
58 (Barcelona, Spain, n=16) and Fundación Jiménez Díaz University hospital (Madrid, Spain, n=16)  
59 with the collaboration of the Madrid Science Park. It overall included archived FFPE material from  
60 48 patients affected of primary colorectal cancer with a prior known mutational status of *KRAS*,  
61 *NRAS* and *BRAF* genes. Samples were obtained from MARBiobank (PT17/0015/0002), VHIR-  
62 Biobank (PT17/0015/0026) and the Biobank Fundación Jiménez Díaz (PT17/0015/0006), each of  
63 them belonging to the Spanish National Biobanks Network. Additional FFPE samples of varied  
64 tumor types undertook the TsT26 panel testing at the Fundación Jiménez Díaz University hospital  
65 (Madrid, Spain, n=399). Written consent was received from each donor (Data S1 and S2). All  
66 investigations followed standard operating procedures with the approval of the Fundación Jiménez

67 Díaz University hospital Ethic and Scientific Committee (PIC 23-2012) and were conducted in  
68 accordance to the principles outlined in the Declaration of Helsinki.

69 Tumor cell content quality control (QC) 1

70 FFPE tissue sections (4  $\mu\text{m}$  thick) were obtained for hematoxylin and eosin staining (Dako  
71 Coverstainer, Agilent, Santa Clara, CA, USA) to assess tumor cell content (TCC) of at least 30%.  
72 A pathologist (SMR-P and FR) examined the tumor cell content, scored the percentage of  
73 neoplastic nuclei and encircled the tumor area. When the TCC was below 30%, macrodissection  
74 was manually achieved with a scalpel blade (Fig. S1A).

75 DNA isolation

76 Consecutive FFPE tissue sections were obtained to extract genomic DNA according to the  
77 specimen type. Surgical resections were sectioned 10  $\mu\text{m}$  thick, biopsies 30 to 40  $\mu\text{m}$ , and  
78 endoscopies and cytologies 100  $\mu\text{m}$  deep. Isolation was done by using the cobas® DNA Sample  
79 Preparation Kit (Roche Diagnostics, Pleasanton, CA, USA). Briefly, xylene deparaffinized FFPE  
80 sections were incubated at 56°C in 22 mg/ml proteinase K lysis/binding buffer, followed by  
81 incubation at 90°C. Isopropanol was added to the mixture and subsequent centrifugation through  
82 a glass fiber filter insert column was performed. Released nucleic acids were washed and eluted  
83 in a volume of 30  $\mu\text{l}$ . Both concentration and purity were determined by Nanodrop (Thermo  
84 Fischer, Waltham, MA, USA) and Qubit 3.0 (Thermo Fisher, Waltham, MA, USA).

85 TsT26  $\Delta\text{Cq}$  DNA QC2

86 Extracted DNA was amplified in triplicate by quantitative PCR using the KAPA SYBR  
87 FAST master mix (Life technologies, Grand Island, NY) on the Lightcycler® 480 system (Roche  
88 Molecular System, Pleasanton, CA, USA). The amount of DNA input was established by

89 comparing the ability of DNA to be amplified in relation to a non-FFPE reference genomic DNA.  
90 A  $\Delta Cq$  value was calculated for each sample as follows:  $\Delta Cq = \text{mean sample } Cq \text{ value} - \text{mean}$   
91  $\text{non-FFPE control } Cq \text{ value}$ . A mean of  $\Delta Cq < 6$  was considered as appropriate for library  
92 preparation despite the instructions of the protocol that recommended a  $\Delta Cq < 4$  (Fig. S1B).

### 93 TsT26 Library preparation QC3

94 NGS libraries were prepared using the TsT26 panel (Illumina, San Diego, CA, USA) (Table S1),  
95 a multiplexing kit of 178 amplicons covering 82 exonic regions across 26 genes (Table 1).  
96 Undiluted or diluted DNA, because of the  $\Delta Cq$  score, was used to generate complementary  
97 libraries targeting both positive and negative strands for each sample with two distinct  
98 oligonucleotide pools, pool A and pool B. Both oligo pools were hybridized to DNA overnight  
99 and resulting products were ligated and amplified with adaptors and index sequences (barcodes).  
100 Then, the amplified libraries were purified using Agencourt AMPure XP magnetic beads  
101 (Beckman-Coulter, Indianapolis, IN, USA). The obtained products were checked for their base  
102 pair range using 2% agarose gel electrophoresis along with a 50 bp ladder (Sigma-Aldrich, San  
103 Luis, USA) or a 2100 bioanalyzer instrument (Agilent, Santa Clara, CA, USA) (Fig. S1C).  
104 Generated libraries in the 300-330 base pair range were considered suitable for sequencing.  
105 Library concentration was measured using Qubit 3.0 fluorometer (Thermo Fisher, Waltham, MA,  
106 USA) and normalized to 4 nM in elution buffer with Tris.

### 107 TsT26 high-throughput sequencing

108 Libraries were then diluted to 10 or 12 pM and to 15 or 20 pM and pooled on a v2 300-  
109 cycle or v3 600-cycle sequencing kits according to the manufacturer's protocol. Sequencing was

110 achieved for both pool A and B by loading 600  $\mu$ l of library mixes. Some runs were loaded along  
111 with 1% PhiX.

112 TsT26 analysis, quality metrics and variant detection

113 The integrated analysis software (Illumina, San Diego, CA, USA) including image analysis, base  
114 calling and assignation of quality scores automatically performed primary analysis. The  
115 sequencing analysis viewer software (SAV, Illumina, San Diego, CA, USA) confirmed quality  
116 metrics by using interop files along with run info and parameters. A Phred score of Q30 was  
117 considered for each run. The MiSeq Reporter software (Illumina, San Diego, CA, USA) included  
118 demultiplexing, sequence alignment and variant calling. Successful sequencing runs generated 2  
119 FASTQ files, 2 BAM and BAM-BAI files for each sample pool A and pool B library pair and a  
120 single genomic variant call (VCF) file. Integrative Genomics Viewer software (IGV, Broad  
121 Institute, CA, USA) enabled to visualize sequenced regions (Thorvaldsdóttir, Robinson &  
122 Mesirov, 2013). An exportable excel format was generated for amplicon coverage assessment.

