

# The mechanism of the plant roots' soil-reinforcement based on generalized equivalent confining pressure

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**Background:** To quantitatively evaluate the contribution of plant roots on soil shear strength, a new aspect of generalized equivalent confining pressure (GECP) was considered in the terms of the function of plant roots in the reinforced soil was equivalent to confining pressure.

**Methods:** In this paper, silt loam soil was selected as the test soil, and the roots of *Indigofera amblyantha* were chosen as the reinforcing material. Different drainage conditions (consolidation drained (CD), consolidation undrained (CU), unconsolidation undrained (UU)) were launched to analyze the influences of different root distribution patterns (horizontal root (HR), vertical root (VR), complex root (CR)) and root contents (0.25%, 0.50%, 0.75%) on the shear strength of soil-root composites.

**Results:** The triaxial test results showed that under the CD and CU conditions, GECP of 0.75% root content in soil-root composites is 1.5-2.0 times that of 0.50% and more than 5 times that of 0.25%. GECP of CR is 1-2 times that of VR and 2-5 times that of HR. GECP of plant roots augmented by 20%-50%, when confining pressure increased from 50 kPa to 150 kPa. A complicated variation in GECP was observed under the UU condition, when root content is low, GECP of plant roots is minus and decreased as confining pressure increased.

**Conclusion:** It was concluded that the evaluation mechanism of plant roots' soil-reinforcement based on GECP is considerably adaptive to measure the influences of roots on soil under different drainage conditions and root characteristics.

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2 **on generalized equivalent confining pressure**

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## 17 ABSTRACT

18 **Background:** To quantitatively evaluate the contribution of plant roots on soil shear strength, a  
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20 the function of plant roots in the reinforced soil was equivalent to confining pressure.

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30 augmented by 20%-50%, when confining pressure increased from 50 kPa to 150 kPa. A  
31 complicated variation in GECP was observed under the UU condition, when root content is low,  
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35 different drainage conditions and root characteristics.

36 **Keywords** soil-root composite, shear strength indexes, generalized equivalent confining pressure,  
37 drainage condition, root characteristics

## 38 INTRODUCTION

39 Plant roots play an important role in improving the overall stability of slope superficial soil and  
40 increasing the safety coefficient of the slope (*Zegeye et al., 2018; Zhou & Wang, 2019*). Plant  
41 roots system is a complex dynamic system, for which non-destructive monitor implemented is  
42 difficult, so it is always a tricky part in the research field regarding the mechanism of plant roots'  
43 soil-reinforcement.

44 At present, the evaluation mechanism of slope vegetation protection mainly includes  
45 mechanical and hydraulic mechanisms (*Gonzalez-Ollauri & Mickovski, 2017; Feng, Liu & Ng,*  
46 *2020*). Based on both mechanisms, three vegetation protection theories were proposed, namely,  
47 the mechanical reinforcement of plant roots (*Jin et al., 2019*), the excess pore-water pressure in  
48 soil is dissipated by root water uptake (*Liu, Feng & Ng, 2016*) and soil matric suction is induced  
49 via plant transpiration (*Ng et al., 2013; Gadi et al., 2019*). The most conspicuous source that  
50 vegetation enhances slope stability is root reinforcement.

51 The effect of root reinforcement on slope stability can be evaluated directly in terms of the  
52 additional shear strength provided by plant roots in reinforced soil. To analyze the effect of plant  
53 roots on slope stability, many in-situ and laboratory tests were carried out on the vegetated soil  
54 (*Wu & Watson, 1998; Operstein & Frydman, 2000*), and the corresponding analytical models for  
55 soil-root composites have also been developed (*Waldron, 1977; Waldron & Dakessian, 1981;*  
56 *Wu et al., 1988*). For example, a linear equation of root population density and soil shear strength  
57 was obtained (*Endo & Tsurnta, 1969*), and c extend to the sliding layer have a stable effect on  
58 shallow slopes by in-situ shear tests (*Gray & Ohashi., 1983; Greenway, 1987*).

59 In addition, some mechanistic models have also been developed to evaluate the additional  
60 shear strength provided via plant roots (*Wu, 1976; Waldron, 1977; Wu, McKinell & Swanston,*  
61 *1979; Gray & Sotir, 1998*). However, some researchers have reached the consensus that the Wu-  
62 Waldron model is potentially a significant overestimate of actual cohesion of soil-root  
63 composites (*Waldron & Dakessian, 1981; Operstein & Frydman, 2000; Pollen & Simon, 2005*).  
64 *Schwarz et al. (2010)* proposed that the correction factor of Wu-Waldron model in the range of  
65 0.34-0.50 for roots of herbs and shrubs. In addition, the Wu-Waldron model or the modified  
66 model is derived based on the assumption that plant roots are elastic, initially oriented  
67 perpendicular to the shear surface and the friction angle of soil is unaffected by plant roots  
68 (*Waldron, 1977; Greenway, 1987*).

69 Shallow landslides present a highly consistent with rainfall, so rainfall is considered as the  
70 major cause for slopes instability. Decisive factors controlling shallow landslides are mechanical  
71 properties of sloping soil mass, frequency and duration of rainfall, and plant species (roots  
72 morphology) (*Matsushi, Hattanji & Matsukura, 2006; Normaniza, Faisal & Barakbah, 2008*).  
73 Rainfall infiltration in slopes induces an increase in soil moisture content, whereas the effect of  
74 plant roots on the shear strength of vegetated soil with a significant decrease (*Normaniza*  
75 *& Barakbah, 2006; Jiang, Dong & Wang, 2009*).

