

The mechanism of the plant roots' soil-reinforcement based on generalized equivalent confining pressure

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Background: To quantitatively evaluate the contribution of plant roots to soil shear strength, the generalized equivalent confining pressure (GECF), which is the difference in confining pressure between the reinforced and un-reinforced soil specimens at the same shear strength, was proposed and considered in terms of the function of plant roots in soil reinforcement.

Methods: In this paper, silt loam soil was selected as the test soil, and the roots of *Indigofera amblyantha* were chosen as the reinforcing material. Different drainage conditions (consolidation drained (CD), consolidation undrained (CU), and unconsolidated undrained (UU)) were used to analyse the influences of different root distribution patterns (horizontal root (HR), vertical root (VR), and complex root (CR)) and root contents (0.25%, 0.50%, and 0.75%) on the shear strength of soil-root composites.

Results: The cohesion (c) values of the soil-root composites varied under different drainage conditions and root contents, while the internal friction angle (ϕ) values remain basically stable under different drainage conditions. Under the same root content and drainage conditions, the shear strength indexes ranked in order of lower to higher were HR, VR and CR. The GECF of the soil-root composites with a 0.75% root content was 1.5-2.0 times that with a 0.50% root content and more than 5 times that with a 0.25% root content under the CD and CU conditions. The GECF in reinforced soil followed the sequence of CD > CU > UU. The GECF of the plant roots increased as confining pressure increased under CD and CU conditions while showed a complex change to the confining pressure under the UU condition.

Conclusion: It was concluded that the evaluation of plant root reinforcing soil based on GECF can be used to measure effectively the influences of roots on soil under different drainage conditions and root distribution patterns.

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2 **on generalized equivalent confining pressure**

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17 ABSTRACT

18 **Background:** To quantitatively evaluate the contribution of plant roots to soil shear strength, the
19 generalized equivalent confining pressure (GEC_P), which is the difference in confining pressure
20 between the reinforced and un-reinforced soil specimens at the same shear strength, was
21 proposed and considered in terms of the function of plant roots in soil reinforcement.

22 **Methods:** In this paper, silt loam soil was selected as the test soil, and the roots of *Indigofera*
23 *amblyantha* were chosen as the reinforcing material. Different drainage conditions (consolidation
24 drained (CD), consolidation undrained (CU), and unconsolidated undrained (UU)) were used to
25 analyse the influences of different root distribution patterns (horizontal root (HR), vertical root
26 (VR), and complex root (CR)) and root contents (0.25%, 0.50%, and 0.75%) on the shear
27 strength of soil-root composites.

28 **Results:** The cohesion (c) values of the soil-root composites varied under different drainage
29 conditions and root contents, while the internal friction angle (ϕ) values remain basically stable
30 under different drainage conditions. Under the same root content and drainage conditions, the
31 shear strength indexes ranked in order of lower to higher were HR, VR and CR. The GEC_P of
32 the soil-root composites with a 0.75% root content was 1.5-2.0 times that with a 0.50% root
33 content and more than 5 times that with a 0.25% root content under the CD and CU conditions.
34 The GEC_P in reinforced soil followed the sequence of CD > CU > UU. The GEC_P of the plant
35 roots increased as confining pressure increased under CD and CU conditions while showed a
36 complex change to the confining pressure under the UU condition.

37 **Conclusion:** It was concluded that the evaluation of plant root reinforcing soil based on GEC_P
38 can be used to measure effectively the influences of roots on soil under different drainage
39 conditions and root distribution patterns.

40 **Keywords** soil-root composite, shear strength indexes, generalized equivalent confining pressure,
41 drainage condition, root distribution patterns

42 INTRODUCTION

43 Plant roots play an important role in improving the overall stability of the superficial slope soil
44 and increasing the safety coefficient of the slope (Zegeye *et al.*, 2018; Zhou & Wang, 2019). The
45 plant root system is a complex and dynamic system, for which non-destructive monitoring is
46 difficult, so it is always a challenging aspect to consider in research regarding the mechanism of
47 plant root reinforcing soil.

48 At present, the evaluation of slope vegetation protection mainly includes mechanical and
49 hydraulic mechanisms (Gonzalez-Ollauri & Mickovski, 2017; Feng, Liu & Ng, 2020). Based on
50 both mechanisms, three vegetation protection theories were proposed, namely, mechanical
51 reinforcement is provided by plant roots (Jin *et al.*, 2019), the excess pore-water pressure in soil
52 is dissipated by root water uptake (Liu, Feng & Ng, 2016) and soil matric suction is induced via
53 plant transpiration (Ng *et al.*, 2013; Gadi *et al.*, 2019). The most obvious way in which
54 vegetation enhances slope stability is root reinforcing.

55 The effect of root reinforcement on slope stability can be evaluated directly in terms of the
56 additional shear strength provided by plant roots in reinforced soil. To analyse the effect of plant

57 roots on slope stability, many in situ and laboratory tests have been carried out on vegetated soil
58 (*Wu & Watson, 1998; Operstein & Frydman, 2000*), and corresponding analytical models for
59 soil-root composites have also been developed (*Waldron, 1977; Waldron & Dakessian, 1981;*
60 *Wu et al., 1988*). For example, a linear equation of root population density and soil shear strength
61 was obtained (*Endo & Tsurnta, 1969*), in which the cohesion strength extending to the sliding
62 layer has a stabilizing effect on shallow slopes, by in situ shear tests (*Gray & Ohashi., 1983;*
63 *Greenway, 1987*).

64 In addition, some mechanistic models like the Wu-Waldron model, the modified Wu-Waldron
65 model, the fiber bundle model, the root bundle model and have been developed to evaluate the
66 additional shear strength provided by plant roots (*Wu, 1976; Waldron, 1977; Wu, McKinell &*
67 *Swanston, 1979; Gray & Sotir, 1998; Pollen & Simon, 2005; Schwarz et al., 2010*). However, as
68 the most classic and representative model, the Wu-Waldron model potentially significantly
69 overestimates the actual cohesion of soil-root composites (*Waldron & Dakessian, 1981;*
70 *Operstein & Frydman, 2000; Pollen & Simon, 2005*), because the Wu-Waldron model or the
71 modified Wu-Waldron model is derived based on the assumption that plant roots are elastic and
72 initially oriented perpendicular to the shear surface and that the friction angle of the soil is
73 unaffected by the plant roots (*Waldron, 1977; Greenway, 1987*). Therefore, a correction factor
74 ranged from 0.34 to 0.50 for roots of herbs and shrubs was proposed by *Schwarz et al. (2010)* to
75 reduce the error of the Wu-Waldron model. The equation of generalized equivalent confining
76 pressure (GECP) is derived based on the limit equilibrium state of reinforced soil and un-
77 reinforced soil (*Huang et al., 2007*), in which the assumptions of root characteristics and root
78 distribution can be ignored. The effect of root distribution or root shear failure angle on soil can
79 be shown by the deviator of the failure principal stresses of reinforced and un-reinforced soil
80 under the same confining pressure. Therefore, we try to introduce this method to assess the
81 additional shear strength provided by plant roots.

82 Decisive factors controlling shallow landslides are the mechanical properties of the sloping
83 soil characteristics (e.g., texture), frequency and duration of the rainfall, and plant species (root
84 morphology) (*Matsushi, Hattani & Matsukura, 2006; Normaniza, Faisal & Barakbah, 2008*).
85 Rainfall may give rise to shallow landslides because it can increase in soil moisture content so
86 that make the slope in instability stage when other conditions were the same. The effect of plant
87 roots on the shear strength of vegetated soil significantly decreases because of the rainfall
88 (*Normaniza & Barakbah, 2006; Jiang, Dong & Wang, 2009*).