123 Annotation of detected variants used the Illumina Variant Studio version 2.2 software  
124 (Illumina, San Diego, CA, USA). The model only called variants for bases that were covered at  
125 300x or greater for a single amplicon. Every variant with a variant allele frequency (VAF) less  
126 than 3% was filtered and excluded before review. Detected variants were marked with a PASS  
127 filter flag if satisfying the following criteria: variant must be present in both pools, cumulatively  
128 have a depth of 1000x or an average depth of 500x per pool. Those detected variants that did not  
129 accomplished this criterion or presented strand bias were further assessed during interpretation. A  
130 biologist (NC or SZ or CC) evaluated variants by identifying missense, frameshift, stop gain or  
131 loss, inframe insertions or deletions affected sequences. Variant classification employed ClinVar  
132 (<http://www.ncbi.nlm.nih.gov/clinvar>) (Harrison et al., 2016; Landrum et al., 2016), COSMIC

133 (<http://cancer.sanger.ac.uk/cosmic>) (Tate et al., 2019) and cBioPortal (<http://www.cbioportal.org/>)  
134 (Cerami et al., 2012; Gao et al., 2013) databases. Additional catalogues such as CIVIC  
135 (<https://civicdb.org/home>) (Griffith et al., 2017), OncoKB (<https://oncokb.org/>) (Chakravarty, Gao  
136 & Phillips, 2019) or the Cancer genome interpreter  
137 (<https://www.cancergenomeinterpreter.org/analysis>) (Tamborero, David Dienstmann et al., 2018)  
138 were also accessed for variant interpretation. Pathogenic, likely pathogenic, variant of uncertain  
139 significance (VUS) and benign or likely benign variants were reported according to standard  
140 guidelines (Richards et al., 2015; Hoskinson, Dubuc & Mason-Suares, 2017). A pathologist (SMR-  
141 P and FR) finally authenticated the reported variants.

#### 142 *KRAS* and *NRAS* pyrosequencing

143 Pyrosequencing was determined for *KRAS* and *NRAS* genes was determined using the CE-IVD  
144 theascreen *KRAS*, *NRAS* and *RAS* Extension pyro kits (Qiagen, Hilden, Germany), according to  
145 the manufacturer's instructions. Briefly, 10 ng/ul DNA templates were amplified in a  
146 SimpliAmp™ thermal cycler (Applied Biosystems, Foster City, CA, USA) targeting codons  
147 12/13, 59, 61, 117 and 146. Amplicons were then immobilized on Streptavidin Sepharose®High  
148 Performance beads (GE Healthcare, Little Chalfont, UK). The obtained single-stranded DNA was  
149 prepared with the corresponding sequencing primers to DNA annealing. Further pyrosequencing  
150 run and analysis was carried out on the Pyromark Q24 system along with the software version 2.0  
151 *KRAS* plug-in report (Qiagen, Hilden, Germany). Mutation thresholds were identified in relation  
152 to the manufacturer's limit of detection (>LOD + 3%). Both unmethylated control DNA and non-  
153 template control were included in every run for comparison and background levels screening.

#### 154 *BRAF* cobas assay and direct sequencing

155 The CE-IVD cobas® 4800 BRAF V600 Mutation Test (Roche Diagnostics, Pleasanton, CA, USA)  
156 was used to identify BRAF V600E mutation by real time PCR technology, in agreement with the  
157 manufacturer's protocol. Extracted DNA was diluted to a concentration of 5 ng/ul and further  
158 amplified with specific fluorescent dye-labeled Taqman probes targeting the exon 15 region and  
159 binding to either wild-type or V600E sequences. Detection of fluorescence was performed on the  
160 cobas z 480 Analyzer and mutational status was reported as mutation detected or not detected with  
161 the cobas 4800 software (Roche Diagnostics, Pleasanton, CA, USA).

162 The mutational status of *BRAF* was also determined by direct sequencing. Primers were  
163 designed with the Primer Express software (Applied Biosystems, Foster City, CA, USA) using  
164 *BRAF* sequences NG-007873.3: *BRAF*-Fw: 5'-CTCTTACCTAAACTCTTCATAATGCTTGC-3'  
165 and *BRAF*-Rv: 5'-CAGCATCTCAGGGCCAAAAA-3'. Amplification conditions included initial  
166 denaturation 10 min at 95°C, 40 cycles of 1min at 95°C, 1 min at 55°C, 1 min at 72°C, and a final  
167 step of 10 min at 72°C. Amplicons were processed for DNA sequencing using the ABI-PRISM  
168 Big Dye version 3.1 (Applied Biosystems Foster City, CA, USA). Sequencing data were generated  
169 using the ABI-Prism 3730 XL DNA analyzer (Applied Biosystems Foster City, CA, USA) (Bessa  
170 et al., 2008).

## 171 Statistical analysis

172 We hypothesized that the expected difference of the detected variants found between the  
173 conventional methods and the NGS-based panel was less than 10% for the group of samples with  
174 mutations in *BRAF*, *KRAS* or *NRAS* genes. By using the PS program (Dupont & Plummer, 1990),  
175 the minimal sample needed to detect this difference was 44 cases with a power of 0,90 and two-  
176 sided error alpha of 0,05. Concordance data was analyzed using the SPSS version 21.0 software

177 for Windows (IBM, New York, NY, USA) and GraphPad Prism version 5.0 software (GraphPad  
178 Software, Inc., La Jolla, CA), as previously described (Van Stralen et al., 2009). Descriptive data  
179 were expressed as the mean and 95% confidence interval (CI).

## 180 Results

### 181 Inter-laboratory performance of the TsT26 panel

182 Each center participating in the validation procedure tested every set of the selected  
183 samples to identify altered variants in *KRAS*, *NRAS* and *BRAF* genes against a gold standard  
184 platform. Twenty-nine variants were found. The same samples were run through the TsT26 panel  
185 in the MiSeq platform. Sample 1-5 variant G12A was not detected and samples 3-4 and 3-11 were  
186 identified as variant detected, respectively as G12S and G12V (Table S2). So, NGS analysis finally  
187 identified thirty variants. Center 1 was able to detect 25 variants whereas centers 2 and 3 both  
188 distinguished 29 detected variants (Fig. 1A). Performance of the TsT26 panel was calculated as  
189 the whole data produced by each center. That is 48 samples run in triplicate or 144 outcomes  
190 considered for the agreement analysis between results found with the TsT26 panel and the  
191 reference gold standard, as pointed out in Table 2.