76 Based on the fact that the differences in depth, soil moisture content and root characteristics  
77 may result in a substantial change in soil shear strength. The effect of plant roots in reinforced  
78 soil is understood as an additional confining pressure to the soil in the traditional equivalent  
79 confining pressure. Therefore, the expression of generalized equivalent confining pressure  
80 (GECP) was derived to investigate the influence of root contents and root distribution patterns on  
81 the shear strength of reinforced soil under different drainage conditions (consolidation drained  
82 (CD), consolidation undrained (CU), unconsolidation undrained (UU)) were launched to analyze  
83 the influences of different root distribution patterns (orizantal root (HR), vertical root (VR),  
84 complex root (CR)) and root contents (0.25%, 0.50% , 0.75%) in this research.

## 85 MATERIAL AND EXPERIMENTAL METHODS

## 86 **Experimental materials**

87 In this paper, the soil was taken from cutting slope on the first phase urban expressway in  
88 Xiazhou avenue in Yichang, China. Test soil was chosen below the surface of 0.3 m, and  
89 impurities in the soil were removed. The soil was air-dried, crushed and sieved through a 2.0 mm  
90 sieve. The soil had a silt loam texture with 24.08% of sand (0.05-2.00 mm), 55.91% of silt  
91 (0.002-0.05 mm), 20.01% of clay (<0.002 mm) content, and 1.38 g cm<sup>-3</sup> of bulk density, 14.37%  
92 of natural moisture content, 2.78% of air dried soil moisture content, 6.2 of pH value.

93 *Indigofera amblyantha*, used widely in slope greening projects, which roots were selected as  
94 the reinforcing material. *Indigofera amblyantha* belongs to perennial deciduous shrub, its  
95 growing period is 6 months approximately, possess strong ability in drought resistance and  
96 barren resistance. The plants being mentioned above is the most common soil-water conservation  
97 plant in tropical and subtropical regions.

98 *Indigofera amblyantha* with a horizontal developed root system, including a lot of the  
99 branches and fibrous roots, and the root diameter is mostly concentrated within 1.0-2.5 mm. In  
100 this paper, 50 plants of *Indigofera amblyantha* were excavated by the whole excavated method.  
101 Normal and straight roots were scissored with scissors based on the length of 30 mm and 60 mm.  
102 The roots which the average diameter was 1.4-1.6 mm were chosen, of with the average tensile  
103 resistance and the average tensile strength were 62.10 N and 35.86 MPa, respectively.

104

## 105 **Experimental methods**

106 The density and the moisture content of soil-root composites were set according to the actual  
107 situation of test soil taken from cutting slope (Bulk density is 1.57 g cm<sup>-3</sup> and natural moisture  
108 content is 14.37%). Root contents (Quality percentage: the ratio of the root mass to soil mass in  
109 the specimens) were set at 0.25%, 0.50% and 0.75%, respectively.

110 Soil-root composites were remolded in a circular loading box of  $\Phi$  39.1 mm×80 mm which  
111 matched with the TSZ-1 strain-controlled triaxial compression apparatus. Firstly, the suitable  
112 amount of test soil was weighed and placed in a container which can be sealed, followed by  
113 spraying the amount of water on the soil reach the moisture content required in this paper.  
114 Secondly, test soil and water were fully mixed, and then the container was sealed for 24 h until  
115 test soil was soaked completely. Thirdly, the required amount of soil was taken from the sealed  
116 container and placed in the circular loading box mentioned above. Finally, plant roots were  
117 buried evenly in the soil and the method of three-layer compaction was adopted to remold soil-  
118 root composites in the circular loading box according to the methods of soil mechanics test  
119 standardization and specimen preparation. In addition, specimens of un-reinforced soil were also  
120 prepared, and preparation processes were consistent with the above, except that no roots were  
121 present in the specimens.

122 Root distribution pattern was divided into three forms, as shown in Fig. 2. The first form (1) is  
 123 VR, root length is 60 mm; the second form (2) is HR, and the root length is 30 mm; and then the  
 124 third form (3) is CR, the content of horizontal and vertical roots account for 1/2, respectively. In  
 125 this paper, plant roots were organized in the center of soil-root composites in three forms.  
 126 A prepared specimen was put into the pressure room on which 20 kPa confining pressure was  
 127 forced. The non-bubble water was entered the specimen base until water overflow from the  
 128 upper, and the constant head was controlled at 1.2 m. The saturated specimens were obtained  
 129 when the inflow water and the overflow water was equal. According to the test plan with the  
 130 same conditions of sample size ( $\Phi 39.1 \text{ mm} \times 80 \text{ mm}$ ), sample type (Un-reinforced and  
 131 Reinforced), root content (0.25%, 0.50%, 0.75%), root distribution pattern (HR, VR, CR),  
 132 confining pressure (50, 100, 150 kPa), and the different condition of shearing rate (0.012 mm  
 133  $\text{min}^{-1}$  for CD, 0.12 mm  $\text{min}^{-1}$  for CU, 0.9 mm  $\text{min}^{-1}$  for UU), the shear strengths of soil-root  
 134 composites and un-reinforced soil specimens were measured by the triaxial test on 15% of axial  
 135 strain (Zhang et al., 2010).

### 136 Generalized equivalent confining pressure (GECP)

137 The GECP was derived from the traditional equivalent confining pressure. Gray and Al-Refaei  
 138 (1986) analyzed the failure mechanism of reinforced sandy soil in triaxial test and derived the  
 139 expression of traditional equivalent confining pressure under drained condition (Moroto, 1992;  
 140 Li et al., 2017):

$$141 \quad \Delta\sigma_{3t} = \sigma_3 \frac{\Delta\sigma_{1f}}{\sigma_{1f}} \quad (1)$$

142 where  $\sigma_3$  and  $\Delta\sigma_{3t}$  represent respectively confining pressure and traditional equivalent confining  
 143 pressure,  $\Delta\sigma_{1f}$  represent the deviator of failure principal stresses of reinforced and un-reinforced  
 144 soil specimens under the same confining pressure of  $\sigma_3$ .

$$145 \quad \Delta\sigma_{1f} = \sigma_{1fb} - \sigma_{1f} \quad (2)$$

146 where  $\sigma_{1f}$  is the failure principal stress of un-reinforced soil under the confining pressure of  $\sigma_3$ ,  
 147  $\sigma_{1fb}$  is the failure principal stress of reinforced soil under the confining pressure of  $\sigma_3$ .