89 Differences in depth, soil moisture content and root characteristics may result in a substantial
90 change in soil shear strength. The effect of plant roots in reinforced soil is understood as an
91 additional confining pressure to the soil, in excess of the traditional equivalent confining pressure.
92 Therefore, the expression of generalized equivalent confining pressure (GECP) was derived to
93 investigate the influence of root contents and root distribution patterns on the shear strength of
94 reinforced soil under different drainage conditions (consolidation drained (CD), consolidation
95 undrained (CU), and unconsolidated undrained (UU)) and was used to analyse the influences of
96 different root distribution patterns (horizontal root (HR), vertical root (VR), and complex root

97 (CR) patterns) and root contents in this research. This research provides new sight to assess the
98 additional shear strength provided by plant roots for the soil-root composite.

99 MATERIAL AND EXPERIMENTAL METHODS

100 Experimental materials

101 In this paper, the soil was taken from the cutting slope of the first phase of the urban expressway
102 along the Xiazhou Avenue in Yichang, China. The test soil was collected from 0.3 m below the
103 surface, and the impurities in the soil were removed. The soil was air-dried, crushed and sieved
104 through a 2.0 mm sieve. The soil had a silt loam texture with 24.08% sand (0.05-2.00 mm),
105 55.91% silt (0.002-0.05 mm), and 20.01% clay (<0.002 mm) contents, a 1.38 g cm⁻³ bulk density,
106 a 14.37% natural moisture content, a 2.78% air-dried soil moisture content, and a pH of 6.2.

107 *Indigofera amblyantha*, used widely in slope greening projects, were the roots selected as the
108 reinforcing material. *Indigofera amblyantha* is a perennial deciduous shrub, and its growing
109 period is approximately 6 months; it possesses strong drought resistance and barren resistance.
110 These plants are the most common soil-water conservation plants in tropical and subtropical
111 regions.

112 *Indigofera amblyantha* has a horizontally developed root system, including many branches
113 and fibrous roots (Fig. 1), and the root diameter is mostly concentrated within 1.0-2.5 mm. In
114 this paper, 50 plants of *Indigofera amblyantha* were excavated by the whole excavation method.
115 Normal and straight roots were cut to lengths of 30 mm and 60 mm with scissors. The roots with
116 an average diameter of 1.4-1.6 mm were chosen, of which the average tensile resistance and the
117 average tensile strength were 62.10 N and 35.86 MPa, respectively.

118

119 Experimental methods

120 The density and moisture content of the soil-root composites were set according to the actual
121 situation of the test soil taken from the cutting slope (bulk density is 1.38 g cm⁻³, and natural
122 moisture content is 14.37%). The root contents (the ratio of the root mass to soil mass in the
123 specimens) were set to 0.25%, 0.50% and 0.75%.

124 The *Indigofera amblyantha* has a horizontally developed root system, resulting most of the
125 roots are in vertical stage on the slope. To evaluate the effect of root distribution patterns on the
126 shear strength of reinforced soil and ensure the vertical roots are much more than the horizontal
127 roots, the root distribution patterns were categorized into VR, HR and CR in this research (Fig.
128 2A, B, C). The first form (A) is VR with a root length of 60 mm; the second form (B) is HR with
129 the root length is 30 mm; the third form (C) is CR with the ratio of the horizontal to vertical roots
130 is 1:1 in mass (2:1 in number). In this paper, plant roots were organized in the centre of soil-root
131 composites in three forms.

132 As most *Indigofera amblyantha* roots are concentrated within 0.5 m below Earth's surface.
133 When the depth exceeds 0.5 m, the reinforcing effect of plant roots is not obvious because the
134 root content is low (Waldron & Dakessian, 1982). Therefore, to effectively evaluate the GECP
135 of plant roots in reinforced soil, three levels of confining pressure (50 kPa, 100 kPa and 150 kPa)
136 are tested in this paper.

137 Soil-root composites were remoulded in a circular loading box of $\Phi 39.1 \text{ mm} \times 80 \text{ mm}$ (Fig.
 138 2D), which matched with the TSZ-1 strain-controlled triaxial compression apparatus. First, a
 139 suitable amount of test soil was weighed and placed in a container that could be sealed, and an
 140 appropriate amount of water was sprayed on the soil to reach the moisture content required in
 141 this work. Second, the test soil and water were fully mixed, and then the container was sealed for
 142 24 h until the test soil was soaked completely. Third, the required amount of soil was taken from
 143 the sealed container and placed in the circular loading box mentioned above. Finally, plant roots
 144 were buried evenly in the soil, and the method of three-layer compaction was adopted to remould
 145 the soil-root composites in the circular loading box according to the standardized methods of soil
 146 mechanics test and specimen preparation. In addition, specimens of un-reinforced soil were also
 147 prepared, and the preparation processes for the reinforced and un-reinforced samples were
 148 consistent except that no roots were present in the un-reinforced specimens.

149 A prepared specimen was put into the pressure room on which 20 kPa of confining pressure
 150 was applied. Water entered the specimen base until it flowed from the upper surface, and the
 151 constant head was controlled at 1.2 m. The saturated specimens were obtained when the inflow
 152 water and the overflow water were equal.

153 Therefore, the un-reinforced and reinforced samples with root content (0.25%, 0.50%, and
 154 0.75%), root distribution pattern (HR, VR, and CR), confining pressure (50, 100, and 150 kPa),
 155 were performed at the different conditions of shearing rate ($0.012 \text{ mm min}^{-1}$ for CD, 0.12 mm
 156 min^{-1} for CU, and 0.9 mm min^{-1} for UU). The shear strengths of the soil-root composites and un-
 157 reinforced soil specimens were measured by triaxial testing with 15% of the axial strain (Zhang
 158 *et al.*, 2010). All conditions were repeated three times.

159

160 Generalized equivalent confining pressure (GECP)

161 The GECP was derived from the traditional equivalent confining pressure. Gray and Al-Refaei
 162 (1986) analysed the failure mechanism of reinforced sandy soil via a triaxial test and derived the
 163 expression of traditional equivalent confining pressure under drained conditions (Moroto, 1992;
 164 Li *et al.*, 2017):

$$165 \quad \Delta\sigma_{3t} = \sigma_3 \frac{\Delta\sigma_{1f}}{\sigma_{1f}} \quad (1)$$

166 where σ_3 and $\Delta\sigma_{3t}$ represent the confining pressure and traditional equivalent confining pressure,
 167 respectively, and $\Delta\sigma_{1f}$ represents the deviator of the failure principal stresses of reinforced and
 168 un-reinforced soil specimens under the same confining pressure of σ_3 .

$$169 \quad \Delta\sigma_{1f} = \sigma_{1fb} - \sigma_{1f} \quad (2)$$

170 where σ_{1f} is the failure principal stress of un-reinforced soil under a confining pressure of σ_3 and
 171 σ_{1fb} is the failure principal stress of reinforced soil under a confining pressure of σ_3 .

172 The expression of traditional equivalent confining pressure is proposed for sandy soil under
 173 drained conditions, in which cohesion has not been considered (the cohesion of the sandy soil is
 174 0). Meanwhile, the function of plant roots in reinforced soil is evaluated, which does not take the

175 effect of the drained condition into account.