### 192 High-throughput sequencing quality metrics of the panel performance

193 Nine runs employing v2 300-cycle sequencing chemistry were done during the validation  
194 process. Quality metrics including cluster density and cluster passing filter were found slightly  
195 increased in comparison to the manufacturer's guidance as depicted in Fig. 1B. From every  
196 detected variant discovered through NGS testing, each gene presented a read depth higher than  
197 1000x and a variant allele frequency (VAF) greater than 5% (Figs. 1C and 1D).

### 198 Patient characteristics and clinical practicability of the TsT26 panel

199 A set of 399 patients was included in this study. Sex and age data were available for  
200 respectively 386 and 365 patients. Regarding the varied tumor types, the most abundant consisted  
201 in gastrointestinal (GI), hematological, lung, gynecological, and breast samples, whereas  
202 melanoma, head and neck, genitourinary, central nervous system (CNS), and other histological  
203 cancer types were limited in number (Table S3). Overall consisted in biopsy specimens, surgical  
204 resections, endoscopies and cytologies (Table 3). From the entire data set, 40% was from external  
205 origin.

206 Two thirds of the total samples succeeded for the sequencing testing against a third that  
207 failed due to unsuccessful quality controls filtering (Fig. 2A and 2B). Quality controls included a  
208 first TCC management, then a second assessment of DNA quality and a final quantitation of  
209 libraries preparation. Unfulfilling any of these quality controls lead to sequencing failure (Fig. 2C).

210 DNA quality assessment for high-throughput sequencing by quantitative PCR

211 The majority of the samples presenting a  $\Delta Cq < 4$  resulted in a successful NGS sequencing.  
212 Five samples were sequenced despite of showing  $\Delta Cq > 6$  upon explicit clinical request. Several  
213 samples exhibiting a  $\Delta Cq$  value between 4 and 6 were able to generate valuable libraries.  
214 Consequently, we extended the cut-off value of the  $\Delta Cq$  to 6. Thirty-seven samples did not  
215 undergo DNA quality assessment, 5% of them resulting in a NGS fail, 3% in detected variants and  
216 1% in not detected variant (Table 4).

217 Variant detection and high-throughput sequencing quality metrics during TsT26 panel  
218 implementation

219 Detected variants were identified in 74% (194 samples) of the successful sequenced  
220 samples whereas not detected variants or a wild type genotype was found in 26% (69 samples)

221 (Table S4). The major number of detected variants was observed in *TP53* (28%), *KRAS* (16%),  
222 *APC* (10%) and *PIK3CA* (8%) genes. In contrast, a lower amount of detected variants was  
223 encountered in *MET* (5%), *BRAF* (4%), *SMAD4* (3%), as well as in *KIT*, *PTEN*, *NRAS*, *CTNNB1*,  
224 *FBXW7*, *CDH1*, *HER2*, (2% each) and *GNAS*, *MAP2K1*, *STK11*, *EGFR*, *PDGFRA*, *MSH6*,  
225 *FGFR2*, *GNAQ*, *SRC* (1% each). No variants were detected in *AKT1*, *ALK* and *FOXL2* genes (Fig.  
226 3A).

227 Thirty-seven runs were done during the clinical implementation, 17 employing v2 300-  
228 cycle and 20 using v3 600-cycle sequencing chemistries. Although certain runs experienced  
229 underclustering, the cluster density mean was found within the optimal range recommended by the  
230 manufacturer between 1000 and 1400 clusters per mm<sup>2</sup> (K/mm<sup>2</sup>). Accordingly, these runs showed  
231 a high percentage value of cluster passing filter that lead to an elevated mean of this parameter  
232 (Fig. 3B). A read depth greater than 1000x was observed for each gene, except in two skin  
233 melanomas presenting a reduced depth value that subsequently required further corroboration by  
234 additional molecular testing. As well, every detected variant demonstrated a VAF superior than  
235 3%. The *MET* gene showed the highest VAF mean in comparison to the other studied genes (Figs.  
236 3C and 3D).

237 Coverage by amplicon was calculated by obtaining the mean of each amplicon covering  
238 each exonic region. The mean coverage of *AKT1* exon 2, *STK11* exons 1 and 6 did not satisfied  
239 the minimum coverage of 1000x required by the panel. In addition, *EGFR* ex21, *STK11* exons 4,  
240 8 and *TP53* exon 11 presented the same condition although this was compensated by cumulatively  
241 counting the coverage of the second pool (Fig. S2).

242 Detected variants analysis by tumor type

243 In GI tumors, detected variants were more frequently observed in *KRAS* (23%), *TP53*  
244 (22%), *APC* (16%) and *PIK3CA* (8%) genes. Besides, detected variants were identified in the same  
245 genes in gynecological tumors, whereas in lung there were more recurrently perceived variants in  
246 only *TP53* (33%) and *KRAS* (21%) genes. In contrast, detected variants in hematological  
247 malignancies were merely seen in the *KRAS* gene (Fig. 4). In melanoma, 46% of the detected  
248 variants were found in *BRAF* and 15% in *NRAS* genes. In breast, *TP53* and *PIK3CA* genes  
249 presented a major amount of affected variants in comparison to other genes. Whereas  
250 genitourinary, head and neck, and SNC tumor types exhibited at least one detected variant per  
251 concerned gene except in *TP53*, *PIK3CA* and *MET* (Fig. S3).

252 Three hundred and seventy-two detected variants were identified in 23 genes of the TsT26  
253 panel. The dominant type of detected variants consisted in missense altered sequences (81%);  
254 followed by stop gain (9%) and frameshift (7%) affected sequences. Minor alterations  
255 corresponded to inframe deletions (2%), splice region variants (1%), inframe insertions (1%) and  
256 start lost (1%). Mostly detected variants were reported as pathogenic (78%) or likely pathogenic  
257 (1%), whereas 19% of variants were classified as VUS and 2% as benign or likely benign. The  
258 more repeated variants across tumor types were *KRAS* G12D, G12V and *MET* E168D in GI  
259 tumors, *TP53* R248W in hematological malignancies, *KRAS* G12C and G12V in lung, *PIK3CA*  
260 H1047R/L in breast and *BRAF* V600E in both melanoma and head and neck cancers (Table S5).

261 Gene mutation frequencies by histological tumor type

262 We further compared mutation frequencies of the genes presenting detected variants to  
263 those encountered at the TCGA database in each histological tumor type. Similarity was observed  
264 when relating mutations frequencies of genes contained in the TsT26 panel to those from the  
265 TCGA set for most of the tumors included in the study. For instance, *KRAS*, *TP53*, *APC*, *PIK3CA*,

266 *SMAD4*, *FBXW7* and *BRAF* genes presented higher mutation frequencies in CRC. Additional  
267 genes prevalence in other tumor types can be seen in Figs. S4 and S5. Hepatocarcinoma, cervical  
268 and mesothelioma tumor types did not present sufficient cases to perform comparisons.