148 The expression of traditional equivalent confining pressure is proposed for sandy soil under  
 149 the drained condition, in which cohesion have not been considered. The cohesion of sandy soil is  
 150 0, but cohesive soil is not, meanwhile, the function of plant root in reinforced soil is evaluated in  
 151 which don't take the effect of the drained condition into account.

152 For the above questions, Huang et al. (2007) proposed the GECP of cohesive soil and soil-root

153 composite under different drainage conditions, depending on the fact that the Mohr-Coulomb  
 154 strength theory is also obeyed in reinforced soil. GECP is the deviator of confining pressure  
 155 between reinforced and un-reinforced soil specimens at the same shear strength (Fig. 1). To  
 156 distinguish the traditional expression of equivalent confining pressure from generalized  
 157 equivalent confining pressure, the  $\Delta\sigma_{3g}$  represent it below.

158 The limited balance equation of un-reinforced soil:

$$159 \quad \sigma_{1f} = \sigma_3 K_p + 2c\sqrt{K_p} \quad (3)$$

160 The limited balance equation of reinforced soil in terms of un-reinforced according to the  
 161 deviator of confining pressures between reinforced and un-reinforced soil specimens at the same  
 162 shear strength:

$$163 \quad \sigma_{1fb} = (\sigma_3 + \Delta\sigma_{3g})K_p + 2c\sqrt{K_p} = \sigma_{1f} + \Delta\sigma_{3g}K_p \quad (4)$$

164 where  $\Delta\sigma_{3g}$  represent generalized equivalent confining pressure;  $K_p$  is the passive earth  
 165 pressure coefficient of cohesive soil,  $K_p = \tan^2\left(45^\circ + \frac{\varphi}{2}\right)$ ;  $c$  and  $\varphi$  represent respectively shear  
 166 strength indexes.

167 Expression of GECP:

$$168 \quad \Delta\sigma_{3g} = \sigma_3 \frac{\Delta\sigma_{1f}}{\sigma_{1f} - 2c\sqrt{K_p}} \quad (5)$$

169 Expression (5) indicates that GECP of soil-root composite depends on the deviator of failure  
 170 principal stresses of reinforced and un-reinforced soil specimens, the failure principal stress of  
 171 un-reinforced soil and the shear strength indexes of un-reinforced soil. The expression of  
 172 traditional equivalent confining pressure is a special case when the cohesion is 0, then the form  
 173 (5) transform into the form (1). That is the expression of traditional equivalent confining pressure  
 174 that is proposed for sandy soil, so sandy soil can be regarded as a cohesive soil when cohesion is  
 175 0.

## 176 TEST RESULTS

### 177 Shear strength indexes of soil -root composites under different drainage conditions

178 Table 1 summarized the shear strength indexes,  $c$  and  $\varphi$ , obtained from the triaxial shear test by  
 179 using the Mohr-Coulomb failure criterion. For the un-reinforced soil,  $c$  values were characterized  
 180 by a decrease followed by an increase under the CD, CU and UU conditions. In addition,  $\varphi$   
 181 values were listed at 21.9°, 20.1° and 11.6°, respectively.

182 Under the CD and CU conditions,  $c$  values of soil-root composites increase significantly and  $\varphi$   
 183 values remain basically stable compared with un-reinforced soil, with the advance of root content.

184 Shear strength indexes of soil-root composites increase the most under the CD condition, with a  
185 cohesive increment of 251.9% and an internal friction angle increment of 45.2%. On the same  
186 condition of root content and drainage condition, shear strength indexes are very low under HR,  
187 intermediate for VR and highest for CR.

188 Under the UU condition, no significant difference is observed in shear strength indexes of  
189 soil-root composites when root distribution pattern changing. Thereinto,  $c$  values present a  
190 complicated change trend, which mainly depends on root distribution pattern and root content.  
191 For example, when VR in soil-root composites, cohesion decreased from 15.35 kPa to 11.81 kPa  
192 due to root content increased (0.25%, 0.50%, 0.75%). However, for HR and CR, cohesion  
193 presents a decreasing trend followed by an augment. In addition,  $\varphi$  values of soil-root composites  
194 are greater than 11.6 and close to 11.6.

195

### 196 **The relationship between GECP of *Indigofera amblyantha* roots in the reinforced soil and** 197 **root content**

198 [Figure 3](#) showed the relationship between GECP of plant roots in soil-root composites and root  
199 content. Under the CD and CU conditions, when root content is 0.75%, GECP of the plant roots  
200 in soil-root composites is 1.5-2.0 times that of 0.50% and more than 5 times that of 0.25%. Take  
201 the CD condition as an example, when soil-root composites are forced by 150 kPa confining  
202 pressure and CR content is 0.75%, GECP of plant roots in soil-root composites is 106.83 kPa  
203 ([Table 2](#)). Namely, the shear strength of soil-root composites in the condition is equivalent to  
204 the strength of un-reinforced soil that subjected to the confining pressure of 256.83 kPa. For the  
205 UU condition, GECP of plant roots mainly concentrated within the range of -10 kPa to 10 kPa.  
206 GECP of plant roots presents trend as root content increase largely mirrored those contained in  
207 the drainage condition. When CR is placed in reinforced soil, GECP changes from negative to  
208 positive as root content increase, whereas GECP is always minus under the condition of HR.