176 To avoid these limitations in the traditional equivalent confining pressure, *Huang et al. (2007)*
 177 proposed the GECP of cohesive soil and soil-root composites under different drainage conditions,
 178 considering that the Mohr-Coulomb strength theory is also obeyed in reinforced soil. GECP is
 179 the difference in confining pressure between the reinforced and un-reinforced soil specimens at
 180 the same shear strength (*Fig. 3*). The equation of GECP was derived based on cohesive soil and
 181 un-drained condition were comprehensively considered, the traditional equivalent confining
 182 pressure of sandy soil can also be realized in the equation of GECP when sandy soil be regarded
 183 as a special cohesive soil which with the cohesion is 0. Therefore, to distinguish the expression
 184 of traditional equivalent confining pressure, the GECP is expressed as $\Delta\sigma_{3g}$.

185 The limited balance equation of un-reinforced soil is as follows:

$$186 \quad \sigma_{1f} = \sigma_3 K_p + 2c\sqrt{K_p} \quad (3)$$

187 The limited balance equation of reinforced soil in terms of the difference in confining
 188 pressures between the reinforced and un-reinforced soil specimens at the same shear strength is
 189 as follows:

$$190 \quad \sigma_{1fb} = (\sigma_3 + \Delta\sigma_{3g})K_p + 2c\sqrt{K_p} = \sigma_{1f} + \Delta\sigma_{3g}K_p \quad (4)$$

191 where $\Delta\sigma_{3g}$ represents the generalized equivalent confining pressure; K_p is the passive earth

192 pressure coefficient of cohesive soil, $K_p = \tan^2\left(45^\circ + \frac{\varphi}{2}\right)$; and c and φ represent shear strength

193 indexes.

194 Expression of GECP:

$$195 \quad \Delta\sigma_{3g} = \sigma_3 \frac{\Delta\sigma_{1f}}{\sigma_{1f} - 2c\sqrt{K_p}} \quad (5)$$

196 Expression (5) indicates that the GECP of the soil-root composite depends on the deviator of
 197 the failure principal stresses of the reinforced and un-reinforced soil specimens, the failure
 198 principal stress of the un-reinforced soil and the shear strength indexes of the un-reinforced soil.
 199 The expression of the traditional equivalent confining pressure is a special case when the
 200 cohesion is 0; then, expression (5) transforms into expression (1). That is, the expression of the
 201 traditional equivalent confining pressure proposed is for sandy soil, so sandy soil can be regarded
 202 as a cohesive soil when the cohesion is 0.

203

204 Data analyses

205 All statistical analyses were performed using by using SPSS with version of 21.0 and Excel
 206 with version of 2010. All the data used for analysing is the mean value of the three replications
 207 for each condition.

208 TEST RESULTS

209 Shear strength indexes of soil-root composites under different drainage conditions

210 The shear strength indexes, c and φ , characterized as different trend under different drainage
 211 conditions (*Table 1*). For the un-reinforced soil, the c values were 8.24, 6.83 and 15.74 and the φ

212 values were 21.9° , 20.1° and 11.6° under the CD, CU and UU conditions, respectively. For the
213 reinforced soil, the c values varied under different drainage conditions and root contents, while
214 the ϕ values remain basically stable under different drainage conditions due to the root content,
215 which is different to the results of the un-reinforced soil.

216 Under the CD and CU conditions, the c values of the soil-root composites showed obviously
217 increase. The shear strength indexes of the soil-root composites increase the most under the CD
218 condition, with a 251.9% increase in c and a 45.2% increase in ϕ . Under the UU condition, the
219 difference is inconspicuous in the shear strength indexes of the soil-root composites when the
220 root distribution pattern changes. The c values present a complicated change trend, which mainly
221 depends on the root distribution pattern and root content. For example, for VR soil-root
222 composites, the c decreased from 15.35 kPa to 11.81 kPa as the root content increased from
223 0.25% to 0.75%. However, for the HR and CR soil-root composites, the c decreases and then
224 increases with the increase in root content.

225 Under the same root content and drainage conditions, the c values ranked in order of lower to
226 higher were HR, VR and CR, suggesting the CR is the best at enhancing the soil shear strength.

227 **The GECP of *Indigofera amblyantha* roots in the reinforced soil varied by root contents**

228 The values of GECP in the reinforced soil increased with root content (Fig. 4). Under the CD and
229 CU conditions, when the root content is 0.75%, the GECP of the plant roots in the soil-root
230 composites is 1.5-2.0 times that of 0.50% and more than 5 times that of 0.25%. Taking the CD
231 condition as an example, when the soil-root composites are under 150 kPa of confining pressure
232 and the CR content is 0.75%, the GECP of the plant roots in the soil-root composites is 106.83
233 kPa (Table 2). Namely, the shear strength of the soil-root composites under these conditions is
234 equivalent to the strength of un-reinforced soil subjected to a confining pressure of 256.83 kPa.
235 For the UU condition, the GECP of the plant roots was mainly concentrated within the range of -
236 10 kPa to 10 kPa. The GECP of the plant roots increased as the root content increased, largely
237 mirroring the results for the drainage condition. For the CR reinforced soil, the GECP changes
238 from negative to positive as the root content increases, whereas the GECP is always negative
239 under the condition of HR.

240

241 **The GECP of *Indigofera amblyantha* roots in the reinforced soil varied by drainage 242 conditions and root distribution patterns**

243 The values of GECP are positive under the CD and CU conditions, while shows from negative to
244 positive under UU condition (Fig. 5). Generally, the values of GECP followed the sequence of
245 $CD > CU > UU$. The GECP of the plant roots under CU condition increased by 5.48-74.76 when
246 compared with those under UU condition. And the GECP of the plant roots under CD condition
247 increased by 0.63-46.15 when compared with those under CU condition. The effect of the root
248 distribution pattern on the GECP in reinforced soil followed the sequence of $CR > VR > HR$.
249 Under the CU and CD conditions, the GECP of CR is 1-2 times that of VR and 2-5 times that of
250 HR. The largest GECP of CR is 106.83 kPa, while it is only 21.26 kPa for HR (Table 2).

251

252 **The GECP of *Indigofera amblyantha* roots in the reinforced soil varied by confining**
253 **pressure**

254 The values of GECP increased as confining pressure increased under CD and CU conditions
255 while showed a complex change to the confining pressure under the UU condition (Fig. 6). The
256 GECP of the plant roots was increased when the confining pressure increased from 50 kPa to 150
257 kPa under CD and CU conditions. When the root content is 0.25% in the soil-root composite,
258 GECP is negative and diminishes as the confining pressure increases under the UU condition.
259 When the root content is 0.50%, the GECP of HR and VR is also negative, and the reduction in
260 GECP is small compared with the results with the 0.25% root content. However, the GECP of
261 CR changes to 0.41 kPa from -1.26 kPa due to the increase in confining pressure. For the root
262 content of 0.75%, the GECP of the plant roots gradually increases, with the exception that the
263 GECP of HR decreases from -0.03 kPa to -2.08 kPa (Table 2).