269 Subsequent clinical decision-making to high-throughput sequencing

270         After reviewing the medical records of the 194 patients presenting detected variants in  
271 diverse genes after application of the TsT26 panel, we were able to associate a subsequent clinical  
272 action to a reported detected molecular alteration (Table S6). Arising from the 372 detected  
273 variants found, 37% were considered clinically relevant and a treatment decision was attempted  
274 on 13% of them. Considering patients, only 14% of them received a targeted therapy based on the  
275 detected variant found by the TsT26 panel (Table S7).

276 Discussion

277         In this study, we have conducted an inter-laboratory validation of the TsT26 panel into the  
278 clinical routine based on the reproducibility of detecting alterations in three genes of potential  
279 therapy interest. We obtained robust data regarding the detection of relevant and likely targetable  
280 variants across multiple tumors from 399 patients, despite a large number of samples that failed  
281 strict quality assessments. The reporting of detected variants was supported by adequate  
282 sequencing metrics and subsequent clinical decision-making when indicated.

283         The TsT26 panel incorporated rigorous pre-analytical requirements to obtain a favorable  
284 sequencing outcome. Samples were primarily evaluated for their TCC. Although a minimum of  
285 30% of TCC was set up as the cut-off value to contemplate, a large number of samples with a  
286 lower TCC were selected to initiate the panel testing. In part, because the standard reference of the  
287 laboratory was established in a 10% value as the minimum TCC, however most of these samples

288 underwent tissue macrodissection. Secondly, DNA quality was assessed by qRT-PCR. Indeed, this  
289 estimation is considered a better indicator of amplifiable material than other common methods  
290 such can be fluorometric and spectrophotometric evaluations, the latter usually overestimating the  
291 amount of double stranded DNA (Deans et al., 2017). Final quality control measured library  
292 adequacy for ultimate sequencing. Despite of showing a good DNA quality demonstrated by a  
293 favorable qRT-PCR assessment, several samples failed in the library generation procedure by  
294 unfulfilling an appropriate right base pair size. Because of the severe quality control undergone  
295 before initiating the sequencing justified that a third of the global set of samples failed the TsT26  
296 testing.

297 Our data revealed a similar prevalence of detected variants in GI, lung and melanoma  
298 tumors with previous published NGS results. In 52 colorectal tumors, *KRAS*, *TP53* and *APC* were  
299 the genes affected with a major number of detected variants using the same panel TsT26 (Giardina  
300 et al., 2018). The GI tumor type presented the same genes as more frequently altered. In other 45  
301 lung adenocarcinomas, *TP53*, *KRAS* and *PIK3CA* showed the more elevated percentages of  
302 detected variants per gene using the ion torrent AmpliSeq Cancer Hotspot v2 assay (Tsongalis et  
303 al., 2014). Likewise, *TP53*, *KRAS* and *APC* presented more somatic alterations in the set of lung  
304 samples, whereas *PIK3CA* was represented in a much lower proportion. Others confirmed the  
305 elevated quantity of mutations in the *KRAS* gene despite the use of a limited sample size (Patel et  
306 al., 2017) or a much larger data set (Legras et al., 2018). An implementation study employing a  
307 customized Ampliseq NGS panel including 35 genes reported *BRAF*, *TERT* and *NRAS* as the more  
308 prevalent mutated genes in a set of 100 primary melanoma samples (De Unamuno Bustos et al.,  
309 2017). Although the TsT26 panel lacks from the study of the gene *TERT*, *BRAF* and *NRAS* were

310 the more frequently mutated genes in melanoma samples, as supported by others (Fisher et al.,  
311 2016; Giardina et al., 2018).

312 Abundant studies have characterized a prior validation to implement an NGS-based panel  
313 commonly employed in the assessment of targeted therapies in solid tumors (Tsongalis et al., 2014;  
314 Csernak et al., 2017; Kou et al., 2017; Luthra et al., 2017; Lee et al., 2018; Maxwell et al., 2018;  
315 Sussman et al., 2018; Williams, H.L., Walsh, K., Diamond, A., Oniscu, A., Deans, 2018).  
316 Likewise, other authors corroborated its use on pediatric hematological malignancies (Kluk et al.,  
317 2016) or myeloid neoplasms (Maes et al., 2017). On the contrary, other investigation has directly  
318 focused on a concrete cancer type such as in non-small cell lung cancer (NSCLC) (Legras et al.,  
319 2018). Although several studies validated NGS-based panels on both solid tumors and  
320 hematological malignancies (Cottrell et al., 2014; Garcia et al., 2017), only a few reports aimed to  
321 demonstrate that the TsT26 panel is a validated method to implement into the clinics in a  
322 considerable number of varied tumor tissues (Fisher et al., 2016; Giardina et al., 2018). In addition,  
323 this panel has also been used to validate other molecular testing platform in 90 NSCLC tumor  
324 samples (Quinn et al., 2015). Indeed, the more validated NGS studies following its application in  
325 the everyday practice, the better the way to integrate the NGS technology into the clinics. Although  
326 the TsT26 panel was indicated for the analysis of solid tumors, we also underwent extra solid  
327 tumors types and hematological malignancies samples across the panel. This is certainly not the  
328 more appropriate panel to test hematological malignancies. Particular customized panels are  
329 exclusively designed for that purpose such as the personalized panel including 48 genes in T-cell  
330 lymphomas (Manso et al., 2018). Despite of that, detected variants were found in the *TP53* gene  
331 allowing a concrete clinical decision-making and prognostication of several subgroups of  
332 lymphomas (Xu-Monette et al., 2012).

333 Other limitations may be recognized in the present study. Even though the validation  
334 analysis exhibited good concordance regarding *KRAS*, *NRAS* and *BRAF* genes, supplementary  
335 verification considering the rest of the targeted genes would probably demand additional  
336 authentication. Another restraint concerns the kind of genetic aberration that the panel is able to  
337 recognize. Essentially, the panel merely detects either single or multiple nucleotide variants in a  
338 restricted number of genes and cannot identify gene fusions. Moreover, concrete exonic regions  
339 of the genes *AKT1*, *STK11*, *EGFR* and *TP53* were not adequately covered, thus slightly  
340 constraining the limited sum of gene regions analyzed by the panel.