209

### 210 **The relationship between GECP of *Indigofera amblyantha* roots in the reinforced soil and** 211 **drainage condition**

212 The variation trend of GECP resulted from the change of consolidation and drainage condition is  
213 observed in [Fig. 4](#). From 3 root distribution patterns perspectives, GECP shows the same  
214 phenomenon with the change of consolidation and drainage conditions. That is, GECP increases  
215 gradually due to the state changes from UU to CD. Moreover, the effect of root distribution  
216 pattern on GECP in reinforced soil followed the sequence of CR > VR > HR. Under the CU and  
217 CD conditions, GECP of CR is 1-2 times that of VR and 2-5 times that of HR. The largest GECP  
218 of CR is 106.83 kPa, while only 21.26 kPa in HR ([Table 2](#)).

219

## 220 **The relationship between GECP of *Indigofera amblyantha* roots in the reinforced soil and** 221 **confining pressure**

222 As shown in Fig. 5, under the CD and CU conditions, GECP of plant roots increases as confining  
223 pressure, an extremely significant positive correlation ( $\text{Sig} < 0.05$ ) was observed. GECP of plant  
224 roots augmented by 20%-50% when confining pressure increased from 50 kPa to 150 kPa.  
225 However, a complicated relationship is observed between GECP and confining pressure under  
226 the UU condition. When root content is 0.25% in the soil-root composite, GECP is minus and  
227 diminishes as the increase of confining pressure. When root content is 0.50%, GECP of HR and  
228 VR is minus and the reduction in GECP is small compared with 0.25% root content. But, GECP  
229 of CR turns to 0.41 kPa from -1.26 kPa due to confining pressure increases. For the root content  
230 of 0.75%, GECP of plant roots gradually increases with an exception that GECP of HR decreases  
231 from -0.03 kPa to -2.08 kPa (Table 2).

## 232 **DISCUSSION**

### 233 **The evaluation mechanism based on GECP**

234 Soil-root composite is a composite system of which plant roots with a high deformation modulus  
235 but soil weak. When soil-root composites are destroyed under external load, dislocation occurs  
236 between soil and plant roots due to the tremendous difference in deformation modulus. The  
237 dislocation is constrained by frictional resistance and interlocking force between soil particles  
238 and plant roots. Ulteriorly, root tensile strength and soil compressive strength are effectively  
239 combined by the friction of soil-root interface, thus soil shear strength is improved (Waldron,  
240 1977; Waldron & Dakessian, 1981; Wu et al., 1988; Wu & Watson, 1998; Fan & Su, 2008).

241 The reinforcing effect of plant roots on soil is mainly manifested by the addition of cohesion  
242 (Ali & Osman, 2007; Normaniza, Faisal & Barakbah, 2008), and the internal friction angle is  
243 more related to the soil particles structure (De Baets & Poesen, 2006). The phenomenon that  
244 plant roots affect cohesion rather than internal friction angle of soil-root composites can be  
245 explained by that the living plant roots are flexible materials (Huang et al., 2007). In addition,  
246 root content compared with soil mass is small in soil-root composite, although root content  
247 increase, the soil structure is not greatly changed, so the variation of  $\phi$  value is small  
248 (Chegenizadeh & Nikraz, 2012).

249 Compared with the Wu-Waldron model, the evaluation mechanism based on GECP possesses  
250 the following merits: (1) different drainage conditions can be considered; (2) different stress-  
251 strain characteristics of cohesive soil and sandy soil can be simulated; (3) the effect of drainage  
252 condition, root content and root morphology on the reinforced soil can be intuitively mirrored by  
253 GECP, which are accurate and reliable. There are some possible discussions, for instance, Ingold  
254 (1983) showed that the shear strength of soil-root composites is worse under the undrained

255 condition, but our specimens that are not the case.

256

### 257 **Effect of root characteristics in reinforced soil**

258 A positive correlation is observed between the shear strength of soil-root composites and root  
259 content. When root content is relatively small and increases, the contact area increases gradually  
260 due to plant roots can fully contact with soil particles. Plant roots have an effective lateral  
261 constraint on soil, the lateral and axial deformation of soil-root composites is reduced, and shear  
262 strength is increased compared with un-reinforced soil (*Tan et al., 2019*). As an exception, a  
263 stable and obvious reinforcing effect is not produced when root content is 0.25%, due to a less  
264 number of plant roots have little effect on the shear deformation. On the contrary, the bonding  
265 state of soil is destructed when plant roots are placed in the preparation processes of soil-root  
266 composites.

267 However, relevant studies showed that shear strength of soil-root composites increases with  
268 root content increases until the peak value is achieved, and an optimal root content to this peak  
269 (*Tan et al., 2019*). When root content continues to increase, excessive plant roots are not  
270 effectively connected with soil particles, oppositely, just plant roots mutual contact. Therefore,  
271 lateral restraint deformation ability of root system to the soil is no longer strengthened. Inversely,  
272 the shear strength of soil-root composites is reduced because of the relative displacement is  
273 exacerbated between plant roots. Obviously, the root content is relatively low in this paper,  
274 which at the stage of soil reinforcing. The optimal root content is not the focus of this paper, so  
275 no further description is granted.

276 Among the three root distribution types (HR, VR and CR), CR is the best to enhance soil shear  
277 strength. With the same root content, soil shear strength is very low under HR, intermediate for  
278 VR and highest for CR.

279 HR doesn't work as a "reinforcement" on soil when root content is less, owing to soil integrity  
280 is destroyed and there is less contact area between soil particles and root system. However, when  
281 the root system is decussately placed in specimens, root system bears partly horizontal shear  
282 force that limits soil lateral deformation, because of the interaction between soil particles and  
283 root system. Meanwhile, the rigid modulus of soil-root composites is notably improved, which  
284 mainly reflected in the compression modulus of specimens increase, and soil deformation is  
285 effectively restrained (*Lewis, 1956*).