264 **DISCUSSION**

265 **The evaluation mechanism based on the GECP**

266 The soil-root composite is a composite system in which the plant roots have a high deformation
267 modulus but the soil is weak. When soil-root composites are destroyed under an external load,
268 dislocation occurs between soil and plant roots due to the tremendous difference in their
269 deformation moduli. The dislocation is constrained by the frictional resistance and interlocking
270 force between the soil particles and plant roots. Additionally, the root tensile strength and soil
271 compressive strength are effectively equilibrated by the friction of the soil-root interface; thus,
272 soil shear strength is improved (Waldron, 1977; Waldron & Dakessian, 1981; Wu et al., 1988;
273 Wu & Watson, 1998; Fan & Su, 2008).

274 The reinforcing effect of plant roots on soil is mainly manifested by the addition of cohesion
275 (Ali & Osman, 2007; Normaniza, Faisal & Barakbah, 2008), and the internal friction angle is
276 mainly related to the soil particle structure (De Baets & Poesen, 2006). The phenomenon that
277 plant roots affect the cohesion rather than the internal friction angle of the soil-root composites
278 can be explained by the fact that living plant roots are flexible (Huang et al., 2007). In addition,
279 the root content to soil mass ratio is small in the soil-root composite, although as the root content
280 increases, the soil structure does not greatly change, so the variation in the ϕ value is small
281 (Chegenizadeh & Nikraz, 2012).

282 Compared with the Wu-Waldron model, the evaluation mechanism based on the GECP
283 possesses the following merits: (1) different drainage conditions can be considered; (2) different
284 stress-strain characteristics of the cohesive soil and sandy soil can be simulated; (3) the effect of
285 drainage condition, root content and root morphology on the reinforced soil can be intuitively
286 mirrored by the GECP, accurately and reliably. There are some possible points to discuss: for
287 instance, Ingold (1983) showed that the shear strength of soil-root composites is worse under
288 undrained conditions than under other conditions, but the results from our specimens do not
289 agree.

290

291 **Effect of the root characteristics in the reinforced soil**

292 The c values of the soil-root composites showed obviously increase under the CD and CU
293 conditions, while it presents a complicated change trend under UU condition (Table 1). The
294 contact area increases gradually with the increase of the root content because the plant roots can
295 be fully in contact with the soil particles. Plant roots provide an effective lateral constraint on
296 soil: the lateral and axial deformation of soil-root composites is reduced and the shear strength is
297 increased compared with the results of un-reinforced soil (Tan et al., 2019). As an exception, the
298 stable reinforcing effect is not clearly produced when the root content is 0.25% because the low
299 plant root content has little effect on the shear deformation. In contrast, the bonding state of the
300 soil is destroyed when plant roots are placed in the preparation of the soil-root composites.

301 However, relevant studies have shown that the shear strength of soil-root composites increases
302 with root content until a peak value is achieved, and this peak corresponds to an optimal root
303 content (Tan et al., 2019). When the root content continues to increase, the plant roots are not
304 effectively connected with the soil particles, and plant roots come into contact. Therefore, the
305 lateral restraint of the root system in the soil is no longer strengthened. With root contents lower
306 than the optimal root content, the shear strength of the soil-root composites is reduced because
307 the relative displacement is exacerbated between plant roots. Clearly, the root content is
308 relatively low in this paper and represents the stage of soil reinforcing. The optimal root content
309 is not the focus of this paper, so no further discussion is provided on this topic.

310 Among the three root distribution types (HR, VR and CR), CR is the best at enhancing the
311 soil shear strength (Table 2). HR does not effectively reinforce soil when the root content is low
312 because the soil integrity is destroyed and there is a smaller contact area between soil particles
313 and the root system. However, when the root system is decussately placed in specimens, the root
314 system bears some of the horizontal shear force and limits soil lateral deformation because of the
315 interaction between the soil particles and the root system. Meanwhile, the rigid modulus of the
316 soil-root composites is notably improved, which is mainly reflected in the increase in the
317 compression modulus of the specimens, and the soil deformation is effectively restrained (Lewis,
318 1956).

319

320 **Effect of the different drainage conditions in the reinforced soil**

321 Generally, specimens are consolidated to obtain different void ratios and left undrained to keep
322 the void ratio constant (Mun et al., 2016). For soil-root composites, the initial porosity of
323 specimens is small under consolidated conditions, and the concave-convex structure of the root
324 surface is in contact with some soil particles. When specimens are loaded, more energy is
325 required to overcome the interlocking force between the soil particles and plant roots. Therefore,
326 the curve describing the relationship between the large principal stress difference and the axial
327 strain in the soil-root composites is steeper than that for the unconsolidated specimens (Cazzuffi
328 & Crippa, 2005).

329 In the UU triaxial test, the soil moisture content and initial porosity are high in the specimens.
330 On the one hand, the decrease in electrolyte concentration greatly thickened the water film
331 around the soil particles, which increased the space of the soil-root interface. Furthermore, the

332 effective surface area of the root-soil interface decreases so that the interlocking force of the soil
333 particles on the root system is reduced. On the other hand, the lubrication effect of water reduces
334 the friction between soil particles and the root system, and then a soft sliding surface is formed at
335 the soil-root interface (*Fan & Su, 2008*). In addition, the confining pressure applied to the
336 specimens is offset by the pore water pressure based on the assumption that the volume of the
337 specimens does not vary. The effective stresses of the specimens remain stable, so the strength
338 envelope is relatively flat, therefore, the value of ϕ is not obviously changed (*Operstein &*
339 *Frydman, 2000*).

340

341 **Effect of the different confining pressure in the reinforced soil**

342 The values of GECP in the reinforced soil increased with root content (*Fig. 4*). The density of the
343 soil-root composites increased as the confining pressure increased, resulting in an increase in the
344 soil quality per unit volume and a reduce in the soil particle gap. And the plant roots could
345 interlock with soil particles more tightness because of the reducing in the soil particle gap, which
346 limits the lateral deformation of soil. On the other hand, an increase in the specimen density
347 increases the number of soil particles in contact with the root surfaces, resulting in a larger
348 contact area and presumably a higher cohesion in soil-root composites (*De Baets et al., 2008;*
349 *Abernethy & Rutherford, 2010*).

350 The values of GECP are positive under the CD and CU conditions, while shows from negative
351 to positive under UU condition (*Fig. 5*). The phenomenon that the GECP varies from a negative
352 value to a positive value occurs as the root content increases (*Fig. 4 and Fig. 5*). This
353 phenomenon can be explained by the fact that fewer plant roots enhance the water transport and
354 the lubrication of the soil-root interfaces. The soil shear strength is mainly borne by soil skeleton,
355 which is formed by the free arranging and binding of soil particles, and the biting force and
356 bonding force between soil particles are sensitive to water when the root content is low. However
357 large porosity exists between the interfaces of soil-root, high confining pressure accelerates soil
358 particles gap is filled and the organic calcium of soil particles is dissolved, and the deformation
359 resistance of soil skeleton is reduced (*Pierret et al. 2007*). Thus, fewer plant roots enhance the
360 water transport and the lubrication of the soil-root interfaces. Inversely, high root content can
361 limit soil lateral deformation and effectively reduce soil compression deformation, which is
362 conducive to the dissipation of excess pore water pressure, which delayed change of pore water
363 pressure and increased soil effective stress. However, the reinforcing effect of root distribution
364 patterns based on different confining pressures has yet to be studied.