341 Despite of incorporating a limited quantity of genes, most of them were tightly linked to  
342 potential FDA-approved clinical actionability, such as *BRAF* mutations V600 in melanoma  
343 regarding dabrafenib, trametinib or vemurafenib treatments, and *EGFR* tyrosine-kinase domain  
344 mutations in NSCLC for afatinib, erlotinib, gefitinib and osimertinib therapies (Hovelson et al.,  
345 2015; Paasinen-Sohns et al., 2017). Likewise, *PIK3CA* mutations in patients with hormone  
346 receptor positive and ERBB2-negative advanced breast cancer who previously received endocrine  
347 therapy for alpelisib-fulvestrant (André et al., 2019). As well, *KIT* mutations in GIST for  
348 regorafenib, sunitinib and imatinib drugs (Demetri et al., 2012). Novel NGS-targeted panels may  
349 include a much larger number of genes to be tested as demonstrated by a panel targeting 170 genes  
350 that proved to bring relevant clinical information in diffuse gliomas by improving both diagnosis  
351 and prognostication (Na et al., 2019). Other panels can detect further alterations such as fusions  
352 and copy number variations in combination with point mutations in an elevated number of genes  
353 (Luthra et al., 2017). In fact, eligibility of the NGS-based panel remains of vital importance  
354 according to the kind of alterations that need to be target.

355 Conclusions

356 The inter-laboratory validation has permitted the effective practice of the NGS-based panel testing  
357 in 399 samples of diverse tumorigenesis. Although the panel testing required passing strict quality  
358 controls, two thirds of the samples were able to be sequenced and from the 372 detected variants  
359 an 80% of them were reported as clinically relevant. Thus, demonstrating the utility of the TsT26  
360 panel as a suitable diagnostic tool applied in the clinical routine.

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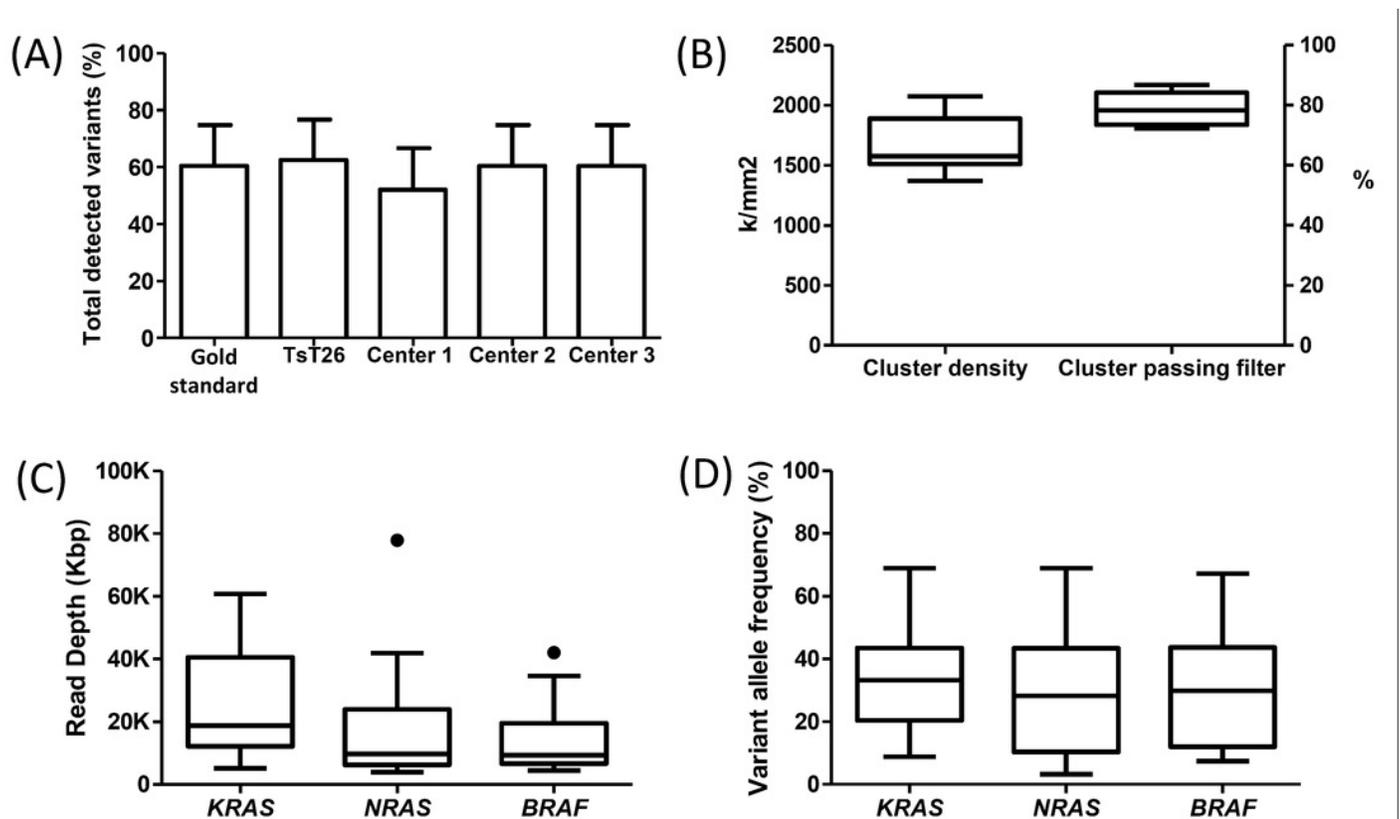
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542

## Figure 1

Sequencing quality metrics of the Trusight® Tumor 26 panel during the validation procedure of the mutational status of KRAS, NRAS and BRAF genes.