286

### 287 **Effect of different drainage conditions in reinforced soil**

288 Generally, specimen is consolidated to obtain a different void ratio of specimen, and undrained  
289 to keep the void ratio constant (*Mun et al, 2016*). For soil-root composites, the initial porosity of  
290 specimens is small under the consolidated condition, the concave-convex structure of root

291 surface is staggered with soil particles. When specimens are loaded, the more energy is required  
292 to overcome the interlocking force between soil particles and plant roots. So, the relationship  
293 curve between the large principal stress difference and the axial strain of soil-root composites is  
294 steeper than unconsolidated condition (*Cazzuffi & Crippa, 2005*).

295 In the UU triaxial test, soil moisture content and initial porosity are high in specimens. On the  
296 one hand, the decrease in electrolyte concentration greatly thickened the water film around soil  
297 particles, which increase the space of soil-root interface. Furthermore, the effective surface area  
298 of the root-soil interface decreases, so that the interlocking force of soil particles on the root  
299 system is reduced. On the other hand, the lubrication effect of water reduces the friction between  
300 soil particles and root system, then the soft sliding surface is formed at the interface of soil-root  
301 (*Fan & Su, 2008*). In addition, the confining pressure launched on specimens is offset by pore  
302 water pressure based on the assumption of the volume of specimens does not vary. The effective  
303 stresses of specimens remain stable, so the strength envelope is relatively flat, the value  $\varphi$  is  
304 trivial (*Operstein & Frydman, 2000*).

305

### 306 **Effect of confining pressure on GECP of root in reinforced soil**

307 In the actual condition, most of *Indigofera amblyantha* roots are concentrated within 0.5 m  
308 below the earth surface. When the depth exceeds 0.5 m, the reinforcing effect of plant roots is  
309 not obvious due to root content is low (*Waldron & Dakessian, 1982*). Shear strength of  
310 specimens is significantly increased under high confining pressure which deviated from the  
311 practical application. Therefore, to effectively evaluate the GECP of plant roots in reinforced soil,  
312 three levels of confining pressure (50 kPa, 100 kPa and 150 kPa) are established in this paper.

313 There is a significantly different in reinforcing effect that specimens subjected to different  
314 confining pressure (*Fig. 5*). Under the CD and CU condition, the values of GECP are positive  
315 showed that the existence of plant roots in reinforced soil plays a positive role in shear strength.  
316 The density of soil-root composites increased as confining pressure augments, resulting in an  
317 increase in soil quality of per unit volume. Soil particles gap decreased is more conducive to  
318 making plant roots joint with soil, which limits the lateral deformation of specimens. On the  
319 other hand, the density increase of specimens aggrandized the number of soil particles contacted  
320 with root surface, resulting in a larger contact area and presumably to a higher cohesion of soil-  
321 root composites (*De Baets et al., 2008; Abernethy & Rutherford, 2010*).

322 Under the UU condition, GECP of 0.25% root content is minus and diminishes as confining  
323 pressure increases. The phenomenon that GECP varies from a negative value to a positive one  
324 occurs with root content increases. It can be explained by that fewer plant roots play a role in  
325 water transport and the lubrication ability of soil-root interface. Whereas the reinforcing effect of  
326 root distribution pattern based on different confining pressure has yet to be studied.

327 When specimens are subjected to the high confining pressure, soil particles are compacted

328 highly. A less number of plant roots placed in the specimens have little influence on the density  
329 of soil particles and the contact area of the soil-root interface. Therefore, the greater in the  
330 confining pressure, the smaller the reinforcing effect of plant roots in reinforced soil. However,  
331 high confining pressure will make the redundant plant roots fully contact with soil particles when  
332 root content gradually increases in specimens. The soil particles at the root-soil interface will  
333 move and rearrange until the reinforcing effect of plant roots is effectively exerted. So, the  
334 contribution of plant roots to soil strength under high confining pressure is greater than low  
335 confining pressure.

## 336 CONCLUSION

337 The evaluation mechanism based on GECP has been applied to evaluate the reinforcing effect of  
338 *Indigofera amblyantha* roots on the soil. The results reflect that the main function of plant roots  
339 in reinforced soil is to change the soil cohesion. Under the CD and CU conditions, the significant  
340 relationships are found between reinforcing effect and root characteristics. The reinforcing effect  
341 of root content in reinforced soil followed the sequence of  $0.75\% > 0.50\% > 0.25\%$ , and shear  
342 strength of soil-root composites is very low under HR, intermediate for VR and highest for CR.

343 The shear strength of soil-root composites is improved when confining pressure increase,  
344 however, the reinforcing effect of plant roots on soil diminishes as confining pressure augment.

345 Under the UU condition, GECP of 0.25% root content is minus and diminishes as the increase  
346 of confining pressure. Some assumptions have been given to explain this phenomenon, but more  
347 research is needed to find the cause. Furthermore, the reinforcement mechanism of root  
348 distribution pattern with confining pressure change needs to be further studied. In a word, GECP  
349 as an indicator to quantitatively evaluate the reinforcing effect of plant roots on soil is  
350 intuitionistic and credible, it greatly enriches the mechanism of the plant roots' soil-  
351 reinforcement.

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360

### 361 Competing Interests

362 The authors declare that they have no competing interests.

363

#### 364 **Author Contributions**

365 Hai Xiao, Zhenyao Xia, Qi Liu, and Wennian Xu conceived and designed the experiments; Ping  
366 Guo, Qi Liu, Feng Gao, and Lun Zhang performed the experiments; Hai Xiao, Ping Guo, Qi Liu,  
367 Lun Zhang, Mingyi Li, and Yueshu Yang analyzed the data; Ping Guo, Feng Gao, Lun Zhang,  
368 Mingyi Li, and Yueshu Yang prepared the figures; Ping Guo prepared the tables; Hai Xiao,  
369 Zhenyao Xia, Qi Liu, and Wennian Xu drafted the work or revised it critically for important  
370 content.