365 The GECP of the plant roots decreased and increased as confining pressure increased under
366 smaller and higher root contents, respectively (*Fig. 6*). When specimens are subjected to high
367 confining pressures, the soil particles become highly compacted. A smaller number of plant roots
368 placed in the specimens has little influence on the density of the soil particles and the soil-root
369 contact area. Therefore, the greater the confining pressure is, the smaller the reinforcing effect of
370 plant roots in reinforced soil. However, for higher root contents, a high confining pressure will
371 make the redundant plant roots fully contact the soil particles in the specimens. The soil particles

372 at the root-soil interface will rearrange until the reinforcing effect of the plant roots is effectively
373 exerted. Therefore, the contribution of plant roots to soil strength under a high confining pressure
374 is greater than that under a low confining pressure.

375 CONCLUSION

376 An evaluation based on the GECP is applied to assess the reinforcing effect of *Indigofera*
377 *amblyantha* roots on soil. The results reflect that the main function of plant roots in reinforced
378 soil is to change the soil cohesion but not to change the internal friction angle under different
379 drainage conditions. The c values of the soil-root composites showed obviously increase under
380 the CD and CU conditions, while it presents a complicated change trend under UU condition.
381 Under the CD and CU conditions, the c values of the soil-root composites showed obviously
382 increase. The reinforcing effect of the root content in reinforced soil followed the sequence of
383 $0.75\% > 0.50\% > 0.25\%$, and the c values ranked in order of lower to higher were HR, VR and
384 CR.

385 The values of GECP in the reinforced soil increased with root content, and it is positive under
386 the CD and CU conditions, while shows from negative to positive under UU condition with an
387 sequence of $CD > CU > UU$. The values of GECP increased as confining pressure increased
388 under CD and CU conditions while showed a complex change to the confining pressure under
389 the UU condition. Therefore, the GECP can be used as an intuitive and credible indicator to
390 quantitatively evaluate the reinforcing effect of plant roots on soil and helps to explain the soil
391 reinforcement mechanism of plant roots.

392 The results in this research are based on experiments on one soil type with the *Indigofera*
393 *amblyantha* root. However, the soil characteristics (e.g., texture) and the root of different plant
394 species have great influence on the shear strength of reinforced soil, which of course affect the
395 influence of root contents on the shear strength of reinforced soil. Therefore, more researches
396 under different soil characteristics with different root should be investigated in the future to
397 verify the results obtained in this research.

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406

407 Competing Interests

408 The authors declare that they have no competing interests.

409

410 Author Contributions

411 Hai Xiao, Zhenyao Xia, Qi Liu, and Wennian Xu conceived and designed the experiments; Ping

412 Guo, Qi Liu, Feng Gao, and Lun Zhang performed the experiments; Hai Xiao, Ping Guo, Qi Liu,
413 Lun Zhang, Mingyi Li, and Yueshu Yang analyzed the data; Ping Guo, Feng Gao, Lun Zhang,
414 Mingyi Li, and Yueshu Yang prepared the figures; Ping Guo prepared the tables; Hai Xiao,
415 Zhenyao Xia, Qi Liu, and Wennian Xu drafted the work or revised it critically for important
416 content.

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Figure 1

The root distribution of the *Indigofera amblyantha*.

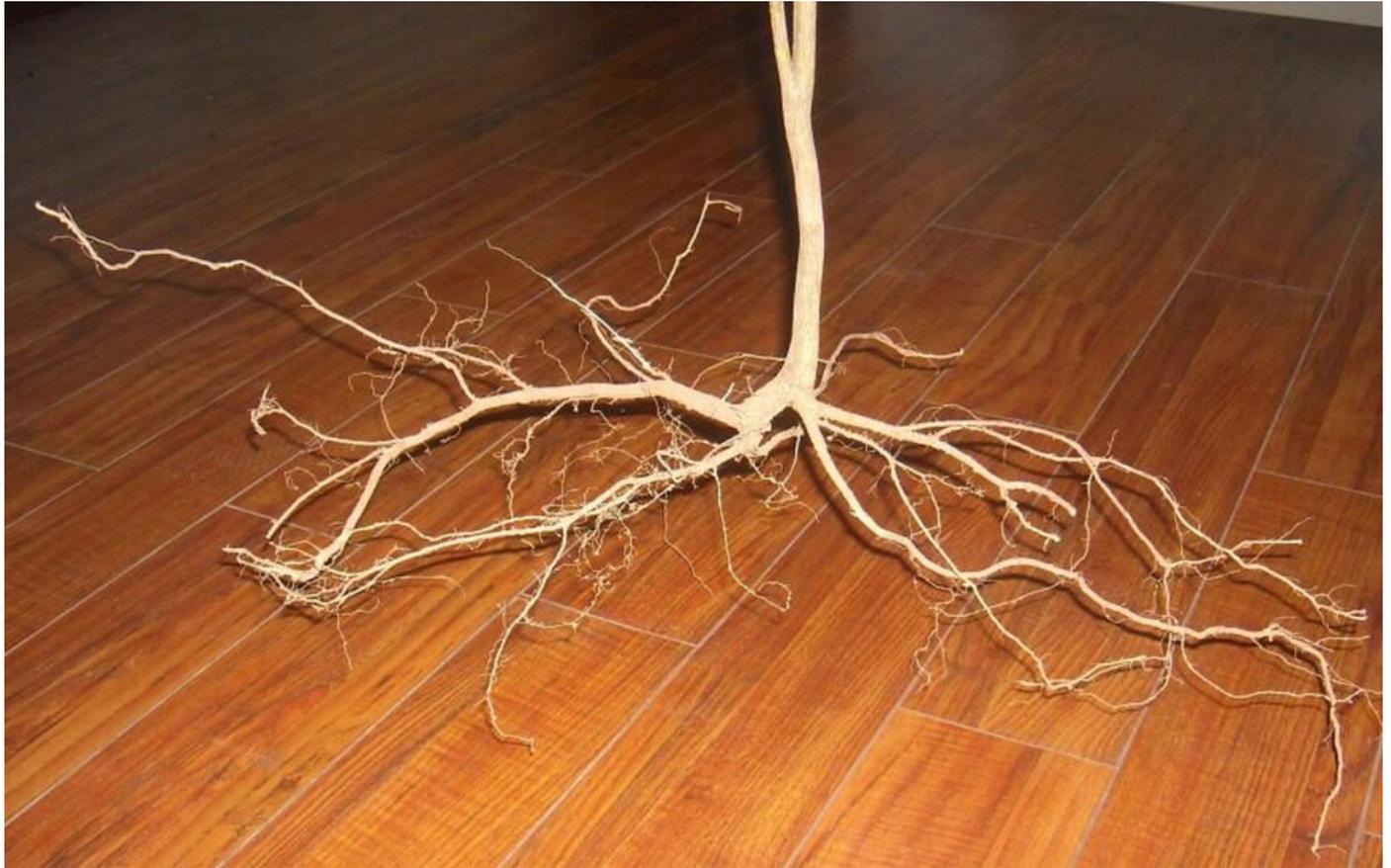


Figure 2

Root distribution patterns in the triaxial test.



Vertical root
(A)

Horizontal root
(B)

Complex root
(C)



Soil samples
(D)

Figure 3

The relationship between σ_1 and σ_3 in the soil-root composite and un-reinforced soil.