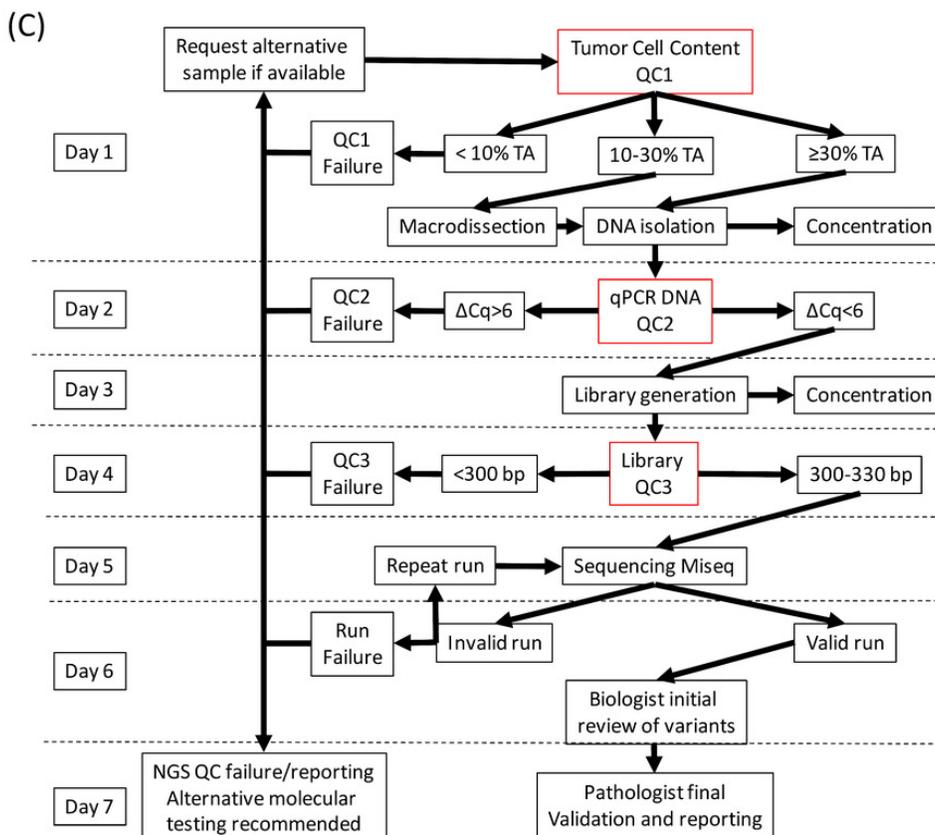
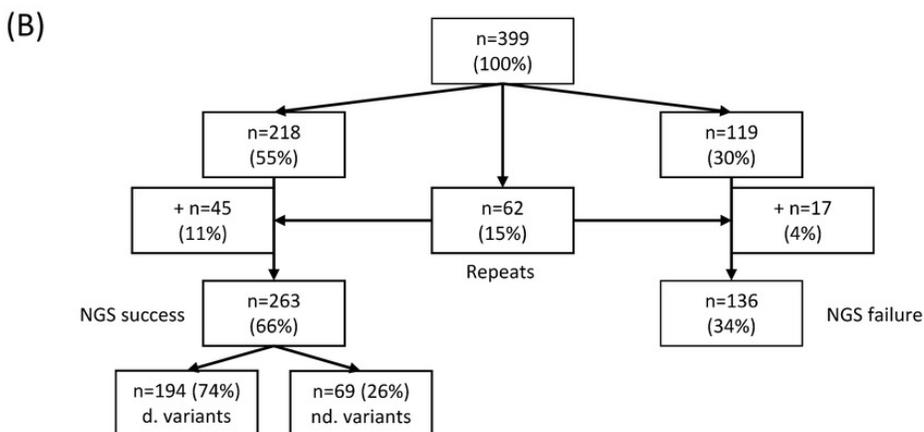
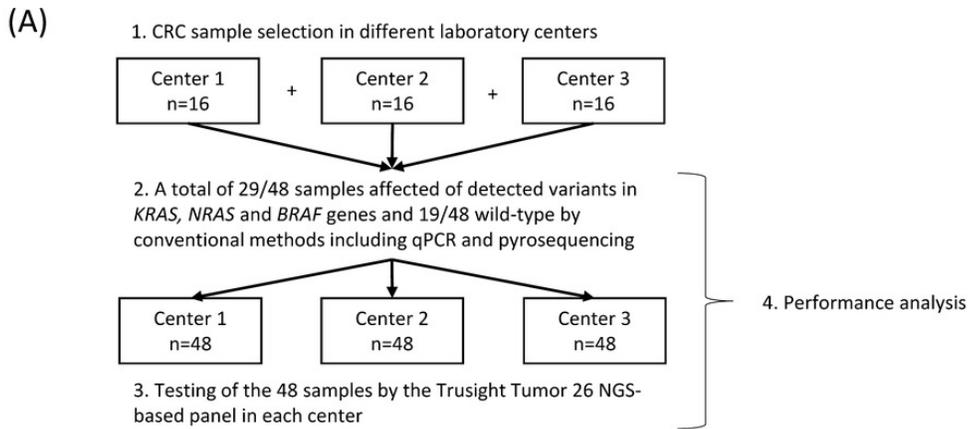
(A) Reproducibility of detected variants by the gold standard method against the Trusight® Tumor 26 panel. Data are shown as percentage. (B) Cluster density and cluster passing filter quality metrics respectively expressed in cluster per mm<sup>2</sup> and percentage. (C) and (D) Read depth of detected variants is expressed in kilo base pair and variant allele frequency of each gene is shown as a percentage value. Data are represented as box and whisker plots with median and IQR.



## Figure 2

Practicability of the Trusight® Tumor 26 panel.