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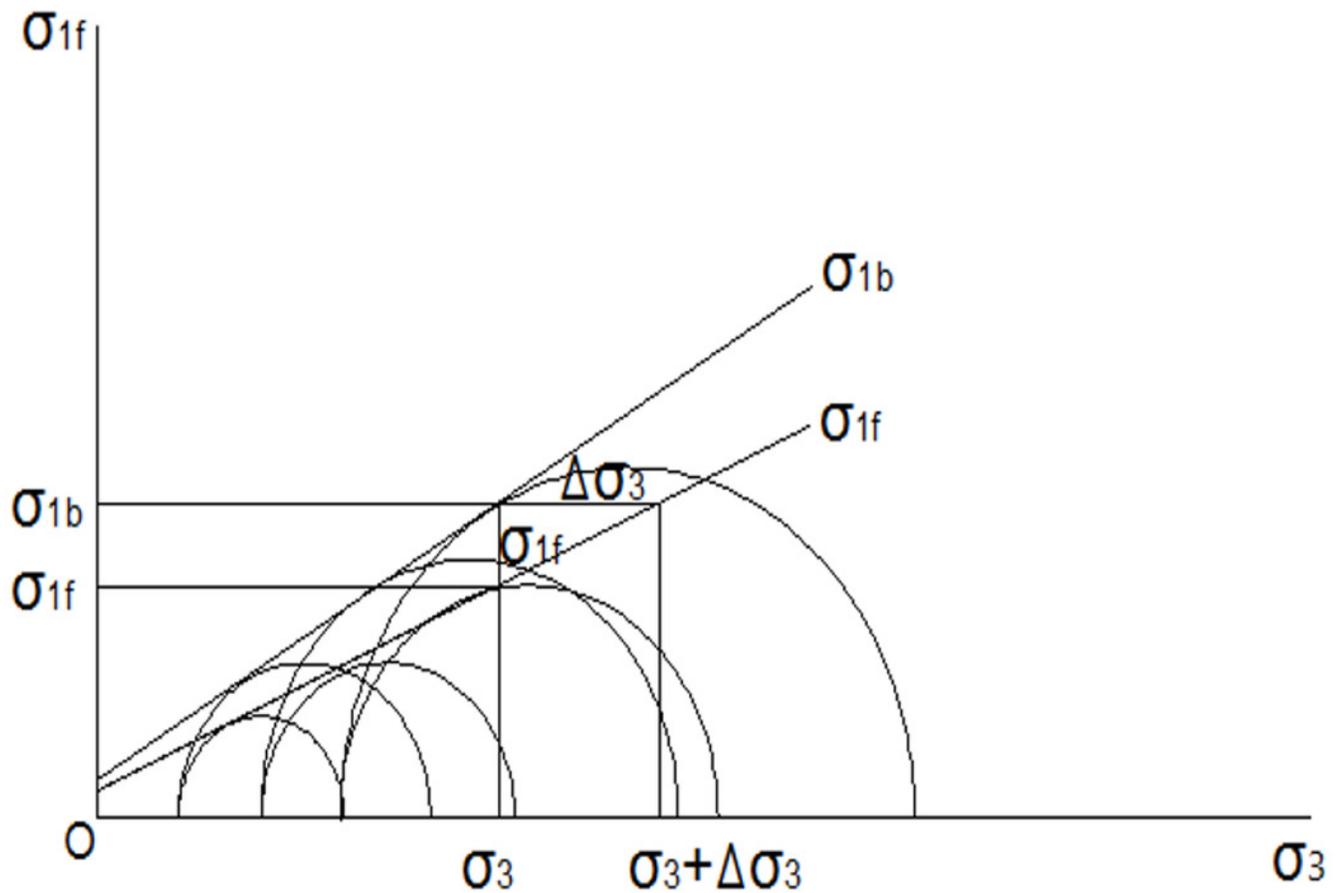
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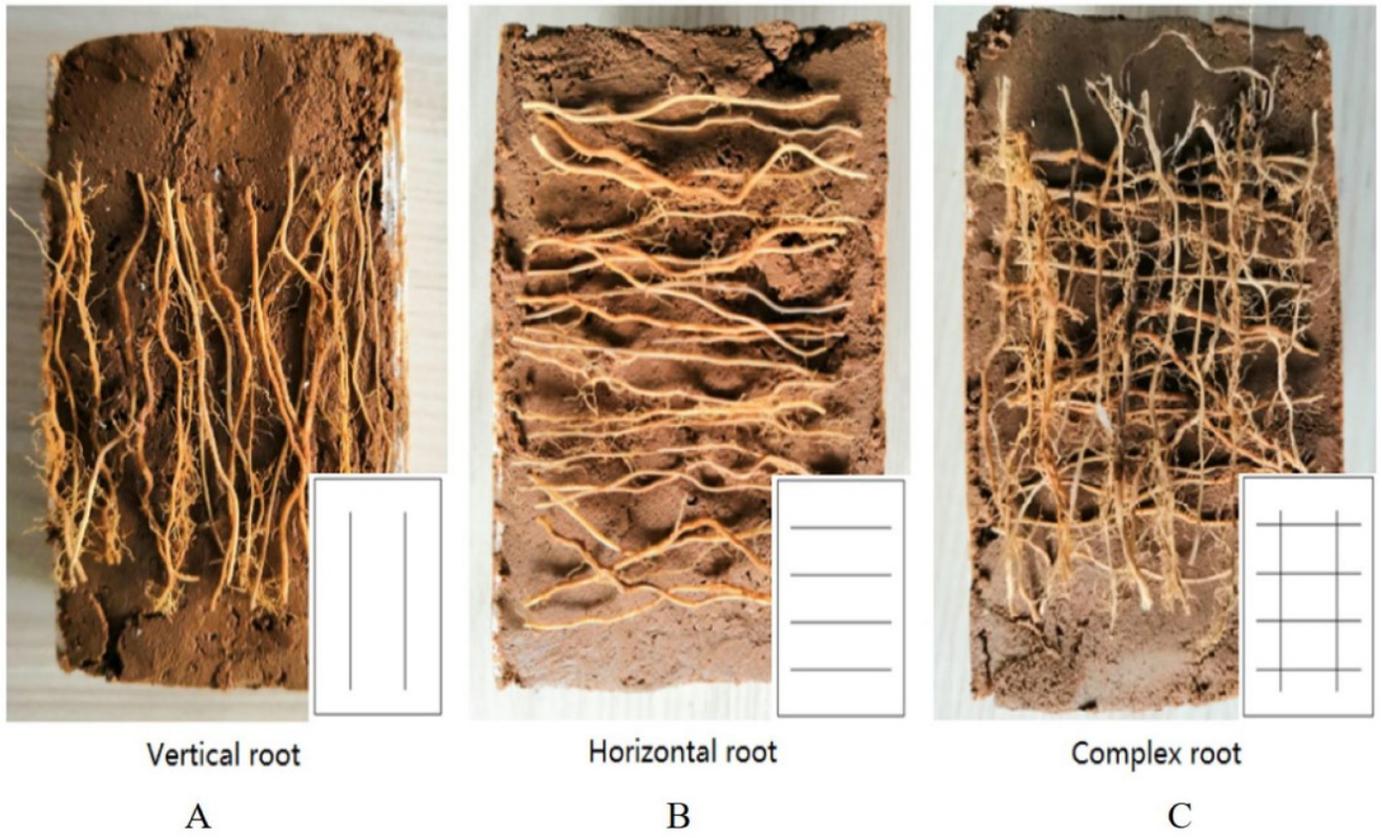
# Figure 1