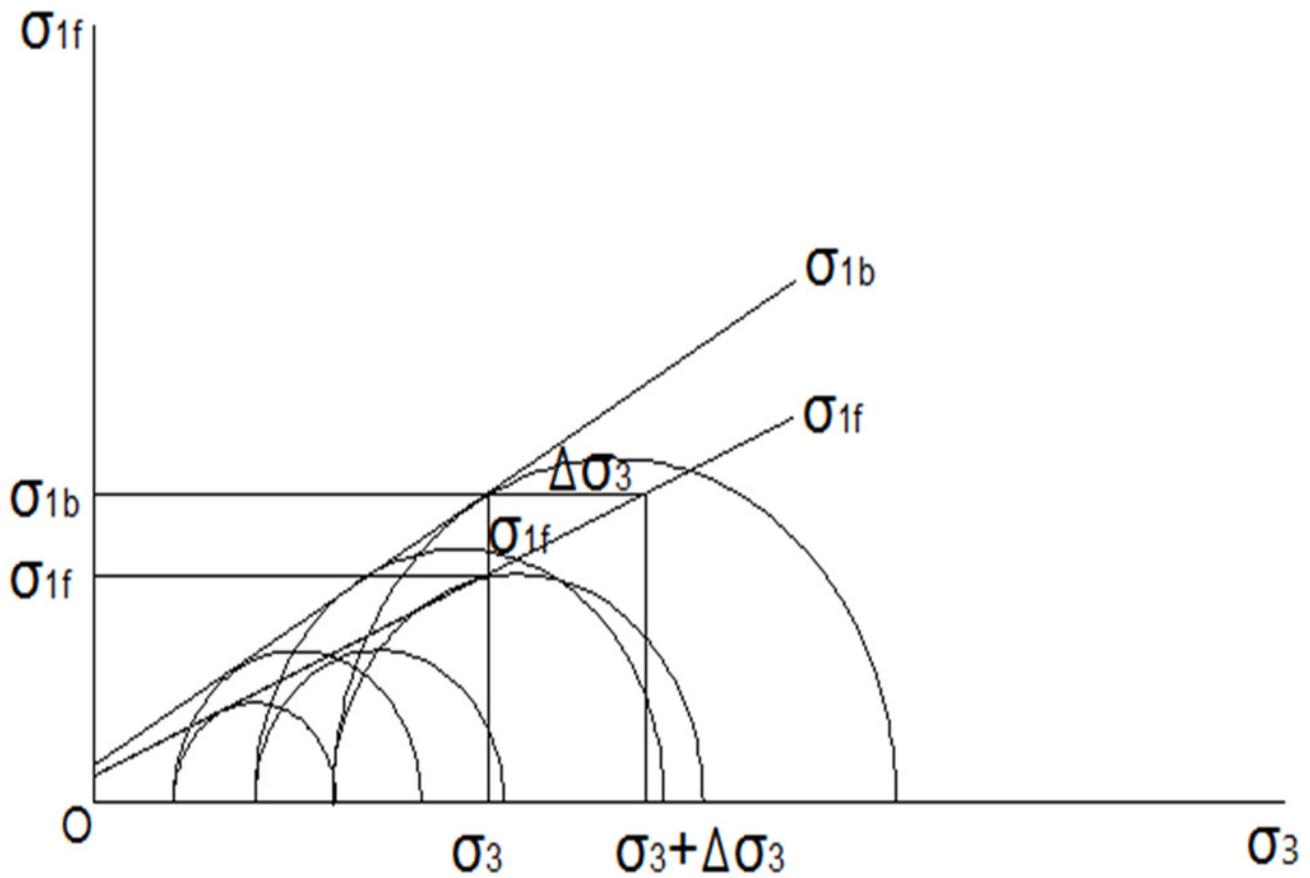


Figure 4

The generalized equivalent confining pressure of *Indigofera amblyantha* roots in the reinforced soil varied by root content s . (A) Consolidation drained (CD). (B) Consolidation undrained (CU). (C) Unconsolidated undrained (UU).

In the legend, H, V and C denote the root distribution patterns are vertical root, horizontal root and complex root, respectively. 50, 100 and 150 kPa denote the confining pressures are 50, 100 and 150 kPa, respectively.

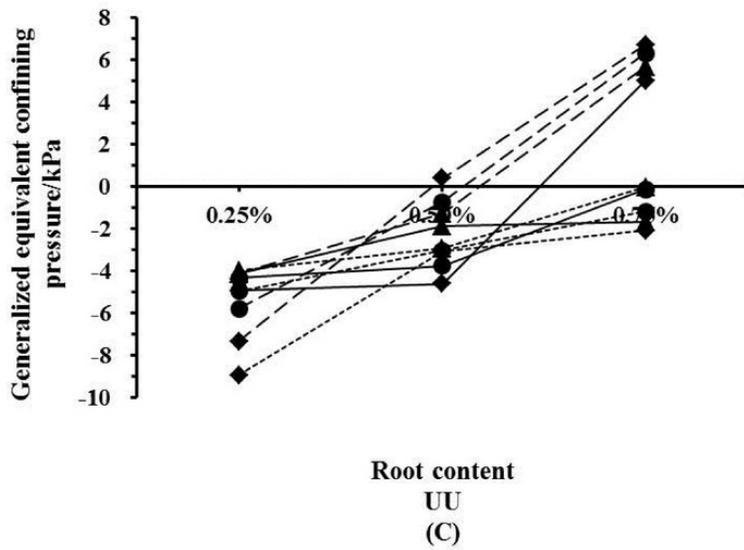
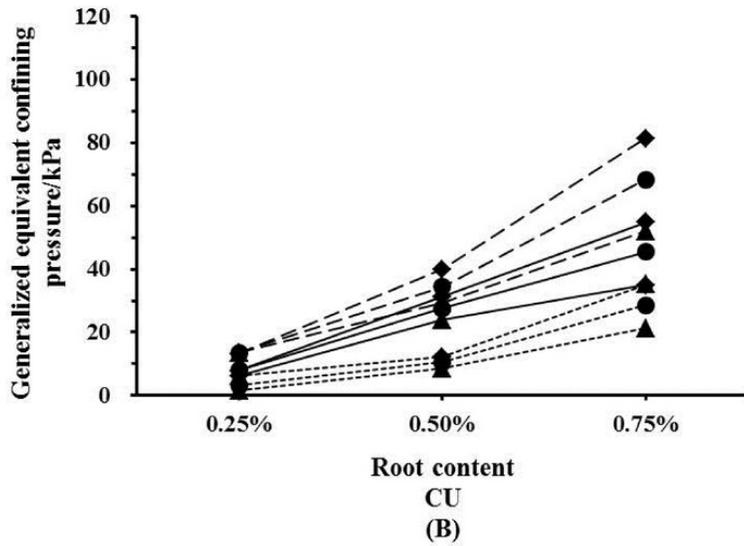
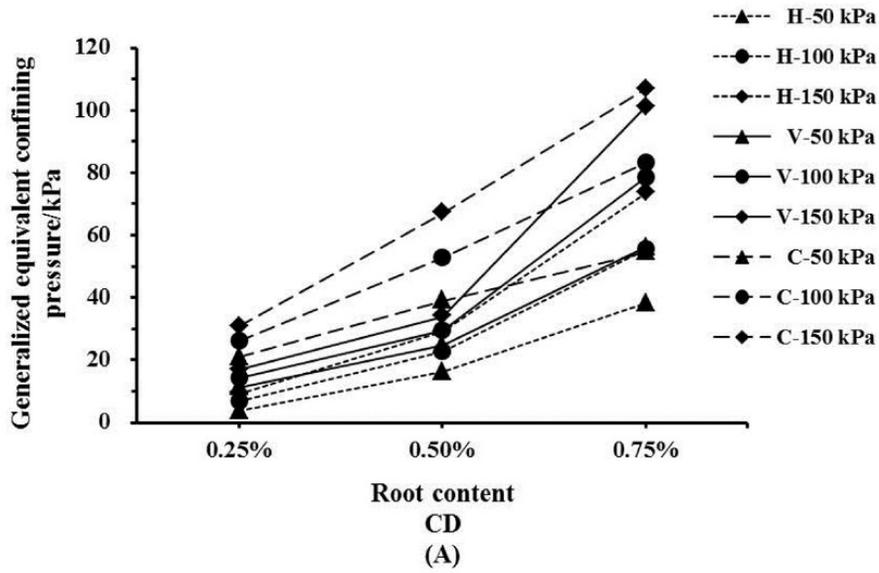


Figure 5

The generalized equivalent confining pressure of *Indigofera amblyantha* roots in the reinforced varied by drainage conditions. (A) Horizontal root (HR). (B) Vertical root (VR). (C) Complex root (CR).