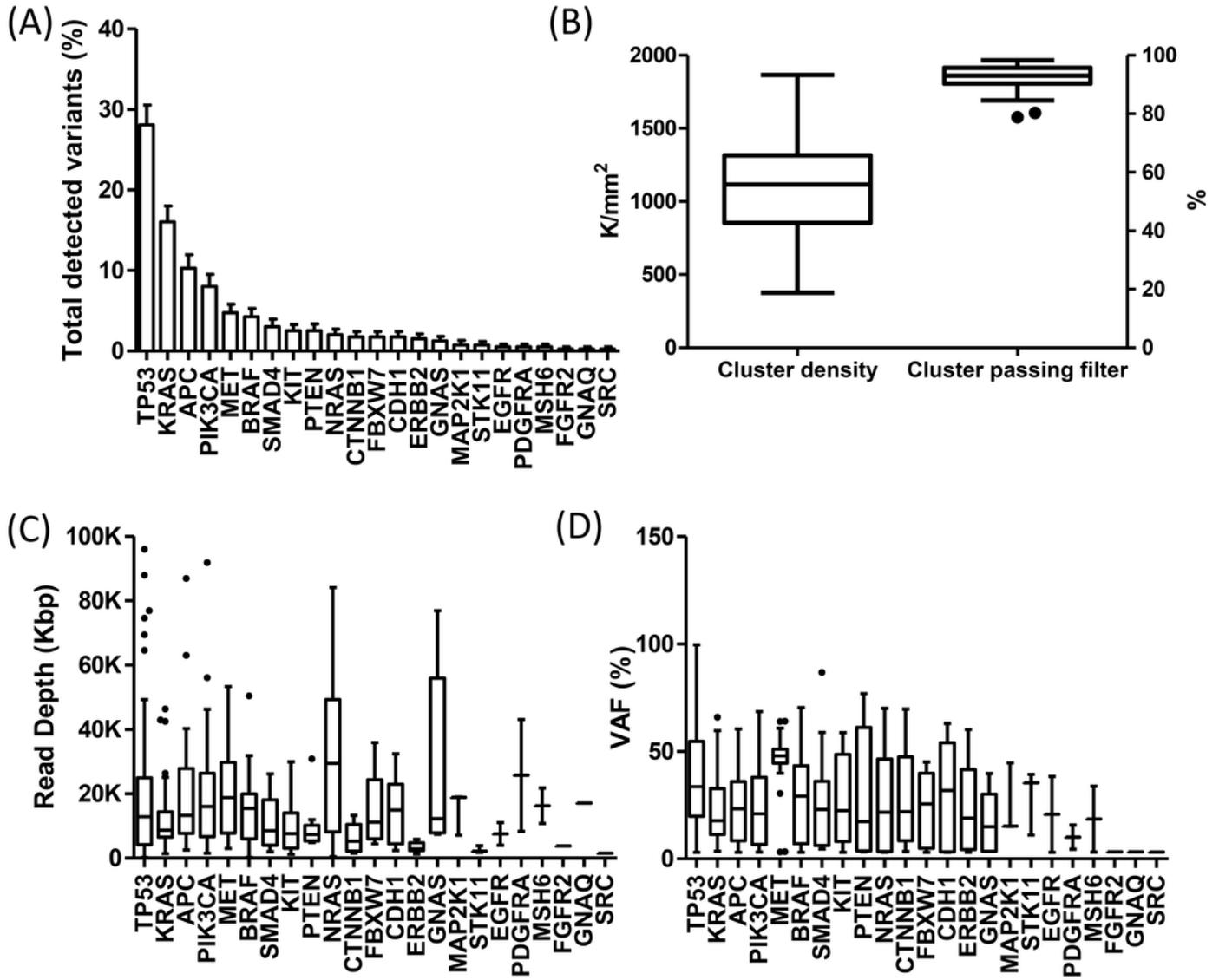
(A) Study design of the panel performance. (B) Flow diagram depicting the number of FFPE samples that either succeeded or failed to NGS testing. (C) Workflow followed by each of the 399 FFPE samples included in TsT26 panel study. Samples underwent diverse quality controls (QC). QC1 referred to the tumor cell content; a cut off value was established in 30%. Note that samples between 10-30% with no possibility of macrodissection underwent direct DNA isolation. QC2 indicated the quality of the sample in comparison to a fresh commercial preserved sample; a  $\Delta Cq$  value less than 6 was acceptable to continue the library preparation. QC3 determined the fragmentation of the library, library products of less than 300 bp were not considered for sequencing (An invalid run was also used as quality control).



## Figure 3

Sequencing quality metrics of the Trusight® Tumor 26 panel during clinical implementation.

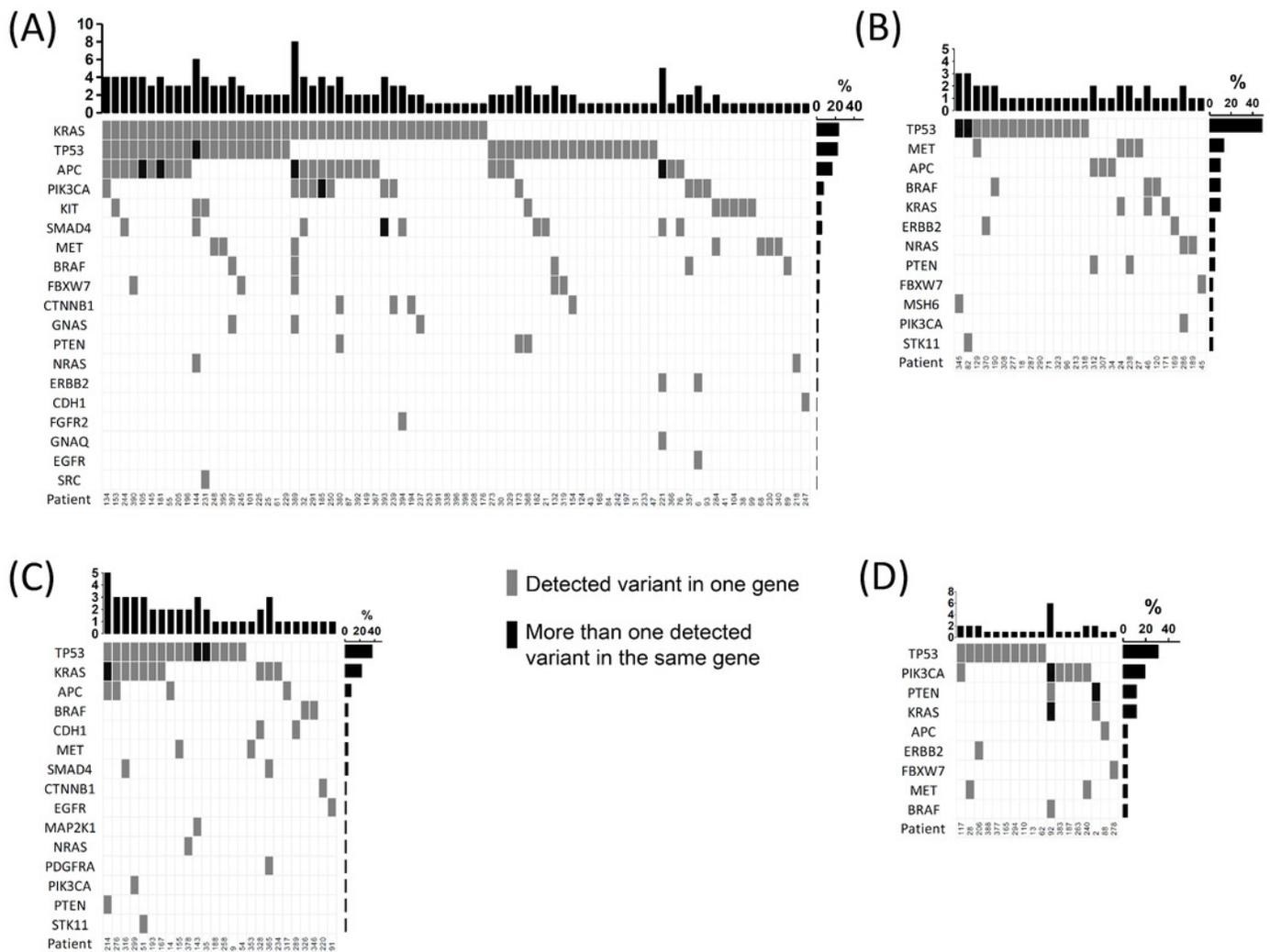
(A) Total detected variants per gene type identified in the 399 samples tested. (B) Cluster density and cluster passing filter quality metrics respectively expressed in cluster per mm<sup>2</sup> and percentage. (C) and (D) Read depth of detected variants is expressed in kilo base pair and variant allele frequency of each gene is shown as a percentage value. Data are represented as box and whisker plots with median and IQR.