The relationship of  $\sigma_1$  and  $\sigma_3$  of soil-root composite and unreinforced soil.



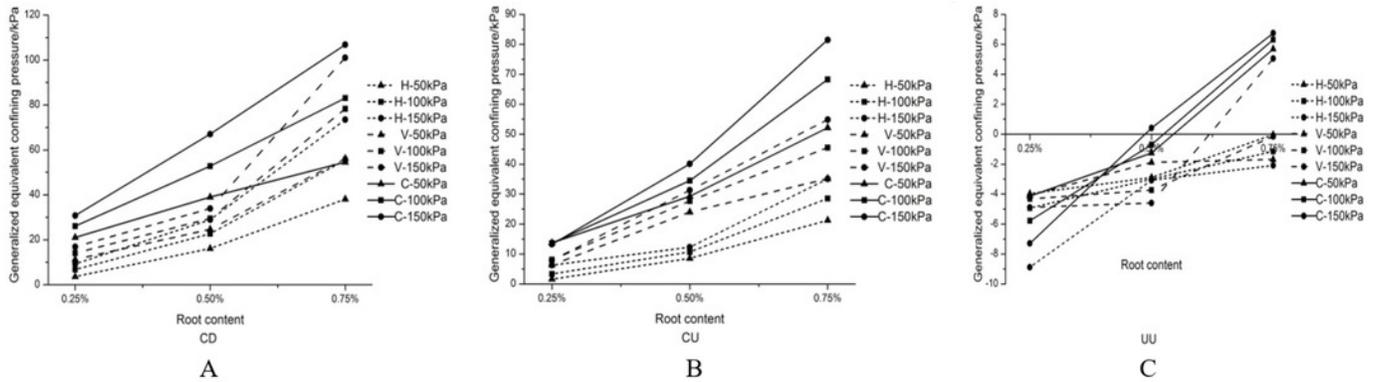
## Figure 2

Root distribution patterns in the triaxial test.



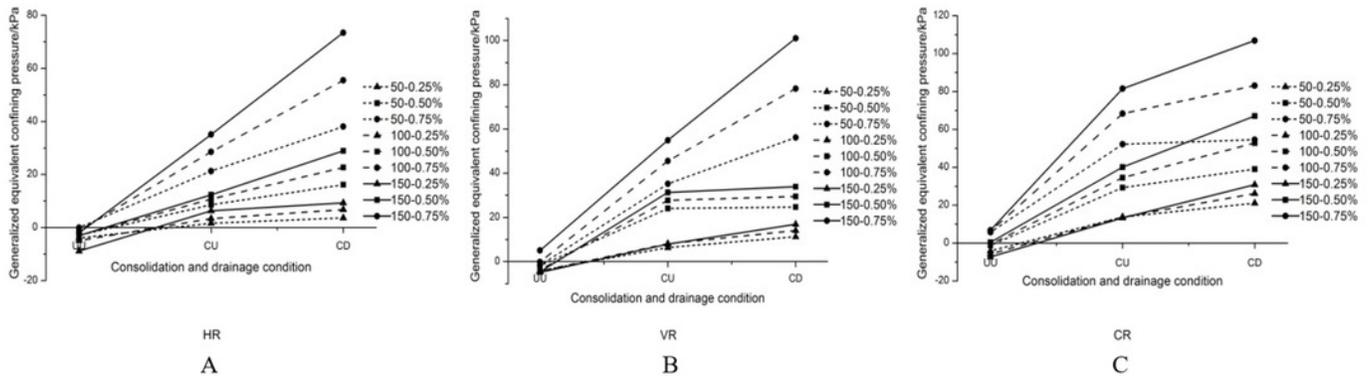
## Figure 3

The relationship between generalized equivalent confining pressure of *Indigofera amblyantha* roots in the reinforced soil and root content.