In the legend, 50, 100 and 150 kPa denote the confining pressures are 50, 100 and 150 kPa, respectively. 0.25%, 0.50% and 0.75% denote the root contents are 0.25%, 0.50% and 0.75%, respectively.

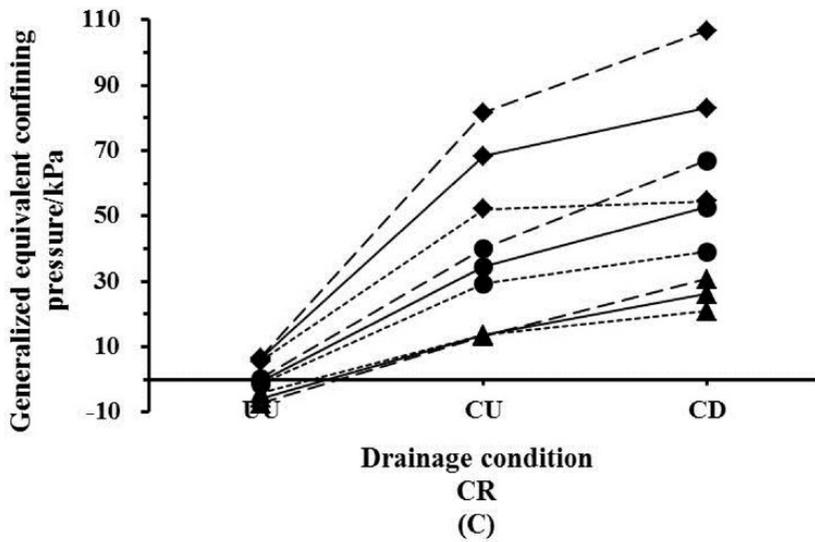
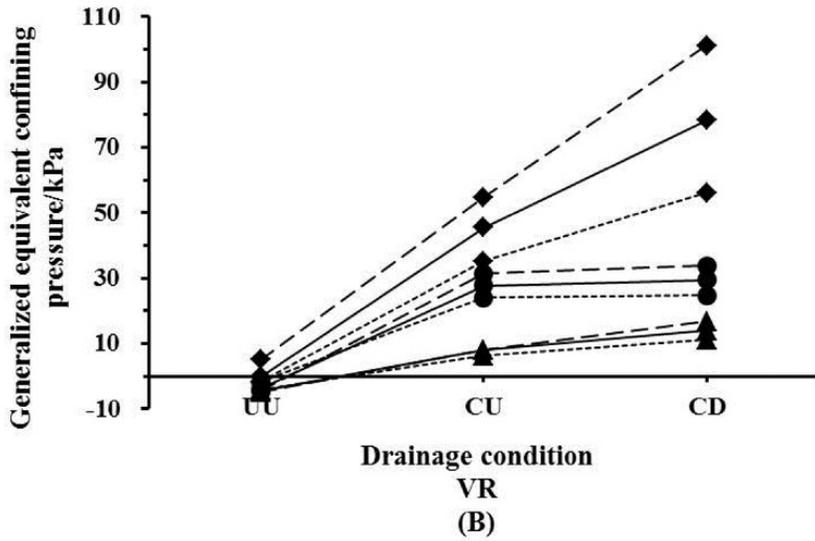
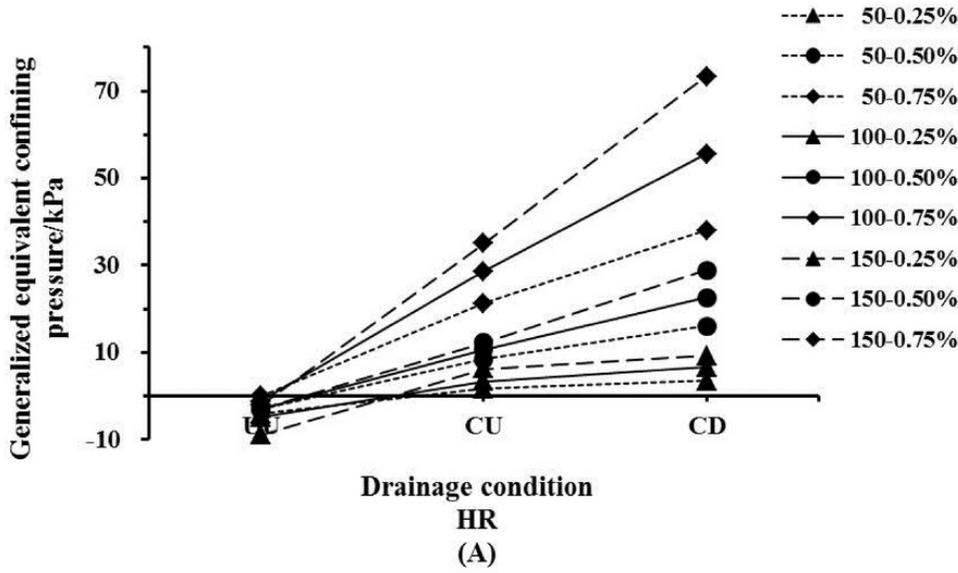


Figure 6

The generalized equivalent confining pressure of *Indigofera amblyantha* roots in the reinforced soil varied by confining pressure. (A) The root content is 0.25%. (B) The root content is 0.50%. (C) The root content is 0.75%.

In the legend, UU, CU and CD denote the drainage conditions are unconsolidated undrained, consolidation undrained and consolidation drained, respectively. H, V and C denote the root distribution patterns are vertical root, horizontal root and complex root, respectively.