## Figure 4

Detected variant frequencies across tumor type.

(A) Gastrointestinal. (B) Hematological malignancies. (C) Lung. (D) Gynecological. Columns represent samples and rows genes indicated by percentage of samples with detected variants in a precise gene. Detected variants are shown by grey squares whereas more than one detected variant is depicted by black squares.



**Table 1** (on next page)

Trusight® Tumor 26 assay exon coverage by amplicons (82 exons from 26 genes were covered by 178 amplicons).

Gene symbol	Accession Number	Exons covered	Number of amplicons to exon coverage
<i>AKT1</i>	NG_012188.1	2	1
<i>ALK</i>	NG_009445.1	23	1
<i>APC</i>	NG_008481.4	15*	14
<i>BRAF</i>	NG_007873.3	11,15	3
<i>CDH1</i>	NG_008021.1	8,9,12	6
<i>CTNNB1</i>	NG_013302.2	2	2
<i>EGFR</i>	NG_007726.3	18,19,20,21	7
<i>ERBB2</i>	NG_007503.1	20	2
<i>FBXW7</i>	NG_029466.2	7,8,9,10,11	13
<i>FGFR2</i>	NG_012449.2	6	2
<i>FOXL2</i>	NG_012454.1	1	1
<i>GNAQ</i>	NG_027904.2	4,5,6	6
<i>GNAS</i>	NG_016194.2	6,8	2
<i>KIT</i>	NG_007456.1	9,11,13,17,18	9
<i>KRAS</i>	NG_007524.1	1,2,3,4	8
<i>MAP2K1</i>	NG_008305.1	2	1
<i>MET</i>	NG_008996.1	1,4,13,15,16,17,18,20	22
<i>MSH6</i>	NG_007111.1	5	3
<i>NRAS</i>	NG_007572.1	1,2,3,4	8
<i>PDGFRA</i>	NG_009250.1	11,13,17	5
<i>PIK3CA</i>	NG_012113.2	1,2,7,9,20	15
<i>PTEN</i>	NG_007466.2	1 <sup>#</sup> ,2,3,4,5 <sup>#</sup> ,6 <sup>#</sup> ,7,9	17
<i>SMAD4</i>	NG_013013.2	8,11	5
<i>SRC</i>	NG_023033.1	10	2
<i>STK11</i>	NG_007460.2	1,4,6,8	7
<i>TP53</i>	NG_017013.2	2 <sup>&amp;</sup> ,3 <sup>&amp;</sup> ,4 <sup>&amp;</sup> ,5 <sup>&amp;</sup> ,6 <sup>&amp;</sup> ,7,8 <sup>&amp;</sup> ,9 <sup>&amp;</sup> ,10,11	16

1 \*exon 15 of the *APC* gene was split into 3 regions and each covered respectively by 2, 2 and 10 amplicons.

2 <sup>#</sup>exons 1, 5 and 6 of the *PTEN* gene were split into 2 regions each and separately covered by 2, 2 and 2  
3 amplicons. <sup>&</sup>exons 2, 3 and 4 of the *TP53* gene were together covered by 6 amplicons; as well as exons 5  
4 and 6, 8 and 9, respectively by 4 and 3 amplicons.

5

6

7

**Table 2** (on next page)

TsT26 panel performance by determining the mutational status of KRAS, NRAS and BRAF genes

TsT26	Gold standard		Total
	Detected variant	Not detected variant	
Detected variant	80	3	83
Not detected variant	7	54	61
Total	87	57	144
Positive agreement	92% (80/87, 95%CI=84-97)		
Negative agreement	95% (54/57, 95% CI=85-99)		
Overall agreement	93% (134/144, 95% CI=88-97)		
Positive predictive value	96% (80/83, 95% CI=90-99)		
Negative predictive value	88% (54/61, 95%CI=79-94)		

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**Table 3** (on next page)

Clinical and patient characteristics

Characteristics	Number of patients	Cytology	Resection	Endoscopy	Biopsy
Sex, no. (%)*					
Female	188(49)				
Male	198(51)				
Mean age, y.o. (95%CI)*	59(58-61)				
Tumor type, no. (%)					
Gastrointestinal	115(29)	0	35(30)	23(20)	57(50)
Hematologic	73(18)	0	12(16)	0	61(84)
Lung	51(13)	13(25)	6(12)	0	32(63)
Gynecologic	38(8)	0	14(34)	1(3)	23(63)
Breast	33(8)	2(6)	9(27)	1(3)	21(64)
Genitourinary	20(5)	0	9(47)	0	11(53)
Head and Neck	19(5)	0	6(32)	0	13(68)
Melanoma	15(4)	1(7)	3(20)	0	11(73)
Central Nervous System	10(3)	0	3(30)	0	7(70)
Other solid tumor	25(6)	1(4)	3(12)	0	21(84)

1 \*Sex and age data was not available for every patient included in the study

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**Table 4** (on next page)

DNA quality assessment by quantitative PCR

	$\Delta Ct < 4$	$4 < \Delta Ct < 6$	$\Delta Ct > 6$
Detected variant	159 (40%)	19 (5%)	3 (1%)
Not detected variant	59 (15%)	5 (1%)	2 (1%)
NGS fail	26 (6%)	27 (27%)	62 (15%)

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