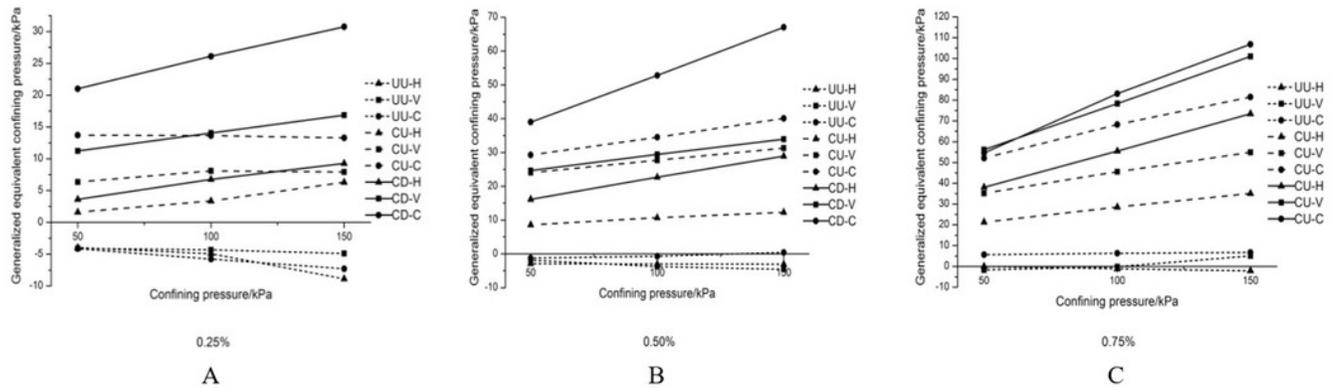
## Figure 4

The relationship between generalized equivalent confining pressure of *Indigofera amblyantha* roots in the reinforced soil and drainage condition.



## Figure 5

The relationship between generalized equivalent confining pressure of *Indigofera amblyantha* roots in the reinforced soil and confining pressure.



**Table 1** (on next page)

Shear strength indexes of soil-root composites.

CD denotes the drainage condition of consolidation drained; CU denotes the drainage condition of consolidation undrained; UU denotes the drainage condition of unconsolidation undrained; HR is horizontal root; VR is vertical root; CR is complex root.

1 **Table 1:**2 **Shear strength indexes of soil-root composites.**

Control conditions		Experimental method					
Distribution pattern	Root content (%)	CD		CU		UU	
		$c$ /kPa	$\varphi$ /( $^{\circ}$ )	$c$ /kPa	$\varphi$ /( $^{\circ}$ )	$c$ /kPa	$\varphi$ /( $^{\circ}$ )
Un-reinforced soil	0.00	8.24	21.90	6.83	20.10	15.74	11.60
	0.25	7.49	23.40	5.06	20.60	16.71	9.60
HR	0.50	14.10	25.30	11.41	21.10	14.03	11.40
	0.75	19.26	30.00	15.75	23.70	16.43	11.70
VR	0.25	14.04	23.40	12.56	20.10	15.35	11.20
	0.50	21.69	24.40	20.73	22.00	13.27	11.40
	0.75	27.03	31.60	22.94	24.90	11.81	13.50
CR	0.25	18.98	24.50	16.87	20.00	14.41	11.30
	0.50	23.27	28.40	22.47	22.90	14.34	12.00
	0.75	29.00	31.80	28.84	27.30	18.82	12.30

3 **Notes:**

4 CD denotes the drainage condition of consolidation drained; CU denotes the drainage condition of consolidation undrained;

5 UU denotes the drainage condition of unconsolidation undrained; HR is horizontal root; VR is vertical root; CR is complex root.

**Table 2** (on next page)

Generalized equivalent confining pressure of *Indigofera amblyantha* roots in the reinforced soil.

CD denotes the drainage condition of consolidation drained; CU denotes the drainage condition of consolidation undrained; UU denotes the drainage condition of unconsolidation undrained; HR is horizontal root; VR is vertical root; CR is complex root.

1 **Table 2:**2 **Generalized equivalent confining pressure of *Indigofera amblyantha* roots in the reinforced soil.**

Confining pressure (kPa)	Root content (%)	CD			CU			UU		
		HR	VR	CR	HR	VR	CR	HR	VR	CR
50	0.25	3.61	11.23	21.01	1.61	6.35	13.72	-3.97	-4.10	-4.14
	0.50	16.13	24.66	39.01	8.56	24.03	29.26	-2.90	-1.87	-1.26
	0.75	38.07	56.18	54.57	21.26	35.17	52.14	-0.03	-1.68	5.69
100	0.25	6.75	14.06	26.11	3.36	8.10	13.65	-4.93	-4.32	-5.78
	0.50	22.68	29.45	52.80	10.69	27.71	34.50	-2.99	-3.74	-0.70
	0.75	55.50	78.30	83.08	28.55	45.54	68.28	-1.15	-0.13	6.31
150	0.25	9.26	16.87	30.75	6.30	7.92	13.29	-8.89	-4.89	-7.29
	0.50	28.92	33.91	67.05	12.34	31.30	40.10	-3.07	-4.59	0.41
	0.75	73.45	101.03	106.83	35.09	54.89	81.50	-2.08	5.05	6.75

3 **Notes:**

4 CD denotes the drainage condition of consolidation drained; CU denotes the drainage condition of consolidation undrained;

5 UU denotes the drainage condition of unconsolidation undrained; HR is horizontal root; VR is vertical root; CR is complex root.

6