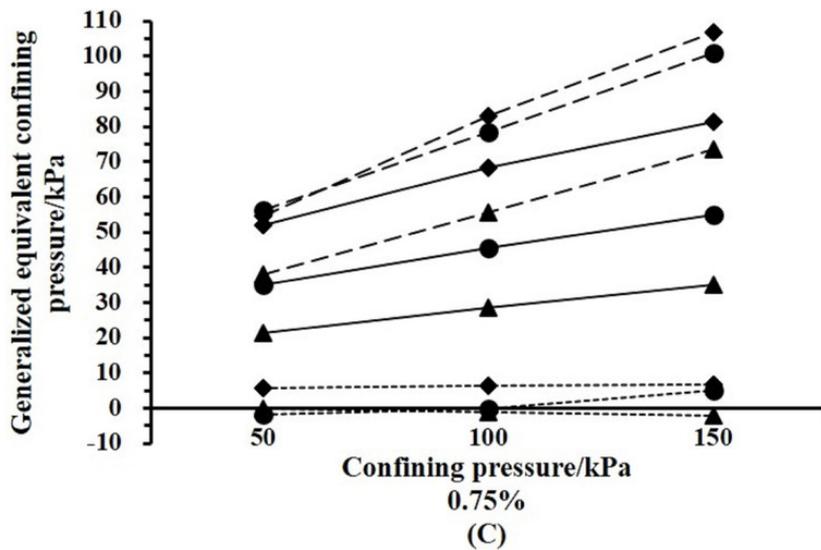
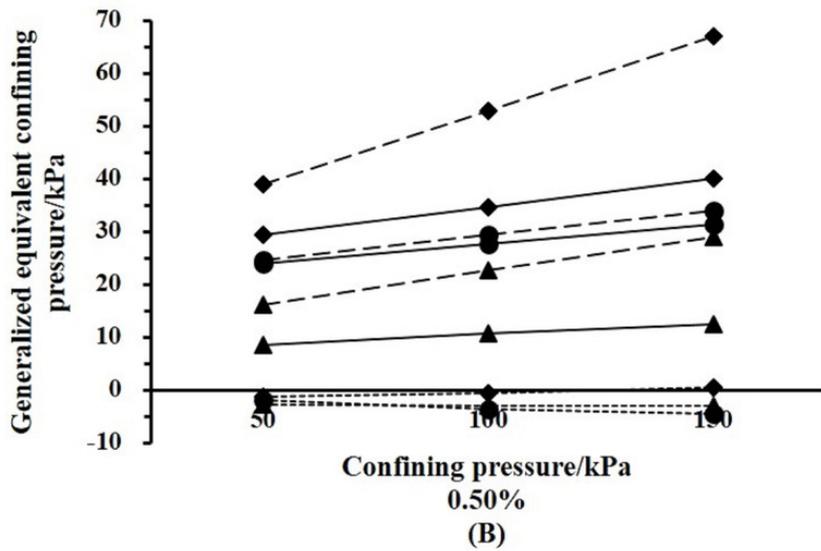
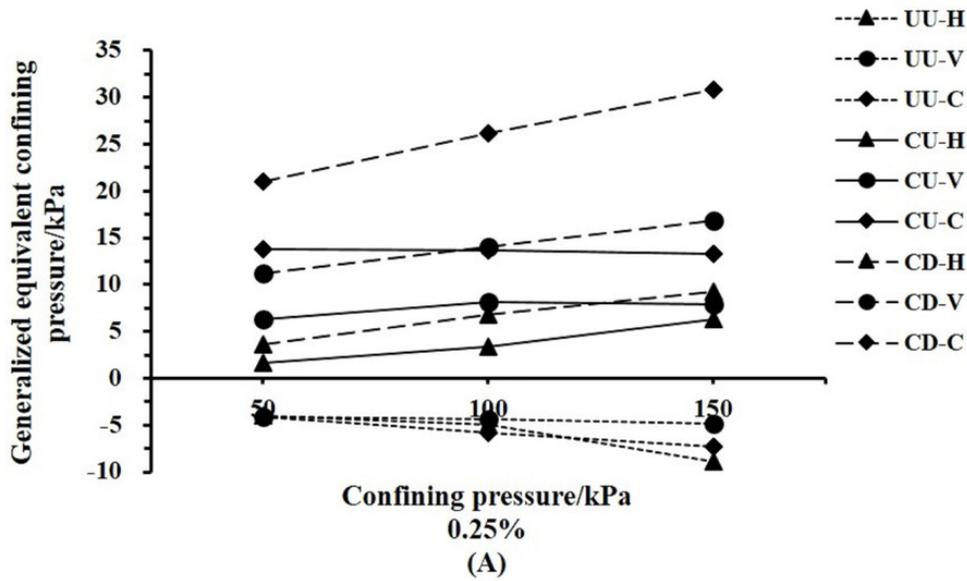


Table 1 (on next page)

Shear strength indexes of soil-root composites.

Notes: CD denotes the consolidation drained condition; CU denotes the consolidation undrained condition; UU denotes the unconsolidated undrained condition; HR is horizontal root; VR is vertical root; CR is complex root.

1 **Table 1:**
 2 **Shear strength indexes of soil-root composites.**

Control conditions		Experimental method					
		CD		CU		UU	
Distribution pattern	Root content (%)	<i>c</i> /kPa	ϕ /($^{\circ}$)	<i>c</i> /kPa	ϕ /($^{\circ}$)	<i>c</i> /kPa	ϕ /($^{\circ}$)
Un-reinforced soil	0.00	8.24	21.90	6.83	20.10	15.74	11.60
	0.25	7.49	23.40	5.06	20.60	16.71	9.60
HR	0.50	14.10	25.30	11.41	21.10	14.03	11.40
	0.75	19.26	30.00	15.75	23.70	16.43	11.70
VR	0.25	14.04	23.40	12.56	20.10	15.35	11.20
	0.50	21.69	24.40	20.73	22.00	13.27	11.40
	0.75	27.03	31.60	22.94	24.90	11.81	13.50
CR	0.25	18.98	24.50	16.87	20.00	14.41	11.30
	0.50	23.27	28.40	22.47	22.90	14.34	12.00
	0.75	29.00	31.80	28.84	27.30	18.82	12.30

3 **Notes:** CD denotes the consolidation drained condition; CU denotes the consolidation undrained condition;
 4 UU denotes the unconsolidated undrained condition; HR is horizontal root; VR is vertical root; CR is complex
 5 root.
 6

Table 2 (on next page)

Generalized equivalent confining pressure (GECP) of *Indigofera amblyantha* roots in the reinforced soil.

Notes: CD denotes the consolidation drained condition; CU denotes the consolidation undrained condition; UU denotes the unconsolidated undrained condition; HR is horizontal root; VR is vertical root; CR is complex root.

1 **Table 2:**
 2 **Generalized equivalent confining pressure (GECP) of *Indigofera amblyantha* roots in the**
 3 **reinforced soil.**

Confining pressure (kPa)	Root content (%)	CD			CU			UU		
		HR	VR	CR	HR	VR	CR	HR	VR	CR
50	0.25	3.61	11.23	21.01	1.61	6.35	13.72	-3.97	-4.10	-4.14
	0.50	16.13	24.66	39.01	8.56	24.03	29.26	-2.90	-1.87	-1.26
	0.75	38.07	56.18	54.57	21.26	35.17	52.14	-0.03	-1.68	5.69
100	0.25	6.75	14.06	26.11	3.36	8.10	13.65	-4.93	-4.32	-5.78
	0.50	22.68	29.45	52.80	10.69	27.71	34.50	-2.99	-3.74	-0.70
	0.75	55.50	78.30	83.08	28.55	45.54	68.28	-1.15	-0.13	6.31
150	0.25	9.26	16.87	30.75	6.30	7.92	13.29	-8.89	-4.89	-7.29
	0.50	28.92	33.91	67.05	12.34	31.30	40.10	-3.07	-4.59	0.41
	0.75	73.45	101.03	106.83	35.09	54.89	81.50	-2.08	5.05	6.75

4 **Notes:** CD denotes the consolidation drained condition; CU denotes the consolidation undrained condition;
 5 UU denotes the unconsolidated undrained condition; HR is horizontal root; VR is vertical root; CR is complex
 6 root.